

गंगा की २५२५ किलोमीटर लम्बी जलधारा को जिस तरह से जगह-जगह पर शहरी और फैक्टरी के गन्दे नालों से प्रदूषित किया जा रहा है, जिस तरह भूमि अपरदन के फलस्वरूप नदी मिट्टी से भरती जा रही है और नदी से काट कर नहरें निकाली जा रही हैं, इससे कभी-कभी यह आशंका होती है कि गंगा का वर्तमान रूप बना भी रह सकेगा या नहीं। और अगर इसका स्वरूप बिगड़ता है, इसमें बहने वाले जल की मात्रा एवं प्रवाह कम हो जाता है, तब क्या होगा ?

मुझे यह डर है कि गंगा कहीं न रुक जाये  
कि जिन्बगानी इबारत है इसके बहने से।

—फारिग

ओ३म्

# आयंभट्ट

## विज्ञान—पत्रिका

सितम्बर १९८४



सम्पादक :

**डॉ० विजय शंकर**

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## पादप-बायो-टेक्नोलोजी का पर्यावरण सुरक्षा में योगदान

वर्तमान समय की अनेक समस्याओं में से एक प्रदूषण की समस्या है जो सम्पूर्ण मानव-जाति को निगल जाने के लिए अपने पजे बड़ा रही है। इससे निबटने के लिए विश्व में अनेक स्तरों पर प्रयास किये जा रहे हैं। कीट-नाशी, फफूंद-नाशी एवं उर्वरकों के अन्धाधुन्ध प्रयोग में अनेक पारिस्थितिक (इकोलोजिकल) समस्याएँ उत्पन्न हो रही हैं। कुछ समय पूर्व यह समझा जाने लगा था कि कीटनाशियों ने फसल नष्ट करने वाले कीटों को मार कर कृषि उत्पादन की समस्या हल कर दी है। किन्तु इसके साथ जो दूसरी अधिक घातक समस्या उत्पन्न हो रही थी उसकी ओर ध्यान काफी समय पश्चात् ही गया। कीट-नाशियों के अन्धाधुन्ध व असन्तुलित प्रयोग से सम्पूर्ण भोजन-श्रृंखला (फूड चेन) इन विषयों से सन्तुष्ट हो गयी। डी०डी०टी०, बी०एच०सी० आदि अन्य क्लोरीनेटेड हाइड्रोकार्बन तन्त्रिका प्रणाली और लैमिक हारमोन्स के मेटाबोलिज्म पर दुष्प्रभाव डालने लगे। निकट भविष्य में बढ़ते हुए पर्यावरण प्रदूषण से कुछ राहत मिलने की सम्भावना नजर आने लगी है। आज से कुछ समय पश्चात् शायद कीटनाशियों के प्रयोग की आवश्यकता से ही छूट मिल सकती है। जेनेटिक-इंजीनियरिंग के क्षेत्र में हुई खोजों के अनुसार अब फसलों में ऐसे जीवों का प्रवेश कर दिया जाएगा जो ऐसे रसायनिक पदार्थों के निर्माण को निर्देशित करेंगे जिनसे हानिकारक कीट दूर भागते हों। तब कीटनाशकों के प्रयोग और उनसे होने वाले प्रदूषण का प्रश्न ही नहीं होगा। औद्योगिक विकास की प्रक्रिया में जीवाश्म ईंधन पर बढ़ती हमारी निर्भरता के कारण वायु में कार्बन-डाई-आक्साइड की मात्रा बढ़ रही है। इससे वायु का तापक्रम बढ़ता है जिसके विश्व-व्यापी परिणाम हैं। इससे बचने के लिए आवश्यक है कि पौधों की ऐसी प्रजातियाँ पैदा करें जिनकी प्रकाश संश्लेषण की क्षमता अधिक हो जिससे वे अधिक कार्बन-डाई-आक्साइड प्रयोग कर सकें और फलतः हवा में इस गैस की सान्द्रता बढ़ने न पाये।

नेत्रजनयुक्त व फास्फेटयुक्त उर्वरक जब खेतों में डाले जाते हैं तब उनकी कुछ मात्रा पानी के साथ बह कर नदी और तालाबों में चली जाती है जिससे वृक्षों की अत्यधिक वृद्धि होती है और उनकी मात्रा बढ़ जाती है। जिससे

अनेक इकालोजिकल समस्याएँ उत्पन्न हो जाती हैं। इसी प्रकार शहर और कारखानों के गन्दे पानी से मुक्ति पाने की समस्या है। इस समस्या का अभी तक कोई प्रभावी हल नहीं निकला है। फिर भी आशा की एक किरण नजर आने लगी है। पौधों की ऐसी प्रजातियाँ पैदा करने की या बनाने की बात चल पड़ी है जो अपनी नाइट्रोजन की आवश्यकता की पूर्ति, प्रकृति में विद्यमान नाइट्रोजन से कर सकेंगी। तब खेत में बाहर से अतिरिक्त नाइट्रोजनयुक्त उर्वरक डालने की आवश्यकता नहीं रहेगी और इस प्रकार शैवाल वृद्धि की समस्या और उससे होने वाले इकालोजिकल असन्तुलन का प्रश्न ही नहीं उठेगा। एक अन्य क्षेत्र जिसमें बहुत तेजी से प्रगति हो रही है, ऐसे बैक्टीरिया बनाने की है जो शहर की गन्दगी, जिसमें मनुष्य का मल-मूत्र शामिल है, से उपयोगी पदार्थ (जैसे मिथेन गैस) बना सकेंगे। इससे जहाँ प्रदूषण से मुक्ति मिलेगी वहाँ साथ ही ऊर्जा की समस्या भी हल हो सकेगी।

—वि० शंकर

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श्री बलभद्र कुमार हूजा, कुलपति, गुरुकुल कांगड़ी विश्वविद्यालय, ने दिल्ली के जूनियर छात्रों के गुरुकुल कांगड़ी हरिद्वार में लगे एन०सी०सी० कैंप का दिनांक ८ जून, ८४ को उद्घाटन किया। इस अवसर पर उन्होंने अपने स्वागत-भाषण में छात्रों को राष्ट्र-प्रेम का मन्त्र बोलते हुए कहा कि यह उनका और हम सबका कर्त्तव्य है कि हम जहाँ भी जायें उस स्थान को जैसा पायें उससे बेहतर छोड़कर लौटें। यह ऋण तो चुकाना ही है। उनके भाषण के कुछ अंश निम्न प्रकार हैं—

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गुरुकुल कांगड़ी विश्वविद्यालय के परिसर में आप सभी का स्वागत करते हुए मुझे अत्यन्त हर्ष हो रहा है। मैं समझता हूँ कि आप लोगों को दिल्ली से यत्रा आकर यहाँ के वृक्ष, वातावरण, गंगा-नदी, शुद्ध वायु (आक्सीजन) आदि के कारण गुरुकुल परिसर अच्छा लगा होगा। दिल्ली में धुआँ, गर्द, शोर में दूषित वातावरण मिलता है। आप यहाँ से स्वास्थ्यवर्धक वस्तुओं एवं वातावरण की मधुर स्मृतियों को लेकर जाओगे। यहाँ फिर अनेक बार जाओगे और यहाँ के स्वच्छ वातावरण का लाभ उठाओगे।

मैं चाहूँगा कि आप अपने शहर और मौहल्ले को दूषित वातावरण से बचाओ। आज जगह-जगह सुन्दरता और शुद्धता का ह्रास होता जा रहा है। पर्यावरण दूषित होता जा रहा है। ग्रामीणों का महानगरो की ओर प्रस्थान हो रहा है। उसे भी रोकना होगा। इसके लिए महानगरो का वातावरण ग्रामों में तथा गाँवों का वातावरण महानगरो में ले जाना होगा। महानगरों में ग्रामीण वातावरण से तात्पर्य है महानगरो में वृक्षों का अधिक से अधिक लगाना।

गाँवों में तथा नगरों में लोग जानबूझकर वातावरण दूषित करते हैं। तम्बाकू आदि पीकर स्वतः नुकसान उठाते हैं। न जाने उन्हें इससे क्या आनन्द की अनुभूति होती है? न जाने उन्हें क्या फायदा होता है? क्यों होता है यह सब कुछ?

गुरुकुल की पुष्प-भूमि में आपका सम्मिलित होना तभी सार्थक होगा जब आप यह सब त्याज्य कार्य नहीं करेंगे और दूसरे व्यक्तियों को भी इनसे दूर रहने के लिए प्रेरित करेंगे। स्वामी दयानन्द ने खाने-पीने के विषय में एक सर्वोत्तम

सूख दिया था— “जिस वस्तु से दुर्गन्ध आती है, अथवा हानि होती है वह सेवन करने योग्य नहीं। यह श्रुति है इसमें कुछ भी अनृत नहीं। क्या कोई व्यक्ति दुर्गन्ध-युक्त अथवा हानिकारक वस्तु खाना पसन्द करेगा ? क्या कोई गन्दी जगह बैठना पसन्द करेगा ? वैसे कोई भी व्यक्ति अपनी इच्छा से कुछ भी गलत-सलत खा सकता है, प्रयोग कर सकता है। लेकिन ईश्वर ने हमें विवेक दिया है। अतः यह हमारा स्वयं का निश्चय है कि हम क्या खाएं, क्या पीयें, कैसे स्थान का सेवन करें। हमें गलत प्रभावों से बचकर चलना होगा।

इसीलिये तो वेद-मन्त्रों में सर्वश्रेष्ठ मन्त्र गायत्री मन्त्र है। यह मन्त्र सभी को पढ़ना-समझना चाहिए। इस मन्त्र का सार-संक्षेप यह है—‘हे सविता ! हम तुम्हारी तरह दुःखनाशक, सुखस्वरूप हो। हमारी बुद्धिया अन्धे कार्य की ओर प्रेरित हो।’ यह मन्त्र मानव जाति की अमूल्य निधि है।

क्या आप जानते हैं कि स्वामी विवेकानन्द कौन थे ? उनका पूर्व नाम क्या था ? उन्होंने विवेकानन्द नाम क्यों ग्रहण किया ? अपने पूर्व नाम नरेन्द्र को छोड़ विवेकानन्द नाम ग्रहण करने का मुख्य कारण था—विवेक से कार्य करने की उनकी प्रबल इच्छा। दया-भाव से युक्त होकर उसी में आनन्दानुभूति करने की भावना से ही स्वामी दयानन्द ने अपना नाम दयानन्द रखा। आपको भी अपने सुन्दर नामों से प्रेरणा लेनी चाहिए। निश्चय ही आप सबके नाम अति सुन्दर और प्रेरणादायक हैं।

आपने राकेश शर्मा का नाम सुना होगा। अभी कु० बचेन्दी पॉल ने एवरेस्ट पर पहुँच कर पहली भारतीय महिला होने का सौभाग्य प्राप्त किया है। इसी प्रकार से तेनसिंह, हिलैरी आदि हैं, जिनसे आप सबको प्रेरणा लेनी है।

मैंने जब अपना सेवाश्रम आरम्भ किया तो मेरे पूज्य गुरु ने मुझे एक गुरु दिया कि जहाँ जाओ उस स्थान अथवा पद को छोड़ते समय देखो कि उसे पहले से अच्छा छोड़ा या नहीं। तुम्हारे आने के समय वह कंसा था और जाने के समय पहले से अच्छा है या नहीं। जिस स्थान पर रहते हो क्या जाते समय अपने सीने पर हाथ रखकर कह सकते हो कि मैं इसे बेहतर छोड़कर जा रहा हूँ ? यदि अच्छा छोड़कर जाओगे तो हंसते हुए जाओगे और यदि गन्दा छोड़कर जाओगे तो तुम ही को दुःख होगा। कल जब ससार छोड़कर जाना होगा तो हृदय से स्वतः पूछना कि इसे पहले से अच्छा छोड़ा या बुरा और उसी के अनुसार तुम्हारी प्रशंसा अथवा बुराई होगी।

मेरा जन्म १९१५ में हुआ। तब भारत गुलाम था। होटलों और क्लबों के द्वारों पर लिखा होता था 'हिन्दुस्तानियों और कुत्तों के लिए प्रवेश नहीं'। भारतीयों की दुर्गति थी। उस समय श्री करतार सिंह हुए जो कि देश के लिए १९१५ में शहीद हुए। और भी अनेक व्यक्ति उनसे पहले शहीद हुए। उस समय भी तुम्हारे जैसे नौजवान थे जिनका खून तुम्हारी तरह गर्म था। उन्होंने प्रण किया कि भारत को आजाद कराना है। अपनी मा की बेडिया काटनी हैं। तब बलोचिस्तान से ब्रह्म देश तक, केरल से कश्मीर तक इतना विशाल हमारी मा का प्राण था। लेकिन उस समय कुछ ऐसे देशद्रोही नेता पैदा हुए जिन्होंने मा का अंग-भग कराया। उसी तरह के व्यक्ति आज भी देश में पनप रहे हैं। हमें उनसे सतर्क रहना है। ५० जवाहरलाल नेहरू ने कहा था, 'यदि भारत मा जिन्दा नहीं रही, तो कौन जिन्दा रहेगा?' ऐसे किसी भी व्यक्ति के लिए हिन्दुस्तान में जगह नहीं हो सकती जो भारत मा को प्यार न करता हो।

आप 'वन्दे मातरम्' का गीत सोखें। जैसा सुन्दर वर्णन है मा भारती का इस गीत में। "वन्दे मातरम्, सुजलाम्, सुफलाम्, मलयज शीतलाम्, शस्य क्यामलाम् मातरम्"। इसी तरह से 'सारे जहाँ से अच्छा हिन्दोस्ता हमारा' यह गीत भी सभी को सीखना चाहिए। दूसरे देशों में भी अपनी मातृभूमि की पूजा की जाती है। आप सब को यहाँ से यह संकल्प लेकर जाना है कि इस देश की रक्षा हमारा परम कर्त्तव्य है।

मैं चाहूँगा कि आप ऐसे चित्र तैयार करें जिनमें चित्रित हो कि गंगा कहाँ से निकलती है? कहा जाती है? इससे क्या हानियाँ होती हैं? क्या लाभ होते हैं? यदि गंगा संयम से चलेगी तो सबका भला होगा। यदि असंयमित हो गई तो तूफान आयेगे, भू-स्खलन होगा। गंगा के संयम, असंयम का वृक्षों से सीधा सम्बन्ध है। पेड़ काटकर गंगा नदी के तट को कमजोर किया जाता है। अतः गंगा को संयमित करने के लिए वृक्षारोपण अत्यावश्यक है।

इस देश के लिए गरीबी भी एक अभिशाप है। आपने दिल्ली में फुटपाथ, बस स्टैंड्स पर छोटे-छोटे बच्चों को अखबार बेचते हुए, भीख मांगते हुए देखा होगा। क्या इस गरीबी को समाप्त कराना हमारा कर्त्तव्य नहीं है? क्या इसका कोई जवाब हमारे पास है? मैं पिछले ५० साल से इस प्रश्न का उत्तर ढूँढ़ रहा हूँ लेकिन मैं अभी तक इसका कोई जवाब नहीं पा सका। विषमता, असमानता निरन्तर आ रही है। हम सबको मिलकर इसका निराकरण करना है। यह मेरी पीढ़ी का फर्ज है कि हम ही कोई उदाहरण प्रस्तुत करें लेकिन आपका सहयोग भी इसके लिए आवश्यक है। यदि यह प्रश्न हल हो जाता है तो हमारा देश सभी विकासशील देशों के लिए एक उदाहरण प्रस्तुत कर सकेगा।



४०० वर्ष पहले सारे हिन्दुस्तान की आबादी कुल ८ करोड थी। आज ७० करोड जनसंख्या हो गई है। भूमि उतनी ही है और पेड काट दिए गये हैं। पेड लगाना जीवन के लिए भी आवश्यक है। इससे ही हमे आक्सीजन मिलती है। ये कार्बन-डाइ-आक्साइड ग्रहण करते हैं।

भारत में एक और कमी है—शौचालयों की अव्यवस्था। गावों में तो यह बिल्कुल नदारद है। जहा देखो वहा शौच ही शौच। मेरे एक विदेशी मित्र जब भारत आए तो मुझे उन्हे घुमाने में बहुत ही शर्म महसूस हुई। पहले हमारे पास बहने वाले नहर का किनारा बहुत रमणीक था लेकिन अब यह भी इसी कारण दूषित हो गया है। हमे इस समस्या का भी हल ढूढना होगा।

हमारे एक और समस्या है—निरक्षरता। भारत की ५० प्रतिशत जनता अभी भी निरक्षर है। क्या यह लज्जा की बात नहीं है? इससे भी दुःख की बात तो यह है कि भारत के विश्वविद्यालयों के कंपस में रहने वाले अनेक व्यक्ति भी निरक्षर होते हैं। चाहिए तो यह कि विश्वविद्यालयों के करीब कम-से-कम एक मील के दायरे में तो कोई भी व्यक्ति निरक्षर न हो। लेकिन ऐसा है नहीं। हमे चाहिए कि हम इस समस्या को निरस्त करे ताकि प्रगति निष्कटक हो।

फिर हमे पाखण्ड खण्डन भी करना है। स्वामी दयानन्द ने सन् १८६७ में हरिद्वार में 'पाखण्ड खण्डनी पताका' फहराई थी। देश उस समय भी पाखण्डियों का अखाड़ा था, आज भी है। शीतला की बीमारी क्यों होती है? चेचक कैसे होती है? यह सब जानना—परखना होगा ताकि हमारे लोग पाखण्डियों के जाल में फसने से बचे। आश्चर्य है कि विज्ञान में इतनी प्रगति होने के बावजूद भारत का मनुष्य आज भी पाखण्डियों के चक्रवात में फसा हुआ है।

आप लोगों को ऐसे कार्यक्रम बनाने चाहिये जिससे कि टी०वी०, रेडियो वाले आपके कार्यक्रमों को प्रसारित करने की स्वतः इच्छा प्रकट करे। उनसे हमारा सम्पर्क है। वह चाहते हैं कि हम उनके लिए कोमल सामग्री पैदा करे।

वैदिक सन्ध्या का प्रथम मन्त्र है—“शन्नो देविरभिस्रव्य आपो भवन्तु पीतये शन्योरभिस्रवन्तु नः”—हमारे लिए सभी देव कल्याणकारी हो एवं प्रीतिकारक हो। हम पर सुख की वर्षा करें।

आपने यहाँ आकर ठहरने का कार्यक्रम बनाया, इसके लिए मैं आपका धन्यवाद करता हूँ। आशा करता हूँ कि आपका यह कार्यक्रम सफल और अनुकरणीय होगा। □

# एक भारतीय की गणित को देन

—सुरेश चन्द्र त्यागी

प्रिंसिपल, विज्ञान महाविद्यालय

ईश्वर, प्रकृति और जीव का ज्ञान ही वेदों का ज्ञान है। सृष्टि के प्रारम्भ में मनुष्यों को भलाई तथा ज्ञान के लिए परमपिता परमात्मा ने अग्नि, वायु, आदित्य तथा अंगिरा ऋषियों द्वारा ऋग्वेद, यजुर्वेद, सामवेद और अथर्ववेद का ज्ञान प्रकाशित कराया। भारतवर्ष के प्राचीन ऋषियों ने आध्यात्मिक शक्तियों द्वारा ईश्वर, प्रकृति और आत्मा का अध्ययन किया। उनकी खोज की। अपने सूक्ष्म शरीर द्वारा लोक-लोकान्तरो का पता लगाया। मृत्यु के बाद पुनर्जन्म का सिद्धान्त प्रतिपादित किया। इतना ही नहीं ईश्वर और जीव के सम्बन्ध और रूप की खोज की। परमात्मा अनन्त है, उसकी सृष्टि भी अनन्त है। इस सृष्टि का पार न तो वैज्ञानिक ही पा सके और न ऋषि या योगीजन ही। परमेश्वर ने यह सृष्टि और कालचक्र मनुष्य के उपकार के लिए बनाये है। वैज्ञानिक पुरुष ईश्वर की अपार महिमा में अपना पराक्रम करके नये-नये आविष्कार करता है। योगी योग के द्वारा और वैज्ञानिक खोज के द्वारा आत्मा, परमात्मा और प्रकृति का युगो से अन्वेषण करते आ रहे हैं। दोनों के उद्देश्य एक ही हैं, परन्तु मार्ग अलग-अलग हैं। एक सृष्टि का काल चार अरब बत्तीस करोड़ वर्ष का निश्चित है। आत्मा की सूक्ष्मता यह है कि एक केश (बाल) लेकर उसके सिरे की गोलाई के ६० भाग किये, फिर एक भाग के ८६ भाग किये, फिर ८६ वें भाग के ६० भाग किये तो उसमें से एक भाग के बराबर आत्मा का परिमाण है।

अर्थात्  $६० \times ८६ \times ६० \times ८६ = ३, ५२, ८३, ६००$ । इस प्रकार प्राचीन मुनियों ने आत्मा का साईज जानने का प्रयत्न किया। यह तो रही ऋषि-मुनियों की योग विद्या द्वारा अन्वेषण करने की बात। आइये अब हम प्राचीन समय के एक गणितज्ञ और वैज्ञानिक विद्वान भास्कराचार्य के विषय में भी चर्चा करें। हमारे देश में भास्कर, आर्यभट्ट, ब्रह्मगुप्त, वराहमिहिर रामानुजन आदि कई प्रसिद्ध गणितज्ञ हुए हैं जिन्होंने हजारों वर्षों पूर्व गणित के कई उपयोगी सिद्धान्तों का प्रतिपादन किया और जिनके आधार पर भारतीय ज्योतिष विद्या तथा

विज्ञान ने प्रसिद्धि प्राप्त की। इनके द्वारा भारतवर्ष को सारे संसार में गौरव और प्रसिद्धि प्राप्त हुई। जिस प्रकार सर आईज़क न्यूटन ने इंग्लैंड का नाम रोशन किया उसी प्रकार भास्कर ने भारत का गौरव बढ़ाया। भास्कराचार्य को सम्मान देने हेतु भारत सरकार ने उनके नाम पर भास्कर उपग्रह आकाश में छोड़े जिनसे उपयोगी सूचना मानव को प्राप्त हुई।

भास्कर अपनी योग्यता के कारण भास्कराचार्य नाम से प्रसिद्ध हुए। इनका जन्म दक्षिणी भारत के बीदर प्रदेश में सन् १११४ में हुआ और मृत्यु ११८५ में हुई। यह विद्वान उज्जैन की वेदशाला के डायरेक्टर थे। भास्कर का प्रसिद्ध ग्रन्थ लीलावती है जिसमें उसने अंकगणिता, बीजगणित और ज्यामिति के सिद्धान्तों को प्रतिपादित किया है। भास्कर अपने पूर्वजों द्वारा किये गये अन्वेषणों से परिचित था और उसने अपने ग्रन्थों में उनका आभार माना है। लीलावती का सबसे पहला अंग्रेजी अनुवाद सन् १८१६ में टेलर ने किया था। सन् १५८७ में फंजी ने उसका पहला अनुवाद फारसी में किया था। फंजी नामक विद्वान मुगल सम्राट अकबर के मन्त्री अबुल फजल का भाई था। यह अनुवाद सन् १८२७ में कलकत्ते में छपा था। उस समय में लीलावती उच्चकोटि का ग्रन्थ माना जाता था, जिसकी प्रसिद्धि यूरोप तक फैल गई।

फंजी ने लिखा है कि लीलावती भास्कर की लड़की का नाम था। ज्योतिषियों ने भविष्यवाणी की थी कि उसका वैवाहिक जीवन सुखी नहीं रहेगा। अतः उसका विवाह ही नहीं करना चाहिए, परन्तु भास्कर ने उसके विवाह के लिए शुभ मुहूर्त निकाल लिया। भास्कर ने एक कटोरी बनाई जिसके पेंदे में एक छेद कर दिया। छेद इतना छोटा था कि कटोरी को पानी में रखने से कटोरी ठीक एक घंटे में डूब जाती। शुभ मुहूर्त से ठीक एक घंटे पहले भास्कर ने कटोरी को पानी के एक बर्तन में डाल दिया। उसने सोचा था कि ज्यों ही कटोरी पानी में डूबेगी ठीक उसी समय वह लीलावती का विवाह कर देगा। किन्तु विधि का विधान अटल है। शुभ मुहूर्त से कुछ देर पहले लीलावती कौतुहलवश कटोरी के जल का निरीक्षण करने लगी। इसी बीच उसकी माला का एक मोती टूटकर कटोरी में जा पड़ा और उससे कटोरी का छिद्र ढक गया। इससे शुभ मुहूर्त बीत गया और लीलावती अविवाहित ही रह गई। पिता ने पुत्री से कहा कि “मैं तुझे वैवाहिक जीवन का सुख तो न दे सका, परन्तु अब मैं तेरे नाम पर एक ऐसी पुस्तक लिखूंगा कि उस पुस्तक से तेरा नाम अमर हो जायेगा”। इस प्रकार उस पुस्तक का नाम लीलावती पड़ा, जो आज तक अमर है।

लीलावती में निम्नलिखित विषयों का समावेश है, जैसे पूर्णांक और

भिन्न, त्रैराशिक, व्याज, व्यापार, गणित मिश्रण, श्रेढियाँ, क्रम-संचय भाषिकी और-बोड़ा सा बीजगणित ।

भास्कर ने दो अन्य पुस्तके भी लिखी हैं । वे हैं—१ बीजगणित, २. सिद्धान्त शिरोमणि, जिनके विषय ज्योतिष और गणित हैं ।

भास्कर के बीजगणित में निम्नलिखित प्रकरणों का वर्णन है । जैसे—कर-णियाँ, शून्य गणित, सरल समीकरण, वर्ग समीकरण तथा कुट्टक ।

अनिर्णिता समीकरणों का अध्ययन आर्यभट्ट से प्रारम्भ हो गया था और उसके पश्चात् के सभी भारतीय गणितज्ञों ने उक्त विषय का विवेचन किया था, किन्तु भास्कर ने इस प्रकरण को पराकाष्ठा पर पहुँचा दिया । भास्कर की विधिवादी बहुत ही स्पष्ट है । यह बात निर्विवाद रूप से कही जा सकती है कि अनिर्णिता समीकरणों का हल समस्त संसार में सबसे पहले निकालने वाले हिन्दू ही थे ।

भास्कर ने ज्यामिति में भी महत्वपूर्ण कार्य किया है । इन्होंने सीलावती के क्षेत्र व्यवहार नामक अध्याय में निम्नलिखित प्रकरणों का वर्णन किया है—

१. समकोण त्रिभुजों का प्रश्न
२. त्रिभुजों और चतुर्भुजों के क्षेत्रफल
३. वृत्तों के क्षेत्रफल और  $\pi$  का ज्ञान
४. गोलों के तल और आयतन

मेरा इस लेख को लिखने का उद्देश्य यही है कि हम जाने कि हमारे पूर्वज कैसे थे और उनसे प्रेरणा प्राप्त करके एक बार फिर हम भी जाग जायें और विज्ञान और गणित आदि में संसार का मार्गदर्शन करें । एक बार फिर दुनियां कह उठे कि—

एतद्देश प्रसूतस्य सकाशादप्रजन्मनः

स्व-स्व चरित्रं शिक्षेरन् पृथिव्याम् सर्वे मानवाः ।

# पर्यावरणीय प्रदूषण

— हरिशचन्द्र शोवर

अध्यक्ष, भौतिक विज्ञान विभाग

वर्तमान युग की यह समस्या जो मानव जाति को ही नहीं अपितु समस्त जीवधारियों का अस्तित्व समाप्त करने के लिए अपना भयानक रूप धारण कर हम सभी की ओर तीव्र गति से बढ़ती आ रही है— प्रदूषण की समस्या है। जनसंख्या में तीव्र गति से वृद्धि, औद्योगीकरण, पेट्रोल व डीजल से चलने वाली गाड़ियों की संख्या में वृद्धि, वृक्षों की कटाई, सीवेज अप्रवाह की उचित व्यवस्था एवं उपचार न होना, रासायनिक उर्वरकों का अत्यधिक प्रयोग आदि अनेकों ऐसे कारण हैं जो पर्यावरण को प्रत्यक्ष रूप से प्रदूषित कर रहे हैं। पर्यावरण से हमारा तात्पर्य हमारे चारों ओर पाई जाने वाली समस्त वस्तुओं जैसे हवा, जल, पृथ्वी, समुद्र, झील, नदियाँ, तालाबों आदि से है।

प्रदूषण की विस्तृत जानकारी हेतु हम निम्न विषयों पर अध्ययन करेंगे।  
१-वायु प्रदूषण    २-जल प्रदूषण    ३-भूमि प्रदूषण    ४-ध्वनि प्रदूषण।

## १-वायु प्रदूषण

वायु ७५ प्रतिशत नाइट्रोजन, २१ प्रतिशत ऑक्सीजन तथा १ प्रतिशत कार्बन-डाइ-ऑक्साइड, कार्बन-मोनो-ऑक्साइड तथा सल्फर-डाइ-ऑक्साइड का मिश्रण होना चाहिये। यह बात भी सर्वविदित है कि जीवधारियों को जीवित रहने के लिए ऑक्सीजन की आवश्यकता होती है तथा जीव के प्रश्वास से निकली वायु कार्बन-डाइ-ऑक्साइड होती है। अतः जनसंख्या बढ़ने से पर्यावरण में कार्बन-डाइ-ऑक्साइड की अधिकता, वृक्षों के कटने से, कार्बन-डाइ-ऑक्साइड के शोषण में कमी, इसी प्रकार कारखानों से निकलने वाली बैसों में कार्बन-डाइ-ऑक्साइड, कार्बन-मोनो-ऑक्साइड तथा सल्फर-डाइ-ऑक्साइड आदि का मिश्रण होता है जिससे कि वायु का संवटन असंतुलित हो जाता है। इसी को वायु प्रदूषण कहते हैं। अतः हम कह सकते हैं कि वायु प्रदूषण के निम्न मुख्य कारण हैं।

१-जनसंख्या में वृद्धि।

२-वृक्षों का अत्यधिक कटान।

- ३—नवीन वृक्षों का न उगाया जाना ।
- ४—कोयले, डीजल को ईंधन के रूप में प्रयोग में लाने से निकलने वाली गैसों ।
- ५—औद्योगीकरण ।
- ६—परमाणवीय हथियारों का युद्ध में प्रयोग ।

#### वायु प्रदूषण के प्रभाव

वायु प्रदूषण का स्वास्थ्य पर अत्यधिक कुप्रभाव पड़ता है । वायु प्रदूषण के कारण निम्न भयंकर रोग उत्पन्न होते हैं—

- १—हृदयाघात ।
- २—रक्ताल्पता ।
- ३—नेत्र व श्वास रोग ।
- ४—फेफड़ों की आक्सीजन धारण क्षमता में कमी अर्थात् फेफड़ों की बीमारी ।
- ५—फेफड़ों में सूजन आना ।
- ६—त्वचा की बीमारी ।
- ७—कैंसर ।

#### वायु प्रदूषण पर नियन्त्रण तथा रोकथाम के उपाय

- १—उद्योगों से धुँआ निकालने वाली चिमनियों को और अधिक ऊँचा बनाकर वायु प्रदूषण की सान्द्रता को पृथ्वी तल पर कम किया जा सकता है ।
- २—कोयला, डीजल आदि का प्रयोग ईंधन के रूप में न किया जाये ।
- ३—बृहद्-उद्योगों से निकलने वाली गैसों को वायुमण्डल में मिलने से पहले शुद्ध किया जाए ।
- ४—रेलवे, मोटर-माड़ी तथा बड़े उद्योगों में कोयले या डीजल के स्थान पर बिजली का प्रयोग किया जाए ।
- ५—अधिक वृक्ष लगाये जाये तथा वनों के कटान पर प्रतिबन्ध लगाया जाए ।
- ६—जनसंख्या वृद्धि पर नियन्त्रण किया जाए ।

#### २—जल प्रदूषण

जल प्रदूषण को समाप्त तो नहीं किया जा सकता, लेकिन इसको कम अवश्य किया जा सकता है । जल प्रदूषण को कम करने से पहले हमें यह जानना भी नितान्त आवश्यक है कि इसके मुख्य कारण क्या हैं ? इसके मुख्य कारण निम्न प्रकार हैं—

- १—पहाड़ों पर भूमि के कटान के कारण ।
- २—औद्योगिक उत्प्रवाहों का समीप के सरिता-सरोवर में गिरना ।

३—कृषि में प्रयोग किये गये रसायन का पानी में मिलकर जल स्रोतों तक पहुँचना ।

४—प्रमुख नगरों में मल निकास प्रणाली ।

उत्पन्न रोग— हैजा, पेचिश, पोलियो आदि ।

**जल प्रदूषण पर नियन्त्रण एवं रोकथाम के उपाय**

सीवेज तथा औद्योगिक उत्प्रवाहों को नदियों में डालने में पहले उनका शुद्धिकरण होना चाहिये । उत्प्रवाहों की शुद्धि करने के लिए हम निम्न विधियों पर प्रकाश डालेंगे ।

**उत्प्रवाहों को छुँद-छुँद करके छानने की विधि**

इस विधि में उत्प्रवाहों को पत्थरों की धनी शैव्या पर से गुजारते हैं इस प्रकार जीवाणुओं द्वारा कार्बनिक जल का अधिक मात्रा में शोषण हो जाता है तथा पत्थरों से होकर निकलने वाला जल कम प्रदूषित होता है ।

**मग्न**

१—प्रमुख नगरों में मल निकास प्रणाली की उचित व्यवस्था की जाए ।

२—नदियों के जल की गुणता का परीक्षण किया जाए ।

### ३—भूमि प्रदूषण

वह पदार्थ जो भूमि की उत्पादन क्षमता को कम करता है; भूमि प्रदूषक पदार्थ कहलाता है ।

**भूमि प्रदूषण के मुख्य कारण**

१—पेस्टीसाइड एवं बायोसाइड ।

२—कृत्रिम खाद ।

**मुख्य प्रभाव**

भूमि प्रदूषक पदार्थ भूमि के आवश्यक जीवाणुओं को मार देते हैं जिससे भूमि पोषों की वृद्धि के लिए विषैली हो जाती है । भूमि कटाव भूमि की उत्पादकता को हानि पहुँचाता है ।

**भूमि प्रदूषण को समाप्त करना**

प्लास्टिक तथा रबर के उत्पादकों को निश्चित स्थान पर ही फेंकना चाहिये क्योंकि ये भूमि को प्रदूषित करते हैं तथा प्रकृति में संतुलन को बिगाड़ते हैं ।

### ४-ध्वनि प्रदूषण

मोटर गाड़ी, वायुयान, रेडियो, ट्राजिस्टर, टेलीविजन तथा कारखानों से उत्पन्न अनावश्यक शोर ही ध्वनि प्रदूषण का कारण है।

ध्वनि प्रदूषण के प्रभाव

- १-तनो में तनाव बढ़ना।
- २-रक्तचाप।
- ३-हृदय रोग।

ध्वनि प्रदूषण पर नियंत्रण

- १-मशीनों के आधुनीकरण द्वारा।
- २-ध्वनि अवरोधक बनाकर।
- ३-ध्वनि निरोधक यन्त्रों का उपयोग कर।

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भारत का कुल धन-क्षेत्र ३२८ मिलीयन हेक्टेयर है। इसका प्रायः आधा क्षेत्र अर्थात् १५० मिलीयन हेक्टेयर, जल एवं वायु अपरदन लवणता, क्षारता, जल प्लावन आदि के कारण अपकर्ष की विभिन्न स्थितियों में है।

अतः कोई आश्चर्य नहीं कि देश की आधी से अधिक जनसंख्या गरीबी की रेखा से नीचे है।



# नमक से लाभ एवं क्षति

—रामकुमार पालीवाल

एवम्

—रजनीश दत्त कौशिक

रसायन विभाग

मानव शरीर में ५० से अधिक पाये जाने वाले तत्वों में सोडियम तत्व केवल नमक के रूप में लिया जाता है। शरीर में उपस्थित धनायनों का ८३ प्रतिशत भाग सोडियम आयन होते हैं।

सोडियम कोशिकाओं के बाहर के शारीरिक द्रवों में पाया जाता है। कोशिकाओं के भीतर इसकी मात्रा बहुत कम पाई जाती है। साथ ही क्लोराइड आयन रक्त-प्लाज्मा तथा बाह्य-कोशिका द्रवों में पाया जाता है। सोडियम एवम् क्लोराइड आयन, नमक (अथवा सामान्य लवण या सोडियम क्लोराइड) के रूप में शरीर दिन प्रतिदिन भोजन के साथ ग्रहण करता है। अधिकतर खाद्य पदार्थ कार्बनिक रूप में शरीर द्वारा ग्रहण किये जाते हैं। परन्तु नमक एकमात्र ऐसा अकार्बनिक यौगिक है जिसे शरीर बिना किसी बदलाव के ग्रहण करता है।

सामान्य लवण, जो गरीब तथा अमीर दोनों वर्गों द्वारा दिन-प्रतिदिन के भोजन में प्रयोग किया जाता है, शरीर के लिए लाभदायक होने के साथ-साथ कुछ अवस्थाओं में नुकसान भी पहुँचा सकता है। नमक को स्टिमलेन्ट के रूप में प्रतिष्ठा प्राप्त है। प्राचीन काल में अधिकतर ऐसे स्थानों पर बस्तियाँ बसती थी जहाँ सोडियम क्लोराइड के स्रोत, यथा समुद्र, सूखे हुए समुद्र एवं झीलें पास होती थी।

सोडियम क्लोराइड में उपस्थित क्लोराइड आयन (ऋणायन) के कार्यों के बारे में बहुत कम बातें पता हैं। उदाहरण के लिए धनायनों को शरीर में सन्तुलित करता है, परन्तु इसका शरीर में विशिष्ट कार्य अभी तक खोजा नहीं जा सका है। सोडियम क्लोराइड में उपस्थित सोडियम आयन के कारण ही यह विशेष उपयोगिता रखता है। साथ ही शरीर को क्षति भी पहुँचा सकता है।

नमक भोजन को स्वादिष्ट बनाने तथा इसको पकाने में सहायक सिद्ध होने के साथ-साथ शरीर में निम्न विभिन्न कार्य करता है।

**साम्र—**

सोडियम क्लोराइड में उपस्थित सोडियम शरीर में अम्ल-क्षार साम्या-वस्था, कोशिका - पारगम्यता, सामान्य नर्व इरिटेबिलिटी, जल सस्तुलन, परासरण दाब आदि को बनाये रखने में सहायक है।

अत्यधिक पसीना, उल्टिया, पेचिश, दस्त, अग्नि से जलना आदि के कारण शरीर में नमक की कमी हो जाती है। जिसके कारण सरदर्द, सुस्ती, मांस-पेशियों की कमजोरी, मानसिक व्याधियाँ, नासिया, ऐनोरोक्सिया (भूख न लगना, या कम लगना) जैसी बीमारियाँ जन्म लेती हैं।

शरीर में कोशिकाओं के भीतर पोटेशियम धनायन तथा बाहर सोडियम धनायन काफी मात्रा में होते हैं। साथ ही कोशिकाओं में सोडियम आयन तथा इनके बाहर के द्रव में पोटेशियम आयन कम मात्रा में होते हैं। मांसपेशियों व नर्व्स की कार्यप्रणाली इसी तथ्य पर आधारित है। नर्व-इम्पल्स होने की स्थिति में पोटेशियम आयन कोशिका के बाहर तथा सोडियम आयन कोशिका के भीतर आने लगते हैं। इसके विपरीत इम्पल्स की स्थिति में सोडियम आयन कोशिका से बाहर तथा पोटेशियम आयन भीतर ले लिए जाते हैं। यह सब कार्य एक सेकेन्ड के हजारवें भाग समय में हो जाता है। इस कार्य में सोडियम आयनों का योगदान, शरीर में नमक के एक अन्य लाभकारी कार्य को स्वतः स्पष्ट कर देता है।

**क्षति—**

कम मात्रा में नमक लेने पर यह शरीर से पसीने व मूत्र के साथ निकल जाता है। इस प्रकार पसीना तथा मूत्र नमक की मात्रा को शरीर में नियन्त्रित रखते हैं। परन्तु अधिक मात्रा में नमक का प्रयोग शरीर क ऊतकों तथा रक्त में इसके रुकाव का कारण बनता है। इसमें हाइपरक्लोरोमिया नामक बीमारी हो जाती है। यदि अधिक पसीने के कारण शरीर में नमक की मात्रा में कमी हो जाए तो इपोक्लोरोमिया (डिप्रेशन) हो सकता है। इसी कारण नमक की मात्रा शरीर में कम व अधिक के बीच नियन्त्रित रहनी आवश्यक है।

सोडियम आयन आधिक्य के कारण शरीर की जल को रोकने की क्षमता बढ़ती है जिससे एडीमा बीमारी हो सकती है तथा मरीज को नमक कष्ट खाने को कहा जाता है।

अधिक समय तक अधिक मात्रा में सामान्य लवण का सेवन हाइपरटेन्शन का कारण बनता है। सोडियम क्लोराइड कोशिका क्रिया तथा आक्सीजन ग्रहण करने की क्षमता पर भी प्रभाव डालता है। एक स्वस्थ व्यक्ति को १४ दिन तक १५ ग्राम नमक प्रतिदिन देने पर रक्तचाप में वृद्धि, मेटाबोलिक दर में वृद्धि तथा कोशिकाभित्ति में सूजन तथा क्षति देखी जा सकती है। परन्तु २ ग्राम नमक देने पर ऐसा कुछ नहीं होता। अधिक नमक लेने से साल्ट-पायर्जनिंग होने का खतरा नकारा नहीं जा सकता। इसका पता म्यूकस, जठर रस, मूत्र, अश्रु, ज्वा-इन्ट फ्लूइड्स, स्याइरल द्रव तथा रक्त के रासायनिक विश्लेषण से ही लगाया जा सकता है।

सामान्य लवण में सेरोब्रोस्पाइनल द्रव में विसरित होकर, मस्तिष्क की कोशिकाओं में जलन उत्पन्न करता है जिसके फलस्वरूप उल्टे जना, चिड़चिड़ा-पन तथा पागलपन हो सकता है। यह तभी सम्भव है जबकि नमक को सन्तुलित मात्रा में न लिया जाय।

नमक के अनिष्टकारक उपायों को दूर करने का एकमात्र उपाय है इसको आहार से अलग किया जाय। इसके स्थान पर कार्बनिक रूप में फलों व पत्तियों में उपस्थित नमक का प्रयोग किया जा सकता है। साथ ही मोनोसोडियम अथवा मोनो पोटाशियम ग्लूटेमेट का प्रयोग भी सोडियम क्लोराइड के स्थान पर किया जा सकता है परन्तु यह पदार्थ भी शरीर पर अनिष्टकारक प्रभाव दिखाते हैं।

अतः सामान्य लवण को सन्तुलित मात्रा में लिया जाना ही श्रेयस्कर है। जहाँ एक तरफ यह हमारे भोजन का आवश्यक भाग है वहीं दूसरी ओर यह शरीर के प्रमुख अंगों व प्रणालियों की स्पाई क्षति का कारण भी बन सकता है।

# जिम कौरबेट : एक साधु शिकारी

—बी० बी० जोशी

अध्यक्ष, पशु विज्ञान विभाग

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प्रकृति कभी-कभी अत्यन्त विशिष्ट गुणों से सम्पन्न व्यक्तियों को भौगोलिक दृष्टि से बहुत दूर अपनी जाति एवं मातृभूमि से इतना दूर लाकर जोड़ देती है कि व्यक्ति अपने जन्म, वंश, धर्म एवं राष्ट्रीयता के छोटे-छोटे आयामों से बहुत ऊपर उठकर प्रायः सम्पूर्ण मानवता की वैशिष्ट्यता की सुगन्ध सी बनकर पूरी दुनियाँ के हो जाते हैं। जिम कौरबेट (मूलतः एडवर्ड जेम्स कौरबेट) एक ऐसे हो व्यक्ति थे। लाखों अंग्रेज व्यक्तियों ने प्रायः २५० साल तक भारत में शासक वर्ग का प्रतिनिधित्व किया और उनमें से आज कुछ लोग ही यहाँ अमर हो गये। जिम भी ऐसा ही एक व्यक्तित्व थे।

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कर्नल एडवर्ड जेम्स कौरबेट का जन्म २५ जुलाई १८७५ को नैनीताल की सुरम्प घाटी के 'अयार पाटा' नामक स्थान में हुआ। उनके पिता श्री क्रिस्टोफर कौरबेट नैनीताल में ही एक पोस्ट मास्टर थे। जब जेम्स कौरबेट मात्र चार वर्ष के हो थे तो उनके पिता का निधन हो गया था। वह अपनी माता की आठवीं मन्तान थे। (उनकी माता श्रीमती मैरी जेन कौरबेट के पहले पति से संताने थी)। अतः जेम्स का आरम्भिक जीवन निश्चय ही बहुत सुखद नहीं था पर उनके बड़े भाई थोमस कौरबेट ने उनकी शिक्षा और देख-भाल का उत्तर-दायित्व बड़ी निष्ठापूर्वक किया। बचपन से ही जेम्स पर्वतीय पर्यावरण में रम चुके थे। प्रायः साल में ७-८ माह उनका परिवार नैनीताल में रहता और शीत ऋतु में नैनीताल से लगभग १८ कि०मी० दूर 'कालादू गी' नामक स्थान में रहता। अतः जेम्स को शैशव काल से ही प्रकृति की सुन्दर रचना ने अपनी गोद में क्रीड़ा करने का स्वर्णिम अवसर प्रदान किया था और जेम्स ने प्रकृति के अंचल की समस्त जैविकता को भली-भाँति आत्मसात-सा कर लिया था।

दस वर्ष की अल्प आयु में ही उन्होंने अपने स्कूल को फार्ग्यरिंग प्रतियोगिता जीत ली थी। जेम्स की शिक्षा हाईस्कूल तक ही हो पायी और १७ वर्ष की आयु

मे ही उन्होंने वेस्टर्न रेलवे के अन्तर्गत 'मोकामघाट' नामक स्थान पर ईंधन निरीक्षक की नौकरी पा ली और अलकाल में ही उन्हें सहायक स्टेनन मास्टर के पद पर प्रोन्नति दे दी गई थी। १९२० में जेम्स ने रेलवे से त्यागपत्र दे दिया।

### शिकारी जीवन—

जेम्स (जो अब जिम कौरबेट के नाम से जाने जाने लगे थे) के समय में उत्तराखण्ड घने जंगलों से भरा-पूरा था। साथ ही वन में वन्य जीव-जन्तु भी भरे पड़े थे। प्रायः प्रतिवर्ष यज्ञ-तज्ञ कई लोग शेर, बाघ और तेंदुए का शिकार बन जाते। जिन दिनों जिम मोकामघाट में सेवारत थे उन्होंने अपने शिकारी जीवन के सामाजिक मान्यता वाले रूप में प्रवेश किया। हुवा यूँ कि १९१७ में जिम को अपने नैनीताल के एक पूर्व पड़ोसी का पत्र मिला जिसमें उनसे निवेदन था कि वह एक आदमखोर बाघ को मारे जिसने उनके पड़ोसी मित्र की १२ वर्षीय लड़की को मार डाला था। जेम्स तुरन्त गये। किसी आदमखोर बाघ से यह उनकी प्रथम मुठभेड़ थी और इसमें वे सफल रहे। हिमालय की तराई की घाटी उन दिनों ऐसे कई आदमखोर बाघों से क्लान्त थी। उनमें सबसे अधिक भयंकर था 'चम्पापत' का बाघ, जिसने नेपाल की तराई में २०० व्यक्तियों को मार डाला था और सन् १९२५ से यह चम्पापत, जिला पिपौरागढ़ (तब जिला अल्मोड़ा) की घाटियों में उत्पात मचा रहा था और प्रायः अगले ४ वर्षों तक उस बाघ का शिकार गरीब लोग होते रहे। सरकारी-तन्त्र ने सेना तक को कुला डाला पर कुछ न हो सका। तब हारकर फरवरी १९२६ में जिम को यह गहन दायित्व सौंपा गया। जिम ने यह उत्तरदायित्व लेने से पूर्व तीन शर्तें रखी—पहली, उस क्षेत्र से सभी पेशेवर शिकारियों को बाहर कर दिया जाय, दूसरी, घोषित ईनाम निरस्त कर दिया जाय और तीसरी, सेना और गुर्खाओं को उस क्षेत्र से बाहर कर दिया जाय। इससे भी सनसनीखेज तथ्य यह था कि बाघ को ललचाने के लिए जेम्स स्वयं ग्रामीण स्त्री की वेशभूषा पहने जंगलों में घूमते रहे, क्योंकि गरीब ग्राम्य महिलाएँ ही आदमखोर का प्रमुख शिकार थी।

इसी तरह रुद्रप्रयाग के आदमखोर को मारने की घटना भी अत्यन्त रोचक और जिम के परामानवीय साहस का एक महत्वपूर्ण दस्तावेज़ है। ऐसा माना जाता है कि दो विश्वयुद्धों के बीच के लगभग २० वर्ष के अन्तराल में जिम ने लगभग ४००० महिलाओं को आदमखोरों के चंगुल से बचाया।

जिम जंगल के वन्य जन्तुओं के बारे में इतना अधिक और सही-सही जानते थे कि पक्षियों की आवाज और बोलने के अंदाज से ही समझ जाते थे कि पास में अन्य कौन-कौन से जीव हैं, कितनी दूर हैं और किस दिशा में हैं। क्योंकि

पक्षियों की अपनी विशेष आपातकालीन साकेतिक आवाजें होती हैं। इस सबके बावजूद कि जिम कौरबेट स्वयं बहुत धनी व्यक्ति नहीं थे, उन्होंने सदैव आदमखोरों को मारने के ईनाम देने से इंकार किया। सामान्य जनता की सेवा करना वह अपना कर्तव्य मानते थे।

सन् १९४६ के सितम्बर माह की एक सुबह वह बिना किसी भावुकतापूर्ण प्रदर्शन के भारत छोड़कर केन्या चले गये। वहां उन्होंने पुनः गरीब, वस्तु एवं साधनहीन जनता के लिए अनेक कार्य किये और अपने जीवन का अंतिम बाध भी ६० वर्ष से ऊपर की वय में उन्होंने अफ्रीका के जंगलों में ही मारा। १९ अप्रैल १९५५ को केन्या के न्येयरी नामक स्थान पर इस महान शिकारी जिम कौरबेट ने अपनी अंतिम सांस ली। लोगों का कहना है कि उनकी मृत्यु का कारण भी एक आदमखोर द्वारा उनका घायल हो जाना था।

### प्रकृति-प्रेमी जिम—

जिम एक कुशल शिकारी तो थे ही, उससे भी अधिक वह एक अत्यन्त संवेदनशील प्रकृति एवं जीव जन्तु प्रेमी मानव थे। वह जन्तुओं के प्रति कठोर और निर्दय व्यवहार के घोर विरोधी थे। कभी भी मात्र आनन्द के लिये उन्होंने शिकार नहीं किया। एक बार जिम अपने कुछ सैनिक अफसर-मित्रों के साथ शिकार पर गये हुये थे तो शिकार की समाप्ति के बाद उन्होंने देखा कि उनकी मित्र मंडली ने सैकड़ों बत्तखों को, वन-भुगियों को घूं-ही मार डाला था। जिम बहुत दुःखी हो उठे और उन्होंने तुरन्त ही अपने व्यक्तित्व का प्रभाव इस्तेमाल करते हुये, शिकार के नियमों में महत्वपूर्ण सुधार करवा डाले, जिनमें यह भी शामिल था कि निष्प्रयोजन किसी जंतु को न मारा जाय। उन्होंने इस दिशा में एक आन्दोलन चला डाला था। तत्कालीन भारत सरकार ने उन्हें अंगलों के सम्बन्ध में पूर्ण स्वतन्त्रता दे दी थी।

पर्यावरण के प्रति वह अत्यन्त भावुक और सजग थे। कहा जाता है कि जब वह रेलवे में ईंधन निरीक्षक थे और जंगलों से लकड़ी के ढेर के ढेर काटकर लाये जाते थे तो जिम को बहुत दुःख होता था। वह वनों के अंधाधुंध कटने के सक्त खिलाफ थे। १९०० से लेकर १९४४ तक जिम नैनीताल नगर महापालिका के सदस्य रहे। इस अवधि में जिम ने न केवल शहर की स्वास्थ्य-सेवाओं में अपेक्षित सुधार करवाया अपितु बच्चों की शिक्षा, पर्यावरण सुधार, ग्राम्यांचलो में शिक्षा एवं चिकित्सा सुविधाएं एवं परिवहन जैसी आवश्यकताओं को भी सुव्यवस्थित करवाने में महत्वपूर्ण भूमिका अदा की।

द्वितीय विश्व युद्ध के दौरान उन्होंने लेफ्टिनेन्ट-कर्नल की हैसियत से हजारों लोगों को जंगल की गुरिल्ला पद्धति में सैनिक प्रशिक्षण दिया। इससे पूर्व भी १९१४ में जिम ने प्रथम विश्व युद्ध के दौरान सरकार को अपनी सेवाएं दी थी और ५०० व्यक्तियों की एक 'लेबर फोर्स' को लेकर बह फ्रांस गये थे। उस समय की विषम परिस्थितियों के अन्तर्गत ५०० में से मात्र १ व्यक्ति ही स्वेज नहर में रोग की वजह से काल बना—अन्यथा वह ४९९ व्यक्तियों को सही सत्ता-मत वापस लेते आये।

जिम के प्रकृति के जीव-जन्तुओं से पूर्ण समन्वय का एक और दृष्टांत बहुचर्चित रहा है। जब जिम मोकामघाट में सेवा कर रहे थे तो रेलवे के लिये लकड़ी हेतु एक जंगल का अंधाधुंध कटान होने लगा और वन्य-जन्तु भयभीत होकर इधर-उधर भागते फिर रहे थे। ऐसी दशा में एक बाम कोजंगल के निकट बने जिम के कैम्प में चार मोरनियां, दो नन्हे बारहसिंगे, एक अजगर और कुछ अन्य शिशु जीव आ धुसे। जिम ने चार दिन तक उनको अभय आश्रय दिया, भोजन-पानी दिया और उन्हीं के साथ रहे। ऐसे साधु थे जिम कौरबेट।

### कुशल लेखक एवं छविकार—

रेलवे से मुक्त होने के बाद जिम ने अपना समय लेखन एवं प्रकृति के छायांकन में भी लगाना शुरू किया। सन् १९४६ में जिम की पहली पुस्तक प्रकाशित हुई 'मैन ईट्स ऑव कुमाऊँ'। यह पुस्तक छप्ते ही इतनी प्रसिद्ध हुई कि १९५२ तक इसके कई संस्करण विश्व की २० भाषाओं में छप गये। जिम ने छ. और पुस्तकें साहित्य को दी—'द मैन ईटिंग लेपंड ऑव रुद्रप्रयाग', 'जंगल लोर', 'द टेम्पल टाइगर', 'माई इण्डिया' और 'ट्री टॉप्स'। 'ट्री टॉप्स' उनकी अन्तिम पुस्तक थी जो उनकी मृत्यु के बाद प्रकाशित हुई। इसी प्रकार जिम प्रकृति के एक अत्यन्त कुशल छायाकार भी थे। उनके सैकड़ों छायाचित्र आज 'नेचुरल हिस्ट्री म्यूजियम ऑव लंदन' एवं 'ब्रिटिश फिल्म इन्स्टीट्यूट लंदन' की अमूल्य धरोहर हैं।

### एक उबार मानव—

जिम का स्वयं का प्रारम्भिक जीवन संभवतः अत्यन्त विषादपूर्ण परिस्थितियों से आक्रान्त रहा। घर में सौतेले भाई-बहन, पिता का अल्पायु में ही निधन और १७ वर्ष की अल्पायु में ही जन्म-स्थान से काफी दूर सविस—यह सब तथ्य इस दिशा की ओर संकेत मात्र करते हैं। पर इन सभी परिस्थितियों ने जिम को एक अत्यन्त सुहृदय एवं संवेदनशील मानव बनने में भी मदद की। जिम जन्म से एक विषुद्ध अंग्रेज ईसाई थे। वह जासक वर्ग के आभिजात्य की गरिमा से

आलोक्त थे। पर जिम ने एक विदेशी और विधर्मी होते हुए भी कालाडूंगी और नैनीताल बहर एव तराई के लोगों के लिये पराधीन भारत में ही जिनका कुछ किया, संभवतः कोई भी राष्ट्रीय-स्तर का सशम पुरुष आज भी न करे। जिम को अमौरी के आभिजात्य एवं भोडमाड से चिड सी थी। गरीब ग्रामवासियों को वह अपने निकट पाते और उनका नमक-चाय का आतिथ्य भी उन्हें अधिक प्रिय था। संरुडो गरीबों को उन्होंने आर्थिक सहायता दी, मकान एवं झोपड़े बनाने के लिए साधन जुटवाये, पानी की व्यवस्था करवाई, कई गांवों को ग्राम-पंचायतों को रु० ५०० से ५,००० तक की आर्थिक सहायता दी, और यह सब उन्होंने अपनी प्रापटी को बेचकर किया। उनकी पुस्तकों की रायल्टी भी आज ब्रिटेन एवं भारत की कई संस्थाओं को अपाहिजों की सहायताार्थ जाती है।

जिम की धर्म और ईश्वर में बहुत आस्था थी। वह पुरानी मान्यताओं और अंधविश्वासों में तो किसी सामान्य भारतीय की तरह ही विश्वास करते थे। शिकार का मौसम आने से पूर्व जिम सदैव एक साप मारा करते थे। यह उनका अपना एक टोटका-सा था। हिन्दू धर्म के प्रति तो जिम अत्यन्त आदर और श्रद्धा रखते थे। उनके घर पर रामायण, गीता, महाभारत के साथ-साथ बाइबल के विभिन्न संस्करण रखे होते। उल्लेख पर जिम को बहुत विश्वास था और उन्होंने अपनी जन्मपत्नी भी बनवा रखी थी। प्रतिवर्ष 'दुर्गा-पूजा' में वह सम्मिलित होते और यथाशक्ति आर्थिक सहायता भी देते।

जिम एक अत्यन्त निष्ठावान मित्र थे - अंग्रेजों के कम, भारतीयों के अधिक। भारत छोड़ने से पूर्व अपने कई निर्धन मित्रों को उन्होंने जीवन में उठने के लिए तरह-तरह से सहायता दी। निर्धन व्यक्तियों के प्रति जिम कौरबेट कितने सवेदनशील थे, इसका पता निम्न दृष्टांत से लगता है।

सन् १९४२ में नैनीताल में नगरपालिका के चेयरमैन का चुनाव होना था। तत्कालीन गवर्नर ने जिम के प्रभाव का उपयोग किन्हीं एक 'रायबहादुर' साहब के लिये करना चाहा। जिम को आमन्त्रित किया गया, तब वह पालिका के एक सक्रिय और प्रभावी सदस्य थे। पर जिम चुपचाप अपने घर लौट गए और महामहिम को अपना त्यागपत्र भेज दिया। मुनते हैं कि महामहिम ने उनसे पुनः अपने त्यागपत्र पर विचार करने के लिये कहा, पर जिम ने विनम्रतापूर्वक अपना निर्णय न बदला। उनका कहना था कि कोई 'रायसाहब' चेयरमैन बनकर गरीबों के लिये कुछ कर सकेंगे, उन्हें सदेह था।

कालाडूंगी में अपना मकान जिम ने मात्र रु० ६,००० में सेठ श्री चिरंजी लाल शाह को बेचा था, जिसे बाद में श्री साह ने रु० ५०,००० के दाम पर राज्य



सरकार को बेचा था। वर्तमान में यह मकान 'जिम कौरबेट मेमोरियल' के रूप में एक महान शिकारी के स्मृति-सम्मान में राज्य एवं राष्ट्र की अमूल्य धरोहर है।

जिम की मृत्यु के बाद, सन् १९३७ में भारत सरकार ने ढिकाला में जिम के प्रयत्नों से १९३५ में स्थापित वन्य जन्तु बिहार को 'जिम कौरबेट नेशनल पार्क' का नाम देकर—उस महान शिकारी को अपनी श्रद्धाञ्जलि के सुमन समर्पित किये।

'जिम' निश्चय ही एक अत्यन्त मानवतावादी, महिष्णु, प्रकृतिप्रेमी, महान पुरुष थे। भारतीय भूमि में उनका जन्म होना सम्भवतः ईश्वर की एक अमूल्य भेंट ही थी।

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भारत की ४० मिलियन हेक्टेयर भूमि बाढ़ से पीड़ित है। गया क्षेत्र में प्रतिवर्ष ८ मिलियन हेक्टेयर भूमि में बाढ़ आती है जिससे २५० करोड़ रुपये की वार्षिक हानि होती है।

क. १९५१ से पूर्व बाढ़ग्रस्त क्षेत्र २५ मिलियन हेक्टेयर था जो आज बढ़कर ४० मिलियन हेक्टेयर हो गया।

ख १९५२ से देश में जिन बाढ़ों का निर्माण हुआ है उनके लिए ४ लाख हेक्टेयर भूमि से वनों को समाप्त करना पड़ा।

क्या 'क' और 'ख' में कोई सम्बन्ध है ?

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# हमारे गणितज्ञ

— विवेन्द्र कुमार

प्रवक्ता गणित विभाग

विज्ञान महाविद्यालय

प्रमुख गणितज्ञों के विषय में रोचक घटनाएँ तथा कहानियाँ गणित के इतिहास में पाई जाती हैं। प्रस्तुत लेख में उनमें से कुछ का उल्लेख किया जा रहा है। सबसे पहले भास्कराचार्य जी के जीवन की एक घटना का वर्णन किया जा रहा है।

भास्कराचार्य जी की सुपुत्री श्री लीलावती। ज्योतिषियों ने कहा था कि लीलावती का विवाह कभी नहीं होगा। लेकिन भास्कर जी ने घणना से भाग्य-शाली समय की जानकारी की। उस समय विशेष की सूचित करने के लिए एक रिक्त पात्र की तली में एक छिद्र करके जल में तैरा दिया गया। छिद्र से पात्र में जल भरने पर पात्र का जल में डूबना उस भाग्यशाली समय का सूचक था। लीलावती ने उत्सुकतावश पात्र में चढ़ते हुए जल की देखने के लिए पात्र में झाँका। तभी लीलावती के आभूषणों में से एक मोती पात्र में गिर गया जिससे पात्र में जल का चढ़ना रुक गया और वह भाग्यशाली समय बिना पात्र के डूबे ही गुजर गया। इस प्रकार लीलावती अविवाहित ही रही। उसके दुःख को कम करने के लिए भास्कर जी ने कहा था कि मैं तुम्हारे नाम से एक ऐसे ग्रन्थ की रचना करूँगा जो गणित को अमर कृति होगी। इस प्रकार लीलावती ग्रन्थ की रचना हुई। भास्कराचार्य जी ने ज्योतिषशास्त्र, अंकगणित, क्षेत्रमिति तथा बीज-गणित में उल्लेखनीय कार्य किया।

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आर्किमिडीज को अपने समय में गणित का ईश्वर कहा गया है। उनके जीवन की एक घटना इस प्रकार है—

जब उनके देश पर शत्रु ने हमला किया तो उन्होंने बन्दरगाह पर रुके हुए शत्रु-सेना के युद्ध-पोतों को सूर्य की किरणों को एकत्र कर सकने वाले दर्पणों की सहायता से जलाकर नष्ट कर दिया। इस प्रकार शत्रु सेना को पंगु कर दिया।

इन्ही के जीवन की एक ओर घटना है—आर्किमिडीज ने कहा था कि यदि एक और पृथ्वी हो तो मैं उस पर जाकर इस पृथ्वी को अपने स्थान से हटा सकता हूँ अथवा किसी निश्चित बल की सहायता से किसी भार (कितना ही बड़ा) को चलाया जा सकता है। राजा ने इस पर विश्वास नहीं किया। तब आर्किमिडीज ने एक पोत पर इतना सामान रखवाया कि बहुत बड़ी सहायता में व्यक्ति लगाकर भी मुश्किल से ही हिलाया जा सके। लेकिन सबको आश्चर्यचकित करते हुए साधारण प्रयास से ही आर्किमिडीज ने पोत को रस्ती और घिरनियों के प्रबन्ध की सहायता से इतने अच्छे ढंग से चलाया कि पोत में बड़े व्यक्तियों की प्रतीत हुवा कि जैसे वे यात्रा कर रहे हों।

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गैलिलियो इटली के प्रमुख गणितज्ञ हुए हैं। इनका जन्म पिसा में करवरी १५, १५६४ को हुआ था। इनके जीवन में बहुत उतार-चढ़ाव आए थे। इनके पिता ने यह समझकर कि इनमें चिकित्साशास्त्र की प्रतिभा है, चिकित्साशास्त्र का अध्ययन आरम्भ करा दिया था। लेकिन एक घटना ऐसी घटी जिसके कारण इनका चिकित्सा के अध्ययन वाला जीवन परिवर्तित होकर वैज्ञानिक का जीवन बन गया।

हुवा यह कि पिसा के गिरजाघर में रस्ती से एक सैंप लटका हुआ अपनी मध्य स्थिति के दोनों ओर दोलन कर रहा था। उन्होंने देखा कि दोलन-विस्तार कम होता जा रहा है परन्तु दोलन में लगने वाला समय हर बार उतना ही है। समय की माप उन्होंने नाडी की गति से की और यह पाया कि प्रत्येक दोलन में नाडों के कम्पन उतने ही होते हैं। इस प्रकार उन्होंने यह बताया कि नाडी की कम्पन की गति की दर में रोग का अनुमान लगाया जा सकता है। इसके बाद गैलिलियो ने ज्यामिति का अध्ययन आरम्भ किया और अपने कार्य से इतने प्रसिद्ध हुए कि इनके पिताजी ने इन्हे चिकित्साशास्त्र छोड़कर विज्ञान के क्षेत्र में कार्य करने की अनुमति दी।

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फ्रांस के प्रसिद्ध गणितज्ञ हुए हैं—एल० ह्युस्पिटल। आप एक संभ्रान्त परिवार के सदस्य थे। जब ये केवल पन्द्रह वर्ष के थे तब इन्होंने एक स्थान पर एकत्र गणितज्ञों को पास्कल की किसी कठिन समस्या (प्रश्न) के बारे में बाने करने सुना। उन्होंने कहा कि 'मैं सोचता हूँ कि मैं इसे हल कर सकता हूँ' और उन्होंने कुछ समय पश्चात् उम्र प्रश्न को हल कर दिया। वह सेना में जाना चाहते थे लेकिन दृष्टि बाधित स्तर की न होने के कारण नहीं जा सके और इस प्रकार गणित के अनुसंधान में कार्यरत हो गये।

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ब्रिटेन के नेपियर लघुगुणक के जन्मदाता हैं। लघुगुणक की उपयोगिता गणना कार्यों में सर्वविधित है। जिस समय उनका जन्म हुआ था उस समय प्रोटैस्टेन्ट और कैथोलिकस के झगड़े बहुत जोरो पर थे। उन्होंने सेंट जोन्स के बारे में रहस्योद्घाटन करने वाला कोई लेख लिखा जिसके कारण वह जन-सामान्य की कड़ी आलोचना के पात्र बने। लेकिन प्रबुद्ध व्यक्तियों ने उनकी सराहना की। उन्होंने आर्किमिडीज की तरह के शीशे का प्रयोग करने की योजना बनाई जो आग लगाने वाले शीशे कहे जाते थे। वे इतना शक्तिशाली शीशे से आग लगाने का यन्त्र बनाना चाहते थे जो शत्रु के पोत को किसी भी दूरी पर जला सके।

उनकी योजना इस प्रकार का ऐसा विध्वंसक यन्त्र बनाने की थी जो चार सौ मील की परिधि में जमीन से एक फीट की ऊँचाई तक सब जन्तुओं को नष्ट कर सके और चारों ओर विनाश की वर्षा कर सके।

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न्यूटन गणित और भौतिक विज्ञान में प्रसिद्ध थे साथ ही वह बहुमुखी प्रतिभा के धनी भी थे। उनके बारे में कहानी है कि वे एक बार कुछ दोस्तों को भोज देने वाले थे। वे मेज से पेय की बोतल लाने के लिए उठे लेकिन दुकान तक जाते-जाते वे सब कुछ भूल गये और अपने कमरे में जाकर वस्त्र बदले और गिरजाघर चले गये।

इनके बारे में यह भी कहा जाता है कि एक बार यह घोड़े पर सवार होकर कहीं जा रहे थे। यह अपने घोड़े से उतरे और अर्धचेतन अवस्था में घोड़े के आगे चलते हुए पहाड़ी पर चढ़ने लगे। काफी देर घूमने के बाद उन्होंने पाया कि घोड़ा कब का जा चुका है और वे लगाम पकड़े घूम रहे हैं।

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अब डी'मोवरीज के बारे में पढ़िए। इनका नाम से त्रिकोणमिति का एक प्रमेय भी है। ये भी ब्रिटेन के विख्यात गणितज्ञ रहे हैं। इनका जन्म मई २६, १६६७ को हुआ था। इनकी मृत्यु के बारे में इस प्रकार की कहानी कही जाती है कि इन्होंने घोषणा की थी यह आवश्यक है कि प्रतिदिन पहले दिन की अपेक्षा पन्द्रह मिनट अधिक सोयें। घोषणा के समय वह छः घण्टे प्रतिदिन सोते थे। यहाँ से उनका पन्द्रह मिनट प्रतिदिन अधिक सोने का क्रम प्रारम्भ हुआ। इस क्रम में ७३वें दिन कितना सोये—२४ घंटे अर्थात् जगने की स्थिति नहीं आई और दूसरे दिन सोने की बारी आ गई। इस प्रकार वे चिरनिद्रा में सो गए।

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जीनो नामक एक गणितज्ञ ने तर्क द्वारा यह सिद्ध करने का प्रयास किया था कि खरगोश कछुए को नहीं पकड़ सकेगा। उनका तर्क 'जीनो का गति सम्बन्धी तर्क' के नाम से प्रसिद्ध है। यह इस प्रकार है—

मान लिया कछुआ खरगोश से प्रारम्भ में एक मील आगे है तथा ये भी मान लिया कि खरगोश की गति कछुए की गति से १० गुनी अधिक है। जितनी देर में खरगोश १ मील की दूरी तय करेगा उतनी देर में कछुआ १/१० मील की दूरी तय कर लेगा। इस प्रकार अब कछुआ खरगोश से १/१० मील आगे होगा। जितनी देर में खरगोश इस १/१० मील की दूरी तय करेगा, कछुआ १/१०० मील आगे बढ़ जायेगा। इस प्रकार कछुआ अब खरगोश से १/१०० मील दूर होगा। इस १/१०० मील को तय करने में खरगोश को जितना समय लगेगा, कछुआ उतनी देर में १/१००० मील चलेगा। इस प्रकार कछुआ खरगोश से १/१००० मील दूर होगा आदि। इस प्रकार यद्यपि कछुए और खरगोश की दूरी कम तो होती चली जायगी, लेकिन शून्य नहीं होगी।

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गणित का ही एक उपविषय क्रमचय और संचय होता है। इसके सूत्रों का सबसे पहले उल्लेख महर्षि सुश्रुत ने अपने चिकित्साशास्त्र सम्बन्धी ग्रन्थ सुश्रुत संहिता में किया था। उन्होंने बताया था कि छः रसों में से एक बार में एक, दो अथवा अधिक रस लेकर कुल ६३ संयोग बनते हैं।

इसी प्रकार का नियम संस्कृत के एक विशेषज्ञ पिण्ड ने अपनी छंद-सूत्र नामक कृति में दिया है।

# खाद्य समस्या में भूमि-क्षरण तथा उसका निवारण

—विक्रम सिंह चौहान  
कृषि अधीक्षक

देश की बत्तीस करोड़ हेक्टेयर भूमि पर निवास करने वाले सत्तर करोड़ मनुष्यों को जीवन-दान देने के लिये अन्न जुटाना एक कठिन समस्या बन गई है। इस समस्या का हल दो प्रकार से ही सम्भव है—प्रथम तो बढ़ती हुई जनसंख्या पर रोक लगाकर, जो कठिन ही नहीं, असम्भव-सा है। दूसरे अन्न की प्रति हेक्टेयर उपज वृद्धि करके। अन्न की उपज बढ़ाना कठिन नहीं है।

अन्न की उपज बढ़ाने के लिये उन्नत कृषि-यन्त्रों, स्वस्थ व पुष्ट बीजों एवं प्रचुर मात्रा में उर्वरकों आदि का उचित ढंग से प्रयोग करना जितना आवश्यक है, उससे कहीं आवश्यक भूमि की दशा को स्थिर रखते हुए कृषि योग्य क्षेत्र में वृद्धि करना है। अब प्रश्न उठता है कि कृषि योग्य भूमि को किस प्रकार बढ़िया एवं स्थिर रखा जावे। इसका सरल उत्तर यही हो सकता है कि बेकार पड़ी हुई बीहड़ तथा ऊसर भूमि का वैज्ञानिक विधियों से सुधार करके उसे कृषियोग्य बनाया जावे तथा भूमि में कटाव तथा अन्य विकारों को रोककर भूमि की दशा को स्थिर रखा जावे।

देश में कृषि-क्षेत्र को बढ़ाने का प्रयास तो निरन्तर किया जा रहा है परन्तु भूमि में होने वाले कटाव और विकार की ओर विशेष ध्यान न देने के कारण इस कार्य में विशेष सफलता नहीं मिल सकी है। देश में इस समय आठ करोड़ हेक्टेयर भूमि को कटाव से बचाने की आवश्यकता है। इसमें चार करोड़ हेक्टेयर कृषि भूमि, दो करोड़ एकड़ परती तथा दो करोड़ हेक्टेयर बलुई भूमि है। यदि इस भूमि में होने वाले कटाव को रोक दिया जावे तो उपज में ५० प्रतिशत तक वृद्धि सम्भव है।

जिस भूमि पर कृषि होती है, वर्षाकाल में उस भूमि पर किसी भी प्रकार की वनस्पति का ढकाव नहीं होता है। भूमि कटाव के दृष्टिकोण से यह भूमि खतरे से खाली नहीं है। “मिट्टी के कणों का अपने स्थान से हट जाना ही भूमि कटाव है।” प्राकृतिक दशा में मिट्टी के कण इतनी तीव्र गति से अपने स्थान से नहीं हट सकते अर्थात् मिट्टी का अपनी जगह पर ढीला होना तत्पश्चात् अपनी जगह को छोड़कर दूसरे स्थान पर चले जाना ही भूमि कटाव की परिभाषा है।

प्राकृतिक रूप से जो भूमि कटाव होता है और प्रत्यक्ष रूप से दिखाई नहीं देता, वह स्वाभाविक कटाव कहलाता है। इस प्रकार का कटाव तभी होता है जबकि भूमि के ऊपर सर्वदा किसी न किसी वनस्पति का ढकाव रहता है, क्योंकि जितनी मिट्टी ऊपरी सतह से जितने समय में बहती है, उतने ही समय में उसकी कमी नीचे की सतह से पूरी हो जाती है। इस प्रकार के कटाव से भूमि की उर्वरा शक्ति को विजेष हानि नहीं होती।

प्रत्यक्ष रूप से जो भूमि कटाव होता है उसको हम त्वरित अपक्षरण कहते हैं। भूमि को अधिक हानि इसी कटाव से होती है। इस प्रकार के कटाव का उत्तरदायी मानव ही है, क्योंकि मानव का परमधर्म है कि वह इस प्रकार के कटाव को रोके।

भूमि कटाव पानी तथा वायु द्वारा होता है। वायु द्वारा कटाव उन स्थानों पर होता है जहाँ वर्षा कम होती है। राजस्थान का काफी बड़ा भाग इसका प्रमाण है। उत्तर प्रदेश में आगरा, अलीगढ़, मथुरा आदि अनेक जिले इससे प्रभावित हैं।

वायु की अपेक्षा पानी द्वारा भूमि का कटाव अधिक होता है। जब वर्षा होती है तो मिट्टी के बड़े २ कण छोटे कणों में परिवर्तित होकर वर्षा के बहते पानी के साथ मिलकर बह जाते हैं। पानी द्वारा कटाव की पहली अवस्था को सतह कटाव कहते हैं। इस प्रकार के कटाव से भूमि पर कोई चिन्ह नहीं बनते, वरन् भूमि की ऊपरी सतह से बहुत पतली तह पानी के साथ बह जाती है। इस प्रकार के कटाव की सुगमता से नहीं पहचाना जा सकता।

दूसरी अवस्था को रिल अथवा फ़िगर इरोजन कहते हैं। इस प्रकार का कटाव उन क्षेत्रों में होता है जिनका ढाल ४ प्रतिशत तक होता है। इस प्रकार के कटाव में भूमि पर छोटी-छोटी बहुत-सी नालियाँ बन जाती हैं जिनकी गहराई ८ से १० सेंटीमीटर तक होती हैं। इस प्रकार के कटाव पर भी कुछ ध्यान नहीं देते, जिसका परिणाम यह होता है कि उपज में भारी कमी हो जाती है।

धीरे-धीरे इन नालियों का विस्तार हो जाता है और बड़ी-बड़ी नालियां बन जाती हैं जिसको हम गुली इरोजन कहते हैं। इस प्रकार का कटाव सभी कटावों की अपेक्षा अधिक स्थान घेरता है क्योंकि गुली कटाव विशेष रूप से उसी स्थान पर पाया जाता है जहाँ पर पानी अधिक मात्रा में अधिक तेजी से बहता है। गुली की गहराई तथा चौड़ाई आदि भूमि की किस्म व ढाल पर निर्भर करती है।

### भूमि कटाव से हानियाँ

१. भूमि की उर्वरा शक्ति नष्ट हो जाती है।
२. भूमि की समतलता नष्ट हो जाती है।
३. भूमि छोटे-छोटे भागों में विभक्त हो जाती है, जिससे कृषि करने में कठिनाई होती है।
४. भूमि की पानी सोखने तथा जल धारण करने की क्षमता नष्ट हो जाती है।
५. भूमि के छोटे-छोटे कण जो पौधों के लिये उपयोगी हैं, नष्ट हो जाते हैं।
६. मिट्टी के कण खेत से बहकर नदियों को उधला कर देते हैं जिससे बाढ़ आ जाती है।
७. भूमि में उचित वायु का संचार न होने के कारण कृषि के लाभप्रद कीटाणुओं की कार्यक्षमता कम हो जाती है।

### भूमि कटाव के मुख्य निम्नःपाच कारण हैं—

१. **वृषि की हता**—यदि भूमि हल्की वर्षात् बलुई है और उसमें जीवांश नहीं हैं तो कटाव अधिक होगा। इसके विपरीत यदि भूमि भारी वर्षात् मटियार है और उसमें जीवांश नहीं हैं तो उसमें कटाव बलुई की अपेक्षा कम होगा, और यदि भूमि घुमट है तथा जीवांश उपस्थित है तो कटाव बहुत ही कम होगा। क्योंकि भूमि में जीवांश की उपस्थिति से उसकी जलधारण क्षमता अधिक हो जाती है जिससे भूमि कटाव नहीं होता।

२. **वर्षा की गति**—यदि कम समय में वर्षा अधिक होती है तो भूमि के ऊपर पानी की मात्रा एकदम बढ़ जाती है जिससे भूमि उस पानी को पूरी तरह सोख नहीं पाती। अतः पानी बहने लगता है जिससे भूमि कटाव अधिक होता है, क्योंकि अधिक मात्रा में पानी के बहने से मिट्टी के कण अधिक मात्रा में पानी के साथ बह जाते हैं। इसके विपरीत यदि अधिक समय में कम वर्षा होती है तो



भूमि को वर्षा का जल सोखने में पर्याप्त समय मिल जाता है, जिससे भूमि की सतह पर बहने वाले पानी को मात्रा बहुत कम हो जाती है और मिट्टी बहुत कम कटती है।

३. ढाल प्रतिफल—भूमि का ढाल जितना कम होगा, भूमि का कटाव भी कम होगा। ढाल अधिक होने पर कटाव भी अधिक होगा। गणित-शास्त्रियों का मत है कि यदि भूमि का ढाल दोगुना कर दिया जाये तो पानी का बहाव चार गुना हो जाता है। उसमें मिट्टी काटने की शक्ति आठ गुनी तथा मिट्टी को बहाने की शक्ति बत्तीस गुनी हो जाती है जिससे पानी चौंसठ गुने मिट्टी के कण अपने साथ बहाकर ले जा सकता है।

४. वनस्पति आवरण—भूमि को नंगा रखने अर्थात् वनस्पति रहित रखने से वायु तथा वर्षा की बूंदों का आघात सीधे रूप से मिट्टी के कणों पर ही पड़ता है, जिससे मिट्टी के कण अपने स्थान को छोड़ देते हैं। इसके साथ ही साथ नंगी भूमि पर पानी बहने से मिट्टी कटाव को अधिक गति मिलती है। वनस्पति आवरण पानी को रोकता है।

५. कृषि-क्रिया—खेत की जुताई, बीज की बुआई एवं गुड़ाई भूमि के ढाल के अनुकूल करने से तथा गलत समय पर जुताई करने से मिट्टी का कटाव अधिक होता है। यदि जुते हुए खेत को वर्षा के समय खुला छोड़ दिया जाये अथवा तेज व शुष्क हवाओं के समय खेत को बारीक जोता जावे तो मिट्टी का कटाव अधिक होगा।

उपरोक्त कारणों के अतिरिक्त गलत फसल चक्र, भूमि में जीवांश की कमी, भूमि की बनावट व सजावट एवं जीव-जन्तुओं के कारण भी भूमि कटाव होता है।

### भूमि कटाव को रोकने के उपाय

भूमि क्षरण अधिकांशतः वर्षा ऋतु में वर्षा के पानी से होता है। इस समय यदि भूमि कटाव को रोका जावे और वर्षा के पानी की मात्रा को भूमि अधिक मात्रा में सोख ले तो निश्चय ही उपज में भारी वृद्धि होगी। भूमि क्षरण के निराकरण के लिए विभिन्न साधन लाभकारी हैं—

१. वनस्पति द्वारा—प्राकृतिक दशा में भूमि पर घास आदि का कुछ न कुछ ढकाव रहता है। परन्तु अन्न उत्पन्न करने के लिए इस ढकाव को हटाकर

फसलें बोई जानी चाहियें। वर्षाकाल में ऐसी फसलें बोयें जो पृथ्वी को ढके रखें। जैसे मूंग, उड़द, लोभिया, मूंगफनी, सोयाबीन आदि। जिन स्थानों पर कृषि नहीं हो सकती वहाँ पर दीर्घकालीन घासें उगाये जैसे—पारा घास, नैपियर घास, बांसी घास, ज्वाइण्ट स्टार आदि। जिस भूमि का ढाल ४ प्रतिशत से अधिक हो वहाँ घास के साथ-साथ वन लगाने चाहिए। नदी-नालों वाली भूमि में चराई बिल्कुल बन्द कर दी जाये तथा चरागाह में उन्नत किस्म की घासे उगाकर नियमित चराई की जाये। पशुओं को घास काटकर खिलाना भी भूमि संरक्षण में सहायक सिद्ध हुआ है। इस प्रकार की भूमि पर वनस्पति उगाने से निम्न लाभ हैं—

(अ) वर्षा की बूंदों से मिट्टी के बड़े कण छोटे-छोटे कणों में विभक्त नहीं होते।

(ब) फसलों की जड़ें मिट्टी के कणों को जकड़े रहती हैं।

(स) फसलों की पत्तियाँ मेढ का काम करती हैं।

(द) फसलों की जड़ों द्वारा भूमि को जीवाश्म पदार्थ प्राप्त होता है।

२. कृषि द्वारा—इसमें संदेह नहीं कि वनस्पति लगाने से भूमि की रक्षा पूर्ण रूप से हो सकती है, परन्तु समस्त भूमि में केवल घास उगाकर ही निर्वाह नहीं हो सकता है। क्योंकि मनुष्य को खाने को अन्न, पहनने को वस्त्र तथा अन्य अनेक वस्तुओं की आवश्यकता होती है। अतः कृषि करना तो नितान्त आवश्यक है। इसके लिए फसलों को इस प्रकार उगाना चाहिये कि उपज के साथ-साथ भूमि की रक्षा भी हो सके। इसके लिए समोच्च रेखाओं पर कृषि करना चाहिये। समोच्च रेखा वह कल्पित रेखा है जिस पर स्थित प्रत्येक बिन्दु की ऊँचाई समुद्र-तल से समान हो। इस प्रकार से खेती करने पर भूमि की रक्षा तो होती ही है साथ ही साथ उपज में भी भारी वृद्धि होती है।

३. यान्त्रिक साधन—यान्त्रिक साधनों के प्रयोग से यह लाभ होता है कि जिन स्थानों पर यह प्रयोग होते हैं उनके ऊपर की ओर पानी ठहरने से सिल्टिंग होने के साथ-साथ पानी भी अधिक समय तक ठहरा रहता है, जिससे उसका समाहरण भूमि में भली-भाँति हो जाता है। इस प्रकार पानी भूमि का कटाव नहीं करने पाता।

मुख्य यान्त्रिक साधन निम्न हैं—

अ) बैँडबन्दी—इसमें खेत के ढाल के विपरीत तथा मिट्टी की किस्म के अनुसार खेत में छोटे-छोटे बाँध बना दिये जाते हैं।

(ब) ट्रेसिंग—पहाड़ी क्षेत्रों में जहाँ कि भूमि का ढाल अधिक होता है, वहाँ पर सीढ़ीनुमा खेत बनाकर ढाल को कम कर देते हैं जिससे पानी के बहाव में कमी आ जाती है और भूमि का कटाव कम हो जाता है।

वर्तमान समय में जबकि हम खाद्य समस्या के भयंकर रोग से पीड़ित हैं और खाद्य-वस्तुओं का मूल्य निरन्तर वृद्धि पर है, यह आवश्यक है कि उत्पादन बढ़ाने के लिए हर स्तर पर वैज्ञानिक दृष्टिकोण अपनाते हुए सभी सम्भव प्रयत्न किये जायें। भूमि संरक्षण भी इस समस्या का एक ऐसा पहलू है, जिसकी उपेक्षा नहीं की जा सकती।

१३० मिलियन टन साधान पैदा करने में भूमि से लगभग १८ मिलियन टन खनिज लवण (पोषक तत्व) विद्ये जाते हैं।

उर्वरक और जैव स्रोतों द्वारा भूमि को १०३ मिलियन टन खनिज लवण (पोषक तत्व) विद्ये जाते हैं।

इस प्रकार भूमि बैंक को ६-७ मिलियन टन खनिज लवण का घाटा रहता है। क्या इस प्रकार ओवर ड्राफ्ट से कोई भी बैंक बिवालिया नहीं हो जाएगी? साथ ही हमें यह भी नहीं भूलना चाहिये कि 'अपरदन' द्वारा भूमि प्रतिवर्ष ८.४ मिलियन टन पोषक तत्व खो रही है।

# ऊर्जा के नए आयाम

—रामकुमार पालीवाल

अध्यक्ष, रसायन विभाग

मानव का जैसे-जैसे विकास हुआ है वैसे-वैसे वह ऊर्जा के नए-नए स्रोतों को खोजने में लगा। प्राचीन काल में पवन-चक्कियों का प्रयोग होता था। इसके अतिरिक्त आज भी पहाड़ों पर बसे गांवों में पन-चक्की (जो पानी से चलती हैं) का प्रयोग हो रहा है।

जैसे-जैसे विश्व की जनसंख्या बढ़ रही है, वैसे-वैसे हमारी आवश्यकताएं भी दिन-प्रतिदिन बढ़ रही हैं और आज के यांत्रिक युग में, संश्लेष के संचालन हेतु हमें निरन्तर ऊर्जा की आवश्यकता है।

ऊर्जा का मनुष्य ने विभिन्न स्रोतों से दोहन किया, जैसे-विद्युत ऊष्मा, परमाणु ऊर्जा, डीजल-पेट्रोल आदि। किस देश में कौन-सा ऊर्जा का सस्ता एवं मुख्य स्रोत हो, यह वहां की भौगोलिक स्थिति पर निर्भर करता है। भारत-वर्ष में नदियां बहुतायत से हैं। जिन पर बांध बनाकर तथा नहरें निकाल कर एक ओर जहां हम बाढ़ को नियन्त्रित कर सकते हैं, वहां दूसरी ओर नहरों द्वारा सिंचाई करके हम अनाज के उत्पादन में वृद्धि भी कर सकते हैं और साथ-साथ विद्युत उत्पादन भी कर सकते हैं। इस ओर हमारे देश में बहुत सक्रिय कार्य हुआ है और अब भी हो रहा है। इसके अतिरिक्त ताप विद्युत गृहों का भी निर्माण हो रहा है जिसमें कोयला ईंधन का कार्य करता है।

परमाणु ऊर्जा इस समय सभी विकसित देशों में ऊर्जा का प्रमुख स्रोत है। भारत भी इस ओर प्रगति के चरण पर है किन्तु इसकी तकनीकी एक ओर बहुत महंगी है तो दूसरी ओर बहुत जटिल भी। इसके बावजूद भारत में इस दिशा में सतत सफल प्रयास हो रहे हैं तथा वह दिन दूर नहीं जब हम परमाणु ऊर्जा में आत्मनिर्भर हो जायेंगे।

इन सबके अतिरिक्त ऊर्जा का जो सबसे प्रमुख और महत्वपूर्ण अंग है वह पेट्रोल-डीजल है। जिसके ऊपर विश्व का समस्त परिवहन निर्भर है। हमारे

देश में जितने खनिज तेल की आवश्यकता है उसका अधिकांश भाग हमें दूसरे प्रमुख तेल उत्पादक देशों से आयात करना पड़ता है। इस कारण विदेशी मुद्रा में निरन्तर कमी आ रही है और मंहगाई भी बढ़ रही है। हम यदि अपनी आवश्यकता स्वयं ही पूरी करने लगे तो भारत सहज ही एक सम्पन्न देश हो जायेगा। इसके लिए हम समुद्र की सतह में बोरिंग करके तेल भी निकाल रहे हैं।

भारत में कोयला प्रचुर मात्रा में उपलब्ध है। यदि हम विभिन्न रासायनिक क्रियाओं के द्वारा कोयले से तेल बना सकें तो भारत में तेल की कमी को पूरा किया जा सकता है और हम तेल के लिए आत्मनिर्भर हो सकते हैं।

सन् १९५५ में अपने देश में एक हाई-प्रावर कमेटी का गठन हुआ था, जिसमें कोयले को सीधे तेल में बदलने की प्रौद्योगिकी पर गहन विचार-विमर्श हुआ था। किन्तु उस कमेटी की रिपोर्ट पर ऊर्जा मंत्रालय के १९८१ में स्थापित होने पर ही विचार हो सका। विश्व के अनेक देशों में जिनमें पश्चिम जर्मनी, आस्ट्रेलिया, जापान, ब्रिटेन, कनाडा तथा अमेरिका प्रमुख हैं। इन देशों ने वाकायदा कोयले से तेल निकालना आरम्भ कर दिया है।

फ्रांस के डॉ॰ जे॰आर॰ फिश ने विश्व ऊर्जा सम्मेलन में बताया था कि सन् २०२० तक विश्व में ऊर्जा का उत्पादन डब्ल्यू गुना बढ़ जायेगा। फिर भी विकसित देशों तथा तीसरी दुनिया के देशों के बीच ऊर्जा की प्रति व्यक्ति खपत में बड़ी बनी रहेगी। उनका कहना है कि ऊर्जा की खपत में एक ओर परमाणु बिजली का इस्तेमाल बढ़ेगा तो दूसरी ओर कोयले का भी अधिक उपयोग होगा। पेट्रोल का उपयोग आज के ६० प्रतिशत से घटकर २०२० तक ५० प्रतिशत ही रह जायेगा।

कोयले के पक्ष में सबसे प्रमुख बात यह है कि इतने वर्षों से निरन्तर खपत के बावजूद भी धरती की कोख में कोयले का इतना भण्डार भरा पड़ा है कि अगले २५० वर्षों तक वह आसानी से चल सकता है। जबकि तेल के भण्डार अगले २५ साल तक भी चल जायें तो गनीमत है। कोयले को पेट्रोल में बदलने से प्रदूषण की समस्या भी बहुत कम हो जाती है और साथ ही उसके उपयोग की संभावनाएं भी बहुत व्यापक हो जाती हैं। अतः इस पर हम विस्तार से विचार करते हैं।

प्रकृति, भूमि में कोयले से ही पेट्रोल बना लेती है। सूर्य सम्पूर्ण ऊर्जा का प्रमुख स्रोत है। सूर्य द्वारा प्रदत्त यह ऊर्जा वनस्पति में संचित है। करोड़ों वर्ष

पहले सारी घरती घर जंगल ही जंगल थे। ये जंगल जमीन में दब गये और इससे ही कालान्तर में कोयला, गैस और पेट्रोल बना। प्रकृति को कोयले से पेट्रोल बनाने में लाखों वर्ष लगते हैं। जबकि कारखानों में यह क्रिया बहुत ही कम समय में पूरी कर ली जाती है। इस दिशा में पहली महत्वपूर्ण प्रणाली जर्मनी के बर्गिन ने १९१३ में खोजी थी। कुल मिलाकर अभी चार प्रणालियाँ उपलब्ध हैं। जिनके द्वारा कोयले को पेट्रोल में बदला जा सकता है। इनमें से एक उच्च तापमान पर दूसरी कम तापमान पर कार्बनीकरण के द्वारा तेल बनाती है।

इन सबसे अलग प्रणाली फिशर-ट्रोप्च की है। जो इन दोनों आविष्कारकों ने १९२३ में खोजी थी। इसमें पहले कोयले को हाइड्रोजन और कार्बन मोनो आक्साइड गैसों के मिश्रण 'सिनगैस' में बदलते हैं। इसके बाद विशिष्ट उत्प्रेरक रसायनों के उपयोग में विभिन्न प्रकार के हाइड्रो-कार्बन उत्पाद बनाये जाते हैं। जिनमें मुख्य हैं, गैसोलीन और डीजल।

उपरोक्त विधि से द्वितीय महायुद्ध के समय तक जर्मनी, फ्रांस, जापान और मंचूरिया में कोयले से पेट्रोल बनाया गया और दक्षिण अफ्रीका ने पश्चिम जर्मनी और अमेरिका की सहायता से फिशर-ट्रोप्च प्रणाली में आगे सुधार करके कोयले से पेट्रोल बनाना जारी रखा। इन संयंत्रों में एक और कोयला भरते हैं जो विविध चरणों से गुजरने के बाद अन्तिम चरण में ७०% पेट्रोलियम उत्पाद मिलते हैं, ७% विविध गैसों होती हैं, ३ से ४% डीजल मिलता है और ३% मोमिया तेल के अतिरिक्त १३ प्रतिशत आक्सीजनयुक्त रसायन होते हैं। इस प्रणाली में ठोस मोम कचरा नहीं बनता है।

भारत में सन् १९५६ में एक और समिति बनी जिसने इसी फिशर-ट्रोप्च प्रणाली को अपनाने की सिफारिश की। बिहार, बंगाल में कोयला प्रचुर मात्रा में उपलब्ध है। अतः किसी उपयुक्त इलाके में १० लाख टन प्रतिवर्ष की क्षमता वाले कोयले से पेट्रोल निर्माण करने वाला संयंत्र लगाना चाहिए। फिलहाल इतना जरूर है कि इसी समिति की एक और सिफारिश को सरकार ने मान लिया है जिसमें नीचे तापमान (६००° सेल्सियस) की तकनीक अपनाकर धुआरहित कोयले के उत्पादन के लिए देश के विभिन्न क्षेत्रों में संयंत्र लगाये गये हैं जैसे तमिलनाडु के नमबेली क्षेत्र में सिन्नाइट का कार्बनीकरण करके जलाने योग्य बनाये जाते हैं। आंध्रप्रदेश के रामकुण्डपुर में बिट्टमिनी कोयले का प्रयोग किया जाता है। कलकत्ता के पास दांकुलि में "ज्वाला" नाम से धुआरहित कोयला बड़े पैमाने पर बनाया जाता है।

उन कारखानों में कार्बनीकरण से कोलतार बनता है। जिससे फिर आगे विविध चरणों से गुजारकर उच्च दाब पर हाइड्रोजनीकरण द्वारा मोटर-गाड़ियों को चलाने योग्य पेट्रोल और डीजल तैयार किये जाते हैं।

इनके अतिरिक्त आज का वैज्ञानिक समुद्र की लहरों से उत्पन्न हुई असीम ऊर्जा को प्रयोग करने की दिशा में सतत प्रयत्नशील है और सूर्य द्वारा सौर ऊर्जा, जिसका भण्डार अथाह है, को भी प्रयोग करने में विभिन्न प्रकार के उपकरण बनाने में व्यस्त है। सोलर बैटरिया, सोलर बूल्हों का सफल निर्माण एवं उनका उपयोग भी आरम्भ हो चुका है। अतः आगे आने वाले युग में मनुष्य के लिए ऊर्जा के ये दो नए प्रकृति के स्रोत अमूल्य निधि होंगे।

वाइल्ट स्त की वृद्धि के निम्नलिखित मुख्य कारण हैं।

१—जंगलों का बेहिजाब कटान। २—भूमि पर उगी वनस्पतियों का विनाश।

३—भूमि संरक्षण की कमी।

समस्या का समाधान—

१—वृक्षारोपण।

२—भूमि की जल-शोषण क्षमता में वृद्धि करना।

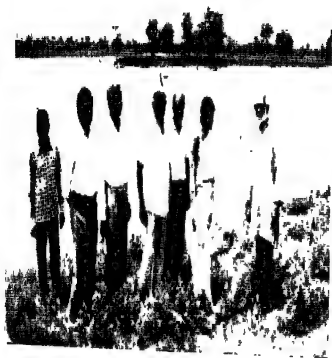
३—भूमि पर वनस्पतियों का घना आवरण बनाये रखना।

४—सीमित चारण (चरायी)।



कु० ए०के० अहूजा, आइ०ए०एस०, सेक्रेटरी मिनिस्ट्री ऑफ़ ररल डेवेलोपमेन्ट एण्ड रिकन्स्ट्रक्शन, भारत सरकार कागडी ग्राम मे एक सभा को सम्बोधित करते हुए। श्री जी०बी०के० हूजा, कुलपति जिन्होंने सभा की अध्यक्षता की उनके दाहिनी ओर बैठे हुए हैं। कुलपति जी के दाहिनी ओर डॉ० विजय शंकर, निदेशक कागडी ग्राम सुधार योजना और उनके दाहिनी ओर श्री वीरेन्द्र अरोरा कुलसचिव बैठे हैं। कु० अहूजा के आने से ग्राम की महिलाओं में भी जागृति की लहर दौड़ी। वे पहली बार घरों से निकल कर सभा में सम्मिलित होने आईं।





कागडी ग्राम मे चल रहे अनेक विकास कार्यक्रमो का अवलोकन करने के पश्चात् कु० अहूजा ग्राम मे ही गंगा के पावन तट पर। कुलपति जी एव अन्य जन भी उनके साथ गंगा के तट पर गये। यहा कु० अहूजा ने भूमि कटाव रोकने के लिये वृक्षारोपण भी किया।

## कांगड़ी ग्राम विकास योजना

मुख्य कांगड़ी विश्वविद्यालय

निदेशक—डॉ० विजयसंकर

## कांगड़ी ग्राम—प्रगति के पथ

१९८१ से जब से विश्वविद्यालय ने कांगड़ी ग्राम विकास कार्यों को अपने हाथ में लिया है, अब तक ग्राम में अनेक सामाजिक-आर्थिक-शैक्षणिक-भवन निर्माण एवं पर्यावरण सम्बन्धी कार्यक्रम सम्पन्न हुए हैं। इन सभी कार्यक्रमों में जहाँ मान्य कुलपति श्री बलभद्र कुमार हुजा, आई०ए०एस० (अवकाश प्राप्त) प्रेरणा के स्रोत रहे हैं, वहाँ बिजनौर के जिलाधिकारी एवं इनके विकास विभाग के अधिकारियों तथा भारतीय स्टेट बैंक, ज्वालापुर के अधिकारियों ने इस ग्राम के विकास-कार्य में अत्यन्त रुचि ली है और महत्वपूर्ण योगदान किया है। पिछले तीन वर्षों में जो विभिन्न विकास कार्यक्रम ग्राम में सम्पन्न हुए हैं, वे निम्न प्रकार हैं।

### १. सड़क निर्माण—

मुख्य सड़क (हरिद्वार-बिजनौर) से गांव को जोड़ती हुई एवं ग्राम में होती हुई गया के तट तक एक किलोमीटर लम्बी सड़क का निर्माण।

### २. निर्बल वर्ग आवास—

पाँच निर्बल वर्ग आवास का निर्माण हुआ। इनके लिए प्रति आवास १९९७ रु० का अनुदान दिया गया।

### ३. हरिजन पेय-जल कूप—

एक हरिजन पेय-जल कूप का निर्माण हुआ।

### ४. बायो गैस—

दो ग्रामवासियों ने दो बायो गैस प्लाण्ट लगाये।

### ५. शौचालय—

सी०बी०आर०आई० रुड़की ने एक मॉडल शौचालय विद्यालय परिसर में बनवाया। जिससे ग्रामवासी इस प्रकार के शौचालय अपने निवास में बनवाने के लिए प्रेरित हो।

#### ६. दुकानें—

हरिजनो के लिए चार दुकानों का निर्माण हुआ जिनमें इस समय क्रमशः कपड़े, परचून, नाई तथा कपड़ा सिलाई का कार्य चल रहा है।

#### ७. जन-मिलन केन्द्र—

विद्यालय के समीप ही जन-मिलन केन्द्र का निर्माण चल रहा है।

#### ८. गोवर्धन शास्त्री स्मृति पुस्तकालय—

यह पुस्तकालय मान्य कुलपति जी के पूज्य पिताजी श्री गोवर्धन शास्त्रीजी जो स्वामी श्रद्धानन्द जी के समय में विद्यालय के हेडमास्टर थे, की स्मृति में वर्ष १९८१ में खोला गया। इसका उद्घाटन विश्वविद्यालय के कुलाधिपति श्री बीरेन्द्र जी ने किया।

#### ९. प्रौढ़ शिक्षा केन्द्र—

विश्वविद्यालय ने ग्राम में एक प्रौढ़ शिक्षा केन्द्र स्थापित किया। प्रयास यह है कि ग्राम में कोई भी व्यक्ति अशिक्षित न रहे।

#### १०. पर्यावरण कार्यक्रम—

गंगा और सिद्ध स्रोत से होने वाले भूमि-कटाव को रोकने के लिए उपयुक्त वनस्पतियों के रोपण का कार्यक्रम बनाया गया। ये कार्यक्रम अन्य ग्रामों, जैसे— जगजीतपुर, गाजीवासी, पीली आदि में भी गंगा समन्वित योजना (भारत सरकार पर्यावरण विभाग) गुरुकुल कांगड़ी विश्वविद्यालय की ओर से डॉ० विजय शंकर के निर्देशन में चलाया जा रहा है। ग्राम में एक पर्यावरण गोष्ठी तथा प्रदर्शनी का कार्यक्रम भी वर्ष १९८३ में किया गया।

#### ११. वृक्षारोपण—

बेह एकड़ भूमि में यूकेलिप्टस के पौधे लगाये गये। खेतों के बीच सूबवूल आदि के वृक्ष लगाने की ग्रामीणों को प्रेरणा दी गई।

#### १२. सब्जी की खेती -

१९८१ से पूर्व ग्राम में सब्जी की खेती उद्योग के रूप में नहीं होती थी। कांगड़ी ग्राम विकास योजना के निदेशक ने ग्रामवासियों को सब्जी की खेती करने के लिये प्रेरित किया। यह ग्राम हरिद्वार शहर के निकट पड़ता है, जहाँ सब्जी पूरे वर्ष मँहगी रहती है। जिन ग्रामीणों ने इस कार्यक्रम को अपनाया, उन्हें प्रति एकड़ ३६०० रु० प्रतिवर्ष आय में वृद्धि हुई।

## १३ ऋण सुविधा—

प्रारम्भ में ग्रामवासी रोजगार के लिये ऋण लेने को उद्यत नहीं होते थे। जब उन्हें इसके लाभ बताए गए तब धीरे-धीरे ग्रामवासी आगे आये और अब तक ग्राम के एक-तिहाई परिवारों ने ऋण-सुविधा का लाभ उठाया है। जिन अनेक रोजगार कार्यक्रमों के लिये ऋण लिये गये थे वे इस प्रकार हैं—

- (अ) भैंस पालन
- (ब) कृषि कार्य हेतु बैल जोड़ो
- (स) छोटा बुग्गी/घोड़ा बुग्गी
- (द) रिकशा
- (य) प्रोवीजन स्टोर
- (च) गोबर बैस सयन्त्र
- (छ) साईकिल/घोड़ा
- (ज) नार्ई की दुकान

१४. ग्राम में भारत एवं विदेश से जिन व्यक्तियों ने जुलाई १९८१ से अब तक ग्राम के विकास कार्यों में रुचि ली और ग्राम में पधारे, और ग्रामवासियों को उत्साहित किया, उनकी सूची नीचे दी जाती है—

सर्व श्री बलराम जाखड, अध्यक्ष लोक सभा। श्री आर० वेंकटरमन, कृषि उत्पादन आयुक्त उत्तर प्रदेश सरकार। श्री ए० के० नारायणन—डिप्टी सेक्रेटरी मिनिस्ट्री ऑफ़ हरल डेवलपमेंट एन्ड रिकन्स्ट्रक्शन। श्री अरविन्द वर्मा, आई०ए०एस० आयुक्त मुरादाबाद मंडल। श्री अनीस असादी, जिलाधिकारी, बिजनौर। श्री दर्शन सिंह बेस, बिजनौर जिलाधिकारी, श्री बीरेन्द्र कुलाधिपति गुरुकुल कागरी, श्री सत्यव्रत, परिदृष्टा गु०का०वि०वि०, डॉ० सत्यकेतु भूतपूर्व कुलपति गु०का०वि०वि०, श्री सी० जी० फूका साय, जापान, कु० ए० के० अहूजा डिप्टी सेक्रेटरी मिनिस्ट्री ऑफ़ हरल डेवलपमेंट, नई दिल्ली।

गाव की जो शक्ल १९८१ में थी वह आज बदली हुई है। ३३ प्रतिशत लोगों की आय में ३००-४०० रुपये प्रति माह की वृद्धि हुई है। गाव में पक्के मकानों का निर्माण अब होता हुआ दिखाई देता है। मिस्त्रियों को अब फुर्सत नहीं है। अनेक व्यक्ति सूती कपड़े से टेरीकॉट पर आ चुके हैं। वे अपने बच्चों को इंगलिश मीडियम स्कूल में भेजने लगे हैं। जहाँ केवल अनेक परिवारों में केवल दास से रोटी खाई जाती थी अब सबको प्रारम्भ हो चुकी है। लोगों के रहन-सहन के स्तर में सुधार हुआ है और सोचने-समझने की शक्ति भी तीव्र हुई है।

१६ जुलाई, १९८४ को कु० ए०के० अहूजा, डिप्टी सेक्रेटरी, मिनिस्ट्री ऑव हरस डेवलपमेंट एण्ड रिकन्स्ट्रक्शन, कागडी ग्राम में पधारी और ग्राम में चल रहे अनेक विकास कार्यक्रमों का निरीक्षण किया एवं एक सभा को सम्बोधित किया जिसकी अध्यक्षता मान्य कुलपति श्री जी०बी०के० हूजा, आई० ए० एस० (अवकाश-प्राप्त) ने की। डॉ० विजय शंकर इस सभा के संयोजक थे। कु० अहूजा के ग्राम में आने से इतिहास का एक नया पन्ना खुला है। ग्राम में पहली बार महिलायें सभा में आईं और अपनी समस्याओं के बारे में बातचीत की। कु० अहूजा ने स्वयं लोगों के घर-घर जाकर महिलाओं से बातचीत की और उन्हें घर से निकल कर सामाजिक, आर्थिक कार्यों में सक्रिय भाग लेने के लिए प्रोत्साहित किया। उनका कहना था कि हम सबको अपने-अपने जिम्मे का काम करना है। कुलपति जी ने इस ग्राम के लिये जो किया है उसकी कु० अहूजा ने भूरि-भूरि प्रशंसा की। कु० अहूजा ने इस अवसर पर भूमि अपरदन को रोकने के लिए वृक्षारोपण कार्यक्रम में भी भाग लिया।

गुरुकुल कागड़ी विश्वविद्यालय ने अब ग्राम विकास का कार्य कागडी ग्राम तक सीमित न रखकर अन्य ग्रामों, जैसे—गाजीवाली और जगजीतपुर में भी प्रारम्भ कर दिया है। इन ग्रामों में विशेष रूप से सेनीटेशन, वृक्षारोपण और प्रौढ शिक्षा के कार्यक्रम लिये गये हैं।

## भावी कार्य-क्रम

- १—रेशम कीट उद्योग
- २—कुकुरमुत्ता की खेती
- ३—सौर्य ऊर्जा बूल्हा/गोबर गैस प्लाण्ट
- ४—कृषि उत्पादन में वृद्धि की योजना
- ५—सिंचाई के साधनों में वृद्धि
- ६—भूमि-कटाव रोकने की योजना
- ७—विद्युत सुविधायें प्राप्त कराना
- ८—ट्राइसेम योजना लागू करना
- ९—ग्राम में पक्की सड़क
- १०—सफाई योजना
- ११—वृक्षारोपण, फलदार, छायादार, औषधीय एवं भूमि कटाव रोकने वाले पौधे।

# वैदिक गणित की परम्परा में सहदेव के कुछ नियम

—बोरेन्द्र अरोरा

गुरुकुल कांगड़ी विश्वविद्यालय, हरिद्वार

बहुतर वर्षीय डॉ० सहदेव प्रसाद श्रीवास्तव मूलतः सिन्धोरा (मीरजापुर, उ०प्र०) के निवासी हैं किन्तु विगत कई वर्षों से वाराणसी में रह रहे हैं। वे अपने छात्र-जीवन से ही भारतीय प्राचीन गणित के विभिन्न कौतुहलो से प्रभावित रहे हैं। हालाँकि वे 'होइम्योपैथिक प्रैक्टिस' अब भी कर रहे हैं, किन्तु अंकगणित एवं बीजगणित की विभिन्न समस्याओं का 'सक्षिप्त हल' खोजने में पर्याप्त समय लगाते हैं। डॉ० एस०एल० सिंह, विभागाध्यक्ष गणित), राजकीय स्नातकोत्तर महाविद्यालय, ऋषिकेश ने हाल ही में डॉ० श्रीवास्तव का साक्षात्कार किया। प्रस्तुत लेख डॉ० सिंह के ही सौजन्य एवं सहयोग से लिखा जा सका है। एतदर्थ डॉ० सिंह को बहुशः धन्यवाद।

डॉ० श्रीवास्तव के अनुसार—“गणित का जीवन में अत्यन्त महत्वपूर्ण स्थान है क्योंकि गणित के द्वारा विद्यार्थियों में चिन्तन, तर्क, निर्णय आदि मानसिक क्षमताओं का विकास होता है। तर्कसंगत ढंग से प्रस्तुत करके गणित को विद्यार्थियों के सामने रखा जाता है, जिससे उनमें गणित के प्रति सकारात्मक अभिवृत्ति का विकास होता है और उनमें अमूर्त संकल्पनाएँ बोधगम्य होती हैं।”

डॉ० सहदेव प्रसाद श्रीवास्तव (जिन्हें हम अब सहदेव ही कहेंगे) को 'रुढ़ि एवं यौगिक संख्याओं' से सम्बन्धित क्रियाओं के अध्ययन सम्बन्धी कुछ महत्वपूर्ण जानकारीयाँ हैं। जैसे— 'संख्याओं के पूर्णरूपेण बंटने की पहचान' अर्थात् यदि किसी संख्या में २, ३, ५, ६, ७ आदि से भाग दें तो यह पहचान कैसे करेंगे कि कोई दी हुई संख्या इन अंकों से पूरी तरह बँट जायेगी अथवा नहीं। इस प्रकार की जानकारी आज प्रारम्भिक कक्षाओं में दी जाती है किन्तु बहुत सीमित रूप में। यहाँ सहदेव का एक नियम दिया जा रहा है—

किसी संख्या में बिना भाग दिये यह कैसे जानेंगे कि वह संख्या ११ से पूर्णतया विभाजित होगी अथवा नहीं ? इस सम्बन्ध में सहदेव का नियम है— यदि किसी दी हुई संख्या के सम व विषम स्थानों के अंकों के योगफलों का अन्तर शून्य हो या ११ से विभाजित हो जाय तो वह संख्या ११ से विभाजित हो जायेगी। जैसे— $38602$  के विषम व सम स्थानों के योग क्रमशः  $(3+6+2=11)$  तथा  $(8+0=8)$  का अन्तर शून्य है अतः स्पष्टतः  $38602$  पूर्णतया ११ से विभाजित है। इसी प्रकार  $552238$  में विषम तथा सम स्थानों के अंकों का योग क्रमशः  $(5+2+8=15)$  तथा  $(5+2+3=10)$  का अन्तर ११ द्वारा विभाज्य है। स्पष्टतः ११ द्वारा पूर्णतया विभाज्य है  $552238$ ।

सहदेव दो संख्याओं के आपस में गुणा करने के संक्षिप्त नियम पर भी पर्याप्त जानकारी रखते हैं। उनके द्वारा वर्णित कुछ नियम भारत में प्राचीन काल से प्रचलित हैं और इनमें से कुछ विधियाँ भास्कर द्वितीय कृत 'लीलावती' में वर्णित विधियों से पर्याप्त प्रभावित हैं या यह कहा जा सकता है कि लीलावती में वर्णित विधियों पर आधारित हैं। (लीलावती में वर्णित गुणा की विधियों की विशेष जानकारी हेतु लीलावती पर लिखी कोलब्रुक वी टीका पर लिखी पुस्तक "Colebrooke's translation of the LILAVATI with notes by Haran Chandra Banerji, II Edition, The Book Company, Calcutta, 1927" देखें।) सहदेव ने गुणा की संक्षिप्त विधियों का पर्याप्त अध्ययन किया है किन्तु यहाँ उनके द्वारा बताये गये नियमों में से मात्र एक सरल नियम दे रहा हूँ—

यदि किसी संख्या को १२५ से गुणा करना है तो उस संख्या के दाहिनी तरफ तीन शून्य (०००) बढ़ाकर ८ से भाग दें।

$$\text{जैसे—} 92502 \times 125 = 92502000 \div 8 = 11562750$$

इस लेख को समाप्त करने से पहले यह बताना समीचीन प्रतीत होता है कि सहदेव ने (१) बीजगणित पदों का महत्तम निकालने, (२) जादुई जोड़, (३) विषम संख्याओं का जोड़ तथा पहाड़े के जोड़, (४) जादुई घटाना, (५) वर्ग (६) भाग, (७) घनमूल, (८) दशमलव भिन्न एवं आवर्त दशमलव, (९) घनमूल निकालना, (१०) अंकों की विलक्षणता आदि पर विशेष अध्ययन किया है। डॉ० एस०एल० सिंह के अनुसार सहदेव की खोजों पर विशेष अध्ययन की आवश्यकता है।

# गंगा-शिवालिक क्षेत्र में दुर्लभ होती हुई वनौषधियां

—विजय शर्मा  
केदार शर्मा

प्रस्तुत लघु लेख गंगा समन्वित योजना, भारत सरकार पर्यावरण विभाग द्वारा गंगा बेसिन में पाये जाने वाले औषधीय, सौन्दर्य-प्रसाधन एवं अन्य वनस्पतियों के अध्ययन पर आधारित है। यहाँ केवल कुछ वनस्पतियों को लेकर यह दशानि का विनम्र प्रयास किया गया है कि वनस्पतियों (औषधीय, वृक्ष आदि) के अन्धाधुन्ध उखाड़ने एवं काटने के क्या दुष्परिणाम हो सकते हैं। ललताराव नाले में एक कार का बह जाना, इस क्षेत्र में हो रहे परिस्थितिक असन्तुलन का एक ज्वलन्त प्रमाण है, जिससे हमारी आँखें खुल जाना चाहिये। इस विषय पर विस्तृत लेख आगे प्रकाशित किया जाएगा।

हिमालय एवं इसके निकटवर्ती क्षेत्र सदैव मनुष्य को अपनी ओर आकर्षित करते रहे हैं। विभिन्न राष्ट्र के मनुष्यों में इस क्षेत्र को देखने की तीव्र लालसा रही है। इस क्षेत्र की ओर आकर्षित होने का एक मुख्य कारण इस क्षेत्र का स्वस्थ वातावरण, उत्तम जलवायु एवं प्राकृतिक सम्पदा से परिपूर्ण होना है। इसकी तलहटियों में बसे नगर-देहरादून, ऋषिकेश, हरिद्वार में इसकी उत्तम जलवायु एवं समृद्ध प्राकृतिक सम्पदा का प्रभाव है।

हरिद्वार गंगानदी के दाहिने किनारे २७.५८ एन लैटिट्यूड तथा ७८ १३ ई लैटिट्यूड पर बसा एक सुन्दर नगर है। पश्चिम, उत्तर एवं पूर्व दिशाओं में पर्वतों से घिरा यह नगर विभिन्न औषधीय पौधों का खजाना समझा जाता है। दूर तक गंगा के तट से लेकर शिवालिक एवं नील पर्वत के जंगलों में अनेक प्रकार के औषधीय पौधे यहाँ प्राकृतिक रूप में पाये जाते हैं। नील पर्वत के नाम पर ही यहाँ गंगा की धारा का नाम नीलधारा पड़ा है।



औषधीय पौधों में रुचि रखने वालों वैद्यों, आयुर्वेदिक विद्यालयों एवं फार्मसियों के लिए यह क्षेत्र विशेष आकर्षण रखता है। यद्यपि यहाँ प्राप्त होने वाले अनेक औषधीय पौधे राष्ट्र के अन्य भागों में भी प्राकृतिक रूप से पाये जाते हैं, परन्तु यहाँ उत्पन्न होने वाले पौधे को अधिक गुणकारी समझा जाता है। सम्भवतः ऐसा यहाँ के उत्तम पर्यावरण के कारण है। चरक ने भी इस बात की पुष्टि की है—

हिम वनौषधि भूमिनाम् (चरक सूत्र २५)

पिछले कुछ वर्षों से फार्मसियों की औषधीय पौधों के लिए बढ़ती हुई माँग के कारण कुछ पौधों को इतनी अधिक मात्रा में एकत्र किया जाता रहा है कि इनमें से अनेक पौधे विरल / समाप्तप्राय हो रहे हैं। औषधीय पौधों को एकत्र करना यहाँ एक व्यवसाय है। कई परिवार यहाँ इस व्यवसाय पर आश्रित हैं एवं अपनी जीविका निर्वाह कर रहे हैं। इस क्षेत्र से औषधीय पौधे एकत्र करने वाले कतिपय व्यक्तियों से बात करने पर पता चलता है कि अनेक जड़ी-बूटियाँ जो इस क्षेत्र से एकत्र की जाती रही हैं, उनमें से कतिपय अब अत्यन्त विरल हो रही हैं—जैसे- दशमूल नामक योग में प्रयुक्त होने वाली निम्नलिखित जड़ी बूटियाँ—

- |                 |                                 |
|-----------------|---------------------------------|
| (१) अरुनी       | <i>Clerodendron phlomoides</i>  |
| (२) बिल्वा      | <i>Aegle marmelos</i>           |
| (३) श्योनाक     | <i>Oroxylum indicum</i>         |
| (४) पाटला       | <i>Stereospermum suaveolens</i> |
| (५) गम्भारी     | <i>Gemlina arborea</i>          |
| (६) त्रिहेती    | <i>Solanum indicum</i>          |
| (७) कंटकारी     | <i>S. xanthocarpum</i>          |
| (८) गोखरु       | <i>Tribulus terrestris</i>      |
| (९) जालपर्णी    | <i>Desmodium gangeticum</i>     |
| (१०) पृष्णपर्णी | <i>Uraria picta</i>             |

यही कहानी त्रिफला में प्रयुक्त होने वाली हरड, बहेडा की है।

- |           |                           |
|-----------|---------------------------|
| (१) हरड   | <i>Terminalia chebula</i> |
| (२) बहेडा | <i>T. belerica</i>        |

ऐसा अनुमान है कि श्योनाक एवं गम्भारी नाम के औषधीय पौधे यहाँ जंगलों में समाप्तप्राय हो चुके हैं। हरड के वृक्ष भी नाममात्र को शेष रह गये हैं।

पिपली हरिद्वार के निकट पथरी क्षेत्र में प्रायः सरलता से प्राप्त हो जाती थी। अब क्षेत्र में लोगों द्वारा क्षेत्रों का व्यवसाय अपनाये जाने के कारण पिपली अब सरलता से प्राप्त नहीं होती।

तीव्र गर्त से हो रहे सहरीकरण एवं औद्योगिकीकरण भी काफी सीमा तक पौधों को नष्ट करने के कारक हैं। इसी प्रकार का प्रभाव हरिद्वार क्षेत्र में चीला एवं पूर्वी गंगानहर के निर्माण से हुआ है। पेड़ों के अंधाधुन्ध कटान से किस प्रकार परिस्थितिकी सन्तुलन पर कुप्रभाव पड़ रहा है, इसके परिणाम भी हमारे सामने हैं। हर वर्ष विभिन्न नदियों में आने वाली बाढ़ें हमारी इस अव्यवस्था का परिणाम हैं। इस वर्ष हरिद्वार के इतिहास में पहली बार इन्हीं कारणों से मलगाराव नहर में एक एम्बेसेडर कार बह गई जबकि वर्षा इतनी अधिक नहीं हुई थी। हरिद्वार के समीप की पहाड़ियों पर वनस्पतियों के कम हो जाने से वहाँ की भूमि की जल सोखने की क्षमता में कमी आयी है, भूमि अपरदन बढ़ा है जिससे वर्षा होने पर अधिकतर पानी बहकर सलताराव व अन्य नालों एवं नदियों में चला जाता है जिसके दुष्परिणाम सामने आ रहे हैं। कार का बह जाना ज्वलन्त प्रमाण है।

गुर्जर जाति के लोग प्रायः जंगलों में ही अपने भवैशियों के साथ रहते हैं। इन भवैशियों से भी औषधीय पौधों की हानि हो रही है। कोई भी पौधा यदि फल बनने से पूर्व तोड़ लिया जाए तो नए बीज नहीं बन पाते तथा उसकी नई पीढ़ी उत्पन्न नहीं हो पाती। जंगलों में गुर्जरों के भवैशियों द्वारा कई पौधे फल बनने से पूर्व छा लिए जाते हैं या उनके पेड़ों से कुचल दिए जाते हैं। फलस्वरूप इन पौधों की अगली पीढ़ी पैदा होने की सम्भावनाएं कम/समाप्त हो जाती हैं। फार्मेशियों में प्रयोग के लिए भी कई पौधे इसी प्रकार फल बनने से पूर्व तोड़ लिए जाते हैं।

गंगा समन्वित योजना (पर्यावरण विभाग, भारत सरकार) के अनेक कार्यक्रमों में से एक गंगा बेसिन-में उगने वाले औषधीय एवं सौन्दर्य प्रसाधन में उपयोगी पौधों का सूचीकरण एवं अध्ययन भी है एवं उनके संरक्षण के उपाय तजवीज करना भी है। इस क्षेत्र में अनेक पौधे जो विरल एवं समाप्त-प्राय हो जा रहे हैं, इन्हें नर्सरी, बख्खाइयों, मन्दिर क्षेत्रों, ग्रामों में उगाने की योजना बनाई जा रही है। वन विभाग से भी इस विषय में सम्पर्क स्थापित किया जायेगा और उनका सहयोग वहाँ के प्राकृतिक एवं औषधीय वनस्पतियों के संरक्षण में प्राप्त किया जावेगा। गंगा के किनारे के ग्रामों में रहने वाले लोगों को उन औषधीय वनस्पतियों को उगाने के लिए प्रोत्साहित किया जा रहा है जो

विरल हो रही हैं और जो ग्रामों में उगाई जा सकती है। गंगा के किनारे कितनी ही भूमि बेकार पड़ी रहती है, इसका किस प्रकार उचित उपयोग हो सके, इस दिशा में अध्ययन किए जा रहे हैं। पर्यावरण में बढ़ती हुई गिरावट में बंके लगाने के लिए, कम होती हुई औषधीय वनस्पतियों को लुप्त होने से बचाने एवं प्रकृति में उसका पूर्व की भांति बाहुल्य बनाने के लिए यह आवश्यक हो जाता है कि सारे क्षेत्र में उपयुक्त पौधे लगाये जायें। गंगा समन्वित योजना की टीम इस दिशा में अग्रसर है।

गंगा समन्वित योजना,  
(पर्यावरण विभाग, भारत सरकार)  
गुल्लुल कामठी विश्वविद्यालय, हरिद्वार।

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## बेदों में पर्यावरण-संरक्षण

घनवान व्यक्तियों की तृष्णा, निर्धनों की मौलिक आवश्यकताओं के प्रति हमारी उदासीनता एवं असावधान टेक्नालॉजी का एक ऐसा तिगुहा है जिससे देश के पर्यावरण या यो कहिये कि मौलिक जीवन-आधार प्रणालियों को अत्यन्त हानि पहुँच रही है।

तेन त्यक्तेन भुञ्जीथा मा गृधः कस्य स्विन्नम् ॥ यजु० ४०।१॥

ससार की वस्तुएं हमारे उपभोग के लिए हैं। किन्तु इनका उपभोग त्याग की भावना से करो। लोभी मत बनो। यह माया कभी किसी की नहीं हुई।

यत् ते भूमे चिरवनामि सिप्रं तवचि रोहृषु।

मा ते मर्मे विभृग्वरि मा ते हृदयमपिपम ॥

अथर्ववेद १२।१-३५॥

तेरा जो भाग मैंने खोद कर निकाला है वह शीघ्र ही बढ़ जाये। मेरे द्वारा तेरे हृदय या प्राणमूलक अंगों को हानि न पहुँचे।

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# पर्यावरण अपकर्ष—रोग और उपचार

—डॉ० वि० शंकर

प्रिसिपल इन्वेस्टीगेटर, गंगा समन्वित योजना (पर्यावरण विभाग, भारत सरकार)

गुरुकुल कांगड़ी विश्वविद्यालय, हरिद्वार

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गुरुकुल कांगड़ी विश्वविद्यालय के कुलपति, श्री गोवर्धन बलभद्र कुमार हूजा, आई०ए०एस० (अ० प्रा०) के पवित्र उपक्रम के फलस्वरूप भारत सरकार पर्यावरण विभाग ने विश्वविद्यालय को डॉ० विजय शंकर के निर्देशन में गंगा समन्वित योजना पर कार्य करने के लिए रु० ८.३७ लाख का अनुदान दिया है।

इस योजना के अन्तर्गत गंगा के विभिन्न पहलुओं का अध्ययन किया जा रहा है और पर्यावरण संरक्षण एवं समृद्धि के लिए अनेक कार्यक्रम चलाये जा रहे हैं जो निम्न हैं—

१. गंगा जल के वनस्पतियों, जन्तुओं एवं फिजिको-केमिकल केरेक्टर्स।
  २. गंगा के प्रदूषण के स्रोत।
  ३. तीर्थयात्रियों का प्रभाव।
  ४. जल शोधन में सक्रिय प्राकृतिक शक्तियों का पता लगाना।
  ५. गंगा के किनारे उगने वाली औषधि एवं लेप्टोमिनस पौधों का प्रकृति एवं देश की अर्थ व्यवस्था में योगदान।
  ६. गंगा के तट पर बसे ग्रामों में बाढ़ द्वारा होने वाली हानि, जैसे भूमि कटाव आदि को रोकना।
  ७. गंगा जल के लोगों की, विशेष रूप से ग्रामीणों की आर्थिक स्थिति का अध्ययन एवं उनकी आर्थिक स्थिति सुधारने के लिए सुझाव देना एवं स्कीम लागू करना।
  ८. गंगा के किनारे एवं किनारे के गांवों में उपयुक्त वृक्षों की पौध लगाना जिससे अपरदन एवं ऊर्जा की समस्या हल हो सके।
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श्रविकेश से गडमुक्तेश्वर तक गंगा का अध्ययन करने पर अनेक तथ्य सामने आये। जहां कुछ इलाकों में, मुख्यतः श्रविकेश-हरिद्वार में फेन्टरी और शहरी गन्दे नालों द्वारा गंगा एवं गंगानहर प्रदूषित हो रही है, वहां कुछ इलाके ऐसे भी हैं, जहां गंगा के तट पर बसे ग्रामों में रहने, वासे लोग निरन्तर बाढ़ के

खतरे में प्रस्त रहते हैं। नदी द्वारा मिट्टी का कटाव, फसलों की पैदावार कम होना, ईंधन के लिए गंगातट एवं आसपास के जंगलों से वृक्षकटान, गरीबी, न्यूनतम जन-सुविधाओं का न होना, नदी के निरन्तर बदलते हुए पथ से भूमि के लम्बे चौड़े क्षेत्रफल का विरूपयोग हो जाना, कहीं दलदली क्षेत्र तो कहीं खादिर, वनस्पतियों का, विशेष रूप से अनेक महत्वपूर्ण औषधीय जड़ी-बूटियों का एवं तटीय तथा निकटवर्ती पहाड़ियों पर उगने वाले पौधों का कम होते जाना, नहरों के इलाके में रिसन, लवणता, आदि कुछ ऐसे खतरा हैं, जो ऋषिकेश से गङ्गमुक्तेश्वर तक के ग्रामीण इलाकों में दृष्टिगोचर होते हैं।

ऋषिकेश में मुनि की रेती और गीता भवन, स्वर्णाश्रम क्षेत्र में अनेक नालियां गंगा में गिरती हैं। कुछ नालियां तो नहाने के घाट के समीप ही गंगा में गिरती हैं। त्रिवेणी घाट पर शहर का एक नाला गंगा में मिलता है जो दूर तक नदी को गंदा करता है और इस के पास ही लोग डुबकी लगाते हुए मिलते हैं। बीरभद्र की एन्टीबायोटिक्स फैक्टरी ने एक ट्रीटमेंट प्लांट बनाया हुआ है जिसमें फैक्टरी एवं टाउनशिप के मल-कचरे को ट्रीट किया जाता है। इस ट्रीटमेंट के दौरान भीषण गैस बनती है। इस गैस को कुर्किंग के लिये प्रयोग किये जाने की व्यवस्था करना आवश्यक है। ट्रीटमेंट के पश्चात् जलीय अश श्यामपुर खादिर के पास गंगा नदी में प्रवाहित होते हैं। ऐसा बताया जाता है कि इससे त्वचा में जलन एवं खुजली हो जाती है।

उपरोक्त समस्त समस्याओं का समाधान खोजने के लिये गुरुकुल कांगड़ी विश्वविद्यालय हरिद्वार की 'गंगा समन्वित योजना' (पर्यावरण विभाग, भारत सरकार) की टीम सब पहलुओं का गहराई से अध्ययन कर रही है। समस्त इलाके के पानी, मिट्टी, गन्धे नालों, वनस्पतियों, जंतुओं आदि का निश्चिन्त अवधि पर सैम्पलिंग/प्रोजेक्शन/विश्लेषण/परीक्षण किया जा रहा है। गंगा के दोनों तट पर बसी बस्तियों के तुलन-पत्र बनाने का कार्य चल रहा है। सरकारी एवं गैर सरकारी एजेन्सियों के सहयोग से और स्थानीय लोगों को साथ लेकर ऐसी योजनाएं तैयार की जा रही हैं जिससे प्रदूषण से छुटकारा पाया जा सके, ग्रामीणों का जीवन-स्तर ऊपर उठे, भूमि-कटाव रुके, बाढ़ का आना बन्द हो, ऊर्जा का नवीन स्रोतों को, जैसे बायोगैस, सौर ऊर्जा, पेंडल-पावर के बारे में लोगों को बताया जाये, जिससे वे उन्हें अपनायें। इससे पेड़ों का काटना बन्द होगा और भूमि अपरदन रोका जा सकेगा। प्राकृतिक वनस्पतियों का अध्ययन करके एवं वनस्पति विज्ञान विभाग की बाटिका में चल रहे प्रयोगों द्वारा ऐसे पौधों की तलाश जारी है जिनकी जड़ें मिट्टी को अधिक कुशलता से बांधे रखती हैं और जो कुछ समय के लिये जलप्लावन को भी सहन कर सकते हों। कुछ ऐसे पौधे

कुल लिये गये हैं। ऐसे पौधों को जिसमें वृक्ष भी शामिल हैं, चौड़ी पट्टियों में गंगा के दोनों ओर के तट पर लगाने का कार्यक्रम प्रारम्भ किया जा चुका है। इस प्रकार के वृक्षारोपण में जहाँ गंगा समन्वित योजना की टीम के सदस्य भाग लेते हैं, वहाँ स्थानीय जनता एवं विद्यार्थी भी रुचि लेते हैं और इस पुष्प कार्य में हाथ बंटाते हैं। विश्वविद्यालय के कुलपति श्री जी०बी०के० हुजा, जिनके पवित्र उपक्रम से यह कार्य प्रारम्भ हुआ है, अपने व्यस्त कार्यक्रम में से समय निकालकर योजना के अनेक कार्यक्रमों के लिए बैठते हैं, सब पर गंगा योजना की टीम के साथ फील्ड पर जाते हैं और योजना को कुशल नेतृत्व एवं दिशा प्रदान कर रहे हैं।

उर्वरकों की आवश्यकता फसलों के उगाने में पड़ती है। रासायनिक उर्वरकों के निर्माण में ऊर्जा बहुत अधिक व्यय होती है। सौभाग्य से प्रकृति ने हमें कुछ ऐसे पौधे दिए हैं, जैसे कुछ हरित-नील शैवाल एवं लैंग्युमिनस पौधे जो स्वयं ही प्रकृति में पाये जाने वाले नेत्रजन से नाईट्रेट बना लेते हैं और इस प्रकार फसलों की उर्वरक की आवश्यकता की कुछ सीमा तक पूर्ति करते हैं। इन पौधों को प्रायोगिक स्तर पर नर्सरी एवं प्रयोगशाला में उगाने का कार्यक्रम चल रहा है। आगे चलकर इन्हें लम्बे पैमाने पर गंगा के किनारे पड़ी भूमि पर उगाया जायेगा, विशेष रूप से ऐसी भूमि पर जिसका अभी कोई उपयोग नहीं है।

कुछ ऐसे प्रयोग भी किए जा रहे हैं, जिनसे उन पौधों का पता लग सके जो कारखानों एवं शहर के गन्दे नालों के गन्दे पानी से प्रदूषक तत्वों का चूषण करके उसे साफ करने में सहायक हों। पानी और मिट्टी के फिजिको-कैमिकल केरेक्टर्स के अध्ययन के अतिरिक्त गंगा समन्वित योजना के जो अनेक अन्य कार्यक्रम चल रहे हैं उनमें से कुछ की शलकियाँ आगे प्रस्तुत हैं—

#### १. कांगड़ी ग्राम में भूमि कटाव— (चित्र संख्या १)

बिजनौर जिले में गंगा के तट पर स्थित कांगड़ी ग्राम जहाँ सन् १९०२ में स्वामी श्रद्धानन्द जी ने गुरुकुल कांगड़ी विश्वविद्यालय की स्थापना की। १९२४ में गंगा की बाढ़ ने विश्वविद्यालय के भवन के एक बड़े भाग को बहा दिया। तब विश्वविद्यालय को हरिद्वार लाया गया। चित्र में कांगड़ी ग्राम की तटीय भूमि को काटती हुई सिद्ध स्रोत की धारा दिखायी दे रही है। पूर्वी गंगा नहर के निर्माण के फलस्वरूप सिद्ध स्रोत की इस धारा में पानी अधिक आने लगा है, क्योंकि अन्य धाराएं ब्लोक हो गई हैं और उनका पानी भी इसी धारा में आता है, जिससे कांगड़ी ग्राम को खतरा बढ़ गया है। चित्र में कटाव स्पष्ट दिखाई दे रहा है। पास में सिद्ध स्रोत बह रहा है। बाढ़ से खतरा बढ़ने का एक अन्य कारण नदी से

पत्थरों को उठाने का ठेका देना है, जिस पर रोक लगनी चाहिए या नियन्त्रण होना चाहिए। कांगड़ी से टांटबाला तक के समस्त बाँव कांगड़ी जैसी समस्याओं से ग्रस्त हैं। यदि स्थान-स्थान पर चूँच डैम बना दिए जायें और तट पर वृक्षारोपण कर दिया जाये तो इन ग्रामों को बाढ़ एवं भूमि कटाव से बचाया जा सकता है। अन्य ग्रामों की भाँति विश्वविद्यालय ने कांगड़ी में भी नदी के किनारे की भूमि में उपयुक्त वनस्पतियाँ लगाने का कार्यक्रम प्रारम्भ किया है।

## २. गाजीवाली में गंगातट-वृक्षारोपण— (चित्र संख्या २)

जगजीतपुर टाईप गंगा-तट-वृक्षारोपण का कार्यक्रम गाजीवाली में चलाया गया। चित्र में दिल्ली के एन०सी०सी० के कंटेनर इस कार्यक्रम में भाग लेते हुए तथा झुंड के पौधे लगाते हुए। गाजीवाली कुछ वर्ष पूर्व १९८१ में गंगा की बाढ़ का कोष-भाजन बना जिसके कारण इस ग्राम को उठा कर इसके वर्तमान स्थान पर बसाया गया। इन ग्राम में ११ स्वतन्त्रता सेनानी रहते हैं।

## ३. जगजीतपुर-गंगातट वृक्षारोपण— (चित्र संख्या ३)

कांगड़ी ग्राम की ही तरह जगजीतपुर भी गंगा के तट पर स्थित है। कुछ यहाँ भी ग्राम की भूमि का कटाव गंगा की धारा से होता है। कटाव रोकने के लिए गंगा समन्वित योजना (पर्यावरण विभाग, भारत सरकार) गु० का० विश्व-विद्यालय ने डॉ० वि० शंकर के नेतृत्व में गंगातट पर उपयुक्त वनस्पतियाँ लगाने का कार्यक्रम बनाया है। इसमें किनारे पर ३-४ मीटर चौड़ी पट्टी में सवासुहागन और झुण्ड के पौधे लगाये जाते हैं। तत्पश्चात् इनके पीछे एक ४-६ मीटर चौड़ी पट्टी में उपयुक्त वृक्ष जैसे शीतम, खैर, जामुन, सेमल, मालती, बेरी, सूबबूल आदि लगाते हैं। इनके बीच-बीच में छोटे झाड़ीदार पौधे भी लगाना चाहिए।

चित्र में सवासुहागन एवं झुण्ड के लगाये हुए पौधे दिखायी दे रहे हैं। विश्व-विद्यालय के विद्यार्थी एवं प्राणीय बच्चे वृक्षारोपण करते हुए दिखाई दे रहे हैं।

श्री गोवर्धन बलभद्र कुमार हूजा, कुलपति जो गंगा योजना के कार्यक्रम में विशेष रुचि ले रहे हैं और जिनके उपक्रम से विश्वविद्यालय में पर्यावरण सम्बन्धी अध्ययन एवं कार्यक्रमों का श्रीगणेश हुआ है, चित्र में (टोपी लगाये हुए) बच्चों को प्रोत्साहित करते हुये दिखायी दे रहे हैं। उनके पास ही खड़े हैं गंगा योजना के मुख्य अन्वेषक डॉ० विजय शंकर।

## ४. कांगड़ी-गंगा से पानी का सम्पर्क लेते हुए ग०स०यो० के एक जे०आर०एफ०— (चित्र संख्या ४)

कांगड़ी ग्राम और गु०का० विश्वविद्यालय के पुराने भवन के बीच बहती

हुई गंगा से पानी का संप्लव लेते हुए गंगा समन्वित योजना के एक जे०आर० एफ०। कुलपति श्री जी०बी० के० हूजा आई०ए०एस० (अ०प्रा०) कार्य का निरीक्षण करते हुए। चित्र में बाईं ओर मुख्य अन्वेषक डॉ० विजय शंकर खड़े हैं।

#### ५. गंगा तट-कांगड़ी ग्राम— (चित्र संख्या ५)

तट पर खैर के वृक्ष एवं झुण्ड के पीछे दिखायी दे रहे हैं। ये वृक्ष जल-प्लावन को सहन कर सकते हैं और मिट्टी के कटान को रोकने में सहायक हैं। चित्र में श्री कैप्टन देशराज, मान्य कुलपति श्री जी०बी०के० हूजा जी, डॉ० विजय शंकर और श्रीराम अजोर हैं। इस इलाके में खैर के वृक्ष खूब चलते हैं। इनसे कत्था बनता है। इसका गोंद भी काम में आता है। इनकी जड़ें भूमि की उर्वरकता बढ़ाती हैं।

#### ६. अन-मुषिघाओं का अभाव — (चित्र संख्या ६)

गैसपुर—चण्डी घाट से विजनौर के मार्ग पर स्थित ग्राम का एकमात्र स्कूल जहाँ एक ही अध्यापक है जो विभिन्न कक्षाओं को पढ़ाते हैं। एक ओर यह विद्यालय और दूसरी ओर गहरो में पल रहे पब्लिक स्कूल। इस असमता को कैसे दूर किया जाये ? यह अन्याय कब तक सहा जायेगा ? हम जो स्वयं को शिक्षित कहते हैं, उनका यह फर्ज है कि गरीब और अशिक्षित वर्ग को न्याय दिलाये और उनका जीवन-स्तर ऊँचा उठाये। विश्वविद्यालय के कुलपति के कुशल नेतृत्व में इस विद्या में कांगड़ी ग्राम में विभिन्न प्रयास किए गये हैं, जिससे वहाँ के ३० प्रतिशत परिवारों का जीवन-स्तर ऊपर उठा है। अब अन्य ग्रामों में भी विश्वविद्यालय विकास कार्यक्रम प्रारम्भ कर रहा है।

#### ७. यह कैसा पशुधन है ? (चित्र संख्या ७)

नागल—गंगातट पर बसा एक अन्य ग्राम। यहाँ एक बड़े क्षेत्र में दलदल है, जहाँ टाईफ का बाहुल्य है। साथ ही मिट्टी के ऊँचे-ऊँचे टीले हैं जो प्रायः बनस्पति विहीन हैं। इन्हीं टीलों के पास खड़ी हुई कुछ गाय। प्रत्यक्ष है कि ये अत्यन्त कमजोर हैं और इनकी हड्डियाँ तक दिखायी दे रही हैं। इन्हें पर्याप्त भोजन नहीं मिलता। दूध के नाम पर एक गाय दिन में केवल एक से दो किलो देती है। गौ को माता कहने और मानने वाले हमारे देश में गौ की कितनी चिन्ता इसके सपूतों को है, यह केवल नागल की ही नहीं अनेक ग्रामों की कहानी है। गरीबी के अनेक कारणों में से एक हमारी दूध देने वाले पशुओं के प्रति उदासीनता है। गाँव की खुशहाली के लिए आवश्यक है कि पशुओं को भरपेट चारा मिले, वे स्वस्थ हों, नस्ल अच्छी हो और दुग्ध उत्पादन बढ़े। गाँव में लोगों को गोबर गैस प्लांट के लगाने के लिए उत्साहित किया जाये, जिससे गोबर का उचित उपयोग हो और ऊर्जा की समस्या भी हल हो। ईंधन के लिये जंगलों से लकड़ी काटना बन्द हो।



## ८. हरिद्वार का पवित्र स्थल : हरकी पेंड़ी क्षेत्र— (चित्र संख्या ८)

हरकी पेंड़ी क्षेत्र में ही अनेक नाले गिरते हैं, उनमें से एक नाईसोला है जो इस चित्र में प्लेटफार्म के नीचे से निकल कर गंगाजल में मिलता हुआ दिखायी दे रहा है। इस नाले में परिवारों का मल-मूत्र एवं रसोई की गन्दगी आदि भी बह कर आती है। हरिद्वार के पवित्र गंगाजल को इन नालों से प्रदूषित होने से रोकना हमारा धर्म भी है, फर्ज भी। डॉ० विजय शंकर ने गंगाजल को नालों से प्रदूषित होने से बचाने के लिए कुछ सुझाव जल निगम उत्तर प्रदेश एवं पर्यावरण विभाग, भारत सरकार को भेजे हैं जिनके क्रियान्वयन होने पर हरकी पेंड़ी क्षेत्र का पवित्र जल प्रदूषित होने से बचाया जा सकेगा।

## ९. काला नाला (भारत हेवी इलेक्ट्रिकल्स, रामीपुर)— (चित्र संख्या ९)

भारत हेवी इलेक्ट्रिकल्स के धरमस पावर स्टेशन से निकलने वाला काला पानी एक नाले के रूप में बहता हुआ ज्वालापुर की बस्ती में होकर गुजरता है और बस्ती की गन्दगी को समेटता हुआ गंगा नहर में गिरता है, जिससे नहर का पानी १ कि०मी० आगे तक काला नजर आता है। जनता के अनुसार इससे त्वचा के एवं पेट के रोग हो रहे हैं और घाट पर नहाना एवं कपड़े धोना भी दुष्कर हो रहा है।

## १०-११. हरिद्वार क्षेत्र में इकालाजिकल डिस्टरबेन्स— (चित्र संख्या १०-११)

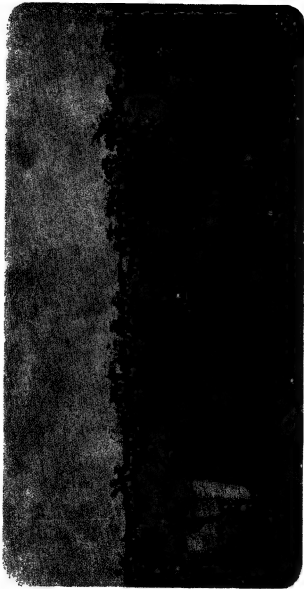
### सतलगाव नाला, हरिद्वार—

यह नाला निकटवर्ती पहाड़ियों से आता है और आर्य-समाज (हरिद्वार) के निकट गंगा के पानी में गिरता है। इससे भी इसके पास बने मकानों, आश्रमों की गन्दगी बह कर गंगा में गिरती है। इस वर्ष निकटवर्ती पहाड़ियों पर इकालाजिकल डिस्टरबेन्स के कारण इस नाले में वर्षा होने पर बहुत अधिक पानी आया। इतिहास में पहली बार इस वर्ष इस नाले में एक एम्बेसेडर कार बह गई जिसका अभी तक पता नहीं चल पाया। पहाड़ियों पर कम होती हुई वनस्पतियाँ एवं नई बस्तियों का निर्माण इसका कारण हो सकती हैं। इन पहाड़ियों पर उपयुक्त पौधों का उगाना अत्यन्त आवश्यक है। इन पहाड़ियों पर उगने वाली जड़ी-बूटियों को अत्यधिक मात्रा में उखाड़ा जाना तथा वृक्षों का काटना भूमि अपरदन को बढ़ाता है एवं भूमि की जल सोखने की क्षमता को घटाता है। जिससे वर्षा का पानी बहुत अधिक मात्रा में बह कर नालों में जाता है। इसका परिणाम हमारे सामने आया। इन पहाड़ियों पर औषधीय पौधें एवं उपयुक्त वृक्ष लगाना अत्यन्त आवश्यक है।



चित्र संख्या १

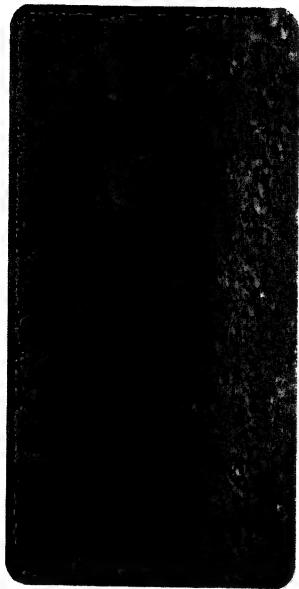
चित्र सख्या २

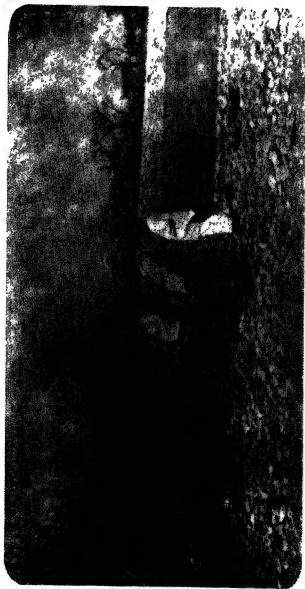




चित्र संख्या ३

चित्र संख्या ४

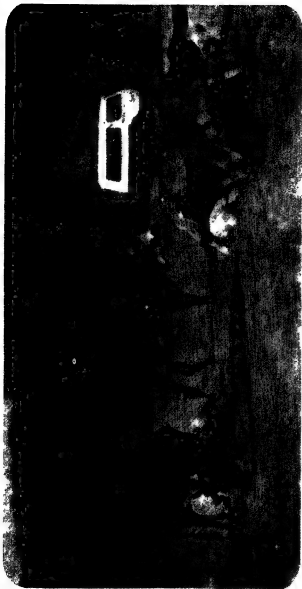




चित्र संख्या ५

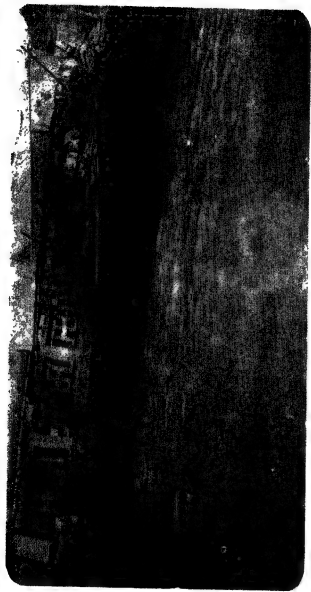


चित्र सख्या ६



चित्र सख्या ७





द्वितीय सख्या ८



चित्र संख्या ६



चित्र संख्या १०



विषय सूची ११



चित्र सख्या १२

## १२. ऊर्जा के लिए वृक्षारोपण— (चित्र संख्या १२)

इसके लिए पापलर, यूकेलिप्टस आदि तेजी से बढ़ने वाले वृक्षों को लगाने की सिफारिश की जाती है। चित्र में यूकेलिप्टस प्लांटेशन का दृश्य प्रस्तुत है, इन्हें श्री विराज जी ने कागड़ी ग्राम के सामने लगाया है, जो चित्र में (दाहिनी बाईं) दिखायी दे रहे हैं। इनके दाहिनी ओर मान्य कुलपति श्री जी०बी०के० हूजा, डॉ० बी०डी० श्रोत्री, डॉ० विजय शंकर खन्ने हैं और बाईं ओर हैं श्री देवराज और श्री राम अजोर। ग्रामा समन्वित योजना का एक कार्यक्रम एनर्जी प्लांटेशन करना व जनता को ऐसे पौधे लगाने के लिए प्रोत्साहित करना भी है जिससे ऊर्जा की समस्या हल की जा सके।

# होमियोपैथिक भेषज द्रव्य

— राजीव क० शर्मा

केन्द्रीय भारतीय भेषज संहिता प्रयोगशाला,  
(स्वास्थ्य एवं परिवार कल्याण मन्त्रालय, भारत सरकार) गाजियाबाद

मानव-सभ्यता एवं चिकित्सा-शास्त्र दोनों ही समकालीन हैं। मानव ने अपनी विकास यात्रा में रोगों के निदानार्थ विभिन्न चिकित्सा पद्धतियों को विकसित किया। वर्तमान युग में आधुनिक चिकित्सा शास्त्र के प्रसार एवं लोक-प्रियता के साथ-साथ अन्य चिकित्सा पद्धतियों की छवि धूमिल नहीं हुयी, अपितु आधुनिक चिकित्सा के चिकित्सोपरान्त उत्पन्न विकारों के कारण स्वदेशी एवं विदेशी प्राकृतिक चिकित्सा पद्धतियों की ओर झुकाव बढ़ा है। किसी भी चिकित्सा पद्धति की निदान क्षमता उसमें प्रयुक्त भेषज द्रव्यों पर पूर्णतया निर्भर होती है। भेषज द्रव्यों का अध्ययन एवं विश्लेषण उस चिकित्सा पद्धति की गुणवत्ता एवं श्रेष्ठता का मानक है। होमियोपैथी भी इस मानक पर श्रेष्ठ एवं निरालापद चिकित्सा पद्धति सिद्ध होती है। होमियोपैथी में विभिन्न प्राकृतिक द्रव्य प्रयुक्त होते हैं जिनका उल्लेख पद्धति की विभिन्न मेटेरिया मेडिका, रेपर्टरी तथा भेषज संहिताओं में उपलब्ध है।

होमियोपैथी, जिसको सदृश विधान चिकित्सा पद्धति भी कहा जाता है, इसका अपना इतिहास लगभग दो सती पूर्व जर्मनी से डॉ० हैनिमैन से प्रारम्भ होता है। इस पद्धति के जनक डॉ० सामुवेल हैनिमैन का जन्म १० अप्रैल सन् १७५५ ई० को तत्कालीन जर्मन साम्राज्य के अन्तर्गत सेकज़नी प्रान्त के माइसेन नामक एक गाँव में हुआ था। डॉ० हैनिमैन शैक्षणिक रूप से आधुनिक चिकित्सा शास्त्र—एलोपैथी के उच्च पदवीधारी चिकित्सक थे। परन्तु उनकी रुचि एवं अनुसंधान प्रवृत्ति ने इस चिकित्सा पद्धति के प्रवर्तक का श्रेय उनको ही दिया। डॉ० हैनिमैन ने सर्वप्रथम औषधियों का निर्माण किया तथा सफल प्रयोगों के द्वारा उनकी उपादेयता मानव जाति हेतु स्थापित की। उन्होंने “सिमिलि सिमिलिबस क्यूरनतूर” (समं समे शमयते) सिद्धान्त को इस चिकित्सा पद्धति के रूप में प्रतिपादित किया तथा “आर्गैनान ऑफ मेडिसिन” शीर्षक से ग्रन्थ रचना भी की। होमियोपैथी के विकास में डॉ० कान्स्टेनटाइन हीयरिंग (सन् १८००-१८८०, अमेरिका, ग्रन्थकार - “डोमिस्टिक फिजिशियन” तथा “घाईडिंग सिम्प्टम्स”), डॉ० टी०एफ० एलन (सन् १८३७-१८०३, अमेरिका, ग्रन्थकार एमसाइक्लोपीडिया

ऑफ व्योर मेटेरिया मेडिका" तथा "हैन्डबुक ऑफ मेटेरिया मेडिका"), डॉ० जेम्स टाइलर केन्ट (सन् १७४६-१८१०, अमेरिका, ग्रन्थकार—"मेटेरिया मेडिका", "रेपेरेरी" तथा "फिलोसाफी"), डॉ० जॉन हेनरी क्लार्क (सन् १८५३-१८३१, ब्रिटेन, ग्रन्थकार—"डिक्शनरी ऑफ प्रैक्टिकल मेटेरिया मेडिका" तथा प्रेसक्राइबर") और डॉ० सी०एम० बोगर (सन् १८६१-१८३५, ग्रन्थकार—"सिनोप्टिक की ऑफ दि मेटेरिया मेडिका", "दि टाइम्स ऑफ रेमेडिज एण्ड मून केजेज, एडिसन टू केन्टस रेपेरेरी" तथा "बोइनिनघसन्स केरेक्टेरिस्टिक्स एण्ड रेपेरेरी") का सहयोग सराहनीय है जिसके फलस्वरूप होमियोपैथी जर्मनी से अमेरिका, ब्रिटेन तथा विश्व के अन्य देशों में फैल गयी। भारत में होमियोपैथी सन् १८३५ ई० में प्रारम्भ हुयी जिसका श्रेय डॉ० हानिंग वर्जर को है।

होमियोपैथी का सिद्धान्त 'समं समे क्षमयति' सूत्र में निहित है। होमियोपैथी का शाब्दिक अर्थ है सदृश विद्यान अर्थात् स्वस्थ शरीर में यदि विष की मात्रा में कोई दवा सेवन कर ली जाय तो रोग के लक्षण स्पष्ट हो जाते हैं और यही लक्षण उस औषधि के भी हैं। जब किसी रोग में ये सब लक्षण प्रकट होते हैं तो उसमें शक्तिशाली यही औषधि प्रयोग करने पर रोग लक्षण लुप्त हो जाते हैं।

भेषज वह द्रव्य है जिसका आन्तरिक अथवा बाह्य व्यवहार स्वरूप शारीरिक विकार दूर हो जाते हैं। होमियोपैथी भेषजों को पादपों जन्तुओं, सूक्ष्मजीवों, रसायन तथा अन्य प्राकृतिक स्रोतों से प्राप्त किया जाता है तथा उनकी प्राप्ति अनुरूप निम्न रूप से वर्गीकृत किया जा सकता है—

१ पादपों से प्राप्त भेषज द्रव्य—इस वर्ग में औषधि सम्पूर्ण पादप, अथवा उसके विभिन्न भागों—मूल, पर्ण, पुष्प, फल, बीज आदि से तैयार की जाती है। उदाहरण—स्ट्रिकनस नक्सवोमिका (नक्स वोमिका), एकोनाइट मेपेलस (एकानाइट), एट्रोपा बेलाडॉना (बेलाडॉना) आदि।

२ जन्तुओं से प्राप्त भेषज द्रव्य—इस वर्ग में औषधियां विभिन्न जन्तुओं, कीटों आदि से निर्मित होती हैं। उदाहरण—सर्प विष (सेनक्सि कान्टोटिक्स, नाजा, वाइपर टोर्बा आदि), मकड़ी विष (आरनिया डीयडेमा, येरिडियाँन, टेरेनटूला क्यूबेनसिस आदि), कीटों (एपिस मेलिफिका, ब्लैटा ऑरियेन्टेलिस, पेडिकूलस आदि), लैक कैनियम, लैक फेलिनम, मोसचस, पाइरोजिनम आदि विभिन्न जन्तुओं के अवयवों से प्राप्त होती हैं।

३ सूक्ष्म जीवों से प्राप्त भेषज द्रव्य—इस वर्ग में भेषज द्रव्य सूक्ष्म जीवों से तैयार किये जाते हैं। उदाहरण—बैसिलिनम, हाइड्रोफोबिलम, सोरिनम, सिलिलिनम, ट्यूबरक्यूलिनम आदि।



४. रसायनों से प्राप्त भेषज द्रव्य—इस वर्ग में भेषज द्रव्य अकार्बनिक तथा कार्बनिक रसायनों से तैयार किये जाते हैं। उदाहरण—

अकार्बनिक रसायन—तत्त्व-ब्रोमिनम, क्लोरिनम, सिलिनियम;  
 अम्ल—एसिडम सल्फ्यूरिकम, एसिडम नाइट्रिकम;  
 धातु—फेरम, कोबाल्टम, स्टेनम;  
 लवण—नेट्रम मूर, काली मूर, फेरम फास;  
 क्षार—एमोनियम कॉस्टिकम, नेट्रम कॉस्टिकम;  
 कार्बनिक रसायन—एल्कोलाएड—बेरेबेरिन, एट्रोपिन, मॉरफिन;  
 ग्लाइकोसाइड—एडोनिडिन, डिजिटोनिन, सेनांसाइड,  
 स्टीरॉयड—कॉलिस्टिरॉल;  
 टरपिन्स—कैम्फर, मैन्थॉल;  
 रेजिन—एबिज नाइत्रा, पाइनस लेम्बेरटियना;  
 एसेनसियल ऑयल—सैण्डल वुड आयल;  
 अम्ल—एसिडम पिकरीकम, एसिडम सारकोलैक्टिकम;  
 फैटी अम्ल तथा तैल—कैस्टर ऑयल, कॉड लीवर आयल।

५. विभिन्न प्राकृतिक स्रोतों से प्राप्त भेषज द्रव्य—इस वर्ग में भेषज द्रव्य विभिन्न प्राकृतिक स्रोतों से प्राप्त किये जाते हैं। उदाहरण—बुम्बक, एक्स-रे, विद्युत, ताप आदि।

होमियोपैथिक द्रव्य मूल अरिष्ट और विचूर्ण रूप में तैयार किये जाते हैं। वनस्पति, जन्तुओं आदि अन्य स्रोतों से प्राप्त द्रव्य को सुरासार के योग से साधारणतः अरिष्ट तैयार किया जाता है। धातु पदार्थ चीनी के साथ खरल में घोट कर विचूर्ण रूप में तैयार किये जाते हैं। मूल अरिष्ट का 'क्यू' तथा विचूर्ण का 'एक्स' चिह्न है। मूल औषधि को दूध की चीनी के साथ खरल में घोटकर तथा फिर सुरासार मिलाकर दारुमिक तथा सततमिक क्रम में तैयार किया जाता है। इसके अतिरिक्त आसवित जल, ग्लिसरीन, ऑलिव तेल आदि के माध्यम से भी औषधि प्रयोग की जाती है।

स्वास्थ्य एवं परिवार कल्याण मंत्रालय ने सन् १९६२ ई० में होमियोपैथिक भेषज संहिता समिति की स्थापना होमियोपैथिक भेषज द्रव्यों की निर्माण पद्धति एवं गुणवत्ता के मानकीकरण हेतु की थी। समिति ने होमियोपैथिक भेषज संहिता प्रयोगशाला गाजियाबाद की सहायता से भेषज संहिता, जिसमें की भेषज द्रव्यों का संकलन है, चार भागों में प्रकाशित कर भारतीय होमियोपैथी को वैज्ञानिक प्रगति दी है।

ऋग्वेद में लिखा है सुनो, औषधि है जल,  
करते हैं इसका आचमन मिलता है इससे बल ।

दूषित न होने पाय नदी कूप का यह जल,  
बस्ती का, फैक्टरी का बहावो न इसमें मल ।

जो चाहते हैं आप इजाफ़ा हो उम्र में,  
पीते न सारी ज़िन्दगी मज़ों की फ़िज़ में ।

बाबो-हवा-ज़मी की पाक-साफ़ कीजिये,  
दूषण के जो सबब हैं उन्हें दूर कीजिये ।

जीने के लिये जल है बहुत कीमती घटक,  
दूषण से इसके ज़िन्दगी हो जायेगी भटक ।

—बि० संकर

**जल—कीमती घटक**

### *The Puritans condemn poverty*

take anything from it. To help the poor was no longer an act of mercy, but the crime of pampering the degenerate and idle ; the true charity was to reform their souls (which was inexpensive), whereas the Poor Law kept wages artificially high. In his pamphlet *Giving Alms no Charity*, Defoe naïvely denounced the 'luxury, pride and sloth' of the wage-earning classes ; and a tract published in 1714 proclaimed that the existence of the poor was necessary to civilisation. For the poor 'have nothing to stir them up to be serviceable but their wants, which it is prudence to relieve, but folly to cure . . . To make society happy, it is necessary that great numbers should be wretched as well as poor' <sup>1</sup> With these sentiments abroad, the administration of the Poor Law at the close of the seventeenth century laid most emphasis on repression. Even Locke, in a report to the Board of Trade, stressed the vice and idleness of the poor, and recommended that they be treated with severity. Newly constructed workhouses gave the poor time to reflect on the error of their ways, but did nothing to make them better citizens.

<sup>1</sup> Mandeville, *Fable of the Bees*.

## CHAPTER V

### THE REIGN OF EDWARD VI

Somerset    The progress of Protestantism    Northumberland.  
                 Cranmer's Prayer Books.

#### *1. Somerset as Protector*

The will of Henry VIII appointed for the minority of his son a Council of sixteen Regents, of whom he intended that none should have precedence over the others. As soon as he was dead, however, Edward Seymour, Earl of Hertford, who was the uncle of the young King, persuaded the Council to nominate him as Lord Protector, and took the title of Duke of Somerset. Somerset was not the right man to govern the country. In many ways he was generous and enlightened. he pitied the poor, and was anxious to relieve their misfortunes; he rightly desired to bring Scotland under the authority of the English Crown, and, although he was himself 'well-disposed to pious doctrine', and 'abominated the fond inventions of the Papists', he was prepared to allow the people to believe what they pleased without being persecuted. But he did not combine enlightened aims with statesmanlike means. Awareness of his liberal outlook gave him a warm conceit of himself, and in his self-conscious idealism he took little account of the opinions and interests of those with whom he had to work. Yet he had not the practical ability to carry his plans to success. His self-confidence blinded him to the impression which others had of him, and his idealism was tarnished by surprising inconsistencies. he was greedy, destructive and high-handed. Finally, even his virtues were inappropriate to the time in which he lived. The new aristocracy

## *Spread of Protestantism*

which the Tudors had created did not appreciate his dreams of social justice ; the age demanded stronger government than he was disposed or able to provide ; and a policy of religious toleration was approved neither by the Catholics nor by the reformers.

Henry VIII had striven to ban ' diversity of opinions ' in the Church of which he was the Head ; but when Somerset relaxed the strictness of his rule, the way was open for the apostles of the New Learning freely to spread their doctrines among the people. Parliament repealed the more severe of Henry's measures : his Treason Act, the Statute of Proclamations, and the Act of the Six Articles, it also repealed the old Lollard statute, *De Haeretico Comburendo*, and no longer insisted that the Mass be celebrated in Latin. Archbishop Cranmer was known to have eaten meat in Lent ; the laity were allowed to take Communion in both kinds ; the clergy were given permission to marry. It was clear that the Church had come under a more lenient hand. The measures of the previous reign were withdrawn because ' as in tempest or winter one course and garment is convenient, in calm or warm weather a more liberal race or lighter garment both may and ought to be followed and used ' . The day of battle was past, the royal supremacy was assured ; in a more peaceful atmosphere men might breathe the air of freedom.

The reformers did not waste their opportunity. Protestant zealots, hitherto restrained by their wholesome fear of Henry, poured into the country from abroad ; not Lutherans only, but the apostles of a harsher creed, the envoys sent by Calvin from Geneva. During Edward's short reign foreign divines and foreign congregations won a remarkable hold on England. The Italian Peter Martyr was a Professor of Divinity at Oxford, Martin Bucer at Cambridge, although he could speak no English ; John à Lasco, a fugitive from Poland, was pastor of the foreign community in London ; the German Paul Fagius was Reader in Hebrew at Cambridge, there were congregations of French-speaking Walloons at Canterbury and Glastonbury. This

## *The Reign of Edward VI*

foreign invasion had a permanent effect on the English Church. It destroyed the insular settlement which Henry had created and sought to maintain, and brought England into the stream of controversy which had already made its course through the continent. The English fell to debating the doctrine of grace, the validity of the Eucharist, and similar questions of theology, on which Henry had ordered them to live in 'unity and concord'. 'Transubstantiation, I think, is now exploded', wrote Peter Martyr late in 1548. The English Church had a brief age of theological debate, when all men were free to advance their opinions without fear of political penalties.

But it was not long before Somerset and Cranmer, and the more moderate leaders of the Protestant movement, began to be alarmed by the swift and violent changes which the extreme reformers were eager to introduce. In 1547 the hand of the government had fallen on the chantries and religious institutions of the guilds, and appropriated their endowments, on the ground that they were nurseries of superstition. (Some of the ecclesiastical property seized during this reign was devoted to education, usually in the form of a restoration of previous endowments. Those schools which acknowledge Edward VI as their founder were the schools which his ministers did not finally destroy.) The dissolution of the chantries was greeted as 'the last dish of the last course', after chantries, as after cheese, nothing is to be expected'. But it inspired a widespread and comprehensive attack on images, in which the iconoclasm which sprang from conviction was indistinguishable from a more secular iconoclasm which saw profit in the looting of churches. With the object of checking this destructive irreverence, and of setting limits to the doctrinal changes which the advanced reformers were attempting to make, the government drew up the first uniform service-book of the English Church; and parliament, in accepting it, passed in 1549 an Act of Uniformity to compel its use. This Prayer Book was the work of Cranmer. It bore the mark, not only of his perfect command of the English language, but of his inborn love of compromise. Cranmer was not a

### *Cranmer's compromise*

zealot ; in Henry's reign his mind had moved slowly in the direction of doctrinal reform, but he rejected the harshness and dogmatic certainty of the continental creeds. Because he was not a fighter, and because his beliefs did not harden into an infallibility which would admit no alternatives, he chose to tread that middle path of compromise which led to the settlement of Elizabeth. When Elizabeth and her ministers had to make their difficult choice between the old faith and the new, they had Cranmer's moderation to guide them on a course which otherwise they might not have known how to take. The Prayer Book of 1549, therefore, was conservative and embracing, the Catholic Tunstall and the Puritan Hooper could each conform to it. The form of worship which it prescribed did not strain the regulations of the King's Book of 1543, nor did it vex the consciences of the ardent reformers from Switzerland. In 1549 the practice of the English Church differed in only three respects from the practice decreed by Henry VIII. for the sake of simplicity of worship, images had been destroyed ; the clergy were allowed to marry ; and the cup was administered to the laity at Communion.

The middle course which Somerset and Cranmer attempted to steer outraged the conservative temper of the people. When the new service-book was introduced at Whitsuntide, 1549, the men of Cornwall and Devon arose to demand a return to the immemorial practices of the Church. The overthrow of the religious houses had brought the land into the possession of the worst type of landlord, and now the profanation of images, and the new Liturgy, had taken away symbols that had been full of reverent associations for rural folk. The new services were 'like a Christmas game'. The rebels asked for the Mass, the Six Articles, and the restoration of the monasteries. They were defeated, but their resistance was fierce ; and the government's use of foreign mercenaries seemed to the West-countrymen to be typical of the alien ungodliness that was taking hold of the land.

The Western revolt was the beginning of Somerset's fall. Ket's rebellion in East Anglia next turned against him the sym-

## *The Reign of Edward VI*

pathies of the landowning classes For Ket did not rise against religious change, which was welcome in the radical Eastern counties ; he rose to protest against enclosures, low wages and the high price of corn The rebellion was not immediately crushed, because Somerset sympathised with its objects He had set his heart on checking the spread of enclosure, and therefore he was prepared to come to terms with the rebels ; he even offered them a free pardon, and agreed to discuss their grievances, if they would disperse. This generosity did not please the members of the Council, whose interest as landlords it injured, and the rebellion was crushed by the Earl of Warwick, assisted by German and Italian mercenaries, at the battle of Mousehold Heath Under Warwick's leadership, the Council turned angrily on Somerset. His sympathy with the poor was dangerous to men of property. His opposition to sheep-farming encouraged the poor to riot ; and he held a Court of Requests in his own house, to which he encouraged them to bring their complaints He had further alarmed the nobility by ordering the execution of his brother, Lord Seymour of Sudeley, the Lord High Admiral. Seymour was clearly a traitor ' a man ', declared Latimer, ' furthest from the fear of God that ever I heard or knew of in England ' Although he was Admiral, he made a secret compact with the Channel pirates ; he issued his own debased coinage : and finally he collected armed retainers and began to plot the overthrow of the government. But even a traitor is entitled to a fair trial ; and Somerset dealt high-handedly with him, sending him to the scaffold before he had made his defence What Somerset had done once he might do again ; he had that idealistic sort of arrogance which, convinced that its cause is just, is contemptuous of the forms of legality. There were some uneasy consciences in the Council, and Warwick and his followers determined to bring Somerset's authority to an end In October, 1549, he was deprived of his office and sent to the Tower, and in 1552 he was executed on charges manufactured by the new rulers of England The poor lamented the end of the ' good Duke ', and dipped handkerchiefs in his blood.



## *Somerset's failure*

Admiration of Somerset's aims must be qualified by criticism of his methods. A doctrinaire idealist was not the man to govern Tudor England, and he failed to achieve his objects, either because they were themselves inappropriate to the time, or because he blundered in their execution. His social policy provoked disturbances with which the government scarcely had the resources to deal. His toleration released the disintegrating energies of headstrong reformers who tried to force the English Church to logical, but unwelcome, extremes. Finally, his attempt to attach Scotland to the English Crown drove the Scots into the arms of the French. He desired a united country, 'having the sea for wall, and mutual love for its garrison'; and, sensibly enough, he revived Henry's plan of marrying Edward to Mary. But, again like Henry, he did not realise that union must depend on the unforced consent of the Scots. He crossed the Border in the full panoply of war and routed the Scots at Pinkie. The victory was fatal to him; this rough manner of wooing enraged the Scots, and Mary was hurried to France and betrothed to the Dauphin. Somerset was content with the laurels of the victor, and abandoned the policy which he had tried so clumsily to carry out.

### *2. The Regency of Northumberland*

Somerset's authority was seized by John Dudley, Earl of Warwick, who in 1551 suppressed Tunstall's see of Durham and made from its estates the Dukedom of Northumberland. He was the son of Edmund Dudley, Henry VII's tax-gatherer, and he possessed in full measure those vices of greed and acquisitiveness with which his father was less reasonably charged. During the years when he was at the head of the Council of Regency—he did not take Somerset's title of Protector—the country was governed in the narrow interests of the landowning class. No thought of patriotism, or even of Somerset's misdirected idealism, came to relieve his dreary, oppressive selfishness. Except that he claimed to be a sincere Protestant, he did not do even hip-

## *The Reign of Edward VI*

service to any higher motive than personal ambition. He neglected the common obligations of the government. He debased the coinage, until the shilling contained only three-pennyworth of silver ; he legalised enclosure, and punished as treason any concerted attempt by its victims to protest against it , he starved the navy, which had been Henry's pride, withdrew the English garrisons from Scotland, allowed the French to buy back Boulogne, and neglected the scanty force which was clinging to Calais Henry's fears for the succession had been justified. Here again was the overmighty subject, secure in his pride and master of the government ; and under him the country fell again into lawlessness and chaos. To protect his authority, Northumberland persuaded parliament to revive most of the vicious provisions of Henry's Treason Act, but he did not feel himself safe unless he was attended by a bodyguard of foreign mercenaries.

In the story of the Reformation Northumberland's Regency is important because his calculated acquisitiveness led him to permit the further advance of the Protestant religion. He had two reasons for deciding to continue the religious policy of the Protectorate. If he had declared in favour of the old religion, he would have been obliged to admit Norfolk and the Catholics to some share of his authority ; and, secondly, Protestantism offered him the prospect of plunder. At once, then, he announced his zeal for the new faith, and the reformers acclaimed him as ' that most faithful and intrepid soldier of Jesus Christ ', worthy to be compared, in his pious enthusiasm for religion, with Moses and Joshua of former times. The first measure of his first parliament was an act for the removal of images, ' images of stone, timber, alabaster or earth, carved or painted '. In its anxiety to remove all that might tempt the people to superstition, the government laid its hands on ' all the jewels of gold and silver, as crosses, candlesticks, chalices, and all other gold and silver and ready money . . . and all copes and vestments of cloth of gold, cloth of silver, and cloth of tissue ' Bells, proclaimed by the revolutionaries of another age to be ' trinkets of the eternal Father ', were destroyed ; the lead was even torn from the roofs

## *The second Prayer Book*

of churches, to be melted down. Churches were used as stables, livings were presented to grooms and gamekeepers, while their masters appropriated the revenues.

While the rulers treated religion as a means to serve their greed, there were many who looked on these changes with sincere approval. The self-interest of courtiers is no index of the feelings of the people; while most Englishmen deplored and hated Northumberland's vandalism, the creeds of Luther and Calvin gripped the minds of some with a strength of purpose which persecution was to be unable to shake. John Knox, banished from Scotland and dwelling in the North of England, acclaimed Northumberland's policy as the fruit of a godly mind, and such divines as Ridley and Hooper, who was reluctant to become a Bishop because he was required to wear obnoxious vestments at his consecration, moved much farther from the old faith than Cranmer was prepared to go. Cranmer had watched these changes with an uneasy heart, and in 1552 he was called upon to sanction them in a revision of the Prayer Book. The comprehensiveness of the first Book, which allowed the Communion service to be regarded as a modified form of the Mass, did not satisfy the Swiss reformers, who treated the ceremony of the Eucharist as purely commemorative. The second Prayer Book of Edward VI defined more precisely those points of doctrine which the first Book had deliberately left vague; and, under the growing influence of continental theologians, defined them in favour of Swiss ideas<sup>1</sup>. The term 'minister' took the place of 'priest', and 'table' of 'altar'; the doctrine of transubstantiation was rejected, and a special Rubric was inserted to accommodate the scruples of Knox, who protested that it was idolatrous to kneel when receiving the bread and wine. The second Act of Uniformity, unlike the first, compelled laymen to accept the new service, on penalty of excommunication; it was, therefore, the first of the long series of acts obliging Englishmen to go to Church and to participate in the form of worship which the state prescribed. But Cranmer and the more conscientious

<sup>1</sup> Zwinglian, rather than Calvinist.

## *The Reign of Edward VI*

reformers could not be satisfied with this policy of continually introducing by royal command religious changes for which the minds of the people had not been prepared. Martin Bucer warned the King of the danger of 'taking away by force false worship from your people without sufficient preliminary instruction', and to counter the forces that were only destructive, Cranmer laboured to reform the canon law and to provide the new national Church with a uniform statement of dogma. Much as the canon law needed to be overhauled, his *Reformatio Legum Ecclesiasticarum* was rejected by a secular government because it proposed that the clergy should exercise their full mediaeval jurisdiction. Cranmer had to be content with the Forty-two Articles of Religion, which were sanctioned by the Council in 1553. He hoped that they would lead the people into the 'concord and quietness in religion' which was his dearest aim. The articles were liberal in scope. Although they condemned the more serious errors of the Catholic Church, they asserted free will, as well as Luther's doctrine of justification by faith, and tactfully omitted to define good works. They were Cranmer's last attempt to guide the English people along the *via media* which he had chosen for himself.

### *3 The succession plot*

The confused changes of Edward's short reign were halted in 1553 by the death of the King. Although he was not yet sixteen, he had already shown the Tudor gifts of learning, statesmanship and ruthlessness; it is not possible to surmise what course English history would have taken had he lived to develop a will and a policy of his own. For Northumberland, his death was fatal; even though his health had for so long been delicate that Northumberland had made his preparations to meet the event. Henry VIII's will declared that if Edward died without issue, he should be succeeded by Mary and Elizabeth. Northumberland had made it his business to acquire a personal ascendancy over Edward, and he urged that, if Mary were allowed

### *Northumberland overthrown*

to be Queen, the Protestant faith would be undone and the old religion restored. The appeal to his piety persuaded Edward. He declared his half-sisters to be illegitimate—this had been done before—and left the Crown by will to the Protestant Lady Jane Grey, who was the grand-daughter of Henry's sister Mary by her marriage to the Duke of Suffolk. With Lady Jane on the throne, Northumberland's influence would be secure; for he had already taken the precaution of marrying her to his son, Lord Guildford Dudley.

His disillusion came swiftly. Edward died on July 6th, and on August 3rd Mary entered London in triumph, with the enthusiastic support of the Protestant population of East Anglia. Northumberland tried to save himself by recanting. When he knew that his cause was lost, he proclaimed Mary to be Queen; and in a further hope of winning her forgiveness, he declared that at heart he was a Catholic, and always had been so. These shifts did not save him, and with two followers he went to the scaffold. The unopposed accession of Mary vindicated Tudor methods of government. The people welcomed her, though she was the Spanish daughter of a Spanish mother; the Protestants of Norfolk helped her to her throne, though they knew her to be a Catholic; for she was a Tudor, and Tudor rule meant orderly and pacific government. The people chose to abide by the will of Henry VIII, and rejected the reign of adventurers, profiteers, and European zealots. All the conditions were present for fifteenth-century anarchy and civil war, but the people, drilled into law-abidingness by the first two Tudors, preferred to be loyal to tradition and the hereditary succession. The collapse of Northumberland's conspiracy paid a tribute to the Tudor 'despotism', by showing that sixteenth-century England endorsed it and found it to be necessary.

## CHAPTER VI

# THE CATHOLIC REACTION AND THE SETTLEMENT OF ELIZABETH

The Spanish marriage    The restoration of Catholicism  
Mary's persecution.    The difficulties of Elizabeth    The  
   settlement of 1559

### 1. *The Spanish marriage*

History is unsympathetic to failures. The reputation of Mary Tudor has suffered the fate of those who fight for losing causes, and posterity at least owes her the service of reconsidering her actions in the light of the faith which she inherited and for which she struggled with all her heart. She was the most honest and the least ruthless of Tudor sovereigns. Her court and her government were uncorrupt, she refused to debase the coinage, and toiled to pay the crushing debts which the Regents had left her, she tried to help the poor; she cared for the country's welfare, and deeply felt the humiliation when Calais was lost. Moreover, she was compassionate towards the men who had wounded her mother and burdened her own childhood with years of indignity and suffering. By nature pitiful and magnanimous, she forgave most of the rebels who plotted against her throne; no sixteenth-century ruler was more generous to traitors. But her mind and spirit dwelt in the past. In the misery of her childhood she was consoled only by her unswerving faith in her religion. She cared more for her people's souls than for their bodily estate, and she believed that their souls would be safer in the keeping of the priests of a foreign Church than of the zealous ministers whom her brother had appointed. Her Spanish confessors had

### *Mary's early changes*

taught her that it was her sacred mission to lead her subjects again into the charge of the Universal Church. The inexorable demands of her mission made her deaf to the counsels of expediency, and turned her natural clemency into a pitiless indifference to human suffering. She persecuted heretics, while she pardoned traitors, because in her sight offences against the state were trivial by the side of offences against the Church. Her beliefs were, in the best sense, mediæval, and this alone was enough to condemn her rule to failure in sixteenth-century England.

Change was gradual at first. The first parliament of the reign repealed all the ecclesiastical legislation of Edward VI, and restored 'divine service as used in England in the last year of Henry VIII's day'. The Prayer Book was suppressed, and the Mass was celebrated once more in Latin; the Catholic Bishops—Gardiner of Winchester, Bonner of London, Tunstall, Heath of Worcester, Day of Chichester—were released from prison and restored to their duties; Norfolk and Courtenay received back their estates; and, while the foreign preachers and their allies hastened from the country, several of the Protestant Bishops were arrested, ostensibly on the ground of having assisted Northumberland's treason. Had she been content with these conservative changes, Mary would have retained the popular support which had brought her to her throne. After the chaos and misgovernment of the minority, the mass of the people cried for the strong rule of 'good King Harry's' day, and desired nothing better than to order their religion as he had left it. Although some had accepted the new religion in simple piety, and were strong enough in their faith to die for it, the recent changes had been too swift and confused, and too obviously inspired by material concerns, to take root among the people. The nation was still Catholic, it still desired the Latin Mass and the sacraments and the ceremonies of the old religion. But it did not desire to return to Rome, it insisted that Mary, like her father, should be the Supreme Head of a Catholic but national Church.

Mary, however, did not read the signs. She lacked the Tudor flair for estimating public opinion, further, she was fighting for

### *The Catholic Reaction*

wider issues than the independence of a secular, state-controlled Church. Thus she did not take warning from the things which her parliament refused to do. It refused to restore the ecclesiastical property which had passed into secular hands, to declare that Henry had been lawfully married to Catherine of Aragon, to repeal Henry's ecclesiastical legislation as well as his son's, or to exclude Princess Elizabeth from the succession. Above all, Mary would not be guided by her people in the choice of a husband. Gardiner, whom she had appointed to be her Chancellor, joined with Council and parliament in advising her to marry Edward Courtenay, Earl of Devon, great-grandson of Edward IV, or Reginald Pole, a descendant of the Duke of Clarence. National sentiment demanded that she should choose her husband from among her own people, but Mary had other plans. Her principal adviser was Simon Renard, the ambassador of the Empire, and from him she learned that Charles V wished her to marry his son Philip, heir to the throne of Spain. For Charles the match offered important dynastic advantages: the English navy and the Spanish army could master the world. Mary saw it with different eyes: with the King of Spain to share her throne, she could carry through her cherished plan of forcing her people, whether they wished it or no, back to their old allegiance to the Pope.

She still would not be warned when the project of the marriage drove the English into revolt. Union with Spain revived for them all the things which they had grown to hate. Rome and the domination of an alien Church, the Inquisition, the rule of priests, and the prospect of fighting endless wars in Hapsburg interests. A conspiracy was organised to depose Mary and set Elizabeth and Courtenay on her throne. Risings in the Midlands and the West broke down, but Sir Thomas Wyatt led the Kentishmen on London. Mary was Tudor enough to make a spirited speech to the citizens of London, and they rallied to defend her Crown; Wyatt's men were trapped at Ludgate, and the conspiracy was broken. Wyatt and about a hundred of his followers were put to death, and Mary was obliged to execute Lady Jane



### *Mary marries Philip*

Grey and her husband, who were innocent of any crime and any guilty intention ; and if she had listened to Renard, she would have executed Elizabeth and Courtenay too, and not have released them from the Tower after a short imprisonment. Then she pressed on with her plans. Fearing the 'naughty and disordered behaviour' of her subjects, she charged them in a proclamation to use Philip and his train with 'courtesy, friendly and gentle entertainment, without ministering towards them any manner of cause of strife or contention' ; but when Philip came to England, he wore a shirt of mail and had his own cook to prepare his food. He was married to Mary in Winchester Cathedral in July, 1554. They were acclaimed as 'King and Queen of England, France, Naples, Jerusalem, and Ireland, Defenders of the Faith, Princes of Spain and Sicily, Archdukes of Austria, Dukes of Milan, Burgundy and Brabant, Counts of Hapsburg, Flanders and the Tyrol'. Council and parliament did all in their power to rob the match of its disastrous effects. They refused to crown Philip as King of England, the marriage treaty stipulated that Mary was to control England's politics and handle its revenues ; that no foreigner should hold command in the army or navy ; that England should not be drawn into purely Spanish wars ; and that a son of the marriage should rule in England, Burgundy and the Netherlands, but not in Spain. The marriage answered the betrothal of Mary Stuart to the French Dauphin, and served the interest of the all-important trade with Flanders ; but when all had been said in its favour, the English felt it to be humiliating and dangerous, tying the country 'to the tails of the Spanish galleons, like cockles floating on the sea'. It undid the labours of the Tudors to make England independent of continental ties. Marriage was the weapon with which the Hapsburgs were wont to conquer ; might they not master England and reduce her to the servile status of the Netherlands ? Mary had made England an unwilling accomplice in Spanish designs ; and the partnership was even to be one-sided, for Spain refused to admit English merchants to the lucrative commerce of South America.

## *The Catholic Reaction*

### *2 Mary's persecution*

In November, 1554, Mary summoned her third parliament and the work of conversion began in earnest. So far, the Catholic reaction had made little headway. The measures of the previous reign had been repealed, and about a fifth of the clergy had been deprived, most of them because they were married. Charles V had advised Mary, through Renard, to go slowly, for he had feared that a too drastic programme of reform would provoke the whole country into revolt against the marriage before it had been accomplished. To that end he had kept the zealous Pole out of England until Philip was safely married. But in the winter of 1554 Pole arrived, with the authority of papal legate, to receive England back into the arms of the Mother Church. It is unsafe to speak of 'packed' parliaments in Tudor times, for the electors often showed themselves to be uncompromisingly independent of the wishes alike of the government and the magnates, but Mary's third parliament was more amenable to her wishes than either of its predecessors had been. Once satisfied that the monastery lands were not to be taken from them,<sup>1</sup> the members readily endorsed the government's policy. Having reversed the attainder against Pole which had been carried under Henry VIII, they besought his forgiveness for the country's backsliding, and asked to be reconciled with Rome. Although England had 'declined from the unity of Christ's Church', Pole had come 'to call us home again into the right way from whence we have all this long while wandered and strayed abroad'. Pole solemnly absolved them from the sin of schism, and they hastened to complete the work of reaction. They revived the old statute *De Haeretico Comburendo*, and repealed, with certain exceptions, all the anti-Roman legislation passed in England since the twentieth year of the reign of Henry VIII. They were willing enough to repeal the Act of Supremacy, and to restore the Bishops and the canon law to their old

<sup>1</sup> Those who held the estates of the Church might 'without scruple of conscience enjoy them, without impeachment or trouble by pretence of any General Council, canon or ecclesiastical law'

## *The Protestant martyrs*

authority, but they haggled over the provisions which concerned finance. Not only did they refuse to restore the Church property which had been appropriated, they retained the measures passed in 1529 against probate and mortuary fees, and surrendered annates to the Pope only after a warm struggle. The Queen restored the ecclesiastical property which was still in the possession of the Crown, but her example was not followed by her people, of all the dissolved monasteries only Westminster, Sion, Smithfield, and Greenwich were restored to life.

Catholic orthodoxy was now clearly defined by the law, and the persecution of heretics began. The responsibility for it must lie with Mary herself and Cardinal Pole; and, to a smaller extent, with Gardiner. Philip and Renard cannot be made to shoulder the blame, or even to share it. They wished to pacify the country, and reconcile it to the Spanish marriage and Spanish policy; knowing that persecution would inflame the passions which they were most anxious to calm, they counselled Mary to be moderate. Nor did the persecution mask any motives of political ambition or material greed. It had no other purpose than to rescue English souls from heresy. Pole and the Queen were sure that their sacred work could be done in no other way; and Gardiner, repenting that he had once assisted his master to repudiate Rome, was willing to adopt the methods he had seen Henry use so successfully. The Protestant martyrs did not die in vain, and there were few of them that had no memorial. The moving pen of a skilled reporter has told of their sufferings, and in the colourful and harrowing pages of the *Book of Martyrs* they have received the honour which was the due of their quiet, uplifting courage. It is from their persecutors that due honour has been withheld. Mary's motives were as pure as theirs, and if the weapon which she used was ugly and mistaken, her father had used it before her, and rulers of every faith were using it wherever she turned. Miguel Servetus, whom Calvin burned at Champel in 1553, was the first Protestant martyr slain by Protestant intolerance; and at Augsburg in 1555 the powers of Europe agreed to the principle to which Mary appealed, that

## *The Catholic Reaction*

each ruler might establish his own religion within his territories. Finally, it must be remembered that, when Mary began her work, she did not foresee how far it would lead her. Prompted by Gardiner, she did as her father and Cromwell had done - she did not fear to strike at the most eminent of her enemies, in the hope that their fate would melt the resolution of humbler men. To the same purpose, every martyr was given the opportunity to recant. In recent years men had changed their faiths so easily and so often, that Mary was unprepared for the stubbornness of the men who resisted her. There can be little doubt that if the first victims had been men of weaker grain, and had recanted as the government implored and wanted them to do, Mary would have achieved her purpose almost without shedding blood.

The first man to die was John Rogers, Canon of St. Paul's, the author of *Matthew's Bible*. He stretched his hands in the flame 'as if it was cold water', and 'died as quietly as a child in his bed'. Hooper was burned in a slow fire at Gloucester, with his pardon before his eyes; Ferrar of St. David's died at Carmarthen, and Latimer and Ridley at Oxford. The courage of these men carried the day. They removed from the new religion the disgrace with which the mercenary policy of Northumberland had stained it, and showed that 'if Protestants did not know how to govern, they knew how to die': their example sanctified their cause, and condemned Mary's policy to fail. Gardiner died at the end of 1555, and Philip left the country, but the useless suffering went on. Cranmer was burned in the following March, cheating the government at the last of a public recantation of his heresy, and with Pole installed at Canterbury, the fires of Smithfield burned still more fiercely. During the reign about three hundred people were sacrificed, some sixty of them being women. Most of the victims dwelt in the areas round the main roads between London and the ports; only forty-four came from outside East Anglia and the Home Counties, and only two from north of the Humber. Mary knew that she was failing, but her creed would not allow her to relent; the persecution ceased only when she died.

### *The loss of Calais*

Religion was not Mary's only failure. She failed to bear a child who would carry on her work; she failed to hold the affection of the husband to whom she was devoted. When he realised that she would not give him a son, Philip callously deserted her and went abroad, and Renard, now accepting the Princess Elizabeth as heir to the throne, schemed to marry her to the Catholic Duke of Savoy. But the final tragedy of Mary's life was still to come: in the last year of her reign the dutiful daughter of Rome saw her country at war with the Pope. The Spanish marriage was to blame. Cardinal Caraffa, who had become Pope Paul IV, was an ardent Neapolitan; and although he was a sincere and pious churchman, his secular ambitions hindered his pursuit of religious ends. He tried to use the resources of the Papacy to drive the Spaniards out of Naples, and in his quarrel with Philip he sought, and readily obtained, the help of France. Mary's conscience was torn between her duty to the Pope and her duty to her husband. The worldly interest prevailed, and England entered the war on the side of Spain, and the Pope retaliated by depriving Pole of his authority as legate. The war justified all the forebodings which had possessed the people since the odious Spanish alliance had been made. The country was distracted by bad harvests and epidemics; parliament responded half-heartedly to the Queen's appeals for subsidies, and foreign bankers would not charge less than 14 per cent on loans. England could not bear her part in the war, she could not even defend what was already hers. When the Governor of Calais asked in despair for men and money, Mary wrote to assure him that 'no attack on Calais was intended'. Before her letter arrived, the Duke of Guise appeared before the walls with 25,000 men. In January, 1558, Calais surrendered, and Guisnes a fortnight later. In fact Calais was well lost. It was an obsolete survival of mediaeval wars and mediaeval hopes. As long as it was held, the rulers of England were tempted to undertake absurd and unpractical campaigns to extend their dominions in France, nor, since the develop-

### *The Settlement of Elizabeth I*

ment of new trade-routes, was it any longer so valuable as a staple. But these considerations did not mitigate the humiliation of the country, and the Queen felt it as keenly as any of her subjects. It was the crowning misfortune of her reign. A few months later she died, in the knowledge that she had failed in the mission to which she had dedicated all her powers and all her energies. In the imperishable words with which Castellio had rebuked Calvin 'to burn a man alive does not defend a doctrine but slays a man'. Like the Inquisition and like Alva's Council of Blood, the fires of Smithfield had nourished the heresies which they sought to destroy. Mary's short reign was decisive in the history of the English Church. it proved that persecution for conscience's sake was alien to the English, and was hated by them as the business of priests and foreigners. After her day no Englishman was burned for his religion. Both Catholics and Puritans would die for their beliefs, but always the government would insist that their offence was treason against the state.

#### 3 *The settlement of 1559*

The great reign of Elizabeth I opened in dismal circumstances. England was 'ragged and torn with misgovernment'; it was tied to the interests of a foreign power; the treasury was empty in the face of debts of over a quarter of a million pounds, most of them burdened with a 'biting' interest. The Privy Council lamented to see 'the realm disordered, all things dear, the French bestriding the realm, one foot in Scotland and one foot in Calais, certain enemies and no certain friends'. But the first problem of the new government was to contrive a settlement of religion. For nearly twelve years there had been confusion, as the people changed their faith in obedience to the varying whims of their rulers; Elizabeth's achievement was to draw up a settlement that was too comprehensive in its terms to give offence, and, by identifying it with good government and national independence, to make it the basis of a distinctive Anglican Church.

Elizabeth and her advisers could not merely restore the past.

## *Elizabeth's problem*

Although most of the people would have preferred to return to the personal and arbitrary settlement made by Henry VIII, too much had happened since his death for this to be possible. The Protestant missionaries who had invaded the country under Edward VI had left indelible marks behind them; Mary checked the swing to reform, and drove the nation too hard in the opposite direction. In this confusion the old landmarks of 1547 were uprooted, and it would have been folly to try to put them back. Elizabeth could not ignore the last twelve years, she must either favour one of the two extremes, or pick her way between them. Her character fitted her for the political responsibilities of her task. The cast of her mind was secular, she cared only for the nation, and not at all for conscience. The dangers of her youth, when any indiscretion might have brought her to the scaffold, had taught her to repress or discipline her emotions, and the natural bent of her Renaissance mind inclined her to despise idealism and strong passions. Men's consciences were safe in her keeping, for she 'would make no windows into their souls'. Her only concern was to settle the religious quarrels which vexed the country, so that her people could live together in unity and peace, and in the strength which is born of them.<sup>1</sup>

At first she may have been tempted to adopt a moderate form of Catholicism. The people had resisted Mary's policy because they had associated it with Spanish and clerical tyranny; but at heart they were predominantly Catholic, and tradition would reconcile them to the old Burgundian alliance. Further, if the Pope could be persuaded to declare Elizabeth legitimate, the claims of Mary Stuart, and all other Catholic pretenders to the throne, would be silenced. The Protestants were not strong enough to threaten her crown, and had no serious candidate in whom to invest their claims. Lastly, a Catholic England would be safe from the attack of France and Spain and the menace of the Counter-Reformation. But Eliza-

<sup>1</sup> She declared that her aim was 'to secure and unite the people of the realm in one uniform order to the glory of God and to general tranquillity'.

## *The Settlement of Elizabeth I*

beth rejected the counsels of safety, she had a braver rôle to play. She was Anne Boleyn's daughter, and from her birth she had been the symbol of Henry's breach with Rome; her proud sense of England's independence, as well as of the political rights of secular sovereigns, found subjection to the Pope to be intolerable. Like her father thirty years before, she stood for independence and an English Church controlled by Englishmen; in defiance of Catherine of Aragon's daughter, the champion of a foreign Church and foreign interference.

She began cautiously; her position was too weak for her to do otherwise. Preaching at Mary's funeral, the Bishop of Winchester likened Henry VIII to Uzziah, and warned Elizabeth against becoming Head of the Church, '*Laudavi mortuos magis quam viventes; sed feliciorum utroque iudicavi qui necdum natus est*'. For his importunity he was ordered to keep his house, but he was not otherwise molested. A proclamation in the first month of the reign forbade 'the breach, alteration or changes of any order and usage presently established'. Bonner and the Catholic Bishops went about their duties, and the Spaniard de Feria reported that the new government was showing no inclinations towards revenge. Each man could interpret for himself the significance of the enigmatic 'etc' which followed the Queen's titles on public documents. But in small ways Elizabeth made plain what she intended. Most of her Councillors were men with Protestant sympathies, some heretics were released from prison, and the 'wolves' of Geneva and Frankfort were heard again in the pulpits. On Christmas Day, when the Bishop of Carlisle insisted on elevating the Host, the Queen left the service. The Mass was not celebrated at her coronation, and she objected that the sacred oil 'was grease and smelled ill'. At the opening of parliament she shouted to the Abbot and monks of Westminster, who approached her with lighted tapers, 'Away with those torches. We can see well enough.'

In these trifling incidents Elizabeth felt her way, and when parliament assembled, in January, 1559, the government had a religious programme ready to put before it. It was already clear



## *The statutes of 1559*

that the settlement would have to be the work of the state, for the Church refused to purify itself by its own organs : meeting in the same month, Convocation declared uncompromisingly in favour of transubstantiation, the Mass, and the supremacy of Rome. Parliament agreed readily enough that tenths and annates should again be paid to the Crown ; but three months of vehement controversy, which included a colloquy in Westminster Hall, were needed before it would accept the government's measures to change the forms of religion. Three drafts of the Act of Supremacy were made before parliament would pass it into law. In its final form it revived the ecclesiastical legislation of Henry VIII, and asserted that all clergy and holders of offices under the Crown must take an oath<sup>1</sup> recognising the Queen as ' the only Supreme Governor of this realm, as well in all spiritual and ecclesiastical things or causes as temporal '. The Act of Uniformity prescribed a single form of worship, based on the Prayer Book of 1552, and imposed a fine of a shilling on all who refused to attend church on Sundays and holy days. These two vital statutes of Elizabeth's reformation passed the House of Lords only because Pole had been remiss in appointing Bishops. Five sees were vacant when Mary died, and by a remarkable coincidence Pole and four other Bishops died before the end of the year. Thus the spiritual opposition to the Crown's policy was considerably weakened ; even so, two of Mary's Bishops had to be put in prison, and the Act of Uniformity passed the Lords by only three votes. Elizabeth's religious settlement was imposed on the nation by the will of the government ; the leaders of the Church were hostile to it, and parliament, at best, was lukewarm in its favour.

The government did all that was possible to accommodate the Catholics. Offensive phrases which referred to the ' detestable enormities ' of the Bishop of Rome were removed from the Litany ; vestments were retained, and the Queen showed, by

<sup>1</sup> In 1563 the oath was administered also to lawyers, schoolmasters, and members of parliament, and the penalties for refusing it were made more severe.

## *The Settlement of Elizabeth I*

her preference for 'crosses and candles', that she at least was out of sympathy with the new fashion of stripping the service bare of ceremonial. Moreover, by accepting the title of Supreme Governor, she abandoned the power, which had been implicit in her father's title, to perform spiritual acts in her own person. To accommodate all consciences on the question of the Eucharist, the Black Rubric of 1552 was omitted from the Prayer Book, the Real Presence was nowhere specifically denied, and to the Zwinglian wording of 1552 was added the Catholic wording of 1549. The settlement was administered in the conciliatory spirit in which it had been framed. Only one Bishop, Kitchin of Llandaff, and few of the deans would take the oath of supremacy, but not more than two hundred of the lower clergy refused it. If Mary's clergy seemed to be pusillanimous, they were entitled to wonder whether this settlement would last any longer than those which had gone before it. But as the years passed, the Catholics discovered that, if they did not make plots, they had only the shilling fines to fear. Many found an English Bible and English services acceptable to them, and they were able to develop a compromise between outward conformity and inward belief which satisfied all but the most vigorous consciences.

The government had made a framework for a national religion, and it was the task of the Elizabethan divines to inspire the people with reverence and sincerity of belief. Elizabeth chose as her Archbishop Matthew Parker, a learned, scholarly man, who had been brought up in the Renaissance faith in education and reason. He 'reverenced monarchy, loved decency and order, and nothing shocked him so much as violent enthusiasm'. The drastic innovations of the more democratic brands of Protestantism horrified him, and he was distressed to find the English Church barren of doctrine or discipline. Vandalism had broken out again on Mary's death, the clergy were ignorant or indifferent, and the people, bewildered by constant change, were losing their faith. Parker's task was to root English Protestantism in something deeper than superficial conformity to a settlement imposed from above. A proclamation in 1560 protected ecclesiastical

### *The merits of the settlement*

property from irreverent pillage; and two years later Parker issued a Book of Homilies which avoided questions of doctrine, but laid down precepts for seemly behaviour and a proper reverence. The Church received a formal body of doctrine in 1563, when Convocation sanctioned the Thirty-nine Articles which Parker, omitting the tendencies towards Zwinglianism, had based on the Articles of 1553; but they were not accepted by the Queen and parliament until 1571, when the bull of excommunication had made the breach with Rome irreparable. Parker's labours were complicated by the demands of the political situation, and by the Queen's personal indifference to doctrine, but he had his reward. His reasonableness and love of order stamped the English Church with a character of its own, and raised it above the level of political compromise.<sup>1</sup>

But this lay in the future. In 1559 the makeshift settlement had still to prove itself. Like the settlement of 1689, it clothed itself with the passing of time in virtues which at first it did not possess. At first its merits were negative: while few men liked it, few could find in it principles which they were unable to accept. It accommodated all but the extremists of both parties, and even for them there was no terror. But in the last instance the success of the settlement was bound up with the success of the reign; it survived because Elizabeth held to her precarious throne and grew in the respect and admiration of her people. It came to be associated in men's minds with the rising tide of prosperity; with honest money, low taxes, and a peaceful, orderly, and unoppressive government. At length it grew to mean more than this: it was the symbol of English independence, a native compromise drawn midway between 'the two infallibilities of Rome and Geneva', which the people would defend against foreign attack. It was not so much a test of religion as a test of loyalty; and that is why, in an England proudly aware of the obligations of patriotism, there were more Protestants in 1603 than there had been in 1558.

<sup>1</sup> What the Church of England came to mean to its finest sons may be read in the exquisite poetry of George Herbert.

## CHAPTER VII

# ELIZABETH'S FOREIGN POLICY: SCOTLAND AND FRANCE

The situation in 1559	Defeat of the French in Scotland.
Intervention in France	Mary in Scotland Her deposition
and flight	The problem of Mary

### I. *The danger from Scotland*

Elizabeth's foreign policy was dictated by the same motives which had inspired her settlement of religion. Throughout her long reign the threat of foreign domination was never far away: at first the House of Guise, already all-powerful in Scotland and France, sought to bring England into the alliance which they controlled, and later the heretic Queen seemed to stand alone in the path of the Counter-Reformation and the overwhelming strength of Hapsburg Spain. In these dangers Elizabeth did not falter. Her methods may have been unheroic: she procrastinated, changed her mind, betrayed her allies, left her ministers ill rewarded for their service; she was parsimonious, unenterprising, and inconstant, tacking before the shifting winds of necessity; but all her doings bore witness to her inflexible purpose, that England should be free. She was 'mere English',<sup>1</sup> and no foreigner should have power in her land.

Since her purpose was to preserve her country's independence, she could not, by the nature of her mission, take long views. The clue to her policy must be sought rather in the schemes and ambitions of the powers with which she had to deal, since their

<sup>1</sup> 'She is very much wedded to her people' reported de Feria, 'and thinks as they do.'

### *Elizabeth's policy*

policy shaped hers. Elizabeth never took the initiative. The more aggressive of her ministers often implored her to do something decisive : to give a lead to the Huguenots, to accept the sovereignty of the Netherlands, to identify herself openly with the Protestant Lords of Scotland, even to come to open war with Spain. But she shrank from decisions, from taking steps which she could not retrace ; she would not commit herself to policies or alliances which might impede her freedom of action. She would use any instruments that chance or diplomacy might offer her, the religious passions of Knox or rebellions in France and Holland, but she used them for her purposes, and had no time to spare to serve theirs. War she hated with all the strength of her thrifty mind, its false glories did not deceive her, and she avoided it for as long as she could. Her shifts and hesitations had an unswerving object : ' to teach still peace to grow ', to gain time while her religious settlement took root, and her people learned to be Englishmen before they were Catholics ; so that when war came, if come it must, they would stand united to meet it. Watchfulness, therefore, rather than consistency, was the essence of her policy ; but it would be rash to see in her opportunism only the shifts and subterfuges of an unreliable and vacillating mind. Elizabeth put her trust in something surer than a feckless policy of ' wait and see '. She was not a fool who tamed Mary Stuart and refused to be duped by William of Orange ; who bore always the love and loyalty of her seamen, who chose such men as Cecil and Walsingham, Parker and Whitgift, Gresham and Jewel, to do her service.

A woman who wore a crown started with every prejudice aroused against her. The reign of Mary had borne out the melancholy predictions which men had made, and her sister came to the throne in an atmosphere of masculine contempt. Government was a man's business ; courts were made to revolve round Kings, not Queens. Her ministers waited for her to marry and until then they proposed themselves to deliberate those grave matters which were ' too much for a woman's knowledge '. A husband, as Philip of Spain deferentially reminded her, would

## *Elizabeth's Foreign Policy*

'relieve her of those labours which are only fit for men'. But Elizabeth intended differently. In the first months of her reign she refused to marry Philip. More, she said she would never marry, she told the Spanish ambassador that 'she yearns to be a nun and to pass her time in a cell praying'. At first these protestations were impatiently attributed to a woman's modesty; but as time made it clear that she meant what she said, her councillors and parliaments grew ever more importunate in loud-voiced insistence that she change her mind. The Tudor dynasty depended on her single life; beyond her lay civil war and the dread of foreign invasion. Thus it was her duty to marry and secure the succession. Elizabeth knew better than her critics. Her virginity became the symbol of that national independence which it was her mission to defend. If she married a foreign Prince, she bound herself and her people to serve his interests. She might marry one of her subjects: the Earl of Arundel and Sir William Pickering were put forward as representatives of the older aristocracy. But if she married at all, she threw away her strongest weapon. The Queen of England was the best marriage in Europe. Unmarried, she kept all Europe hopeful and expectant, unwilling to fight against her if they might conquer her by wooing; married, she lost her power. Early in her reign she had to master her personal feelings. She was deeply attached to Lord Robert Dudley, the dark, handsome son of the Duke of Northumberland, and when his wife died, he was free to marry her. Whatever her private impulses were, she suppressed them in her duty to her people. Her sex, which should have been her weakness, she turned into her greatest strength.

At the start of the reign the outlook was black enough. In particular, England's safety was threatened by the ambitions of the House of Guise. Coming from Lorraine in the time of Francis I, the Guises had won honour and confidence at the court of France; a Duke of Guise had recovered Calais from England; he, and his son after him, were to be the champions

### *The ambitions of the Guises*

of the Catholic cause in the endless wars of religion. Moreover, Mary of Guise, the widow of James V, was Regent of Scotland during the minority of her daughter Mary ; under her shrewd leadership the French party in Scotland had recovered the authority which they had lost when Beaton was murdered. In 1558 Mary Stuart, who had been thrown into the arms of the French by Somerset's unwisdom ten years before, married the Dauphin ; a year later, she was sitting with him on the throne of France. Mary, now just seventeen years old, was in her own right Queen of Scotland , by marriage, Queen of France ; and, by her descent from Margaret Tudor, heir to the throne of England. To Catholics, repudiating Elizabeth as a bastard and a heretic, she was rightful Queen already. No one could mistake the danger in which England lay : the Guises, with France and Scotland already in their hands, believed that Mary had only to advance her claim for all the Catholics of England to rise and drive Elizabeth from her throne. The 'auld alliance' had never seemed so threatening.

At the same moment, Elizabeth rejected the overtures of Spain : she refused to marry Philip, although he offered to help her to recover Calais. She lost both Calais and a suitor, for Philip took his offers elsewhere. He made peace with France at Cateau-Cambr sis, and married Elizabeth of Valois, a daughter of Henry II ; and Elizabeth had to abandon Calais, in spite of a face-saving clause in the treaty that in eight years it should revert to England. But more was involved in the treaty than the possession of Calais. During the very months in which Elizabeth was fashioning her religious settlement, and leading her people back to the path of heresy, the two great Catholic powers had composed their differences, and were free, if they wished, to punish the English for their bold impiety. Elizabeth, however, judged this danger for what it was worth. Philip was a pious man, but he valued Spain's national interests more highly than his religion , and those interests would not permit him to invade England in the cause of the Guises and Mary Stuart. Elizabeth did not need to marry him to be sure of his help if the Guises

## *Elizabeth's Foreign Policy*

should attempt to bring England, as well as Scotland and France, into their control

Elizabeth had allies elsewhere. There was a party in Scotland with interests akin to hers : to break the power of the Catholic Franco-Scottish alliance, defy the Pope, and establish a Protestant national Church. In Scotland the Reformation, lacking the restraint of Henry VIII, had moved faster than in England. The rigorous creed of Calvin was congenial to the Scottish temperament, and even from his exile Knox had inspired the people to detest the heathen idolatries of the French. At the same time, the members of the nobility, with their eyes on the loot of the churches, organised themselves as the Lords of the Congregation of Christ Jesus, and took a covenant to drive out the Regent and her French adherents, and correct the hateful errors of the Romish faith. Rebellion broke out against the government. Harkening to the clear call of his trumpet, Knox's 'rascal multitude' fell upon the images which they detested ; at Perth, Scone, Stirling, St Andrews and Edinburgh churches and Abbeys succumbed to the assaults of militant Calvinism. 'Burn the nests', cried Knox, 'and the rooks will fly'

Here was Elizabeth's opportunity. Knox and the Congregation were rebels, and she hated rebels, but their aims were her aims, and she must help them to succeed. From Gresham at Brussels she learned that the Spaniards in the Netherlands had no money to spare for an invasion, and from Throckmorton in Paris she heard that religious dissension was growing, and that the French had trouble enough of their own. Gresham raised loans from the Antwerp bankers, and sent her weapons and ammunition, some of which he seized from Philip's arsenal at Malines. Confident that France and Spain were unable to interfere, Elizabeth sent an army to aid the rebels, and ordered Admiral Winter to sail to the Forth and 'impeach' the French in any way he could. Her intervention turned the scale. Although the English soldiers bungled their attack on the fortress of Leith, Winter's ships intimidated the French into surrender. The Regent was dying, and the French accepted the



## *The French expelled from Scotland*

terms on which the rebels insisted By the Treaty of Edinburgh, signed in July, 1560, they agreed to evacuate Scotland, and to hand over the government to a committee of twelve, and Mary and her husband removed from their arms the insignia of England and Ireland When the news arrived that the Spaniards had been terribly defeated by the Moors at Tripoli, Elizabeth could be secure in her triumph. She was able, Gresham boasted, to 'make the proudest prince in all Christendom to stoop and yield unto that noble carcass of hers'. But she knew where to stop. She had gained her ends, and she refused to bind herself to the Protestant nobles by paying them pensions and marrying the Earl of Arran. For the first time an English army had entered Scotland in peace and left it with the goodwill of the inhabitants; the Protestants shared her aims, and she did not propose to pay them pensions for being of the same mind as herself. Moreover, Arran was heir to the throne if Mary died childless, to marry him would involve Elizabeth in dynastic claims to the Scottish throne, and turn against herself the patriotic spirit which had just ejected the French. Before the end of the year Francis II was dead, and Mary was no longer Queen of France. The first crisis of the reign was over.

### *2. The bid for Calais*

To strengthen her position, Elizabeth sought to win more allies abroad. Reports from Paris encouraged her to believe that she could embarrass the Guises, and prevent their contriving their revenge in Scotland, by assisting the Huguenots to rebel. France was on the threshold of a generation of civil war. Calvinism had laid its grip on the middle classes, and in France, even as elsewhere, there were nobles who found in the cause of religion an excuse to challenge the government. Like fifteenth-century England, France was to know the curse of the overmighty subject. The nobles divided themselves into factions and wrestled for power; and the government, since it was too weak to rule, was obliged to supplicate and soothe. For the House of

### *Elizabeth's Foreign Policy*

Valois was dying out. Henry II had left four sons : the first, Francis II, Mary's husband, died in 1560 ; Charles IX reigned until 1574 ; Henry III was assassinated in 1589 , and the fourth, the Duke of Alençon, died in 1584. All died without children, and the Valois line was extinct. Throughout the thirty years in which these worthless people trifled on the throne, the policy of the Crown was the policy of their mother, Catherine de Medici, who died only a few months before the last of her sons. Catherine herself was a shrewd, scheming woman, with something of Elizabeth's hatred of war and of the ideals for which men were disposed to fight. But she was a tenacious mother. During all those years she laboured with unwavering vigilance and courage to protect the rights and interests of her unlovable brood. Her object was peace, because war distracted the country ; and when fighting broke out in France, she did not rest until she had balanced the scales between the contesting parties, and persuaded them to lay down their arms. Such a policy was a confession of weakness : the government tried to maintain a balance of power between warring factions which it was too feeble to suppress. To save herself from Guise and the Catholics, to rescue her son from the influence of Coligny and the Huguenots, Catherine bribed, pleaded, intrigued, assassinated, and even planned a wholesale murder ; but the persistence of the civil wars showed how little authority she and her sons commanded.

In 1562 the wars were just beginning, and Elizabeth hoped to turn them to her advantage. Her motives were not, as the Spanish ambassador wrongly interpreted them, aggressive ; she was attacking in order the better to defend, to protect herself by securing allies. In September, 1562, she made a secret treaty with the Huguenots : she would help them with men and money, and in return she should receive Calais when the rebellion had succeeded ; meanwhile, the English might occupy Le Havre as a security. The agreement recalled the intervention of mediæval Kings in the strife of Burgundians and Armagnacs. But the enterprise was not a success. Catherine managed to persuade both sides to respond to the call of patriotism ; after

### *Elizabeth fails to recover Calais*

some inconclusive fighting, they settled their differences and turned on the invader. After a heroic defence the Earl of Warwick was forced to surrender Le Havre and leave the country. Worse, Elizabeth had to submit to humiliating terms. She threatened to ban French wines from England, to starve the people of coal, to harass their fishing, even to bombard their coasts; but she could not bluff them into restoring Calais. By her invasion she had forfeited all right to it, and it was finally lost to England.

Elizabeth had blundered, but she learned from her mistake, she did not again provoke French patriotism by an open declaration of war. Moreover, the next few years offered her a more effective means to counteract the influence of the Guises. As the religious wars dragged on, a party grew up in France in support of the Queen-Mother's policy of order and appeasement; and the goodwill of these *Politiques* was a surer foundation on which to build than the ambitions of the Huguenots. Within ten years Elizabeth was able to make a defensive alliance with the French government. Meanwhile, the failure of 1562-3 had no disastrous results, and there was this to be said in favour of the treaty with the Huguenots. The bid to recover Calais, although it was not Elizabeth's primary object, stressed the contrast between her policy and her sister's. As a patriotic gesture, it was opportune, for it showed that she was sensitive to the traditional interests of her people.

### *3 Mary, Queen of Scots*

The death of Francis II was a serious blow to the ambitions of the Guises, for their protégée Mary Stuart was no longer Queen of France, so far, Elizabeth could rejoice in it. But it also reopened the problem of French influence in Scotland, for it meant that Mary must at length return to rule over her own people, and it seemed, as the Earl hastened to point out, that Elizabeth would have been wiser to have married Arran and, by claiming the Scottish throne, to have prevented Mary's return.

## *Elizabeth's Foreign Policy*

Mary came to Scotland in the summer of 1561. She was a Stuart, with all the qualities of her ill-fated House. Her heart was stronger than her head: she loved heroic deeds, and was eager to perform them, by her charm she could win men to serve her with devotion; but her emotions ran away with her will and intellect, and blinded her to the consequences of the courses which they drove her to pursue. From the first her task called for the delicacy and tact of an experienced diplomat. She came from the culture and gaiety of the court of France to a land which she found cold and depressing; where the nobles were intent upon the greedy pursuit of gain, where earnest ministers preached before her their interminable sermons, and where the people offended her eyes with their dirty, uncouth, heretical habits. Knox received her with unchristian mistrust, and made ready to blow again upon his trumpet. Finding in her 'an indurate heart against God and His truth', he had her presented with a huge Bible, and the godly advised her of their prejudices by burning before her eyes effigies of Korah, Dathan and Abiram, whose sin had been idolatry. But Mary began her reign with statesmanlike discretion. She was affable and tolerant; she showed no missionary enthusiasm, and did not threaten those who were fat with Protestant plunder; she chose her advisers from men of all shades of religious and political opinion. Knox was saddened by her moderation. He could find no cause for offence, except that Mass was celebrated at Holyrood, and that her ladies wore tassels on their skirts, and spent the night in fiddling and dancing. For, as he mournfully complained, the 'fire-edge' of Protestant zeal was burning low. Elizabeth had angered the Scots because she would neither pay them pensions nor marry Arran, and if Mary would be content to rule wisely, and leave them in possession of their loot, they were prepared to transfer their allegiance from Elizabeth to her.

But Mary's undoing was her desire to succeed to the crown of England, from which the Treaty of Edinburgh had totally excluded her. As the first Stuart pretender to the English throne, she sent Maitland of Lethington, the most resourceful of her

### *Mary's marriage*

ministers, to London to press her claim<sup>1</sup> But Elizabeth would have none of it; indeed, she would not appoint a successor at all. During the years when she had herself been heiress to a throne, she had learned of the temptations which ambitious men lay in a successor's path 'It is hard to bind princes', she said, 'when hope is offered of a kingdom. . . Do you think I could love my own winding-sheet?' To declare Mary to be her successor would offer the Catholics of both countries too strong a motive to conspire her death. In 1562 she was dangerously ill with smallpox, and the country braced itself for civil war, but when she recovered, she was still adamant.

Mary then attempted to force her hand by marrying 'powerfully'. Ever since her first husband had died, a press of suitors had been seeking a match which was no less important than any which Elizabeth might make. Philip's son Don Carlos, Charles IX of France, the Austrian Archduke Charles, the Huguenot leader Condé, had all put forward their claims. Elizabeth tried to distract these suitors from their purpose by hinting that she might marry any of them herself, but this comedy could not be played for ever. Mary would presently find a husband, and, whoever he might be, the marriage must endanger England's safety. Elizabeth did her best to force on her a husband who would be innocuous: she raised her favourite Dudley to the Earldom of Leicester, and recommended him to Mary. It was a desperate throw, and Mary was not to be deceived. She would marry Leicester only if Elizabeth would promise her the succession, and on this matter Elizabeth would not relent.

The outcome fulfilled Elizabeth's gloomiest fears. Mary decided to marry her cousin Henry Stuart, Earl of Darnley. Darnley was the grandson of the Earl of Angus, the second husband of Margaret Tudor; he had, therefore, a claim in his own right to the thrones of England and Scotland, and his marriage to Mary united the two branches of the descendants.

<sup>1</sup> To earn Elizabeth's gratitude, Mary did not act when England intervened in France in 1562.

## *Elizabeth's Foreign Policy*

of Margaret. The choice of Darnley, in preference to a foreign Prince, indicated that Mary was aiming seriously at the English throne ; for, with his powerful connections in both countries, he was a man whom the Scottish and English Catholics could support without doing injury to their patriotism or to their scruples about a legitimate succession. Elizabeth protested, and the Scottish Protestants organised a rebellion, but it was in vain. The Pope removed ' the impediment of blood ', and in 1565 Mary and Darnley were married, a year later a son was born to them, the future James I of England. The Commons expressed the alarm which filled all loyal Englishmen unless Elizabeth married, or appointed her successor, she was no better than a parricide. The Queen's reply showed that her spirit had not failed her. She was not to be rebuked by men who treated serious matters ' like a lot of inexperienced schoolboys ', and she did not intend to declare her policy ' to a knot of hare-brains '.

The game was in Mary's hands, but the defects in her character mastered her restraint. Infatuated with Darnley, she threw aside the caution which hitherto had reconciled the Scots to her rule. To Philip and the Pope she applied for assistance in the religious crusade to which, she said, her conscience now impelled her, she confiscated the lands of those who had been involved in the recent rising, the English ambassador was dismissed from Edinburgh, preparations were made to coerce the Scots by foreign arms back into the Catholic faith. It was a hopeless quest. John Knox knew his duty, the Protestant nobles knew where their interest lay. The rebels began, with Darnley's connivance, by murdering David Rizzio, Mary's Italian secretary, and thereafter Mary delivered herself into their hands. Her infatuation for Darnley had quickly burnt itself out. His part in Rizzio's murder was more than she could forgive, and even the birth of their son could not bring them together, early in 1567 the house where he was lying ill was destroyed by an explosion, and his body was found strangled in the grounds. Many men in Scotland had cause to hate Darnley and to wish him dead,

### *Mary's flight*

but if Mary's implication in the crime cannot be proved legally, her actions after the murder proclaimed her moral guilt. As her passion for Darnley waned, she had fallen in love with the Earl of Bothwell, the most dashing and the least scrupulous of the Scottish nobles; and public opinion pointed to Bothwell as the murderer and Mary as his accomplice. Had Mary declared her innocence on her word as a Queen, and ordered an honest and open enquiry into Darnley's death, she could have cleared her name of a guilt in which few men wanted to believe. Instead, she had Bothwell acquitted in a packed and terrorised court which his accuser did not dare to attend, created him Duke of Orkney; and three months after Darnley's death, and only twelve days after he had divorced his former wife, she married him with the rites of the Protestant Church.

The Scots could stand no more. If Mary had not instigated the murder, she had taken the part of the man whom everyone held responsible for it, and, to marry him, she had shamelessly abandoned the principles and rites of the Church for which she had lately professed such zeal. In June, 1567, within a month of her marriage, she surrendered to the rebels at Cauberry Hill, and, since she refused to renounce Bothwell, who had fled abroad, she was forced to abdicate her throne. The infant James was crowned at Stirling, and the country was governed by a council of Protestant lords under the Earl of Murray. The rule of the Regency, however, was harsh and uncongenial; the Catholics began to repent of their hasty action, and to wish to give their Queen another chance, for she might, as the Bishop of Ross declared, have sinned under the malignant spell of witchcraft. The Hamiltons favoured her cause, and in the spring of 1568 she escaped from Lochleven Castle and called her subjects to her banner. Her hopes died swiftly. Murray routed her troops at Langside, and she rode across the Border to throw herself on the mercy of her cousin of England.

Her coming presented Elizabeth with the most difficult decision of her reign. Elizabeth's attitude to the recent events in Scotland had bewildered and distressed her ministers. At

### *Elizabeth's Foreign Policy*

first she had been willing to do all in her power to embarrass Mary, and it was with her knowledge and approval that the nobles had risen to murder Rizzio. But Mary's deposition was another matter. Elizabeth was always tender for the rights and safety of sovereigns, and she could not condone a rebellion which might put unhealthy ideas into the minds of her own subjects. The Scots, she declared, 'have no warrant nor authority by the law of God or man to be as superiors over their prince and sovereign'. Abandoning her old alliance with the Scottish Protestants, she instructed her envoys to baulk the Regency and to intrigue to have Mary released from Lochleven. Mary made her appeal, therefore, confident that Elizabeth would use all the resources of England to restore her to her throne.

Elizabeth's problem was extraordinarily difficult. It was one thing to denounce the rebels for their impious conduct; but to go to war with them, with the object of restoring Mary, was not to be contemplated. On the other hand, to deliver her to Murray, and the death which the Scots demanded, would be merciless and impolitic. She could not be allowed to go abroad, to plead her cause at foreign courts and perhaps to persuade the French to intervene again in the affairs of Scotland, nor, with her dangerous claim to the succession, could she be allowed to move freely about England inciting the Catholics to rebellion. Fearing to adopt any of these dangerous courses, Elizabeth decided to solve the problem by keeping Mary for the present under observation in England, and to provide herself with a pretext for a course which she had neither legal nor moral right to pursue, she declared that she would arbitrate in the quarrel between Mary and her subjects. Both parties were summoned to plead their case before an English tribunal, which sat first at York and later at Westminster. Murray produced the famous Casket Letters, which were supposed to prove Mary's complicity in the murder of Darnley. But it was not Elizabeth's purpose to have Mary's name irretrievably blackened; if her guilt were proved, she must be handed over to Murray and the Scots. Accordingly, Elizabeth made use of the Scottish verdict



## *The Northern Rebellion*

of 'not proven'. In January, 1569, she pronounced an equivocal verdict: that, while Murray and his party had done nothing which impaired their honour as loyal subjects of their Queen, no crime had been 'sufficiently proven' against Mary. It was a subtle, if unconvincing, escape from an impossible dilemma. It safeguarded the present, for enough had been urged against Mary to give Elizabeth a moral right to detain her, and it left the way open for renewed negotiations in a more settled future. Elizabeth intended to keep Mary in England until the memory of her crimes had faded and the passion of the Scots had cooled; and then to restore her to her throne on terms which, by fettering her freedom of action, should be acceptable to her Protestant subjects.

This plan was never put into action, for the fate of Mary ceased to be the private concern of Elizabeth and the Scots. These were dangerous years for Elizabeth. England was drifting into hostility to Spain, Alva's persecution seemed to be crushing the resistance of the Netherlands; two overwhelming defeats in 1569 threatened the independence of the French Huguenots. At home, the Catholic and feudal nobility were discontented, and Philip's over-zealous ambassador, de Spes, was nourishing their discontent into conspiracy; and even some of the loyal ministers, Leicester among them, were deploring the drift from Spain and seeking to alter the trend of the nation's policy. In this dangerous hour Elizabeth's enemies gathered round Mary. De Spes tried to involve the English Catholics, Alva, and the Pope in a plot to set her on the throne, his plans broke down, because Alva was not impressed by the statistics which he collected, or by the hopes which he founded on them, but he had sown dissatisfaction which grew into revolt. The Northern Rebellion of 1569 was badly mismanaged. Its aims were confused, its leaders suspicious and irresolute, Norfolk, the figurehead of the revolt, broke down and surrendered to the government. The Earls of Westmorland and Northumberland abandoned their men when the royal army approached, and fled into Scotland, and Dacre's rising in the following year was crushed by Hunsdon on the banks of the

## *Elizabeth's Foreign Policy*

Gelt Cowardice, divided aims and mutual jealousy wrecked a movement which under efficient leadership might have involved the country in civil war; Elizabeth punished the rebels with ruthless severity, and England was never again to be troubled by feudal rebellion

But the underlying object of the rising might still be achieved by different hands. The rebels had intended to release Mary, marry her to the Duke of Norfolk, the leader of the English Catholics, and put her on Elizabeth's throne. Two attempts on her behalf had failed, but others might succeed, and the Ridolfi plot of 1571 resumed the long series of Spanish efforts to seize or assassinate Elizabeth, and hand her crown to Mary. These conspiracies obliged Elizabeth to revise her intentions. In the changed circumstances it was impossible to think of restoring Mary to Scotland, even if the Scots would consent to have her. But what was to be done with her? The English had no doubt she was guilty of treason, and she should die. The Commons demanded the blood of 'the monstrous and huge dragon' who had conspired against the safety of the realm, Convocation complained that she 'hath heaped up together all the sins of the licentious sons of David', and echoed the demands of parliament. But Elizabeth shrank from signing the death-warrant of a sister-Queen. To appease the bloodthirsty clamour of her people, she gave them the head of Norfolk, who went to the block in 1572, but she would not give them Mary. Partly from policy, partly from mercy, and partly from sheer weakness, she kept Mary in captivity but spared her life, and for fifteen more years Mary was to be a constant danger to the life of her captor, the centre of foreign conspiracies against the safety of England. Elizabeth's motives are unfathomable, but she may have been wiser than her critics. They saw only the immediate danger to the state since she would neither marry nor name her successor, the Queen's life was the only protection from civil war. As long as Mary lived, the Catholics and the Spaniards had a clear motive for conspiring Elizabeth's death. Elizabeth endured with amazing courage an ordeal that broke the nerve of Oliver Crom-

### *Elizabeth's decision*

well : the threat of assassination was always hanging over her. For she believed, in defiance of her ministers and her parliaments, that Mary alive could in some ways serve English interests better than Mary dead. In the first place, political murder is a weapon which is often turned on him who wields it ; a martyred Mary was likely to breed worse dangers than a slightly futile dowager weaving plots with furtive foreign agents. Secondly, she might always be used with advantage against Elizabeth's other enemies. If the Scots grew turbulent, a threat to restore Mary would sober them ; she might be released to nourish faction in the court of France ; above all, she was an obstacle to the ambitions of Spain. As long as she was alive, Philip had misgivings as he plotted against England and Elizabeth ; for she, rather than the Hapsburgs, would profit by his success. In Elizabeth's forbearance there was wisdom, as well as courage and mercy.

## CHAPTER VIII

### THE STRUGGLE WITH SPAIN

Causes of the quarrel with Spain Elizabeth I and the Netherlands The Armada and the last years of the war

#### *1 Causes of the quarrel with Spain*

The traditional policy of England, which only Wolsey had been incautious enough to neglect, was to remain on good terms with the master of Flanders. The plea of the old 'Burgundian alliance' had been urged by Mary Tudor in defence of her marriage to Philip, and, disastrous as that marriage turned out to be, it was with confidence that in 1558 Philip sought to renew the alliance by offering his hand to Elizabeth. Elizabeth rejected him, and he found his bride in France, but common fear of the Guises bound England and the Hapsburgs in the close compact of a common interest. In the early years of her reign Philip did Elizabeth many valuable services: his ambassador watched over her safety at home, at Cateau-Cambrésis he tried to persuade the French to restore Calais to England, and when the wrath of Europe was stirred against the heretic Queen, he delayed the vengeance of Rome during the perilous years when the settlement of 1559 was taking root. In 1560 his orders detained at Brussels a papal envoy who was on his way to make trouble for Elizabeth, and at Trent he and his brother Ferdinand resisted the entreaties of Catholic refugees who were imploring the Pope to be revenged on the heretic. In taking Elizabeth under his protection Philip was consulting no interests but his own; he did not wish to see Mary Stuart on the throne of England. Elizabeth showed small gratitude for these services,

### *Commercial rivalry*

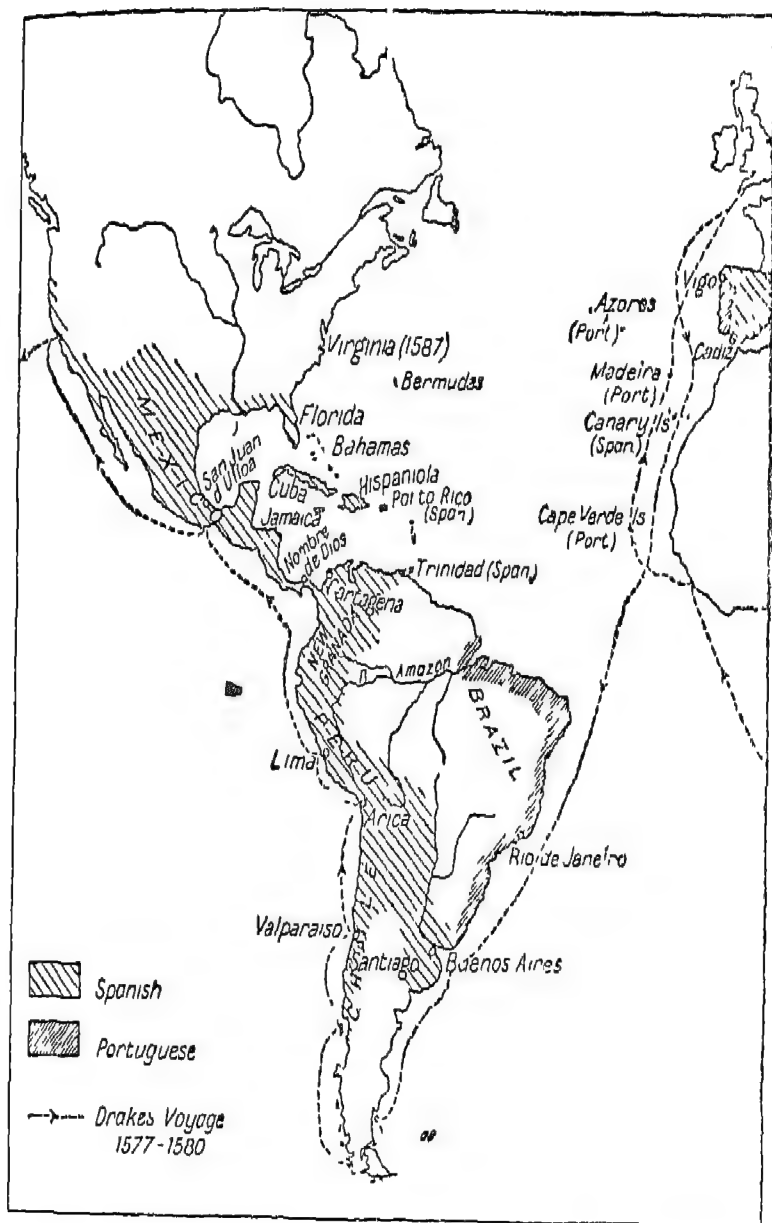
for she knew the motives which inspired them; but for the first ten years of her reign she did not openly depart from the traditional foreign policy of her country.

There were, however, two reasons why the old alliance with Burgundy must break down, and Elizabeth's early harmony of interest with Philip change to hostility and war. The first of these was the growing economic rivalry between the two countries: the commercial ambitions of the English were operating unfairly on Flemish merchants in the old world, and conflicting with the Spanish and Portuguese monopoly in the new. In Europe, the mercantilist policy of the government was poisoning good relations with Flanders. It raised the duties on articles imported from Flanders, and prohibited the import of some goods altogether; the carrying trade was restricted to English ships; and Flemish merchants were required to spend the proceeds of their sales on English goods before they left the country. The Spanish government naturally retaliated, and the flow of trade between England and Flanders was blocked by a rising wall of tariffs; in 1563 many English merchants abandoned Antwerp and carried their goods to Emden.

The conflict in the new world was much more serious. By the Bull of Alexander VI the Spaniards and the Portuguese claimed a prescriptive right to the treasure and commerce of America and the islands of the Caribbean. Their monopoly cramped the enterprise of English merchants, who began to enquire by what right the Pope had partitioned the earth and bestowed kingdoms. The Portuguese preserves had been invaded before Elizabeth came to the throne. William Hawkins of Plymouth ventured to the coast of Guinea and across the Atlantic to Brazil, and, after his example, fleets sailed almost every year to West Africa in search of ivory, gold and pepper. In 1562 his son John challenged the monopoly of Spain. The rapid disappearance of the aboriginal population had put the Spanish planters in America in such need of slave labour that they were ready to defy their government and buy it from an English interloper. So Hawkins descended on the African

## *The Struggle with Spain*

coast, kidnapped the helpless natives, and carried them over the Atlantic to the Spanish colonies. His enterprise was illegal and immoral, but it was successful ; and when he sailed again, the Queen and her courtiers lent him ships and shared in his profits. In 1567 he set out for a third time on a traffic which was becoming a regular feature of the country's commercial activity. This time, however, he was unfortunate. A Spanish fleet found him in the harbour of San Juan d'Ulloa, where he had put in to refit his ships ; and in violation of their promised word, the Spaniards set upon him and drove him out of the harbour with heavy losses. This incident, with the bad feeling which it bred on both sides, put an end to peaceful trade with Spain, whatever the policy of their governments might be, henceforth the merchants were at war. The next few years were years of danger : the Northern Rebellion, the Bull of excommunication, and the Ridolfi plot, created an emergency in which every available ship was needed in home waters. Thus it was not until 1572 that Francis Drake sailed to the Indies to avenge the treachery which had robbed and humiliated Hawkins four years before. At Nombre de Dios, on the Isthmus of Panama, he seized the treasure of the Peruvian mines as it was brought overland on mules to the harbour, and from a high tree in the heart of Darien the Cimarron Indians showed him the Pacific, fresh seas to conquer in the future. What Drake had done, others attempted in the years which followed. The greed and spirit of England's seamen would make no truce with Spain until they were admitted to the rich commerce of the Caribbean. When, moreover, the Spaniards began to treat as heretics the merchants and sailors who fell into their hands, commercial interest became a religious crusade. Hawkins and Drake urged the government to change its policy : to abandon the Burgundian alliance and the trade with Flanders, and seek the richer prizes which were to be had from marauding overseas. The more adventurous of the courtiers, such as Leicester, Walsingham and Hatton, who shared in the enormous dividends of piracy, echoed the counsels of the seamen ; but the Queen, although she too



THE ELIZABETHAN SEAMEN AND THE MARITIME WAR WITH SPAIN

## *The Struggle with Spain*

shared in the plunder, and was anxious to lay her hands on more of it, was too cautious to risk open war with Spain. Her pirates had her blessing, but to Philip's complaints she answered that their doings were beyond her control. It was the seamen who finally brought Philip to his knees, and forced him to launch his great Armada in a despairing bid to end their deadly work. By cutting its communications at sea, they drew the life-blood of the Spanish Empire, for its existence depended on the regular flow of treasure from America, which all too often poured into the pockets of English courtiers.

The second cause of the breach with Spain was religious. All Englishmen knew that over the horizon lay the Counter-Reformation; sooner or later Rome's effort to recover the allegiance of heretics would bring Catholic Europe to their shores. So far, it was true, Philip had stayed the vengeance of the Pope, for a time Hapsburg interests had obliged him to support Elizabeth against the greater danger of Mary Stuart; but this situation was not permanent: Mary as a prisoner in England was less formidable to Spain than Mary as Queen of Scotland and France. Philip soon came to recognise that the success of Spain rested upon the success of Rome. For it was the Pope who had granted him America and the Indies, from which he drew his wealth; whereas the Reformation stood for all the forces which sapped his strength: nationalism, political unrest, and economic aggression. It meant the revolt in the Netherlands and the unlicensed attack on his possessions in the New World. Hapsburg interests marched with the Counter-Reformation, and Philip became the spearhead of its attack.

The interests of England were equally clear, and equally capable of being masked by the loftier pretexts of religion: for England to be safe, the Reformation must survive. Elizabeth's regular mind had no place for religious idealism, but where it existed in others she could make it the instrument of her purpose. The object of her policy, therefore, was to aid the Protestant cause wherever it was threatened; and in particular, to prevent Philip from mastering the Netherlands and using it as a base



### *Discontent in the Netherlands*

from which to launch an attack on England. The seventeen provinces of the Netherlands, which were the northern outpost of Philip's Empire, had mostly been acquired by the Dukes of Burgundy in the fifteenth century, and had passed to the Hapsburgs when Mary of Burgundy married the Emperor Maximilian. The provinces had submitted to the rule of Charles V, although he had taxed them heavily and persecuted the reformed religion, because he was a Fleming by birth. But Philip they hated: they found him clumsy and heavy-handed, neglectful of their privileges and contemptuous of their habits and their language. He left the Netherlands in 1559, never to return; and the provinces soon realised that their prosperity was to be taxed to finance Hapsburg ambitions, their liberties suppressed in conformity with Philip's notions of the respect due to monarchs, and, in the Calvinist districts of the North, their religion persecuted in the cause of Catholic unity. The Regency at Brussels was harassed by protests against taxes, the Inquisition, the presence of Spanish soldiers, the Spanish monopoly of remunerative offices, and it was the policy of the English government, not to challenge Philip's sovereignty in the Netherlands, but to increase the difficulties of the Regency, in order that the provinces should not be made to serve Spain's dynastic ambitions.

When Elizabeth began, therefore, to stimulate disorders in the Netherlands, and to encourage refugees to settle among her people and teach them their industries, Philip was driven to retaliate. His weapon lay ready to his hand: the English Catholics were only waiting for a lead, and they would rise for Mary, Queen of Scots. At the same time, Philip decided to take strong action in the Netherlands. In 1567 he sent the Duke of Alva, a fine soldier and a resourceful statesman, to reduce the provinces to order and obedience; and in the following year Don Guerau de Spes arrived to open the Spanish offensive in England.

The old alliance was breaking fast. Before the end of 1568 Elizabeth offered further provocation to Philip. A fleet, carry-

## *The Struggle with Spain*

ing money lent by the bankers of Genoa to pay Alva's troops in the Netherlands, put in at Plymouth and Southampton to seek refuge from the Channel pirates. To avenge the disgrace in the harbour of San Juan d'Ulloa, Elizabeth claimed that the money might as well be lent to her as to Philip, and appropriated the sum of 800,000 ducats. Alva retaliated by seizing the goods of English merchants in the Netherlands; the English government in its turn seized the Flemish goods that were in England, and trade between the two countries came to a standstill; the City of London was obliged to send its fleet to Hamburg. This quarrel stimulated the missionary zeal of de Spes. He intrigued with the Catholic nobility to put Mary on the throne, and with Philip and Alva to bring England to her knees by imposing an economic blockade. But his plans failed to mature. He viewed too optimistically the strength and resources of the nobles, and he underrated his opponents. The Northern Rebellion collapsed. Meanwhile, the English were successfully evading the economic blockade. English goods were passed into Europe through the friendly ports of La Rochelle and Hamburg, and imports came to England in the ships of Venice and Ragusa. De Spes tried in vain to stop the leakages in his system; he discovered, like Napoleon in another age, that to place an effective embargo on English trade he must be master of every port in Europe. Moreover, his restless plotting received no encouragement from Alva. Alva was too shrewd a statesman to launch Spain on a war which she could not afford, and he was less readily impressed than de Spes by the high hopes of a handful of English intriguers, he knew, too, that their trade with England was vital to the prosperity of the Netherlands, and therefore to his task of appeasing them. Thus he attempted to moderate the enthusiasm of de Spes, and, for the present at least, to settle the causes of dispute between Philip and Elizabeth.

Elizabeth too was seeking a way out of her difficulties. In 1570 the Bull of excommunication released her Catholic subjects from their allegiance; and the Ridolfi plot in the following years,

## *Treaty of Blois*

another fruit of the indefatigable labours of de Spes, warned her again of the danger in which she stood. In this crisis she turned again to France, not, this time, to the Huguenot rebels, but to the government. For Catherine de Medici had as good cause as Elizabeth to fear the advancing tide of the Counter-Reformation; with Catholicism, and the Hapsburgs, triumphant in England, Scotland and the Netherlands, France would be surrounded and almost isolated. Not until the Ethiopians were white, men said, would an Englishman learn to love a Frenchman, but a common fear of Hapsburg designs brought Catherine and Elizabeth into a defensive alliance. The French wished to cement the alliance by marrying Elizabeth to the Duke of Anjou, the heir to the throne. Elizabeth extricated herself from this embarrassing proposal by declaring that her faith forbade her to marry a Catholic, and the news of Spain's great victory over the Turks at Lepanto put an end to haggling over details. A treaty was signed at Blois in April, 1572—each government undertook to defend the other from attack, even from an invasion made in the name of religion; and an English staple was to be established in France, to balance the loss of the Netherlands market. None of the clauses of the treaty ever operated, but Elizabeth had strengthened her position at a time when Spain was plotting to set Mary on the English throne, she had contrived that Mary's cause should not be supported by the French as well, and her union with the Queen-Mother and the moderate party in France was in later years to frustrate the designs of Philip and the Catholic League.

For the moment, however, the alliance was shattered by the Massacre of St Bartholomew. Events had moved too fast for Catherine. Yielding to the persuasions of Coligny, the Huguenot leader, she had consented to a plan to drive the Spaniards from the Netherlands and divide the provinces between France and the successful rebels. In England, Walsingham and the war-party were urging Elizabeth to join in the war and take her share of the spoils. The capture of Brill by the Dutch pirates launched the rebellion; the Netherlands rose against Alva and summoned

## *The Struggle with Spain*

England and France to their aid. But neither Catherine nor Elizabeth had the temperament to take decisive action. Elizabeth drew back, for she did not wish to see France in possession of the Netherlands, and Catherine, realising that Coligny's influence had imperilled her precious balance of power, determined to be rid of him. On the night of St. Bartholomew<sup>1</sup> the Huguenot leaders, who had gathered in Paris for the wedding of Henry of Navarre to Catherine's daughter, were murdered in their beds. All over the country Catholic mobs fell on the Huguenots; the Pope struck a medal to celebrate their triumphant doings, and welcomed Catherine through a sea of blood to the side of the Counter-Reformation.

Catherine had done more than she intended. Elizabeth and her court, dressed in black, listened to the French ambassador's explanation that the massacre had been an 'accident'. The French alliance was too valuable to be thrown away on a question of religious principle, and Elizabeth was willing enough to accept Catherine's apologies, the Duke of Alençon was offered as a husband, and in 1575 the Treaty of Blois was formally renewed. But a more immediate result of the massacre was a truce with Spain. Alva advised Philip to take advantage of the temporary coldness between England and France, and make his peace with Elizabeth, at least until he had mastered the Netherlands. The shock of the massacre had prepared the English to accept a settlement with Spain, and the merchants had long been anxious to resume their trade with Flanders. Therefore a series of agreements in 1573 and the two following years assessed the losses on the property confiscated in 1568, and removed all obstacles to the trade between England and the Netherlands. For her part, Elizabeth promised to exclude the Dutch rebels from her ports. Her differences with Spain had been temporarily adjusted; and as a token of the happier relations between the two countries, a Spanish fleet which put in at English harbours in the autumn of 1575 was cordially received by the people.

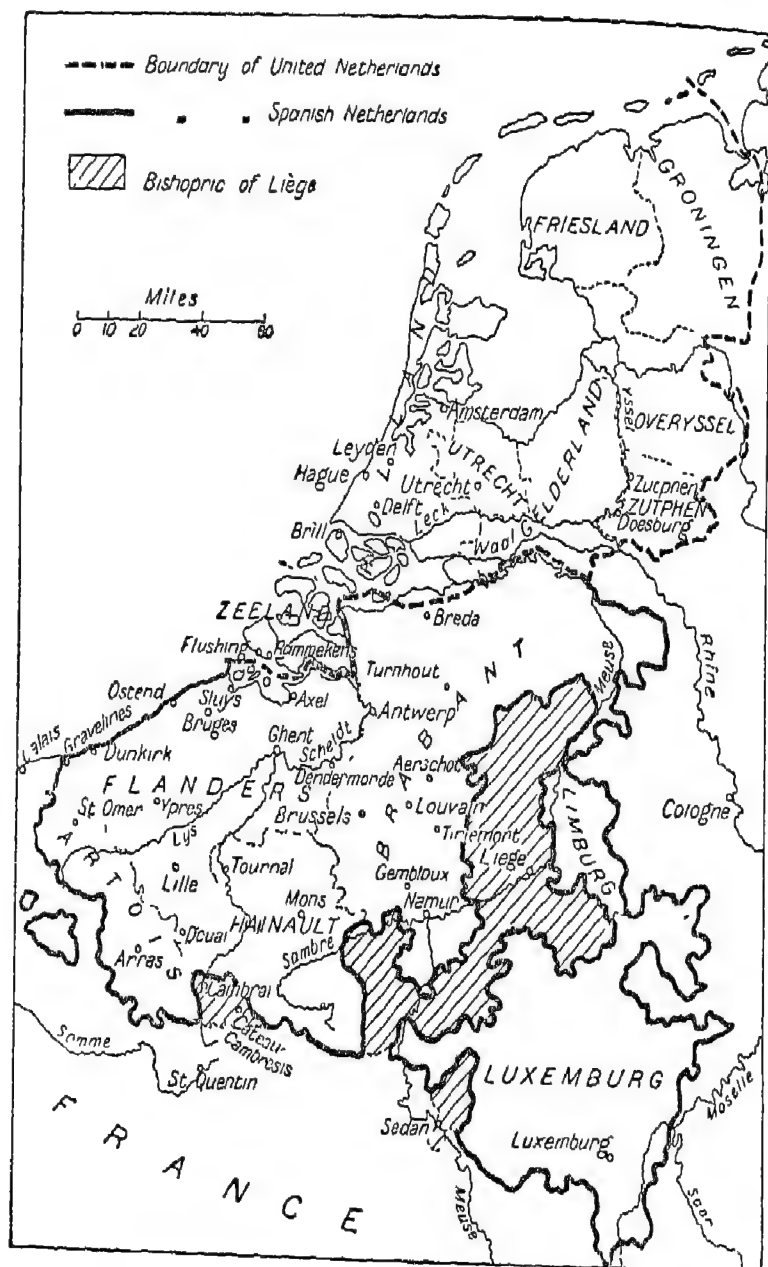
<sup>1</sup> In 1572

## *Elizabeth's attitude to the Dutch revolt*

### *2. Elizabeth I and the Netherlands*

It seemed that Elizabeth might be able to look forward to a more settled future. She had reached an understanding with Spain; Requesens, the new Regent of the Netherlands, was less active and less ruthless than Alva, Mary was in close captivity, and the French had renounced her cause; English intervention had crushed unrest among the Scots, and by 1573 the Protestant Earl of Morton had established his authority as Regent. But there could be no foundation for a long-lived optimism. The men of Elizabethan England lived always so close to danger that they learned to pick their steps with the caution of those who know that the ground may crumble under their feet. As long as the Queen was unmarried, and as long as she would not name her successor, the threat of invasion and civil war lay over England.

The truce with Spain was quickly broken, for Elizabeth could not resist the temptation to tamper with the Netherlands. She knew that the peace which she so earnestly desired could not be achieved permanently until the political struggle in the Netherlands had been settled. She had her own solution of the problem: she did not dispute Philip's sovereignty in the provinces, for she did not wish to see rebels victorious over their lawful master, or the French in possession of Antwerp. But she did not wish Philip's authority to be so complete that the Netherlands could be used as a base for the Counter-Reformation, or English cloth excluded from Flanders, which was still its principal market on the continent. Her policy, therefore, as it had been with Mary in Scotland, was to urge Philip to govern with a liberal hand, to admit the native nobility to office and to respect the ancient privileges of the people. If Philip would do as she bade him, she was ready to help him, for she had no love for the Dutch rebels, who were pirates and Calvinists; indeed, when William of Orange menaced English commerce by attempting to blockade the Scheldt, she assured him that she would 'bend all her forces to the assistance of the King of



THE NETHERLANDS IN THE TIME OF ELIZABETH

### *Drake's voyage round the world*

Spain'. Her solution failed, however, because neither Philip nor Orange would compromise, Philip was determined to force all seventeen of the provinces to bow to his will, Orange to unite them in a free, self-governing kingdom. So the struggle dragged on, and for another ten years Elizabeth pursued her bewildering course between bluster and concession, shiftless hesitation and telling intervention.

When Don John of Austria came to the Netherlands in 1576, Spain resumed her aggressive policy towards England. Under the milder rule of Requesens the rebels had been growing in strength; and when the unpaid Spanish soldiers broke out and destroyed the city of Antwerp, the seventeen provinces, Calvinist and Catholic alike, sank their differences and united to recover their liberties. Crowned with the laurels of Lepanto, Don John came to restore them to their obedience, and when he had mastered the Netherlands, he would sail across the Channel, free Mary from captivity, and reign with her on the throne of the heretic. Elizabeth took steps to defend herself. She declined Orange's invitation to join him in the conquest of the provinces, but she sent him unofficial help, and when the arrogant Mendoza came to threaten her with the consequences of her intervention, she answered threat with threat. In 1577 Drake set out on his voyage round the world. He found his way to the Pacific, where only Spanish ships were wont to sail, and from Valparaiso he swept onwards to Santiago and Lima, sacking towns, plundering ships and sinking Philip's peaceful craft. In 1580 he returned to England laden with priceless cargoes, and the Queen went to Deptford and knighted 'the master thief of the unknown world' on the deck of the *Golden Hind*.

Meanwhile, dark clouds were gathering over the Netherlands. Philip had sent to the assistance of Don John the finest of his captains, Alexander Farnese, Duke of Parma.<sup>1</sup> By crushing victories at Gembloux, Louvain, Tirlemont and Aerschot,

<sup>1</sup> Don John died before the end of 1578, and Parma was governor of the Netherlands until 1592.

## *The Struggle with Spain*

Parma dissolved the flimsy union of the provinces, and brought the Catholic, French-speaking provinces of the South permanently under the authority of Spain. The resistance of the Netherlands now depended on the spirit of William of Orange and the seven Calvinist provinces of the North; and, having been so often disappointed by Elizabeth's vacillations, the Dutch appealed in their extremity to France. In August, 1578, the Duke of Anjou<sup>1</sup> took the title of 'Defender of Belgian Liberties against Spanish Tyranny'. 'The only remedy left to us', moaned Walsingham, 'is prayer.' In English eyes the sovereignty of France in the Netherlands was as unwelcome as the sovereignty of Spain, and Elizabeth had to struggle to avert the consequences of a disaster which, in the opinion of her ministers, a firmer attitude by England would have been able to prevent. The French government was, as usual, wavering between two contrary policies. The Guises, burying their old quarrel with Spain, were seeking to crush the Huguenots with Spanish help, and then to make the Netherlands a client-state of the Counter-Reformation; Anjou, on the other hand, hoped to save the Protestant cause by marrying Elizabeth, and, with English help, to build for himself a kingdom in the Netherlands.

The growing harmony between Guise and Hapsburg was alarming enough, but Anjou's designs on the Netherlands were a more pressing danger. Elizabeth found herself in a delicate position: she wished to keep alive the resistance of the Netherlands to Spain, but the French must not be allowed to reap the glory and the advantage of it. She had already subsidised John Casimir, son of the Elector Palatine, to embarrass the rulers of France and Spain by indiscriminate demonstrations on behalf of the Huguenots and the Dutch; but she had a more effective weapon to use, which had not failed her yet. Substantially, Anjou had revived Coligny's policy of 1572, to seize the Netherlands from Spain and bring them under the protection of France. To check him, Elizabeth for the last time put her virginity at

<sup>1</sup> Formerly the Duke of Alençon. He took the title of Anjou when his brother became King.



### *The wooing of Anjou*

the service of England. It was a sorry comedy. She was twenty-one years older than Anjou; he was a feckless, ugly person, disfigured by the marks of smallpox and a swollen, bulbous nose; but in order to preserve her necessary control over French policy in the Netherlands, she had to convince the cynical, suspicious French that her intentions were serious. She had to gather the advantages of a wife, without allowing herself to be led to the altar. In 1580 Philip annexed the crown of Portugal, and the French alliance became still more precious to her, and as it became more precious, its possible results in the Netherlands were more dangerous. Elizabeth played her part with unflinching skill. 'The Queen doth not attend to other matters,' wrote Mendoza, 'but only to be together with the Duke from morning to noon . . . I cannot tell what a devil they do.' She played her part so well that even her ministers, who knew her best, began to believe that she might be serious. The common people publicly expressed their alarm. John Stubbs of Norfolk wrote of 'The Gaping Gulph into which England is like to fall by reason of this marriage, if the Lord forbid not the banes by letting her see the sin and punishment thereof'. The Queen would not stand criticism, and Stubbs was condemned to lose his hand, and as the executioner 'clapped the hissing hot iron on the raw stump, till it fizzed like a rasher of bacon, the fellow set up an eldritch screech', but with his other hand waved his hat in the air, crying 'God save the Queen, and confound all evil counsellors'. Elizabeth did not mean to marry Anjou, but she could not allow ill-informed criticism to imperil her delicate diplomacy, and it was diplomacy which triumphantly achieved its objects. Her influence over Anjou enabled her to counter the Catholic designs of the Guises, and to concentrate the energies of the French on resisting Philip in the Netherlands and the Azores. At the same time she was able to ensure that his rôle of protector of Belgian liberties did not conflict with English interests; his obligations as a suitor distracted him from his personal ambitions in the Netherlands. Thus, although the rebels awaited him

### *The Struggle with Spain*

'not otherwise than the Hebrews awaited the coming of the Messiah', he bungled his mission in the Netherlands. The Dutch could not supply him with men or equipment, Henry III was too jealous, and too frightened of the Guises, to give official sanction to his expedition, and Parma's infantry was too strong for him. He lost heart, and diverted himself with sports, pastimes and wooing, while the Spaniards conquered valuable territory. Finally, in 1583, his exasperated troops broke loose in Antwerp, as the Spaniards had done seven years before, and he was obliged to withdraw in disgrace. In the following year he died.

### *3 War with Spain*

In 1585 Elizabeth was forced into the open at last. Through long and anxious days she and her Treasurer Burghley, her devoted servant for forty years, had striven, against the angry protests of the Council, the seamen, the Huguenots, the Dutch, to avoid the wasteful horrors of war. So far they had succeeded. England was still safe and still at peace. Crisis had followed crisis, and for the Queen and her ministers they had been years of unceasing emergency; but among the people, often unaware of the dangers which distracted their rulers, the years of peace and orderly government had healed old wounds and borne confidence for the future. Elizabeth had put her faith in time, and her unheroic indecisions had their reward: the England which rose united to resist the Armada was not the divided, impoverished England of 1558.

Elizabeth was forced into war because the Duke of Anjou and William of Orange both died in 1584. The death of Anjou left the childless Henry III as the last survivor of the Valois line; and his heir, now that Anjou was dead, was the Huguenot Henry of Navarre, the head of the House of Bourbon. The country immediately plunged, therefore, into what might be known as the War of French Succession, and the fate of the Counter-Reformation depended on the result of it. While the

## *The Catholic League*

Queen-Mother despairingly plotted and entreated, and the last of the Valois, bedecked with rings and perfumes, surrendered himself to his *mignons*, France became the battlefield of Catholic and Spanish ambition. By the secret Treaty of Joinville Philip and the Guises pledged themselves to enforce the decrees of the Council of Trent, and to offer the throne to Navarre's uncle, the Cardinal of Bourbon, who had remained a Catholic. If the Catholic League succeeded in excluding Navarre from his crown, and the Cardinal were to sit on the throne as the puppet of Philip and the Guises, the resistance of the Netherlands would inevitably be broken; and with the whole of the western coast of Europe in his hands, Philip would be able to launch a crushing attack on England.

Meanwhile, Parma was marching on his inexorable way, 'invading deeper and deeper into the land', and drawing nearer to Antwerp, which was his goal. At this critical hour the assassin's bullet deprived the rebels of their leader. In July, 1584, William the Silent was shot at Delft by a fanatic who wished to earn the reward which Philip had offered four years before. Dendermonde and Ghent fell to Parma in the autumn, and he was able to cut the communications between Antwerp and the cities of Brabant and Flanders; if he defeated the rebels, he would be free to join the Catholic League in France. Elizabeth wrote furiously to Henry III, to wake him to the dangers which were closing upon him. 'For the love of God rouse yourself from this too long sleep.' But Henry would do nothing; the time was past when Elizabeth could be saved by the efforts of others. Moreover, the Catholic coalition was again threatening Britain itself. Spanish troops had taken part in a papal attack on Ireland; in Scotland, Esmé Stuart, an envoy of the Guises, had overthrown the Earl of Morton and won the young King's confidence; and at home, Francis Throckmorton had confessed on the rack the details of a plot against the Queen organised by Philip and the Guises. The government took firm measures: many Catholics were arrested and imprisoned, and Mendoza, Philip's ambassador, was expelled from the country.

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The Council drew up a voluntary Bond of Association to protect the Queen's life. Its signatories pledged themselves to prevent the succession of any person in whose interest a plot should be made against Elizabeth, and to pursue that person to the death. Early in 1585 Walsingham's spies unearthed another conspiracy to murder the Queen, and the Association was legalised by parliament. Thus did Protestant England try to protect itself from Mary.

In 1585 Elizabeth went to war. Her task was to stiffen the resistance in the Netherlands and France of the two Protestant minorities which still defied the Catholic alliance. Navarre appeared to be strong enough to hold his own, for he was supported by the powerful influence of the *Politiques*, who refused to see France dominated by the Hapsburgs. But in the Netherlands the rebels were desperate. The fall of Antwerp in August, 1585, finally stirred Elizabeth to action. Before the end of the year the Earl of Leicester set out for the Netherlands with 6,000 infantry and 1,000 horse. Elizabeth was to hold Flushing, Brill and the fort of Rammekens as cautionary towns, until the Dutch had refunded the expenses of the expedition. The expedition was not a success. Leicester's pride irritated the Dutch, his extravagance infuriated his mistress. Further, he accepted the title of 'His Excellency the Governor and Captain-General of the United Provinces'. 'I am utterly at squares with this childish dealing', wrote Elizabeth, for she still wished to recognise Spanish sovereignty in the provinces, in the vain hope of negotiating a peace on the basis of the surrender of the cautionary towns. Her constant negotiations caused the Dutch to doubt her sincerity, and made Leicester's task impossible. However, the English force won a little renown by some isolated achievements. It took Axel and Doesburg, and in a heroic hour at Zutphen it checked the great Parma himself; and the moral effect of its presence in the Netherlands stimulated the rebels as much as a series of victories.

Meanwhile, Drake was showing Philip what English seamen could do. In May, 1585, Philip had unwisely given instructions

### *Drake's third voyage*

emy shipping lying in Spanish ports was to be seized ; y, some English corn ships were seized in Bilbao, and undied English sailors were imprisoned Drake's reprisal was organised, in the usual way, by a joint-pany, but it was in effect the first national enterprise by England against Spain Setting out with thirty 2,300 men, Drake began his work of destruction by 'igo ; then, in order to weaken the communications Spain and her colonies, he sacked and burnt Santiago chief towns in the Cape Verde Islands , and in the emselves he captured San Domingo and Cartagena, argest and strongest cities in the Spanish Main, and n both to ransom Although he failed to find the fleet, he sailed home in 1586 laden with glory and

Drake's remarkable exploit filled Europe with amaze- t home and in her colonies Spain had been plundered to ransom, and she had been unable to stir in her defence , l damage done to her outweighed the plunder which d seized, for the bankers refused her any further credit, p could not equip his armies Philip was as cautious as herself, and as slow to adopt the courses which necessity

but he could not any longer postpone the day when l gather his strength to strike a final and annihilating England. England, it was now clear to him, was the nst which the Counter-Reformation had so often hauled fallen back Until he had defeated England, he could umself master of the rebellious provinces in the Nether- hose resistance had been stiffened by English troops lish gold ; and he could not be safe from the impious who scorned his threats and drained the wealth by which re lived.

the Armada sailed, the long duel between Elizabeth y Stuart ended in tragedy Walsingham's spies and s snared Mary to her doom She was enticed into a y formed by Anthony Babington, a young courtier, to te Elizabeth and welcome the Spaniards into England.

## *The Struggle with Spain*

When all was ready, the conspirators were seized, and compelled by torture to confess their guilt. By the terms of the Bond of Association Mary's life was forfeit. A special commission tried her and unanimously found her guilty; Star Chamber and parliament confirmed its decision, it only remained for the Queen to order the sentence to be carried out. Elizabeth could not nerve herself to take the irrevocable step. When parliament pressed her for a decision, she bade them accept 'an answer-answerless', and asked them to find 'some other way'. But parliament wished for no other way, and at length Elizabeth signed the warrant and entrusted it to her secretary, Davison. In February, 1587, Mary died in the hall of Fotheringay Castle. Europe shrieked its horrified protests. If Mary had been quietly strangled in her prison, Elizabeth's fellow-sovereigns would have accepted her explanation that the disaster had been an accident, but when an anointed Queen was formally tried and brought to a traitor's block, the courts of Europe were obliged, in self-defence, to cry out against the unhallowed deed. Elizabeth stilled their protests by finding a scapegoat. Davison, she said, had acted without her instructions, he was fined, deprived of his office, and put in the Tower. It was ruthless, but necessary; Elizabeth could not afford at this time to have a quarrel with Scotland. Eighteen months later, when the Armada had come and gone, Davison was freed, his fine was remitted, and he received his secretary's emoluments until his death. In his way he had served the state, and he had his reward.

Mary's death made Philip's task more straightforward. The English Catholics could expect little from the Protestant James, and they were now likely, Philip believed, to welcome himself as a candidate for the English throne. He claimed it because Mary had formally bequeathed it to him, and because he was descended through both his parents from John of Gaunt. But the Armada did not sail in 1587. Drake set out on another of his semi-official expeditions, this time to prevent the concentration of the Spanish fleet, and to seize the gold which should finance it. Thousands of tons of shipping and huge stores of

## The Armada

supplies were sunk in Cadiz harbour; Drake patrolled the Spanish coast, and not a ship dared to move. When he was confident that the Armada would not be able to leave in 1587, he sailed home, bringing with him the *San Felipe*, the largest of the Portuguese galleons.

Drake intended to be back again in 1588, to spread fresh terror and destruction among the Spanish navy. But the habit of years was too strong with Elizabeth; in the early part of the year she was again trying to delay the inevitable. She ordered Drake to stay at home, lest his raids should impede her negotiations with Parma. The Armada, sped on its way by the devoted prayers of a whole nation, put to sea at the end of May. Its ablest admiral had just died, and Philip appointed as its commander the Duke of Medina Sidonia, a 'sweet, meek man', who knew nothing of the sea. 'I possess neither aptitude, ability, health, nor fortune for the expedition', he complained; but the proud Spanish nobles would not serve under anyone less august. The Armada's object was to make contact with Parma, and to land his infantry on the shores of England. If Parma's men had landed, they would have annihilated the inexperienced levies to whom the Queen spoke such brave words at Tilbury. But the Armada never reached them. In nine days of fighting it was broken, and scattered in confusion before the Protestant winds; 53 ships out of 130 dragged themselves back to Spain. The English seamen were disappointed with the result of the battle. Thirty years of fighting had taught them that they could beat the Spaniards as they pleased. But Philip had assembled a more formidable armament than they had expected, and they had allowed nearly half of it to escape them. To the landsman, however, it seemed that only a miracle had saved him. In the words which they chose to commemorate their salvation, *Flavit Deus et dissipati sunt*, the English expressed their humble thanks to the Providence which had looked with favour on their religion.

The defeat of the Armada did not put England out of danger. Philip's direct attack had failed, but the ultimate success of his

## *The Struggle with Spain*

designs depended on his campaigns in France and the Netherlands. If Navarre and the Dutch were beaten, a new Armada would sail from Antwerp or Brittany, and eventually it must force a landing. Elizabeth, therefore, could not afford to relax her watchfulness. Spain must still be harassed overseas, and the Huguenots and the Dutch must still be assisted in their struggle with Parma. But Elizabeth and Burghley did not allow the defeat of the Armada to turn their heads: they would not, as the seamen and the younger generation of courtiers demanded, take the initiative in a flamboyant war of conquest against the Spanish Empire. They put their trust in the defensive policy which had served them in the past, and they knew their business better than the restless adventurers who criticised their half-measures and hesitations. For the government had neither the men nor the money to fight on the grand scale which commended itself to the eager spirit of Sir Walter Raleigh. Tudor England had no regular army, its expeditions were recruited from the gaols and from the compulsory enlistment of labourers and vagabonds. Further, the Crown had to live on a much smaller income than the Kings of France and Spain. Until 1588, Elizabeth's revenue from all sources averaged about £250,000 a year; in the last fifteen years of her reign parliament made more liberal grants, and the revenue from the customs rose as trade expanded, but her income was still under half a million. Out of this she had to meet the expenses of administration, pay her servants, maintain the traditional splendour of her court, bribe her Protestant allies—and fight her wars. Her poverty explains the hesitations of her policy. It is beside the point to call her mean; she refused to undertake wars which she could not afford to fight to the end, and this was common sense. Her thrift served her well: in the years of crisis her credit was better than Philip's, who owned the wealth of the Indies.

In 1589, therefore, Elizabeth resumed her policy of casual and intermittent intervention, in the hope that the situation would eventually right itself, as it had so often done before. She sent



## *Dutch independence*

an army to France in 1589, and another in 1591 which remained there for four years. Its object was to guard the French coast, so as to keep the vital ports out of the hands of the Spaniards ; thus it gave little actual help to Navarre, and earned no place in his gratitude. Meanwhile, Navarre's powers of leadership were guiding him out of his difficulties. He won two great victories over the League, at Arques and Ivry ; the Cardinal of Bourbon died in 1590, and the Catholics could offer no better claimant than Philip himself, or his children by Elizabeth of Valois ; finally, in 1593, Navarre won the moderate Catholics to his side by cynically adopting their religion. The armies of the League melted away, and the French, weary of a generation of civil war, accepted Henry as their King. France's war with Spain ended too. In 1598, the year in which Philip died, Spain made the Treaty of Vervins with Henry, who did not hesitate to abandon his Protestant allies for the sake of the peace which his people sorely needed. But his withdrawal, although it caused Elizabeth to exclaim against his ingratitude, did not imperil the Protestant cause. For the Dutch also had virtually won their independence from Spain. In the anxious years after the Armada Elizabeth maintained a small army in the Netherlands, under Sir Francis Vere, from which she withdrew soldiers for the French wars as the rebels' cause steadily improved. Philip weakened Parma's control by twice requiring him to take his forces to the aid of the Catholic League in France ; and in 1592 Parma died, weary of the long struggle which he had so often brought to the verge of victory. At the same time, the Dutch found a soldier of their own, in Maurice of Nassau, son of William the Silent. The tide of war turned in their favour, and early in 1597 Maurice won a crushing victory at Turnhout, which finally set the Dutch Republic on its feet. The war lingered on until 1609, but Spain's authority in the seven Northern provinces was at an end.

With little concrete help from England, Philip's ambitions in France and Holland had been successfully obstructed ; but the war on the sea, from which so much was hoped, brought disaster and disillusion. Although naval exploits against Spain were

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financed by joint-stock companies, and were expected to reward investors for their outlay, the government was discouraged by the failure of Drake's attempt in 1589 to place the pretender Don Antonio on the throne of Portugal. Henceforth, the equipment of naval expeditions was once more left to individual enterprise. But the Spaniards had learned several lessons from their conquerors: they began to fortify their harbours, to protect their communications, and to build ships which were better suited for long voyages and Atlantic fighting. The defeat of the Armada was the beginning, rather than the end, of Spanish sea-power; whereas the English, over-confident because of their easy successes in the past, equipped their expeditions carelessly, and were more anxious to lay their hands on plunder than to paralyse Spain by occupying the vital points on her trade-routes. The English had their triumphs, notably Essex's raid on Cadiz in 1596, which obliged Philip to repudiate his debts; but the Spanish treasure-fleet was now so well guarded, and the cities of the Caribbean were so much more strongly defended, that several of the expeditions of the 1590s barely paid their expenses. Moreover, the Spaniards were now growing bold enough to retaliate. In 1595 four of their ships landed on the coast of Cornwall; in each of the two following years Philip prepared an Armada which was broken by storms rather than by the efforts of the English, and in 1601 the Spaniards landed a force in rebellious Ireland. Thus neither side was able to strike a decisive blow; and although the pirates of both countries continued their private warfare in many waters, their governments gradually abandoned the hope of forcing their enemies into submission. Philip's last advice to his son was to make peace with England; and in 1604 James I, whose spirit was not adventurous, brought the useless war to an end.

## CHAPTER IX

### CATHOLIC AND PURITAN

The Counter-Reformation    The policy of the government.  
Rise and aims of Puritanism    Repression of the Puritans.  
Hooker and the Church of England.

#### *1. The Counter-Reformation*

In 1570, weary of delay and the hesitations of its secular allies, the Papacy pronounced 'the Sentence Declaratory against Elizabeth, the pretended Queen of England, and those heretics adhering to her', whereby she, and all who shared her impieties, 'incurred the Sentence of Anathema, and are cut off from the unity of the Body of Christ'. The blow had been certain to fall. At the Council of Trent the Catholic Church had set its house in order: it had purged itself of the abuses which had degraded its honour, but it had refused to compromise with the plausible doctrines of heretics. Now, with the Inquisition to punish its enemies, and the militant order of Jesuits to carry its message among unbelievers, it had launched its great attack against those nations which resisted its dominion.

The Bull of excommunication seriously threatened the safety of Elizabeth's government. Just as her religious settlement, as a part of her wise and thrifty administration, was beginning to take root among a people weary of religious differences, the Bull released her Catholic subjects from their political allegiance. Until 1570 there was peace in England; except when the situation was critical, the Catholics were not molested. But to the conscientious Catholic, the excommunication of his Queen was an ultimatum: it ordered him, in the name of his religion, to

## *Catholic and Puritan*

rebel Although the Bull did not arrive until the rising of the Northern Earls had been defeated, it was a threat which the government could not ignore ; Ridolfi's conspiracy showed at once that the allies of Mary and Philip were eager to respond to the lead which Rome had given them Bishop Jewel voiced the patriot's protest against the Pope who acted ' as if the coasts and ends of the earth were in his hands, or as if no prince in the world might rule without his sufferance ' ; and parliament met in 1571 to support with strenuous enthusiasm the government's measures to protect the safety of the state It was declared to be high treason to affirm that Elizabeth was not, or ought not to be, Queen , or that she was a heretic, schismatic, or usurper The Act emphasised that the Pope's bid to recover the English Catholics was an attempt to destroy their natural allegiance, to create sedition, and to plot the overthrow of the realm Thus, in the cause of the sovereignty of the secular state, it placed the designs of the Pope on a footing with the intrigues of de Spes and other agents of King Philip , and it laid on the English Catholics the intolerable burden of reconciling the claims of a spiritual master whose sway recognised no boundaries, with those of a sovereign who interpreted as treason their obedience to any authority beyond her shores.

Catholic resistance to the government was stimulated by missionary effort from overseas In 1568 William Allen, himself a refugee from England, set up at Douai a college for the education of Englishmen who had fled abroad rather than accept the Anglican settlement of 1559 The piety and enthusiasm of these refugees persuaded Allen that he might be able to launch from Douai a mission to revive the Catholic faith in England Young men of respectable family, between the ages of fourteen and twenty-five, were trained to dedicate their lives to the noble purpose of recovering their fatherland from the grip of heresy. On entering the college, the novice vowed that in God's good time he would ' return to England for the salvation of souls '. For seven years he submitted himself to a stern discipline of mind and body, to fit him to endure the dangers of his task ; and

### *The Jesuit mission*

to fix his mind on that sacrifice which might be the crowning glory of his life, the walls of his cell were hung with pictures of the torture-chamber and the scaffold. The seminary priests began their work in England in 1574. Contemptuous of intrigue or political objects, they devoted their lives to the conversion of souls; and the ruthless treatment they received from the government, which professed to regard them as the spies and emissaries of Spain, only strengthened the courage with which they trod the path that led to Tyburn. Persecution seemed to give a stimulus to their dangerous calling. Students flocked to Douai, and to similar colleges which were founded at Rome, Valladolid and Seville, and trained themselves to take the places of their brethren whose lives were claimed by the government.

The same lofty motives inspired the Jesuit mission, which descended on England in the summer of 1580. Its leader, Edmund Campion, was among the greatest of the Elizabethans. 'We are dead men to the world,' he exclaimed on the scaffold; 'we travelled only for souls.' The Jesuits were forbidden to speak against the Queen, to lend themselves to conspiracy, or to correspond with Rome on political subjects. Their mission was to 'cry alarm spiritual against foul vice and proud ignorance'. But they, like the seminary priests from Douai, were compromised in the eyes of Englishmen by the plotting of men less noble than themselves. The government was unable, even if it had been willing, to distinguish between Catholics who conspired against the state, and those who dedicated themselves to the conversion of men's souls. In particular, the aims of the Jesuits were prejudiced by the indiscretion of Pope Gregory XIII. Gregory was a sincere and vigorous fighter, but his methods were inconsistent; he lowered himself to criminal undertakings which degraded his cause and frustrated the work of those who served him. At the same time as he launched the Jesuit mission on England, he confounded its success by patronising an ill-conceived Spanish attack on Ireland; and he contradicted its professed motives by assuring the English Catholics that political assassination was not a sin, and promising a plenary indulgence

## *Catholic and Puritan*

to any who should undertake 'so glorious a work' as the murder of 'that guilty woman of England'. Unfortunately, many of his followers were too ready to avail themselves of the unscrupulous weapons which he placed in their hands. Robert Parsons, Campion's associate in the Jesuit mission, was a man of action, his adventurous mind was eager for quicker and more substantial results than patient conversion could achieve. Thus he tired of the restraints which the nature of his mission imposed on him, and, after he had been driven in flight from England, he was the backbone of every plot which Elizabeth's enemies developed to rob her of her throne. Men like Parsons, who followed too closely the instructions of their master, soiled the cause which Campion and the seminary priests had ennobled. They cast aside the moral weapon, the only one which the best Catholics would use, and sank to violence and conspiracy. As a result of their efforts, the cause of Catholicism was for more than a hundred years identified with intrigue and assassination.

In the campaign of repression with which the government sought to protect the Queen and her people, the innocent inevitably suffered with the guilty. Catholics who had lived in peace for twenty years were forced, after 1581, to pay the enormous fine of £20 a month if they stayed away from church, and 100 marks if they attended Mass; and if they could not pay their fines, the Crown was empowered to 'seize and enjoy' two-thirds of their estates. It was treason to seek to break down the allegiance of the Queen's subjects; and an act in 1585 attempted finally to clear the country of missionaries, by ordering all 'jesuits, seminary priests, and other priests' to leave England within forty days, on pain of death for high treason. In these measures the modern state came to grips with the mediaeval Church, and in an age when men had not learned to make a convenient separation between politics and religion, neither side would admit or desire a compromise. The Counter-Reformation jeopardised not merely the Anglican settlement, but the safety and independence of Tudor England. The government, therefore, could argue with justice that the conflict was political, and

## *The Catholic martyrs*

that the men who suffered at its hands did so, not because they upheld the doctrines of Rome, but because they were guilty of treason and sedition. The victims, on the other hand, believed that they were dying the death of martyrs, and in this conflict of principle lay the tragic futility of their sacrifice. He who was loyal to the state had to accept the Anglican settlement, and he who was loyal to Rome had to accept the Bull which declared Elizabeth to be a deposed heretic. Both sides adopted means which degraded the principles for which they fought. Many of the Catholics became little better than common conspirators, and the servants of the government acknowledged no scruple in their efforts to rid the country of their enemies. Judges and juries were biased, and convictions were based on the evidence of spies and informers, torture was used to extract from suspects, not only a confession of their crimes, but the opinions which they held on such matters as the Pope's power to excommunicate the Queen. In these proceedings the tribunals of the state availed themselves of the practices which they condemned in the Spanish Inquisition. It may be argued, however, that such practices were the only safeguard against men who used political assassination as a normal and legitimate weapon, and, to the credit of Elizabeth's government, it never shed blood if a less drastic penalty could be found. Fewer than two hundred Catholics actually died on the scaffold during twenty years of repression, as far as possible, the state was content to fine, imprison or banish those who challenged its authority.

### *2. The growth of Puritanism*

In the hour of crisis the English Catholics set their country before their religion, and flocked to join the bands of levies which mustered in 1588 to resist the Spanish invasion. The internal problems which harassed the government at that anxious time were provoked by dissidents within the Anglican Church itself. From the first, the settlement of 1559 had failed to satisfy those more ardent Protestants who wished to breathe into the national

## *Catholic and Puritan*

Church a purer and more vigorous spirit than that of a state-controlled institution. The settlement was Laodicean. It was loose and accommodating, and it was careful to avoid all pretence to infallibility, as such, it served the purpose for which it had been framed. But it could not aspire to gratify the spiritual thirst of those who, in the time of Edward VI had tasted the bitter waters of Geneva. As soon as Mary Tudor was dead, the voices of Calvinist preachers were again raised in the pulpits, the influx of refugees from France and the Netherlands quickened the movement which they had begun; and within a few years of Elizabeth's accession, a growing and vocal party had arisen in the Church to criticise the settlement which she had made, the secular spirit in which it had been drawn up, and the evil which it was doing to the spiritual life of the nation.

The Anglican Church founded its position on a claim to historical continuity. It argued that in the fourth and fifth centuries, before it ruled over all Christendom, the Church of Rome had been a true Church, and that the Reformation, having purged it of the mediaeval errors and superstitions with which it was encumbered, had restored the one true and Catholic Church to its primitive vigour and purity. These contentions did not satisfy the extremists. They wished to carry the Reformation to its logical end, on the ground that it was incomplete until it had been freed of the Roman hierarchy and ceremonial. The Church should be ordered according to the word of God, as revealed in the scriptures and in Christ's message to His apostles. From their desire to purify the English Church of the abuses which still darkened it, these zealots won the name of Puritans. They began their campaign by attacking the ancient offences of pluralism and simony, of which the clergy were still flagrantly guilty, and by seeking to strip the services of the rites and ceremonies which they chose to regard as the 'dregs of Popery'. As early as 1559 they disputed the royal Injunctions which prescribed certain vestments for the use of the clergy. To wear a surplice was to put on the 'livery of



### *Puritan objections to ritual*

Antichrist'; and their consciences were similarly outraged by the use of organs in churches, the ring in marriage, the sign of the cross at baptism, kneeling at communion, and all such outward symbols of inward grace. 'The service of God', they complained, 'is grievously abused by piping with organs; singing, ringing, and trowling of psalms from one side to another, with the squeaking of chanting choristers, disguised . . . in white surplices . . . imitating the fashion and manner of Antichrist the Pope . . . with his other rabble of miscreants and chantings.' The churching of women was an obnoxious practice 'which smelleth of the Jewish purification'. The resistance to all kinds of formalism was so powerful that a petition to Convocation in 1563, to do away with 'the rags of Rome', was defeated by only a single proxy vote. But for the wishes of the Queen herself, who clung to her crosses and candles, and for the need to preserve the unity of the Church against the Counter-Reformation, the Elizabethan settlement would have been modified in its early years. For the reforming movement was strongly supported by the clergy themselves. As only one of the Bishops had been able to accommodate his conscience to the new régime, Elizabeth had been obliged to appoint a new hierarchy; and of necessity she had chosen her Bishops from that party in the Church which was out of sympathy with the policy of Mary.<sup>1</sup> Many of these Bishops, therefore, looked with favour on the Puritan claims, and found much in the Church that was 'barely tolerable'. 'The only thing left to our choice', said the Bishop of Durham, 'is whether we will bear these things or break the peace of the Church'. But the government would make no concession to Puritan scruples, and in 1566 Archbishop Parker issued the *Book of Advertisements*, to insist on uniformity in public worship. When forty London clergy refused to obey, and were suspended and deprived, the Puritans had made the first split in the English Church.

The quarrel over vestments, which even the Puritans admitted to be 'things indifferent', soon deepened into something more

<sup>1</sup> Many of them had been in exile during Mary's reign

## *Catholic and Puritan*

serious : an attack on the very organisation of the Church. The peculiar contribution of Cambridge to the history of Great Britain is that the cry for 'parity' was first heard within its walls<sup>1</sup> Dr Thomas Cartwright, a Fellow of Trinity College, gave a new impetus to the Puritan movement, by asserting that the Church of England, no less than the Church of Rome, was in conflict with the spirit and organisation of primitive Christianity. He was the first Puritan to derive the discipline and administration of the Church, as well as its doctrine, from the word of the scriptures. Bishops, he said, should relinquish their administrative authority, and devote themselves to their apostolic functions of preaching and teaching, and all the ministers of the Church should be elected by the congregations. The dispute over ceremonies fell into the background, for Cartwright had robbed the Anglican Church of the appeal to historical precedent on which it had founded its doctrine and organisation. The Puritans eagerly took up the cry for 'parity'. 'Bishops must be unlorded. All ministers must be equal.'

The demand for parity, or equality, shook the fabric of Tudor government, for if there were a parity in the Church, men would soon be wanting a parity in the state. The King of France had already found Calvinism to be 'the religion of insurrection', and Elizabeth agreed that Calvinists were 'criminals, whose desire it is to destroy allegiance to princes'. A creed so 'dangerous to kingly rule' could not be tolerated, and the Queen resolved to use all the majesty and resources of the state to close the widening schism in the Protestant ranks. But she was to find the Puritans tough opponents. Their movement was aggressive, for they were determined to force the national Church to take notice of their opinions; and they were as intolerant as the government itself of the opinions of other people. Further, they had powerful support in high places, not only in parliament, but at court and in the Council itself. Leicester was a patron

<sup>1</sup> Most of the Puritan leaders were Cambridge men. Travers, Browne, Barrow, Walsingham, Greenwood, Penry, Udall. So were many of the Bishops with Calvinist leanings. Pilkington, Young, Cox, Horne, Sandys, Grindal.

### *Puritan influence in parliament*

of Cartwright ; Burghley appointed Walter Travers, Cartwright's collaborator, to be tutor of his children ; Walsingham, Knollys, and Sir Walter Mildmay, Chancellor of the Exchequer, inspired parliamentary efforts to modify the Prayer Book. The Puritans carried the quarrel to parliament in 1571, arguing that, since the Crown was deaf to all persuasion, parliament had the power to alter the settlement which it had itself helped to make. A bill to reform the Prayer Book was introduced into the Commons by Walter Strickland, ' a grave and ancient man of great zeal ', and in the Protestant enthusiasm which the papal Bull had provoked, many spoke eloquently in its favour. The Prayer Book was denounced as ' an unperfect book, culled and picked out of that Popish dunghill, the Mass-book, full of abominations ' The uncompromising temper of the Puritans was expressed by Peter Wentworth, who was a relative of Walsingham. When Parker asked them to bow to the authority of the Bishops and the Council, Wentworth bluntly replied ' We will pass nothing before we understand what it is, for that were but to make you Popes. Make you Popes who list . . we will make you none ' Elizabeth was obliged to take drastic measures. In 1572 she forbade parliament to debate any bills touching religion, unless they had first been ' considered and liked by the clergy ' There followed a serious conflict over the rights and privileges of the members. The Queen carried the day, but the struggle was so bitter that henceforth she was reluctant to face parliament. In 1574 Cartwright was forced to leave the country, but not before he had issued a translation of Travers's *Ecclesiasticae Disciplinae Explicatio*, which became the classical exposition of Protestant nonconformity.

As soon as it had muzzled the opposition in parliament, the government had to meet a fresh outbreak of Puritan activity. The clergy of many dioceses took to holding ' Prophesyings '. The first of these meetings was held at Northampton in 1573, they were regular meetings, to which laymen were sometimes admitted, to study the scriptures and improve morality and discipline. Archbishop Grindal, who was Parker's successor at

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Canterbury, approved of these exercises, because they stimulated the zeal, intelligence and learning of the clergy. He disliked the admission of laymen, who might be too ignorant to understand what was being said, and be filled with rebellious and schismatic thoughts; but he wished the Prophesyings to continue, in the hope that Puritan zeal and morality might fortify the Church with a much-needed discipline. The Queen, however, regarded them as a veiled defiance of the royal prerogative, and she was afraid to permit a free discussion of religious matters. She ordered Grindal to suppress the Prophesyings; and when he refused, she suspended him from the performance of his duties. On his death, in 1583, she appointed an Archbishop of whose integrity she could be certain. As Master of Trinity, John Whitgift had defended his University against Cartwright, and, as Archbishop, he was ready to protect the state from the evil doctrines which Cartwright had spread. The question, to his mind, as to the Queen's, was not whether the Puritans were right, but whether it stood 'with godly and Christian wisdom' to attempt to reconstruct the national Church in the hour of danger. He brought into action the full coercive power of the state to force the Puritans to submit to the Queen's doctrines of expediency. The Six Articles of 1583 compelled the clergy to acknowledge the ecclesiastical supremacy of the Crown, and to admit that the Prayer Book and the Thirty-Nine Articles contained nothing contrary to the word of God. Two hundred clergy refused to accept the Articles, and were suspended. The Church of England had taken its stand on infallibility, and Whitgift was determined to enforce its decrees. In the Court of High Commission, which after 1583 became a regular part of the machinery of ecclesiastical administration, he called to his aid the resources of the prerogative. The proceedings of the court were swift and secret, and it was not hampered by the formalities of the common law; it savoured, as Burghley objected, of the Roman Inquisition. But, with parliament and the magistrates strongly Puritan in sympathy, the Crown had to choose its own weapons in the struggle against the spread of Puritanism.

### *The Marprelate Tracts*

Whitgift's policy persuaded some Puritans that, since they could not reform the national Church, their only remedy was to break away from it. A separatist movement gathered momentum in the Eastern counties, under the leadership of Henry Barrow and Robert Browne. They held that the only basis of a Christian Church was the free congregation, and that the close connection between Church and state, which was upheld by orthodox Presbyterians, as well as by Anglicans, had no warrant in the word of God. Having no patience with the royal supremacy, these Congregationalists, or Independent Puritans, determined to achieve their desired reformation in their own way, and by their own efforts. They inspired the seven anonymous Martin Marprelate Tracts, whose appearance in 1588-9 embarrassed the government by their ribald attacks on the Bishops and the organisation of the Established Church. 'Anti-Martinists' joined issue in a battle of pamphlets, but until the government detected the secret press and punished the supposed offenders, the scurrilous jocularities of the contest undermined men's traditional reverence for the Bishops and the ecclesiastical order. The government made a final attempt to crush the Puritans. The Presbyterian leaders were imprisoned; Udall, the supposed author of the Tracts, died in prison; and three leaders of the separatist movement were executed for sedition. A Conventicle Act was passed in 1593, which punished with exile, and even death, those who refused to go to church, or attended unauthorised conventicles. This ruthlessness, coupled with a general reaction against the obvious political dangers of the democratic system of the Independents, temporarily broke the back of the Puritan resistance. But the Puritans were not crushed. If the scriptures were the authentic word of God, they must point the way to a truer order of ecclesiastical discipline than any settlement fashioned by men. No action of the government could convince the Puritans that they were wrong. They remained fundamentally irreconcilable, and if Elizabeth would yield them nothing, a Prince who had been reared in the bleak piety of Presbyterian Scotland might prove to be more generous.

## *Catholic and Puritan*

Elizabeth's victory over the Puritans cost her dear. Her dealings with them, as with all her enemies, were opportunist; in defending her religious settlement, which was founded on expediency, she made no attempt to understand the motives of those who rejected it. The demand for a 'preaching' ministry voiced one of the strongest psychological needs of the age; and if Elizabeth had allowed the Prophesying movement to develop the capacity and intelligence of the clergy, the Church might have retained the loyalty of those whom Whitgift chose to oppress. But she saw only the political dangers of Presbyterianism and Independency, of their emotional background she took no account. Thus she sought to protect herself by a policy of repression which ultimately narrowed the basis of the nation's respect for the monarchy. Her repression stimulated nonconformity, rather than crushed it; and, what was more serious, she lost the goodwill of parliament. For the Puritans, like the Catholics, were torn between conscience and their duty to the state. In the last instance, men who ordered their lives on the principle of undeviating obedience to the word of God reluctantly found themselves obliged to defy their earthly sovereign. As Tristram Pistor reminded the Commons, 'This cause is God's. The rest are all but terrene, yea, trifles in comparison. . . "Seek ye first the Kingdom of God and all things shall be added unto you."'

Elizabeth miscalculated if she thought to break men of this temper by secular compulsion. Many of her subjects believed that their Queen was in error; that, as a preacher told her to her face, she was 'an untamed heifer, that would not be ruled by God's people, but obstructed His discipline'. Her obstinacy discredited her office in their sight. Moreover, she broke off her father's alliance with parliament against the Church, and joined the Crown with the Church in obstructing parliament's desire to have the Prayer Book altered. This policy was fatal to the Crown. For the Puritans, finding that the path of religious reform by means of parliament was blocked by the prerogative's championship of the Church, were forced to

## *Opposition to the Crown*

concentrate their attack on the prerogative itself. The creed of the triumphant in God became the creed of an oppressed nation. From the propaganda organised by the Puritans, the men who were to resist the Crown in the next reign learned the technique of effective parliamentary action. Further, the unpopularity of High Commission threw the common lawyers into opposition by the side of religious malcontents and frustrated parliamentarians. Before James came to the throne, Elizabeth had attached the Crown to an out-of-date religious settlement, and committed it to the policy of 'No Bishop, no King'. The Church and the prerogative were branded as enemies to the individual conscience and the common law.

The discontent of the Puritans threw a shadow over the closing years of the century. The nation was disappointed by the failure of the naval enterprises against Spain, a host of demobilised soldiers and sailors reawakened the old fear of vagabonds; a serious rebellion in Ireland caused the government much anxiety, and drove home the lesson of the Spanish war by emphasising the essential weakness of the Elizabethan state. The Earl of Essex, the Queen's favourite, put himself at the head of a band of discontented courtiers, and tried to raise the City against her 'evil counsellors'. Finally, the Crown's authority was assailed in parliament itself. In 1597 there were outspoken criticisms of the monopolies with which the Queen rewarded her favoured servants, and in 1601 the protests could no longer be ignored. The aged Queen made the last of her great gestures to her people, and in a moving scene promised to mend her ways. For some years it had been clear that James VI of Scotland was the only successor to the throne whom the English ruling classes would be willing to accept, and it was a restless and dissatisfied nation which prepared to greet him in 1603.

### *3 The effects of Puritanism*

The core of the Puritan's creed was his assurance of salvation. Since the tragedy in the Garden of Eden, sinful man had been

## *Catholic and Puritan*

doomed to destruction ; but God had been persuaded by the sacrifice of His Son, who took upon His shoulders the burden of human sin, to reprieve some of His erring creatures and take them into the Kingdom of Heaven. These elect of God, who were born in His grace, could not lose it, as surely as those who were born without it could not receive it. The Puritan was of the elect, and he sought by piety and good works to prove his fitness for his exalted calling ; but, although his creed strengthened and purified the moral life of the nation, much was lost when Puritanism laid its grip upon the land.

In the first place, a faith which was based upon the word of God necessarily issued in confusion. Without a Church to guide him by the accumulated wisdom of past centuries, each man must interpret the scriptures in his own way. The only logical deduction from this principle was to admit complete freedom of conscience ; but only the Independents, of all the Puritan sects, were consistent enough to champion toleration. The others struggled to establish in England a system as rigid and exclusive as Anglicanism. Secondly, Puritanism was not a religion of love. For all his professed attachment to the word of God, the Puritan drew his inspiration rather from the conceptions of Paul than from the teachings of Christ. Christ was important to him as part of the machinery by which he was saved, and for having added to the penalties of Hebraic Christianity the terror of eternal punishment ; with that gospel of love which was the central message of the New Testament, he saw no need to concern himself, for it had no bearing on the all-important matter of salvation. His business was to be good, not to be charitable. Belief in a personal God injures a community's moral life when that God is, like Jupiter, compound of human weakness, or, like Jehovah, a fiat-flinging lord of wrath, but Puritanism showed the dangers of belief in a transcendental God whose standards were unattainable by fallible mankind. Since only grace availed to save a man, and the elect were destined to be saved from the hour of their birth, a good life in this world was not necessarily to be rewarded in the next. Mammon, on



## *Puritan morality*

the other hand, was a master whose rewards were tangible and sure, and one of the worst results of Puritan thinking was the stern pursuit, unsoftened by Christian compassion, of the prizes of material endeavour

Finally, the Puritan thought ill of mankind. For it was the essence of Calvinist doctrine that man was born in sin and born to damnation. In the words of Calvin himself, he was *ordure*, filth; his earthly doings were like the scrambling of rats in the straw; there was no good in him, except where God had planted it in the memory of His Son. As Calvin's faithful preachers carried his message to the world, men's souls grew heavy with the sense of guilt. As it was written in the Book of Proverbs, 'I know that in me, that is, in my flesh, dwelleth no good thing'. The chill of self-contempt numbed even Shakespeare's spirit: his later plays are haunted by an almost neurotic hatred of man's natural instincts. If 'Merrie England' ever existed outside a sentimental cult, the Puritans destroyed it. They denounced the maypoles and the dances of the countryside; their attack on music and the theatre and dress drained life of colour; ultimately, they were hostile to culture. Their deep sense of man's unworthiness taught them that all recreation was sensual indulgence. Bunyan revealed that as a child of ten he could not cast off the burden of sin; and the sins which weighed him down were his love of hockey and dancing on the village green, and his passion for bell-ringing.

Puritanism, then, has much to answer for. Its adherents were materialist, harsh and unloving; they did not always apply logically their acceptance of the word of God; their consciousness of sin blighted the healthy development of the mind. But there has never existed so powerful an instrument for arousing and changing the spirit of a nation. The official Church was Laodicean, the government took refuge in unadventurous expediency; the English Reformation had not borne its Luther. So far, the English Reformation had been the work of the rulers; in Puritanism the people wrought their own Reformation, and ultimately forced their rulers to accept it, and stamped it for ever

## *Catholic and Puritan*

on the English character. In the study of the Bible, which the invention of printing had brought to every home, each man found his own faith and his own Church. The vandalism of Henry VIII stimulated this private study, by diffusing the monastic spirit among the nation; the matchless harmonies of the Authorised Version were soon to enrich it. No secular compromise could stand in the way of the passions which it stirred.

There was much in the spirit of Elizabethan England which needed to be changed. For all their brilliance, their abundant versatility, their thirst for knowledge and adventure, the Elizabethans were adolescent and immature; they travelled widely over life's surface, but they did not probe its depths. The difference between Shakespeare and Milton, or between Jonson and Bunyan, is the gulf which divided an England exuberant with the culture of the Renaissance from an England made Puritan. For even Shakespeare was limited by his age. His heroes were not cast in the mould of Greek tragedy; he created no saints and no fanatics, no characters who dedicated their lives to the service of a faith or an ideal. His bad men were stagey Renaissance villains. Iago, Edmund, Iachimo, who learned their wickedness from the pages of Machiavelli. His heroes might touch the depths of suffering, but they never rose to the heights of human conduct. The splendour of Shakespearean tragedy lies in their weakness, not in their strength; they were the sport, not of the gods, but of men and themselves.

There was point, too, in the Puritan criticisms of dress and fashion. Just as they luxuriated in euphuistic prose, and allowed Shakespeare and Bacon to spend their strength in literary conceits, so the Elizabethans lavished their energy on gaiety and amusement. Every year travellers brought word of some new fashion from abroad, and English society became the mirror of foreign influences; people endured the discomfort of ruffs, and farthingales, and tight breeches in which it was impossible to stoop, for no better reason than that fashion was an exacting mistress. The Elizabethans lacked discrimination and refinement. They had

### Richard Hooker

the vices—extravagance, ostentation, an uncritical love of novelty—of people who have not really grown up. In these weaknesses Puritanism was a tonic to the English mind. The Puritan was grave, sober and reflective; he thought of the morrow, beyond the pleasures of to-day. It was not just a coincidence that the Puritans taught the English to build an empire. The Elizabethans, impulsive, eager for quick results, were bad colonisers; if a settlement did not yield gold and immediate profits, they abandoned it. It was the Puritan refugees who showed that a colony could grow rich by the patient labour of those who dwelt in it.

The final service of Puritanism was to provoke the defenders of the national Church to take account of their position. In 1594 appeared the first volumes of Richard Hooker's *Laws of Ecclesiastical Polity*—a last plea for unity, a last noble assertion of the mediaeval doctrine that Church and state are one. The scriptures, said Hooker, served the purpose of revealing the divine way of salvation, which could not be apparent to reason alone. But where the scriptures were silent, the Church had the power, like any other society, to make laws for its well-being, and to ordain what form of polity it pleased, provided it did not violate the spirit of God's word. 'In these things whereof the Scriptures appointeth no certainty, the use of the people of God or the ordinances of our fathers must serve for a law.' He appealed to the Puritans to revere tradition, 'the wisdom which is learned by tract of time', to restrain their zeal for an imagined perfection before it disrupted the peace and order of the Church, for 'to bear a tolerable sore is better than to venture on so dangerous a remedy'. The way to tranquillity was by stability. The Puritans were deaf to Hooker's message of peace; but his plea for reason and tradition, which found an answer in the sweetness of George Herbert's life at Bemerton, gave a philosophy and a dignity to the Church in whose defence Charles Stuart was to lay down his life.

## CHAPTER X

### THE INHERITANCE OF JAMES I

Character and policy of James His theory of the Divine Right of Kings History and importance of the theory. The difficulties of James's inheritance : finance, temper of parliament, religion; foreign policy

#### I. *The character of James*

No King of England has ever come to the throne with more confidence than James I in his fitness to occupy it. In Scotland, which was notoriously difficult to govern, he had boldly asserted his authority. Most of the Regents who had ruled during his minority had died violent deaths, and James had himself been threatened by the intrigues of the Catholic faction, who wished to put Mary back on her throne and restore the ancient faith. But by skilfully playing off his enemies against each other, he had managed to make the influence of the Crown very real. The grasping and anti-social nobility had been obliged to bow to the authority of the Privy Council, and the Calvinist clergy to suffer the unwelcome tutelage of the bishops.

James was encouraged by his success in practical statesmanship to generalise his experience in a handbook on monarchy. His *Basiliſcon Doron*, which he wrote for the instruction of his son Henry, laid down high principles for the conduct of Kings towards God and men. James advised his son on the food he should eat, the clothes he should wear, the counsellors he should choose to guide him; and added a timely warning on the responsibilities of kingship. 'the highest bench is shaddriest to sit upon'. But James did not feel that Scotland was worthy

## *The Scottish King*

of his talents. He longed to display his kingcraft before a larger audience. The whole of Europe should be his chessboard, and he would move the pawns at his pleasure, the princes and statesmen of many lands should gather at the court of Solomon and seek his judgment. He was impatient for Elizabeth to die, and grumbled that she would 'continue as long as sun and moon'. For England would be worthy of him, and he of England. In this prosperous land, whose streets were paved with golden promise, the stage was set for Solomon to make his entrance. England was a fine place, and all the better for having James of Scotland as her King.

In May, 1603, the new King entered London. His subjects gathered curiously in the streets to see him. Accustomed to the regal ways of Elizabeth, they found his appearance unkingly. Nature had been ungenerous to James: his head was too large for his body, and his tongue was too large for his head; wide, goggling eyes stared loosely at men until the vacant scrutiny embarrassed them; rickety legs scarcely supported the clumsy body, and James had to lean on the shoulders of those who walked at his side. Rizzio had been murdered before his mother's eyes, and James was born with an incurable horror of cold steel. Even to perform the traditional ceremony of knighthood made him tremble. Scottish assassination plots had driven him to strange and undignified precautions: he slept behind a thick mattress, and wore padded clothes, 'his breeches in great pleats and full stuffed'. He was hot-tempered and emotional, his interest was quickly engaged, but he was incapable of persistence or steadiness of purpose. Above all, he was vain. He liked to be admired, and as a King he could insist upon it. 'Let me never apprehend that ye disdain my form and undervalue my quality', was his warning to Somerset, and Somerset was rash to ignore it.

This was not the equipment of Solomon. James had many good qualities, but they could not balance his fatal want of dignity. The contrast between him and Elizabeth told heavily

## *The Inheritance of James I*

against him. Elizabeth had been aloof and terrible. When the Commons approached her with a grievance, the fear of her displeasure outweighed their sense of injustice. Even when she was shamelessly flirting with Leicester or Anjou, or filling the air with masculine curses, she was still dignified; the Tudors were majestic even in their follies. But James had no majesty in his person. He appeared too often among his people, engaged too readily in controversy with them, was too easily excited; and excitement emphasised his impediment, so that his Scots speech grew blurred and inarticulate. When Elizabeth addressed her subjects, she spoke in stirring English, with attachment and condescension perfectly blended. James was all too familiar. He would be, he said, 'the great school-master of the whole land'. He likened the Commons to a cow that was trying to cut off her own tail, the tail being himself, the ordained of God. He complained of criticism in the Commons, where 'our fame and actions have been tossed like tennis balls among them'. To urge that England and Scotland be united under a single government, he used this curious figure of speech:

I am the husband and all the whole Isle is my wife. I hope, therefore, that no man will be so unreasonable as to think that I, that am a Christian King under the Gospel, should be the Polygamist and husband to two wives

These homely similes were no doubt appropriate. They suitably illustrated the point which the King was anxious to make. But their very homeliness, linked with James's shambling gait and slobbering tongue, were out of harmony with his claim to an inviolable majesty. Englishmen could better understand, and more easily respect, a King who bluntly swore

James was a fine scholar: an expert theologian, a keen and witty debater; 'translucent with logic', says Carlyle, 'radiant with wit, with ready ingenuity, and prismatic play of colours'; with a gift for 'conclusive speculation'. But his learning was dangerously near to pedantry. It had not that irresponsibility

### *James's favourites*

which saved Renaissance wit from growing tedious James had a way of lecturing his subjects which irritated them, and blinded them to the strength of his intellect Moreover, his wisdom was of the head only, not of the heart There were odd and primitive flaws in it so intelligent a man had no need to be superstitiously afraid of witches and tobacco His brain was quick, but his sympathies were sluggish He could see his adversary's point in an argument, but he could not understand temperaments that were different from his own The Puritans who came to him with nice points of doctrine, the country squires who stubbornly mistrusted his friendliness with Spain, the lawyers who held him within the boundaries of their unfamiliar statutes, were men whom he could not understand, and would not bother to understand. In England he fell foul of traditions and prejudices which he had not known to exist Conscience, narrow patriotism, a finicky respect for the laws, he lumped together as wrong-headedness and factious opposition He closed his mind to ideas that were outside the circle of his own intellectual musings, and fatally disregarded the harm which they could do him.

James has done most hurt to his reputation by his reliance, during the last fourteen years of his life, on young and attractive favourites While Robert Cecil was alive, all was well James consulted the ministers of the previous reign, and often took their advice But in 1612 Cecil died, and thereafter James gave his confidence to two young men who had neither birth nor fortune, and only moderate abilities. Robert Carr, a penniless laird from Teviotdale, who became Earl of Somerset; and George Villiers, the son of a Leicestershire squire, who was the notorious Duke of Buckingham. Men who had known Leicester and Essex were well enough accustomed to court favourites, but Elizabeth had seldom allowed her private affections to direct her public policy James made no such distinction, the favourite's whim was his master's law Experienced statesmen took their orders from Somerset and Buckingham, and did them homage for favours expected or received. Somerset rewarded

## *The Inheritance of James I*

James by involving himself in an odious scandal, and the unpopularity of Buckingham wrecked five successive parliaments.

James's infatuation for these men is understandable. It was less discreditable, and less fatal to him, than his failure to see the point of view of men who differed from him. For James had had an abnormal life. Before he was two he had lost both his parents: his father had been murdered, his mother gone to her long captivity in England. He was brought up by uncouth nobles and dour, suspicious clergymen; men who used him for their political purposes, who lectured at him, jostled him, pulled at his sleeve. James was starved of affection; and, not unnaturally, he grew up disliking hard and practical men of the world. His Queen, Anne of Denmark, was a coarse, unsentimental woman, who failed to give him the affection which he had always been denied. So he turned to these specious, handsome young men, with their social grace and abundant charm. When he was still a boy, James had caused Elizabeth great anxiety by his sudden affection for Esmé Stuart, Duke of Lennox. Lennox was sent by the Duke of Guise to convert James and the Scots to Catholicism and restore Mary. He was the first person to flatter James; and James responded so readily that the Protestant lords grew alarmed and drove Lennox out of the country. With the English favourites James had the same sort of intimacy. The relationship was thought offensive, also it was pathetic, and politically it was disastrous. Although he was always poor, James lavished gifts on his friends, and gave costly pageants in their honour. As gout and disease crippled him in mind and body, he depended on them more than ever. Their confident vitality stimulated him. His delight in Buckingham was unrestrained. 'Christ had his John,' he declared, 'and I have my George.'

James then was very human; and he had not the tact and dignity which alone might have reconciled his subjects to his shortcomings. Even in his virtues he was slightly comic: it was his misfortune to make ridiculous everything that he touched. When, therefore, he claimed the mantle of divinity, he wore it incongruously.



## *James's conception of monarchy*

### *2. The Divine Right of Kings*

James had pronounced and uncompromising views on the sanctity of his office. His writings proclaimed, and his actions constantly asserted, that Kings were fettered by no laws made by men, their responsibility was to God, and to Him alone. The theory that the ruler had made a contract with his subjects, and might be deposed by them if he broke it, had no sanction. But the office of King was directly established by God, and Kings were His anointed servants, above the criticism of man.

James had already set forth his opinions in *The Trew Law of Free Monarchies*, which he had written in Scotland and published anonymously. By reference to Scripture, in particular to the coronation of Saul and to Samuel's words on the divine ordination of kingly rule; to the practice of Scotland, where the King was supreme in law and administration; and to the law of nature, in the relationship of father and son or of head and body, James argued that Kings should have supreme authority over all men. Although 'a good King will frame all his actions according to the law; yet he is not bound thereto but of his goodwill and for good example to his subjects'. If a King governed badly, that did not justify his subjects in rising against him; for 'the wickedness of the King can never make them that are ordained to be judged by him to become his judges'. Anarchy and armed rebellion were worse evils than tyranny. Peoples that were cursed with a tyrannous ruler should meekly throw themselves on his mercy, or pray for the intercession of God; or humbly reflect that their sufferings might be punishment for the evildoing of their ancestors. Kings were God's 'vice-gerents on earth, and so adorned and furnished with some sparkles of the Divinity'. The only restraint on their wilfulness was the knowledge that, if they failed in their trust, they would suffer torment hereafter.

James's new subjects were soon acquainted with these exalted views. He informed his first parliament that their ancient and boasted privileges were theirs by the grace of his ancestors and

## *The Inheritance of James I*

himself, not of right. As the reign proceeded his claims grew ever prouder. 'Kings are justly called Gods', he told parliament in 1609, 'for they exercise a manner of resemblance of Divine power upon earth. For if you will consider the attributes of God, you shall see how they agree in the person of a King.' Again, in the following year: 'Kings are not only God's lieutenants upon earth, but even by God Himself they are called Gods.' The fullest expression of James's views was contained in his speech to the Star Chamber in 1616 'That which concerns the mystery of the King's power is not lawful to be disputed; for that is to wade into the weakness of princes, and to take away the mystical reverence that belongs to them that sit on the throne of God . . . As it is atheism and blasphemy to dispute what God can do, so it is presumption and high contempt in a subject to dispute what a King can do, or to say that a King cannot do this or that.'

At first sight such words seem extravagant and ridiculous, particularly on the lips of a man as fallible as James. But the theory of the Divine Right of Kings has a place in the history of government, and when James formulated it his worst offence was that he was being out-of-date and rather tactless. The theory took shape during the mediaeval controversy between Pope and Emperor. When they were seeking to reduce the Holy Roman Emperors to the level of other Kings, the Popes turned to Scripture for arguments to justify their temporal claims. They found their justification in Christ's charge to Peter, and the unity of mediaeval Christendom was based on the divine institution of a single power that was sovereign over the thoughts and actions of all Christian men. Unity was the soul of government. The *plenitudo potestatis* of Rome was challenged by the Emperor and his controversialists. Marsiglio of Padua, William of Ockham, Dante in *De Monarchia*, argued that the secular power of princes was also ordained by God, and, like the Pope, they turned to Scripture for their arguments. It was written in the Book of Proverbs that 'by me kings reign and princes decree justice', Jesus was ready to render to

### *Divine Right in the Middle Ages*

Caesar the things that were Caesar's ; Jesus had submitted to the authority of Pilate. Thus Kings would admit the authority of the Pope in things spiritual, but they would not allow that authority to weaken the allegiance of their subjects to themselves.

The importance of this controversy was more than academic. Against the Pope's insistence on the unity of Christendom the Emperor urged the unity of the secular state. The successful rulers of the Middle Ages were those who made laws and were strong enough to enforce them ; to enforce them not merely on the overmighty baron, but on self-willed churchmen too. To such Kings as Henry II of England the pretensions of the clergy were intolerable. After years of feudal anarchy Henry succeeded in bringing the nobles within the restraint of the royal justice, which was swift, cheap and available to all men. Then he found that the clergy, encouraged by the Archbishop, would not submit to his jurisdiction, because they did not recognise him as their sovereign ; their allegiance was to the Pope overseas. During the Middle Ages this struggle was fought out everywhere, between Kings who claimed to be masters of all men who lived within their boundaries, and Popes who claimed the allegiance of all Christian souls.

The theory of Divine Right, therefore, served the state in two ways. First, it defied the sovereignty of the Pope, whose temporal ambitions often made him a dangerous rival in the political field ; and secondly, it bound the community by stronger ties than those of feudal obligation. The fabric of feudalism easily crumbled into anarchy ; where the King was first among equals, and the only sanction of government was the will of the strong, peace and order could not flourish, except by accident. The cure for anarchy was to make obedience a religious duty, and to exalt the community, personified by its ruler, above the interest of individuals. Thus Divine Right took shape. The office of monarchy was divinely ordained, the principle of hereditary succession was indefeasible (for there must not be a war of inheritance every time a King died), Kings rendered the account of their stewardship to God alone,

## *The Inheritance of James I*

and He was their only judge ; and lastly, it pleased God that subjects should not resist their rulers.

The events of the sixteenth century gave the theory a new importance and new tasks to perform. For when the Kings and Princes of Europe threw off their allegiance to the Pope, they found at hand a theory that would justify their proceedings and retain for them the obedience of their people. The Church of Rome fought a long counter-attack, but Divine Right defeated it. Kings asserted that the divine institution of the secular power entitled them to establish what religion they pleased within their borders, and to drive out those who refused them obedience. The new national consciousness of the peoples of Europe supported their claim. The Pope became an anarchist, an intruding foreigner, who sought to break down the unity which gave nations their strength. His followers were executed as traitors to the common weal, while Kings took advantage of this patriotic enthusiasm to make themselves more powerful than they had ever been before. They threw off the shackles of feudal custom and successfully defied the rival sovereignty of the Church ; and their subjects, glad to be protected from feudal disorder and an Italian master, readily supported them. From the Reformation was born absolute monarchy and the nation-state

The British Isles stood outside the long struggle between Emperor and Pope, and mediaeval England had no need of Divine Right. In Saxon times the King had been elected by the Witan, and he held his throne on condition that his conduct was acceptable. The long series of Charters wrung from Norman and Angevin Kings showed that the tradition was not dead ; and in the fourteenth century the English showed how jealously they guarded their ancient privileges by depriving two Kings of their thrones. The fate of Richard II, who was deposed in 1399, came upon him because English constitutional theory was unsympathetic to despotism. Richard was the first King of England to speak the language of Divine Right. He declared

### *England's loyalty to the Tudors*

that the laws were in his own mouth, '*et aliquotiens in pectore suo*' He flouted the barons, the traditional advisers of the Crown, compelled parliament to abdicate its powers to a Council of Eighteen, and used his dispensing power to evade laws that thwarted his purpose; for statutes made by man could not stand against the law which was the breath of God. Richard was swept from his throne, and his outraged people took the opportunity to impose humiliating restrictions on his Lancastrian successors. Thus ended the bid of a mediaeval King to break from the fetters of law and custom, and rule '*solutus legibus*' as his fancy led him. The English view of kingship, and its necessary limitations, was summarised at the close of the Middle Ages by Sir John Fortescue, Chancellor to Henry VI. The King's authority in England was tempered by the common law. If he broke it, or tried to replace it by the law of his own inclination, he was removed. The right to resist unlawful despotism had thrust deep roots into the soil of England.

But in emergency the state must be strong, and in the long emergency of the sixteenth century the English for the time abandoned their traditional jealousy of the Crown, and submitted to what the eyes of foreign observers regarded as a despotism. When Henry VIII was bold enough to cut through the bonds which for nearly a thousand years had held England to Rome, he exposed himself to the vengeance of the Catholic world. The country approved his action, if not his motives, and prepared to resist the forces which he and they had unloosed. A state which was divided against itself could not have defeated the Counter-Reformation, with the might of Spain to lead it. The English therefore united themselves behind their rulers, and submitted to actions which they had resisted in the past and in the future would resist again. With the Queen excommunicated, and foreigners urging her subjects to plot her death, it was no time to weigh the niceties of constitutional procedure; the people must unite to defeat the enemy who was within the gates. With the Pope instructing all true Catholics that their only obedience was to him, it must be made clear to all who

## *The Inheritance of James I*

dwelt in the realm of England that their sacred loyalty was to the Queen, and to none other. Like the Church, the state was sanctioned by the word of God, and the narrower loyalty must in the last instance exclude the wider one. The statesmen of England did not hesitate to use the arguments which continental Protestants were using in the same cause of national unity. Marsiglio's *Defensor Pacis* was translated in 1535, although his chapters on the restraint of bad princes were omitted as 'not pertaining to this realm of England'. Bishop Gardiner's book *On True Obedience* attributed the power of the King to God's ordinance, Latimer's sermons, the works of the Elizabethan bishops, Shakespeare's plays, bear witness that Tudor England regarded monarchy as an office more than human. The fifth commandment applied to Kings as well as fathers, for the King was the father of his people. Jewel, who was Elizabeth's Bishop of Salisbury, wrote that 'our common teaching is that we ought so to obey princes as sent of God, and that whoso withstandeth them withstandeth God's ordinance', thus obedience was the due of princes and magistrates, even 'though they be very wicked'. The famous words of the Bishop of Carlisle (in *Richard II*, iv 1) forbade 'subject and inferior breath' to speak treason against 'the figure of God's majesty'. Hamlet dared not lay hands on God's anointed servant, although he was a murderer.

Two generations of crisis had made the English think differently about their Kings; and when James expressed the theories which posterity has condemned, he was claiming no more than many were partly willing to concede. Sir Walter Raleigh believed that monarchy was the best 'regiment' because it resembled the sovereign government of God, the universities and the Bishops whole-heartedly preached non-resistance, and Francis Bacon, the finest intellect of the age, specifically approved of James's book, and insisted that in the interests of the commonwealth the King might rise above the law. If James and his supporters were wrong, it was because they had not read the signs; for the times were changing.

## *The 'Tudor despotism'*

### *3. The difficulties of James's inheritance*

When James came to the throne, Crown and people were falling out of harmony. The years between 1529 and 1588 had been years of strain and constant danger. The fear of invasion from abroad and of rebellion at home had driven the government to take desperate measures for the public safety, and had blunted the criticism of those who might have protested that the letter of the law was being strained. Superficially examined, the government of the Tudors might have seemed to be a despotism. Royal proclamation created laws that were never sanctioned by parliament; the Crown had authority to suspend or alter existing statutes; by his prerogative the King was able to regulate the flow of trade, the growth of industry and the right of public speech. The government could arrest whom it pleased, detain them without trial, and extract their evidence by means of torture. Various forms of imposition enabled it to levy taxation which parliament had not voted. The old claims of parliamentary privilege were invalid against an executive which tampered with the elections, created new constituencies, suppressed unwelcome debate, and threw troublesome members into the Tower. The Council and its offshoots tried offenders by processes unknown to the common law. Parliaments sat, or did not sit, at the royal pleasure; judges were removed from the bench if their verdicts conflicted with the government's policy. In short, the Crown used its discretionary powers to put reason of state above the iota of the law. The rights of the individual were surrendered to the public interest.

This harsh and oppressive picture of Tudor rule is softened by two vital considerations: that the nation not only consented to this exercise of arbitrary powers, but co-operated in it. The essence of Tudor government was co-operation, a unique partnership between Crown and subjects, who shared not only their dangers but their achievements. The Tudors had neither soldiers nor money. Their policy must necessarily have failed if parliament had not expressed its approval in legislation, and

## *The Inheritance of James I*

the Justices of the Peace in their loyal service in the countryside. The unpaid servants of the Crown, members of parliament and magistrates, shared with the Tudors and their official servants in the making of modern England. From the long and anxious crisis emerged a nation in the fine strength of early manhood. The hour of danger was past, but it had awakened qualities in the English character which in earlier ages had lain dormant. The Merchant Adventurers had wrested the trade of Northern Europe from the Hanseatic League; English chartered companies traded at the court of Ivan the Terrible, and sent their cargoes to the East Indies and the Levant; English fishermen set up a flourishing industry in home waters; explorers gave their lives to the vanishing dream of a northern passage to the Indies; seamen defied the ban of the Pope and the vengeance of the Inquisition to filch the commerce of Spanish America; Englishmen rose to be princes of Persia, served as jewellers to the great Akbar at Delhi, and organised the navy of Japan. At home, London was already an important money market; English cloth was carried as far as Novgorod, Constantinople, Cairo and Bengal; sheep-farming, which cast some into poverty, enriched the productivity of the nation as a whole; Protestant refugees from abroad brought their characteristic thriftiness, and new industries in which to exercise it. The spacious manor-houses which the Elizabethans built for themselves reflected alike their prosperity and their superb taste, and the very solidity of these houses bore witness that the men who built them knew that the future was on their side. Tudor Englishmen were no less adventurous in the kingdom of the mind. The seed which More and Colet planted while the English Renaissance was yet young, matured into the lyric poetry of Wyatt and Surrey and the rich harvest of Elizabethan achievement.

It was this very confidence that was fatal to James. Men of this calibre would suffer no needless despotism, and James was soon to learn that, whatever his brethren on the continent had been able to make of it, in England Divine Right was only



### *The growth of opposition*

a temporary device to force into a proper obedience those who pleaded the scruples of their conscience to serve masters of their own choosing. James ascended the throne in an ominous calm. Mary Stuart was dead, the pride of Spain was beaten, the Dutch, old clients of the English Crown, were virtually independent, the union of the Crowns freed England from the menace of Border raids, and the Irish rebellion was soon to be crushed. There were no serious rivals to the throne to rally men to the service of the reigning King; only the Catholics might seriously threaten the new dynasty, and the perverse foreigner was disposed to relieve Catholics of their burdens. Thus in the fifteen years since the Armada the English aristocracy had had time to realise that the authority of the Crown in many ways conflicted with their interests and hampered the development of their class. Their years of co-operation in the business of government had sharpened their resources and quickened their sense of responsibility. In crisis they had fought by the side of the Crown in a common cause; but under the surface there had always been conflicts of policy and interest which indicated that in more settled times the Crown and its more powerful subjects would take divergent courses.

Shrewd observers must have seen how the wind was blowing, for the 'nineties were uneasy years. 'All the fabric of my reign, little by little, is beginning to fail,' Elizabeth told the King of France. The struggle over monopolies was the climax of a decade when tempers were often on edge, and finance was not parliament's only grievance. The members persisted in discussing religion, in defiance of the Queen's orders; and they claimed with growing bitterness the privileges which attached to them as members. It was a decade of economic difficulties, aggravated by severe outbreaks of plague and by a series of bad harvests from 1592 to 1596. The shortage of food brought a swift rise in prices and even the half-hearted prosecution of the war against Spain put an unprecedentedly heavy strain on the Crown's finances. The Tudor partnership was breaking up when Elizabeth preferred to stint and economise, rather than

## *The Inheritance of James I*

meet a parliament which would attack her religious settlement, urge her to fix the succession, criticise her financial expedients, and harass her with talk of their privileges. Her prerogative, she said, was 'the fairest flower in her garden'; to which her Commons replied, 'God send the Preiogative touch not our liberty'.

The same critical temper which obstructed the Crown's government of the Church and its harmonious working with parliament, also found fault with the nation's foreign policy. The Queen and her advisers wished to enjoy the peace which they had won. They were old, and they had had their fill of adventure, moreover, piracy was less profitable than it had been, and there was no money to fight a large war. But the younger generation were restless. The spirit of the age urged them to adventure, and they longed to flesh their swords. Thus there was at court a war-party, with Raleigh as its spokesman, which pestered the government to drive the Spaniards from their empire, rescue the natives from superstition, and make fresh markets accessible for English merchants. The government had no sympathy with such irresponsible schemes, and Burghley reminded his critics that 'a realm gaineth more by one year's peace than by ten years of war'. But the dispute went deep; it disclosed a fundamental difference of outlook. The intermittent expeditions of these years were haphazard and ill-organised, because the government had no appetite for aggression, and private investors were soon discouraged when they found that they were running financial risks for no certain reward. The war-party blamed the government for its apathy and its neglected opportunities. Here again the Crown was beginning to lose touch with the aspirations of its subjects.

James then was unlucky in his time and in his inheritance. He cannot fairly be blamed for many of the misfortunes that befell him. He inherited a parliament which was growing more uncompromising in its attitude to the Crown, and a latent opposition to the religious settlement which his predecessors

## *Poverty of the Crown*

had made. All the issues on which he fell foul of his parliaments—religion, finance, foreign policy, parliamentary privilege—had been sources of gathering bitterness in the years before he came to his throne. While the Queen was alive, the opposition held their hand, and did not press their grievances too far. For she was old, and she had served England well; and she could still, if need be, awe them with that astonishing blend of majesty and graciousness which marked her dealings with her people. But there were those who dared to whisper that they would be glad when she was dead; and let her successor beware, if he were not a man of tact.

James was not a man of tact; and when he rashly began to try conclusions with his subjects, it was revealed to him how completely he was in their hands. The worst feature of his unprincely inheritance was an empty Treasury. The Irish rebellion had broken Elizabeth's precarious economy, and she bequeathed to James nothing better than £200 and some three thousand dresses. Even in the last years of her reign, her total revenue was under half a million pounds, of which about a quarter came from parliamentary grants. The plain truth was that the Crown's income was inadequate for the expanding needs of government. Parliament was never generous with subsidies, 'when the sheep was to be shorn', the Queen had complained, 'there was much cry but little wool'. There was no machinery for assessing incomes, and wealthy men sent in dishonest returns, and were taxed, as Raleigh confessed, on 'not a hundredth part of our wealth'. The taxpayer still believed that in normal times the King should 'live of his own'.

By the end of the sixteenth century this sound mediaeval maxim was profoundly unfair to the Crown. On the one hand, the government had incurred new responsibilities, and with them new expenses, on the other, the fall in the value of money had depreciated the value of the Crown's hereditary revenues. No figures can be exact, but it has been estimated that what in 1500 had cost £1 could not be bought at the close of the century for less than £4. Henry VIII and the Regent Northumberland

## *The Inheritance of James I*

had debased the coinage, and the Spaniards had flooded Europe with silver from the mines of Peru, and in 1600 the value of money was still falling. Merchants could accommodate themselves to the changing values, and landlords could raise their rents; but the Crown had depended for hundreds of years on a fixed income from its hereditary estates, and this could not be increased. Thus in an age of rising wages and rising prices, the biggest employer and purchaser in the land relied on an income that had not been adapted to the changed circumstances. The expenses of government, even in time of peace, required more money than the Crown possessed; and while the King was relatively poorer, the tide of Tudor prosperity was carrying his subjects to a wealth which they had never known before. The King might share the benefits of this prosperity if he could increase the customs duties, but parliament mistrusted all financial expedients which it could not control. Elizabeth had twice dared to equip the navy by purveyance, which her predecessors had agreed to abandon; but she had been brought to a stop when she tried to solve her difficulties by granting monopolies. Although the Crown might ease its embarrassment by selling its estates, and scattering offices and titles among those who would bid highly enough for them, in fact it could not carry on the government of the country without summoning parliament and humbly asking for funds. Parliament's control of the purse had never been such a deadly weapon as economic change had made it by 1603. For Divine Right could not live without bread.

James's task, therefore, was not an easy one, and he was the worst man in the world to be called on to perform it. The Tudors had made parliament a partner in the business of government; a junior partner only, with set and limited work to do, but a partner none the less. As the members grew in wealth and importance, they felt that the subordinate position which they had consented to occupy during the time of crisis should be exchanged for one of constitutional equality with the Crown.

### *Why the Stuarts failed*

This change had to take place. The work of administration was becoming too much for one man and a small body of councillors, and the wealth and responsibility of the landed oligarchy fitted them to share in the central government of the country. Under a tactful King this necessary transition might have been arranged without bitterness, and certainly without bloodshed. James had the wrong gifts. As he reminded his first parliament, 'we are an old and experienced King'. Practical experience had shaped his theories and formed his ways of thought, his belief in the divine sanctions of his office prepared him to expect unquestioning obedience, his pedantic certainty made him impatient of criticism or guidance. He was the last man to preside satisfactorily over an age of transition.

Because this was the character of the new King, seventeenth-century history took the course of bitterness, anarchy and murder, before it settled that the aristocracy and the wealthy middle class should deprive the Crown of its sovereignty. The result of the struggle was inevitable. The victors had the balance of economic power on their side, although they called it liberty. When James refused to admit them to a fuller partnership with himself, they used their power to make his position impossible. If the Stuarts trod the path of tyranny, it was because their enemies barred the traditional ways of government. Equally, if the Stuarts had not clung to theories of government that in England were obsolete, their enemies would not have been driven to anarchy as a means of securing their ends. The Stuarts had the harder task, for it is never easy for a ruler to sign away his interests; the men who preached freedom might have been more forbearing. In most of the issues which divided him from his parliament, James was intellectually and morally in the right;<sup>1</sup> but the question of right dwindled before the question of power. Timidity and a fundamental common sense kept James from pressing his beliefs beyond the boundaries of compromise; but his son, who was inflexible, had to die.

<sup>1</sup> He defined his aims as 'one worship of God, one Kingdom entirely governed, one uniformity of law.'

## CHAPTER XI

### JAMES'S QUARRELS WITH PARLIAMENT

The Puritans Millenary Petition, Hampton Court Conference, the Authorised Version, Gunpowder Plot Early opposition from parliament The Scottish question Financial problems Bate's case, the Great Contract

#### 1. *Puritans and Catholics*

The new reign opened eventfully Before 1603 was out, James had hanged without trial a pickpocket who was found in the press at Newark, received a petition from the Puritan clergy, and survived two conspiracies against his throne To men who remembered the anxious days of Elizabeth these plots were trifling The Bye Plot, engineered by a Catholic named Watson, was a plan to kidnap the King and blackmail him into remedying the grievances of his captors ; it was betrayed to the Council by the Jesuits The Main Plot was more serious, because its aims were more drastic and the conspirators were more eminent men They planned to set on the throne Lady Arabella Stuart, who was descended from Margaret Tudor and the Earl of Angus ; and Cobham, who betrayed his fellow-conspirators, declared that Raleigh was implicated Certainly Raleigh did not love the new régime for opposing its foreign policy he had been deprived of several of his offices But opposition is not necessarily treason, and the evidence against him was vague and conflicting The government was blundering and hesitant After an unsatisfactory enquiry Raleigh was put in the Tower, and others were executed or imprisoned Three of the plotters were condemned to die, and brought to the

### *The Millenary Petition*

scaffold, where they were told that the King had reprieved them. James hoped to show that Solomon could be both terrible and merciful, but his handling of the case brought him no honour.

The Puritans had won no sympathy from Elizabeth and her advisers, but they reasonably hoped that the new King, who had been brought up by Presbyterians, would be more accommodating. The Millenary Petition, which they presented to him on his way to London, contained the moderate demands of orthodox Puritanism. They required, they said, 'not a disorderly innovation, but a due and godly reformation' of those elements in the English liturgy which still savoured of Rome. They asked that the surplice be not 'urged' on ministers, that the sign of the cross in baptism, the ring as a symbol of marriage, bowing to the altar, and other gestures of idolatry, should no longer be compulsory, that the music played in churches be 'moderated to better edification'; that the Sabbath be observed according to the Fourth Commandment, that the 'longsomeness' of the service be curtailed, to give more time for sermons, that the altar be kept 'tablewise' in the middle of the church, and not covered and railed at the east end, 'pageanted about, like a dreadful idol,' as Milton was to say. These demands were familiar, and probably they carried the support of a large body of Englishmen. Reform was sought, but only of 'things indifferent', and the necessary changes in the Prayer Book would be slight. The Millenary Petition asked no more than that clergymen and their congregations should be allowed to follow their own preferences in the externals of their worship.

James might have ignored the Petition, or quietly refused it; or, as Bacon advised, he might have conceded some of its demands. Unhappily, he took none of these courses. The occasion offered rich opportunities which he could not bring himself to ignore; his imagination took wings, and he saw himself, like some dignitary of Rome, as arbiter of a theological disputation. He would summon both parties to his presence, and their spokesmen would muster their arguments, when they had done, he would weigh the decision and give his verdict.

### *James's Quarrels with Parliament*

In 1604 the disputants assembled at Hampton Court: for the established Church, Whitgift and eight of the Bishops, for the Puritans, Reynolds, Knewstubs, and other divines. After the spokesmen of the established Church had stated their case, James prepared to hear the Puritans. They repeated their demand for the modification of ceremonial; then they asked that articles be added to the liturgy to express their doctrines of grace and pre-destination, and that permission be given for 'prophesyings' to be resumed. What answer James would have made to these requests will never be known, for an unfortunate misunderstanding shattered the hope of a friendly settlement. During his speech Reynolds used the word 'presbytery'. Hearing it, James was 'somewhat stirred'; and hastily and incorrectly he chose to infer that the English Puritans were asking him to assent to a Presbyterian organisation after the model of Scotland. His verdict was not the calm judgment of Solomon, but a fretful denunciation of those who threatened the safety of the Prince by striving after a parity. 'Stay, I pray you, for one seven years before you demand that of me: and if then you find me pursy and fat, and my wind-pipes stuffed, I will perhaps hearken to you.' He accused Reynolds of challenging his supremacy in the Church. For Presbytery 'as well agreeth with a monarchy as God and the devil. Then Jack, and Tom, and Will, and Dick shall meet, and at their pleasures censure me and my council and all our proceedings. . . No Bishop, no King, as before I said.' He insisted that there should be 'one doctrine and one discipline, one religion in substance and in ceremony', and closed the conference with a threat to punish the Puritans for their effrontery. 'If this be all that they have to say, I shall make them conform themselves, or I will harry them out of the land, or else do worse.'

A jubilant Bishop was persuaded that 'his majesty spake by the instinct of the spirit of God', and Lord Chancellor Ellesmere decided that at last he appreciated the truth of the saying, '*Rex est mixta persona cum sacerdote*'. But James had made a bad mistake. He was wrong to identify the men who presented



### *Importance of the religious quarrel*

and defended the Millenary Petition, with the rugged, uncompromising Presbyterians of Scotland. The fate of the Marprelate pamphleteers, and the effective vigilance of the government, had abated the first flush of Puritan ardour, and the inspiration of Hooker and Andrewes was breathing new life into Anglicanism. English Puritanism, therefore, was less powerful, in parliament and at the court and the universities, than when Elizabeth had first been troubled by its blunt opposition to her settlement. In 1603 its spirit was moderate and accommodating, and James might, without risk to his security, have made concessions in 'things indifferent'. Instead, his hastiness reopened a quarrel which wise statesmanship might have settled, and made of religion a grievance to which all his enemies would rally. For the King was head of the Church, and only the exercise of his prerogative could change its rules and organisation. Since James was adamant, and would permit no change, he obliged the religious extremists to find fresh tactics: realising that petitions did not achieve their ends, they began a constitutional attack on the prerogative, with the object of transferring the Crown's ecclesiastical supremacy to parliament. The members of the House of Commons were, for the most part, only lukewarm in their Puritanism; but the discontented among them were eager to add the dissatisfaction of sincere Puritans to the roll of grievances with which, they assured James, his people were afflicted. 'Religion is the touchstone of all actions,' said Sir John Eliot, 'and what rests not on this centre can have no perfection or assurance.' John Hampden, who had both eyes always on the main chance, confessed that 'if it were not for this reiterated cry about religion', the Commons 'could never be certain of keeping the people on their side'. In keeping religious discontent in the forefront of their complaints, the Commons were on safe ground; and when the Crown's foreign policy, and the indiscretions of Arminian churchmen, seemed to place Protestantism in real danger, they could proudly boast that their foresight had been justified.

### *James's Quarrels with Parliament*

By his unwisdom in treating the Puritans as a sect conspiring against his authority, James thus made them more dangerous than they would have been if he had conceded some of their demands. he allowed an alliance to be formed between the political and the religious opponents of his prerogative, and gave them a common purpose in weakening it. From James's point of view, 'No Bishop, no King' was a shrewd analysis of the situation, but it was his attitude which gave the alliance of Puritans and Commons its strength; the counter-alliance, inherited from Elizabeth, of Crown, Council and Bishops could not avail against it.

Unaware of the dangerous path he was treading, James went on to fulfil his threats against the Puritans. 'I have peppered them soundly', he wrote of the Hampton Court Conference. A proclamation in July, 1604, declared that 'what untractable men do not perform upon admonition they must be compelled unto by authority'; and Convocation passed canons which defined the Anglican creed, just as the Catholic position had been defined at the Council of Trent. But the zeal of Bancroft, the new Archbishop of Canterbury, was not satisfied with definition: he required the clergy to take an oath that the existing Prayer Book was not contrary to the Word of God. This caused the first serious schism in the English Church. About sixty Puritan clergy, who could make no compromise with their conscience, refused the oath, and were deprived of their livings. Bancroft's measures were less stringent than those which Elizabeth had taken against the Puritans, but they came at an unfortunate time. Realising that they had nothing to gain from the Crown, the Puritans became bellicose again, and their lay allies resisted the government's attempt to define the articles of their faith. The cause of the 'silenced brethren' was taken up in parliament, and the demands of the Millenary Petition were defended by men who would not have been moved to take interest in it if James had quietly disregarded it.

Before the Hampton Court Conference was dissolved, the Bishops decided to undertake a new translation of the Bible.

## *The Gunpowder Plot*

King James's Bible, the Authorised Version of 1611, is the greatest achievement of the English people. It was prepared by forty-seven scholars, who based their work on the translation made by William Tyndale in 1525. The matchless beauty of its rhythms has preserved for later ages the perfection of the English language at the peak of its development. Its language was the language of the people,<sup>1</sup> not of learned men, its images are concrete, its phrases homely and uncomplicated; its dignity is in simplicity.

A year after James had thus wounded the religious feelings of a large section of his people, his Catholic subjects conspired against his life, his authority and his Church. The story of Gunpowder Plot has been told too often. A group of Catholic gentlemen, most of them from Shakespeare's country by the Avon, planned to blow up the Houses when the King opened parliament, and in the following chaos to seize the organs of government and rouse the dormant strength of English Catholicism. They were betrayed, and their plot was dignified by the heroism of those who suffered for it, and not least of that soldier of fortune, the Yorkshireman Guido Fawkes, who undertook to fire the fuse, and refused to buy his own life by selling his friends.

Catesby and his accomplices gravely injured the cause they had hoped to serve. As a whole the English Catholics had been living peacefully. Their conduct in 1588 had proved their loyalty, and most of them asked only to be forgotten. Persecution had languished, except where an over-zealous magistrate drove priests from their hiding-places in old manor-houses and punished covert celebrations of the Mass, in normal times the fines for recusancy totalled little more than £1,000 a year. Given a moderate temper on both sides, the wound might gradually have healed. But there were intransigent men among the Catholics, successors of Robert Parsons, whose loud-voiced indiscretion poisoned it and kept it open. They boasted of their determination to rise against the heretics and overthrow them, and looked for the day when once again 'faggots should

<sup>1</sup> Over 90 per cent of the words used were of English derivation

## *James's Quarrels with Parliament*

be dear'. This unreasonableness, no less than the vigour of a too ardent Protestantism, kept the penal laws on the statute-book. James had been disposed to tolerate the Catholics, but his ministers restrained him; and Watson's foolish conspiracy, which was in part the outcome of disappointed hopes, gave substance to their mistrust. Gunpowder Plot was an attempt to reverse the whole position by a single blow. If it had succeeded, as it could have done, England might have become Catholic again; and in the west and north, where feudalism still lingered, the change would have been welcomed. Its failure was fatal to reconciliation. James's generous plans for freedom of conscience were changed to 'utter detestation'; the 'good and provident laws' against Catholic worship were reimposed, and for more than a dozen years they were rigorously enforced all over the country. Recusants were forbidden to practise law or medicine, or to hold military or civil posts under the government. Moreover, the enemies of the Catholics had been given a weapon which they knew how to use: two hundred years later the cry of 'No Popery' was still strong enough to overthrow a ministry.

If the Catholics suffered for the Gunpowder Plot, so, ultimately, did its intended victim. James did not, as Elizabeth would have done, use this attack on his life to appeal to the affection of his subjects. In a few years, as he jeopardised the Protestant interest in his pursuit of the dangerous favours of Spain, they were to say that he was little better than a Catholic himself. Already Catholicism meant the Inquisition and the fires of Smithfield; now it meant conspiracy and assassination as well. The first result of the Englishman's renewed mistrust of all things Catholic was to be the frustration of James's schemes for European peace.

### *2. Parliamentary opposition • the Scottish question*

James's first parliament, which sat from 1604 until 1611, immediately challenged both the theory and the practice of his

### *The Commons' first protest*

government The members came to Westminster already displeased with the King's attitude at Hampton Court and Bancroft's campaign against obstinate Puritans, and they were quick to assert their rights. The Court of Chancery had annulled the election for Buckinghamshire of Sir Francis Goodwin, who was an outlaw. When the Commons pleaded privilege, James informed them that they 'derived all matters of privilege' from the grace and condescension of the Crown, and 'desired and commanded . . . as an absolute King' that they do his bidding; although eventually he admitted their right to be judges of disputed elections.

Other disputes quickly followed over purveyance, wardships, and the favour shown to Scotsmen; and the Commons won a further victory when a member named Shirley, who was imprisoned in the Fleet as a debtor, was finally allowed to take his seat. So tender were the members on the question of their privileges that in June, 1604, they presented to James the Form of Apology and Satisfaction. Although it was written in words of deep respect, the Apology was in part a lecture to the foreign King on the ways of the English constitution, and in part an expression of the growing disharmony between legislature and executive. It asserted parliament's traditional rights of free elections, free speech and freedom from arrest, and declared that these privileges were of right, and not of grace. James was reminded that 'the prerogative of princes may easily and do daily grow; the privileges of the subject are for the most part at an everlasting stand'. Further, although the King was head of the Church, he had no power to 'alter religion' without the sanction of his parliament, which had approved all the changes made by Henry VIII.

Thus early King and parliament joined battle, and the division between them was fundamental. The Apology, for all its polite language, bluntly defied James's theory of kingship: while James denied the power of law or custom to restrain his authority, parliament asserted the mediaeval principle that the King was bound by the laws and the will of his people. 'The voice of

### *James's Quarrels with Parliament*

the people, in the things of their knowledge, is said to be as the voice of God ' The sturdy, bigoted, independent country gentlemen who came to Westminster when the King summoned parliament, knew little enough of the difficulties of government. Their administrative experience was limited to the running of their own estates, to interviewing bailiffs, assessing the poor-rate, and condemning poachers. They were ignorant of foreign affairs, and they never realised how much the government cost to run. They were parochial in their opinions, and mistrustful of courtiers and councillors. But they knew their strength. Both as members of the Commons and as Justices of the Peace they had been indispensable to the Tudors, and they insisted on playing their part as rulers of the nation. They were jealous of the ancient privileges of parliament, but James was not wise enough to learn from their early defiance that he must defer to them. He complained petulantly of their 'curiosity, from morning to evening, to find fault with my propositions', thus treating as personal insults the first stirrings of national resentment.

He was soon to find himself thwarted again, in his plan to bring England and Scotland under a single administration. A royal commission, which had the support of Bacon, recommended that trade between the two countries be unrestricted, that '*post-nati*', Scots born after James's accession, be naturalised *de facto*, and that a measure be passed to naturalise '*pre-nati*'. James enthusiastically supported these recommendations, wishing to bring all his subjects under one law and one religion, but parliament argued against so dangerous a precedent. For if a Spanish prince were to marry an English princess, and succeed to the English throne, the English might find themselves naturalised as Spanish citizens. This far-fetched concern for the national welfare concealed baser motives. English men of business feared Scottish competition in their trade, English courtiers feared that Scots would usurp their offices, and the common lawyers mistrusted the intricacies of Scottish law. All that James could save from a plan which would have benefited both countries was a decision of the judges, in the case of

### *Bate's case*

Robert Colvill who was born in Edinburgh in 1605, that '*post-nati*' were English citizens. English parliamentarians had not yet discovered that the Scots were their 'brothers'.

### 3. *Financial questions*

In all his doings James was handicapped by the Crown's poverty. 'This eating canker of want' ate away the Divine Right of Kings, for it put the Stuarts at the mercy of their enemies. The Commons were content to reiterate the ancient but now impracticable dogma that 'the King should live of his own', and were more concerned that he should not overstep his rights, than that he should have sufficient resources to carry on the business of government. James was undoubtedly extravagant, and his sense of the dignity of his office would not let him humble himself by presenting parliament with a frank statement of his economic difficulties. Thus, through ignorance and indifference, parliament kept him short of money, and attacked all sources of revenue which had not the sanction of feudal legality or parliamentary statute.

In his embarrassment James turned to the Lord Treasurer, Robert Cecil, now Earl of Salisbury. Salisbury did what he could to curb James's congenital improvidence, and to reduce the debt and the yearly deficit by finding fresh sources of revenue. The decision of the judges in the case of John Bate seemed to make available a regular flow of non-parliamentary taxation. In an attempt to share in the benefits of expanding trade, the Crown imposed by prerogative additional duties on various commodities imported from abroad, and in 1606 Bate refused to pay a duty on currants. There was no statute to forbid the levying of indirect taxes without consent of parliament, and the Court of Exchequer decided in favour of the King, declaring that the ports were 'the gates of the King', and that duties levied there were at his discretion. The decision was sound law and sound economics, for the regulation of trade was in the hands of the King, and industry could not be protected

### *James's Quarrels with Parliament*

unless he had power to revise the tariff. When, however, in 1608 Salisbury issued a revised Book of Rates, his object was not to protect industry, but to swell the income of the Crown. The increased duties were on luxuries and foreign manufactures, and Salisbury had sought the approval of the City merchants before imposing them. But to parliament, unconcerned for the moment with legal or economic propriety, they were a source of revenue which might make James independent of their control, and a gage of battle which they were happy to accept.

The last sessions of James's first parliament were stormy. The Crown had lost the delicate art, so invaluable to the Tudors, of controlling sessions and directing the course of debate. Its supporters in the Commons were no match for the leaders of the opposition, and its best men were in the Lords because the King needed the money which they were prepared to pay for their titles. The common lawyers were at issue with James's theories of sovereignty, the merchants were clamorous against the new impositions, the Puritan members referred again to the plight of their 'silenced brethren'. The whole House joined in indignation to chastise Dr. John Cowell, the Master of Trinity Hall, who in a dictionary of legal terms had ventured to assert that the King 'is above the law by his sovereign power', and might, if he pleased, set aside the laws. Parliament therefore was in no mood to accept the Great Contract, by which Salisbury sought to make James finally solvent. By impositions, sales of property, and various unwelcome economies, he had reduced the Crown's debts by two-thirds. He now asked for a grant of £600,000 to pay off outstanding debts and found a reserve fund; in return for which the Crown would commute its revenues from purveyance and feudal dues for an annual sum of £200,000, and consent to the regulation of impositions by statute. These proposals were never carried. James was soon asking for more than £200,000, while the Commons were reluctant to concede as much. During several heated debates the Commons quoted statutes of Edward I against the levying of impositions, questioned the rights of the prerogative, com-



### *Misunderstandings between Crown and parliament*

plained of High Commission and pluralist Bishops, and asserted their ancient privileges when James bade them keep to the matter at hand. Wearily James prorogued parliament, and early in 1611 he dissolved it. A great opportunity had been missed – not, perhaps, of making James finally solvent, for he was too thriftless ever to live within his means ; but of bringing Crown and parliament to some agreement in finance, and of persuading parliament to acknowledge that in the modern world mediaeval maxims of finance no longer applied.

James dissolved his first parliament with a sense of injury ‘ All that spite and malice durst do to disgrace and infame us hath been used. To be short, this Lower House, by their behaviour have periled and annoyed our health, wounded our reputation, emboldened all ill-natured people, encroached upon many of our privileges and plagued our purse with their delays ’. The steady hostility of the Commons lends some colour to the theory, advanced by James and his son as the only explanation of disloyalty which they could not understand, that certain prominent members were determined from the start to embroil King and parliament : to use the King’s financial weakness to frustrate the executive, and so to force the Crown to share the fruits as well as the responsibilities of government. The Stuarts never realised where they stood. Clinging to their mystical notions of kingship, they did not make those gestures of reconciliation and concession which would have softened the harshness of their failure. As the rift widened, exasperation led both sides to press their principles beyond what was reasonable or expedient, and to do less than justice to those of their opponents. The leaders of the popular party insisted too much on their mission to uphold law and liberty, while the Crown was too ready to treat as merely factious the attempt of the common lawyers to restrain the prerogative, and of the Commons to take their share in the administration of the country. In the bitterness of the struggle much was lost that should have been preserved. The union with Scotland was not the only beneficial scheme to be sacrificed to the constitutional quarrel.

## CHAPTER XII

### THE FAILURE OF JAMES'S PERSONAL GOVERNMENT

James's favourites   His conflict with the common law   His  
foreign policy   the Thirty Years War, the Spanish marriage  
His third and fourth parliaments

#### I. *James's favourites*

The death of Salisbury in 1612 deprived James of an experienced and reliable counsellor, who had striven to keep his master's debts in control and to find some basis of agreement between Crown and parliament. Henry, Prince of Wales, died in the same year. The death of these two men left its imprint on the rest of the reign. For Salisbury was wise in the ways of the Tudor constitution, and would not have allowed James to irritate the country by ruling for ten years with only one parliament, and Henry, 'the English Marcellus', who was credited with all the virtues which his father lacked, would have restrained him from the courses which brought Crown and court into dishonour. Henry loved horses, admired the enterprises of Henry of Navarre, was firm for the Protestant faith, and hated to see Raleigh's fierce energy burning itself out in a prison. These qualities endeared him to the patriotic party, and indeed to the country at large, and would have made him a more suitable King than his diffident, bookish brother. Had he lived to enter upon his inheritance, he would have postponed the English revolution by eagerly making war on Spain.

Freed from the cautious advice of Salisbury and the often expressed contempt of his son, James sought the counsel of men

### *Gondomar and Buckingham*

whom his people hated. First among these was Don Diego Sarmiento de Acuna, Count of Gondomar, who arrived in England in 1613 as the envoy of Spain. For nearly ten years James chose to consult this Spaniard in preference to his English ministers, and was guided by him to make decisions in foreign policy which branded the government with the stigma of Catholicism. Gondomar knew his man. The way to James's confidence was to flatter him; and Gondomar deliberately blundered when he spoke in Latin, and suffered himself to be corrected by the King. James, according to the Venetian ambassador, was 'accustomed to be as liberal to his favourites with his secrets as with his riches', and there was little that Gondomar did not get to know. His influence on James was shaken neither by the assaults of the Londoners on his residence and his person, nor by the clamour of ministers and Commons against his dangerous counsels.

James had English advisers too, but they were not men in whom the nation felt confidence. Robert Carr came from Teviotdale to be a page at court. Attracting the King's attention, he rose to rank and wealth on estates confiscated from Raleigh, and in 1613 was made Earl of Somerset. A scandal involving divorce and murder brought him low. The affair was unfortunately typical of the squalor and corruption of James's court, and the disgrace of the servant tainted the name of the master who delighted to honour him.

No sooner had Somerset fallen than George Villiers stormed his way into the King's affection, brushing aside the competition of a candidate of the Howards whose face was daily beautified 'with posset curd'. Villiers was a more dangerous man than Somerset because he meddled more deeply in affairs of state. Winning the heart of the son as well as the father, he was for ten years the virtual ruler of England, and as long as he ruled, there could be no harmony between Crown and parliament. James was fascinated by his speciousness, his handsome figure and his charming ways. He made him Duke of Buckingham, and called him Steenie, after that Stephen whose face was like

### *The Failure of James's Personal Government*

an angel's; resolving, said Sir Henry Wotton, 'to make him a Masterpiece'. Buckingham was not altogether unworthy of the favours he received. Many men more incompetent and more dishonest than he have held positions of trust and commanded the applause of their own and later generations. He was brave, resourceful and intelligent. At a time when the business of government was impeded by blundering, speculation and factious rivalries, he showed administrative abilities out of the ordinary. His long record of failure was extenuated by circumstances in which no one could have succeeded. Indeed, his service would have been less disastrous to the Stuarts had he been less gifted, and therefore less certain that he must ultimately vindicate himself. He had all the defects of the man who is less thorough than spectacular. As Clarendon noted, he was so eminent in the state that no one dared to advise him frankly or to point out the dangers which lay in his path. The King was too much infatuated to be critical, and when he offered his faltering advice, it was not heeded. Buckingham too often launched his enterprises with inadequate preparation; and when they failed, he optimistically embarked on something fresh, careless of the consequences to his master or himself. In the last analysis, life was a game. Too often the welfare of the state turned on the gambler's throw.

Between 1611 and 1621 James called only one parliament. His first parliament's unrelenting criticism made him unwilling to call another if he could avoid it, and he preferred to govern through the prerogative and the extensive machinery of the Council. In this Gondomar supported him, knowing that the Commons were hostile to Spain and suspicious of James's Spanish sympathies. But in thus deciding to do without parliament, James departed from the theory and practice of the Tudor constitution, and also opposed the advice of the best of his servants. The strong men among the supporters of the Stuarts—Salisbury, Bacon, Cranfield, Wentworth, even Buckingham—were never afraid to face parliament; for they were confident that they could hold it in its rightful place in the constitution, as

### *The Addled Parliament*

the instrument of legislation and supply, and the forum of public opinion on the questions of the day. Events betrayed their confidence. They were unable to assert their will over an assembly which took on the rôle of critic and administrative rival, and the executive temporarily lost its necessary authority over the legislature.

The parliament which sat for a few weeks in 1614 left James more soured than before. He summoned it because Bacon, who still hoped to find the Commons in reasonable mood, recommended it as the best solution of his financial difficulties. For the only time during his reign he made an attempt to tamper with the elections. Certain magnates undertook to procure the election of men favourable to the government. But the efforts of these 'Undertakers' were clumsy and unsuccessful, their candidates being generally rejected by the voters; and the old leaders, Phelps, Hakewill and Sandys, were reinforced by young and vigorous members such as Wentworth, Eliot and Pym. The Commons refused to take the customary Communion at the Abbey, 'for fear of copes and wafer-cakes'. They went instead to St. Margaret's, and took the sacraments in a body so as to detect recusants among their number. This uncompromising and suspicious attitude was maintained throughout the session. Sir Ralph Winwood, the government's leader in the Commons, was simple and inexperienced, and his meek demand for subsidies was scarcely heard among the din of grievances and protests. Irritated by a stream of complaints, about impositions, Scotsmen, courtiers, monopolies, 'Undertakers' and 'silenced brethren', James sought the goodwill of Gondomar, and dissolved the Addled Parliament.

His financial shifts during the next few years were at first unsuccessful. An appeal for a patriotic gift realised almost nothing, and Coke's vigilance scotched an attempt to raise a benevolence. By 1618 the debt had reached £900,000 and there was a deficit on the year of £137,000. James was only saved from having to throw himself again on the mercy of parliament, by the financial skill of Lionel Cranfield, a London

## *The Failure of James's Personal Government*

merchant, who had entered the royal service. Ably backed by Buckingham, Cranfield undertook drastic reforms of household and public expenditure. His keen, precise mind saved the Crown thousands of pounds by economies in the wardrobe, the navy and other expenses. In 1619 receipts balanced expenditure. Cranfield was rewarded with the Treasurership and the Earldom of Middlesex, and the government seemed to be facing a more settled future.

But financial stability was bought at a price. The monopolies and impositions which maintained it were unpopular with merchants who had to pay them, and with constitutionalists who challenged their lawfulness, and Cranfield's economies had made enemies of those whose pensions and sinecures had suddenly vanished. When a crisis in foreign affairs brought parliament together again, much was to be heard about these grievances. James was secure only so long as he could avoid meeting parliament.

### *2. James and the common law*

Meanwhile, James was facing attack from another direction. Until 1714 judges held office during the King's pleasure, they could be dismissed if their verdicts did not satisfy the government, and mostly this was a sufficient reason why they should do what was expected of them. In James's reign, however, the impartial administration of the common law had as its champion one of the stoutest fighters in English history.

Sir Edward Coke, formerly Speaker of the House of Commons, was appointed Attorney-General in 1594, and Chief Justice of Common Pleas in 1606. The law was Coke's fetish, the idol of his worship; 'the golden met-wand and measure' of the propriety of all actions. By the test of the statute-book and centuries of legal precedents, he found that he could not approve of the actions and theories of the King. James's theory of monarchy was unknown to English law. He was straining the prerogative beyond its legal boundaries and seeking to treat the judges as mere delegates of his will. In this emergency Coke

## *Coke's defiance*

knew how to act. He was the Crown's servant, but he would not tarnish his ideal by making any truce with political expediency. To his mind, the Bench should be the independent arbiter between the Crown and its subjects; the law was not the instrument of the prerogative, but the immovable boundary which marked its limits. Against the supple wisdom of Solomon he pitted the cold letter of the law.

His truculent obstinacy defeated the King on several fields of battle. When James and Bancroft sought to assert the judicial independence of the ecclesiastical courts, Coke successfully denied the Crown's claim to decide whether cases should be tried by common or canon law. In 1608 he denied the King's authority to hear cases in person. In 1610 James referred to the judges parliament's complaint that he had made use of proclamations to create offences unknown to the law (He had irritated business interests by prohibiting by proclamation the manufacture of starch, and any further building in London) Coke did not hesitate. 'The King hath no prerogative but that which the law allows him', and parliament had repealed the special concession made to Henry VIII. In 1615 he denied the jurisdiction of commissioners appointed to reform abuses in the navy. Two years later he complained of the King's attempt to intimidate the judges by requesting them to give their opinions on a certain case individually and privately. 'Such particular and auricular takings of opinion' made it harder for them to give their honest opinions, and Coke alone gave the answer which the Crown did not wish to hear. In 1616 he strained James's patience too far. James had granted Bishop Neile a living *in commendam*, and his right to do this was being challenged in law. James required that, as his prerogative was being called in question, the judges should consult with him before giving their verdicts. For prerogative 'is no subject for the tongue of a lawyer, nor is it lawful to be disputed'. Alone of the judges Coke defied the King's claim of *raison d'état*, and was deprived of his offices for his 'perpetual turbulent carriage'.

His career did not end here. He had himself elected to

## *The Failure of James's Personal Government*

parliament in 1621, and was promptly imprisoned for his continued resistance to the prerogative. But he managed the impeachment of his lifelong enemy Bacon, and crowned his work by being prominent among the framers of the Petition of Right, by which the prerogative was at length brought within statutory limits.

It is easy to make too much of Coke's continued championship of the liberty of the subject, the theories of his great enemy expose the many limitations of his outlook. Coke revered the law as it stood, to the tittle of its technicalities. He thought to safeguard liberty by constant reference to precedents. But the judgments of the past may be unjust in the present; a rigid adherence to the standards of other ages may hinder the development of a community. Coke's arguments were often pedantic and artificial. His mania for precedents led him to quote against the Stuarts statutes passed in other reigns for other purposes, and to submit their actions to the test of superannuated feudal charters. It was he who manufactured the importance of Magna Carta. Shakespeare wrote a play about King John and did not mention it; certainly it had small bearing on seventeenth-century problems. In short, Coke required a government confronted with the complexities of modern administration to conform to feudal notions of finance, and constitutional maxims that were pleasing to Bracton and Sir John Fortescue.

Coke let his mind dwell in ages when men had seen no reason to question the supremacy of the law. The citizen of the twentieth century, in democratic countries at least, also considers that the law is supreme, and expects his rulers for general purposes to abide by it. But he would not hesitate to allow that in an emergency, such as a war or a general strike, the government may suspend the laws and take what measures the public safety demands. *Salus populi suprema lex*. Moreover, he would admit the effective right of the government to decide when such an emergency exists. This was the issue between Coke and Bacon. The Reformation had changed men's outlook, and in particular it had given a new importance to the state. Kings claimed a divine sanction for their office because the safety of their people



## *Coke and Bacon*

often demanded it ; they claimed too the exercise of sovereignty, the right to overstep the law in the public interest, to deny justice to the individual for the welfare of all. If Coke understood this point of view, he would have none of it. Nothing should usurp the rule of law ; and this may mean the rule of lawyers, who alone can unravel it. He fell back on the ritualistic mumbo-jumbo of his faith. 'Magna Carta is such a fellow that he will have no sovereign.'

While Coke buried his head in the barren sands of precedent, Bacon's brilliant, sinuous mind sought arguments to defend paternal monarchy. His ideal, and Strafford's after him, was that a vigorous monarchy, furnished with wise counsellors, should rule benevolently for the common good of all its subjects, that parliament should vote supplies and make the laws, and that in emergencies, of whose existence it was the judge, the Crown should be permitted to rise above the law and act as a sovereign power. Where the laws obstructed this ideal, they must be reformed ; and the judges were the lions who supported Solomon's throne, 'but lions under the throne, being circumspect that they do not check or oppose any points of sovereignty'.

Neither Coke nor Bacon solved the problems of the age. While Coke's theories of government were obsolete, Bacon's were rather a philosophic idealisation of the Tudor past than a guide to the present. Coke's denial of sovereignty led to the Petition of Right, a piece of administrative nihilism which made impossible government according to the Tudor formula of the Crown-in-Parliament. When the popular party had destroyed the instruments of conciliar government, and had begun to build for themselves, they automatically proclaimed the sovereignty of parliament. By 1642 Coke would have been a royalist. Bacon, on the other hand, rightly asserted that in the modern state there must be a sovereign power, but he was wrong in believing that parliament would consent to keep to its subordinate place in the constitution. His balance of constitutional powers was out of date. But he made an even worse mistake in thinking that the mediaeval idea of a government

### *The Failure of James's Personal Government*

conducted in the interests of all classes was any longer possible in a country whose political character had been altered by economic revolution. His biography of Henry VII, which he wrote as a text-book on monarchy for Prince Charles, was an echo from a vanished world.

#### *3. James's foreign policy*

James practised peace ; partly because he could not afford war, partly because violence horrified him, and partly from an intelligent awareness that peace best suited England's interests. As far then as events were within his control, he strove to keep, not merely England, but the whole of Europe at peace ; and when war at length broke out, he attempted from his tiny corner of Europe to mediate between Protestant and Catholic, and by the marriage of a boy and a girl to still the clash of arms. Like all his projects, James's foreign policy was conceived in grandeur, but it faltered in execution, and tailed off in humiliating failure. England had nothing to gain by war, by spendthrift raids on the continent or privateering in the Caribbean ; nor, even when the Thirty Years War was at its height, had she anything to lose by peace. By the standards of morality and commonsense, James was right. Yet his foreign policy rounded off the failure of his reign : it confirmed his people's mistrust of his House, and enabled parliament to put forward revolutionary demands for the control of his ministers, his policy and his expenditure.

In 1604 James brought to a close the long and unsatisfactory war with Spain. The peace revived the traditional alliance with Burgundy, and was welcomed by the merchants, whose commerce had been roughly handled by pirates. The government refused to give way on the point that was vital : it would not admit that English trade with America was illegal. For several years there were no threats to the country's peace. The Dutch had won their independence from Spain ; James was on good terms with Henry of Navarre, and looked with favour on Sully's lofty, but nebulous designs for a universal peace. When there was a

### *James's friendship with Spain*

succession dispute in the Duchies of Cleves and Juliers, James joined France, Holland and the Lutherans of Germany in a Protestant League, and enjoyed himself in the satisfying rôle of mediator. The better to play his part as Protestant champion, he married his daughter Elizabeth to Frederick, Elector Palatine. Indeed, so elated was he by his success and his high reputation that in 1613 he contemplated becoming Czar of Russia ; but Michael Romanov was elected instead.

So far James might seem to have redeemed his failures at home by his successes in a wider field ; but the same man could not be both the champion of Protestantism and the friend of Spain. As early as 1604 he had contemplated the marriage of his son to a Spanish princess, and for twenty years he clung to the scheme, undeterred by the example of Mary Tudor or the outspoken disapproval of his subjects. The scheme made little headway while Prince Henry was alive. Henry was more sensitive than most Stuarts to the gusts of public opinion, and he uncompromisingly declared that no two religions should lie in his bed. Charles, however, was dutifully prepared to accept a bride of his father's choosing. With Gondomar's subtle prompting, James soon persuaded himself that nothing would please the King of Spain better than to marry his daughter to a Prince of heretic England.

Whatever the absolute merits of the plan, James should never have allowed himself to entertain it. Rightly or wrongly, the English regarded Spain as Catholicism incarnate. Their horror at the Gunpowder Plot, and their insistence that he maintain the rigour of the penal laws, should have convinced him that they were in no temper to come to terms with the old religion. The sacrifice of Raleigh in 1618 gave immediate point to their hatred of Gondomar and his designs. To appease the war-party, Raleigh was released from prison to go in search of gold in the Orinoco. James told Gondomar where he was going, and promised that he should die if he violated Spain's neutrality. The expedition was wretchedly equipped. Warned to expect him, the Spaniards attacked his ships, and Raleigh returned to

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die on the old charge of treason. His long imprisonment and his ignoble death have won for him the sympathy of posterity, but even invested with a martyr's halo he is an unattractive figure. His proud intransigence presented the government with an awkward problem, and probably James chose the best way of solving it; and at the end he gave Raleigh a gambler's chance to save himself. A government which desires peace at home and abroad cannot afford to keep at large men who are expressly contemptuous of peaceful ways.

The outbreak of the Thirty Years War shattered James's security. In 1618 the Bohemians rose against their Hapsburg masters, and offered their throne to James's son-in-law, the Elector Palatine. Against the advice of everyone whom he chose to consult, including James, he accepted it, and within a few months the Emperor's troops had not only driven him from Bohemia, but had overrun his Electoral territories, and in the name of the Counter-Reformation were threatening the Protestantism of Northern Europe.

English opinion was undisturbed by Frederick's loss of the crown of Bohemia, for to most Englishmen Bohemia was a place off whose coasts seamen might reasonably be wrecked. But the concerted action of the Emperor's troops with the Spanish armies from the Netherlands seemed to make the safety of the Palatinate a token of the safety of Protestantism everywhere. The great Hapsburg offensive, which was to carry the Counter-Reformation to the shores of the North Sea and the Baltic, had begun. To James's natural anxiety for his daughter was added his alarm for 'the state of religion through all Christendom, which almost wholly, under God, rests now upon my shoulders'. He exaggerated his responsibility, just as he exaggerated the power of his diplomacy, unsupported either by armies or by the co-operation of his subjects, to restrain Hapsburg ambition.

He had a plan (so much could not be said for his critics): Prince Charles should marry the Spanish Infanta, and for her dowry she should bring the Palatinate, which James would restore to his contrite son-in-law. With the bone of contention

### *James seeks a Spanish marriage*

removed, fighting would cease. The plan had the advantage that the solution it proposed was a peaceful one; and if James could have stifled the Thirty Years War at its beginning, all Europe would still be in his debt. For two conspicuous reasons, however, it was not practicable. First, the English had not abated their dislike of the Spanish marriage, and that James should urge it when Protestantism was in actual danger quickened their mistrust of the government's policy. In deference to Gondomar, the penal laws were relaxed in 1619, and they were not enforced again until 1640<sup>1</sup>; it seemed to be further evidence of Spain's hold over the King's mind. Secondly, the Spaniards were unable, even had they really been willing, to fulfil their side of the bargain. For the Palatinate was in the hands of the Emperor, and they could not be expected to wrest it from him by force. They politely regretted that 'we have a maxim of state that the King of Spain must not fight against the Emperor'. For the present, however, it suited Spain to delude James that his hope might be fulfilled, and it was not until 1623 that he realised that he had been deceived. For four years, during which firm intervention from England might have been decisive, nothing emerged from the English government but diplomacy and words and unrealisable conditions of peace; while the unpopularity of the marriage scheme drove the majority of Englishmen to the Puritan side in politics.

### *4 James meets parliament again*

By 1621 the situation had grown so serious that James was obliged to summon his third parliament. He did not anticipate that this parliament would obstruct his policy. The Elector's plight had excited widespread alarm in the country (although attempts to raise loans or gifts for his assistance had been unsuccessful), and it was expected that domestic grievances would be shelved while the Protestant religion was in danger. The duty of parliament when war threatened was to vote the

<sup>1</sup> Except for a few months in 1626

## *The Failure of James's Personal Government*

supplies which the government needed for its military and diplomatic effectiveness. But James was too sanguine. What in fact this parliament did was to refuse adequate supplies, condemn the government's policy, and advance claims to seize the administration and execute measures of its own. So far from forgetting their grievances, the members made use of the foreign crisis to batter the prerogative. Between 1621 and 1629 the shadow of the continental war hung over English politics. Its obligation to shape a foreign policy put the Crown at the mercy of the Commons, who welcomed the country's disgrace abroad since it enabled them to make revolutionary demands at home.

When the government asked for half a million pounds for war expenses, the Commons voted £160,000, and turned to the question of monopolies. Two of the worst offenders, Mitchell and Mompesson, fled in alarm, and in their absence were impeached without a hearing. Having tasted blood, the Commons struck at higher game. A committee under Sir Robert Phelps had detected certain malpractices in Chancery, and in particular had discovered that Lord Chancellor Bacon had sometimes accepted presents from litigants before their suit had been judged. Bacon was known to be the champion of the prerogative against the common law, and Coke urged the Commons to bring him low. Bacon had not the stuff of a fighter. He admitted his guilt—in the free and easy morality of the day his crime was a common one—and implored his peers 'to be merciful unto a broken reed'. James remitted the fine and imprisonment to which he was sentenced, but he was deprived of all his offices and his seat in the Lords. These were the first cases of impeachment since the middle of the fifteenth century; in Tudor times unpopular ministers were removed by Act of Attainder. The revival of the mediaeval weapon showed that the Commons were determined that the Crown's servants should also be acceptable to themselves.

Before they would consider foreign policy, parliament had another hue and cry to follow. An elderly barrister named Floyd, imprisoned in the Fleet as a debtor, had been heard to

## *The Protestation of 1621*

speak disrespectfully of Frederick and Elizabeth. He was brought before the Commons—unconstitutionally, for the Commons was not a court of law—and sentenced to the pillory and a fine of £1,000. The Lords improved the occasion by increasing the fine to £5,000; Floyd was to ride through the City holding the tail of his horse; he was to be whipped, and hot bacon was to be applied to his wounds between the lashes, and then he was to be imprisoned for life. The ferocity with which both Houses pursued Floyd was a way of expressing criticism of James's foreign policy. By his conduct of the case John Pym made his parliamentary reputation.

The Commons' advice on foreign affairs attempted to reverse the policy of the King. They asked him to seek a Protestant marriage for his son, and to declare war on Spain; and instead of the military intervention in Germany which the situation demanded, they were in favour of an Elizabethan war of piracy, 'to enrich ourselves as well as to defend our right and ourselves'. The Palatinate was to be recovered by a naval enterprise in the Caribbean. These counsels were a constitutional affront to the Crown, for royal marriages and foreign policy undoubtedly lay within the prerogative, and were outside the province of the Commons. James refused to listen to the advice, and strove to silence the authors of it. He was met by an angry clamour about the privilege of free speech, and in December, 1621, the Commons entered in their Journals a Protestation about their rights. The Protestation was the first constitutional split between Crown and parliament. The Commons demanded of right that all 'arduous and urgent affairs' in the realm should be referred to them; and since they controlled supply, and could refuse to finance policies which they disliked, they were in effect claiming to direct the administration of the country. Thus, as James objected when he tore the protest from the Journals, was 'an usurpation that the majesty of a King can by no means endure'. In the following month he dissolved parliament, complaining that 'some fiery and popular spirits' had ventured 'to argue and debate publicly of matters far above

## *The Failure of James's Personal Government*

their reach'. Parliament had challenged the Crown's right to powers which hitherto had belonged without question to the authority of the prerogative.

### *5. James goes to war*

Disregarding parliament's criticisms, James went ahead with his own solution of his son-in-law's difficulties : he pressed on negotiations for the Spanish marriage. In 1623 Charles, accompanied by Buckingham, went to Madrid to do his own wooing. Bearing the transparent pseudonyms of Tom and John Smith, they set out, thought James, like ' dear adventurous knights to be put in a new romanso '. Their quest was a failure. Their long bluff called at last, the Spaniards said that the Pope's permission must be obtained, and that Charles must first declare himself a Catholic. While these objections were being discussed, Englishmen and Spaniards fell out. The English were distressed by the stiff etiquette which surrounded the Infanta, and took to disparaging the pride, the customs and the accommodation of their hosts. For their part, the Spaniards were amazed by Buckingham's insolent informality, and concluded that they would rather put the Infanta down a well than entrust her to such a man. When Verney lost his temper and struck a priest, it was time for the suitors to come home. They returned humiliated and angry, demanding vengeance, and found themselves welcomed amid bells and bonfires as returning heroes. The nation was overjoyed that the heir to the throne had returned safe and unmarried, for the Infanta might have accomplished what the Armada had failed to do, and made England Catholic again. Alarm had put the people in an ugly temper. When the collapse of a house in which they were worshipping killed nearly a hundred Catholics, the Londoners stood by and cheered, and would not help the injured ; and the Bishop of London refused a Christian burial to the dead.

Charles and Buckingham demanded war as the only reply to the indignities they had undergone. James, who was crippled



### *The victory of the Commons*

ny disease, could refuse them nothing, and at last consented to abandon the peace which he had cherished for so many years. Parliament was summoned in 1624, and asked to finance the very war which it had demanded at its last meeting. Although this was the only Stuart parliament which endorsed the general programme which the Crown laid before it, it was still determined to prescribe how the war should be fought. James was too weary to resist any further, and he sought its advice on matters which previously he had refused to allow it to discuss. As the beginning of a sound policy, the penal laws must be enforced. For its wartime offensive, parliament proposed to garrison England and Ireland, provision a fleet and make an alliance with the Dutch. For this programme the Commons voted £300,000, about a fourth of what was necessary; and, being unwilling to trust the Crown to spend the money for purposes of which they approved, they nominated treasurers to supervise war expenditure. The government's plan for a land war in Europe was rejected. The defence of the Palatinate, said Sir Francis Seymour, was not 'fit for the consideration of the House in regard of the infinite charge'. Their enthusiasm for the Protestant cause was not to involve them in undue expenditure.

James meekly allowed the Commons to dictate to him, and even consented to the overthrow of his most efficient servant. Middlesex brought the wrath of the Commons about his head by objecting to the war on the ground of expense. Charles and Buckingham, still smarting under insult, joined the clamour against him, and supported the Commons in demanding his impeachment. James gave in, but warned Charles that he would have his bellyful of impeachments. 'you are making a rod with which you will be scourged yourself'. The Commons were not yet done. Claiming to act as 'inquisitors general of the grievances of the kingdom', they followed up their victories by raising once more the question of monopolies. A law passed in 1624 declaring all monopolies to be void was the first statutory attack on the prerogative since the Wars of the Roses ended.

In 1624 England went to war. Impressment mustered Shake-

## *The Failure of James's Personal Government*

spare's 'discarded, unjust serving-men, younger sons to younger brothers, revolted tapsters and ostlers trade-fallen; the cankers of a calm world and long peace'. The force was put under the command of Mansfeld, a wandering soldier of fortune. The money allotted to it was spent before it embarked from Dover, and there was no more to be had; for Buckingham was negotiating a French marriage for Charles, and since he was afraid to face parliament's criticisms, there was no autumn session in 1624. The expedition set out with no objective. James shrank from making an actual breach with Spain, and would not let it assist the Dutch campaign against Spinola; and Louis XIII forbade it to pass through French territory into Europe. Finally it sailed to Flushing in January, 1625. By March 9,000 men had died of hunger and disease, and 3,000 stragglers either slunk back to England or entered the service of the Dutch.

In the middle of this humiliation James died. He had failed to convince the English that his theories of government were right, and Salisbury, Bacon and Middlesex, the ablest of his ministers, had failed to preserve the system of the Tudors. In place of the Tudor division of power, two groups were struggling for political supremacy: the Crown, the Privy Council, the prerogative courts and the Bishops were ranged against the Commons, the lawyers and the Puritans. One side took its sanction from the Divine Right of Kings, the other from mediaeval theories of a limited monarchy and the supremacy of the law. The Lords took neither one side nor the other. They were the most conservative element in the nation, and guarded the constitution against encroachment from either side. First the Crown and then the Commons seemed to present the greater danger, and the Lords wavered between the two. In 1625 they were displeased with the Crown, for James had cheapened the prestige of the peerage by his reckless grants to favourites and his sale of titles to fill his treasury.<sup>1</sup> They resented, too, being ousted

<sup>1</sup> In 1603 there were fifty-nine lay peers, of whom only eight had received their titles in the reign of Elizabeth. James created sixty new titles in twenty-two years.

### *Death of James*

from their traditional privilege of advising the Crown by upstarts like Buckingham and Middlesex. But wise statesmanship, wiser than Charles or Buckingham knew how to provide, could always recover their allegiance. The growing radicalism of the Commons was soon to drive them to the defence of the constitution, and in 1642 they were to perish with it.

## CHAPTER XIII

### THE BREAKDOWN OF THE CONSTITUTION

Character of Charles I   Failure of his first two parliaments  
Failure of his foreign policy.   The Petition of Right

#### I *The character of Charles I*

Charles was not intended to be King. Born a second son, he was brought up for the Church, and, had Henry lived, he might well have been Archbishop of Canterbury. He was studious, cultured and retiring, with more of the qualities of a scholar than a statesman. His more accomplished brother, whom he worshipped, teased him for his backwardness, for he could not speak until he was five, nor walk until he was seven. These early deformities accompanied him into manhood. He always walked with a limp, and an impediment in his speech made him hesitant and inarticulate. He was always, he said, 'unfit for much speaking', and this explains much of the haughtiness for which he was reproached. In fact, he was diffident; he was reluctant to enter upon discussions, because he could not properly express his thoughts. These defects apart, Charles was a gifted man. His bearing was graceful, his mind fastidious. He drove from his court the squalor and cheap immoralities of his father's day, and in place of drunkards and wantons he welcomed painters, poets and men of learning. No English King has had a finer taste in painting, and until his victorious enemies broke it up, his collection of pictures was the best in Europe. Many of his subjects who were debarred by their religion from any appreciation of culture held it against him that he was a connoisseur. A Puritan pamphleteer com-

### *Charles's faith*

plained of 'Nonesuch Charles squandering away millions of pounds on braveries and vanities, on old rotten pictures and broken-nosed marbles'. Those who knew Charles best loved him most, and these men have paid tribute to his qualities as a husband, a father and a friend; while the course of his life enabled him to show the whole world his personal courage and his loyalty to the things which he valued.

As a King, Charles had faults which were aggravated by the time and difficulties of his inheritance. Like his father, he believed in the mystical inspiration of his office. Although he talked about it less, he felt it more deeply. To James, Divine Right was largely an intellectual conception; to Charles, it was a question of faith, as deeply rooted as his belief in his Church. 'I must avow that I owe the account of my actions to God alone'. He died in the faith in which he had lived: he said on the scaffold, 'a subject and a sovereign are clean different things'. He inherited a prerogative and a Church; he must hand them to his successor unblemished and intact as he received them. This was the plain motive of Charles's doings. Unfortunately, it led him into the duplicity, the tendency to 'squiborate', which hampered his dealings with his subjects. Religious mystics are notorious for being unwilling to explain themselves to the uninitiated, or even to treat them with ordinary fairness. Charles was a political mystic. His lofty sense of his mission taught him that he need not explain his courses to those who had no part in the mystery of kingship. Therefore he did not scruple to deceive them. He was not obliged to keep troth with bad men; and those who obstructed him stood revealed as bad men. He need not keep troth with any subject, for King and subjects moved on different planes. As late as 1645, when his people were at war against his conceptions of government, he still hoped to bring them back to a sense of the true relationship between themselves and him. He wrote to his Secretary of State that if 'you would put them in mind that they were arrant rebels and that their end must be damnation, ruin, and infamy, except they repented it might do good'.

## *The Breakdown of the Constitution*

Charles's other failings grew from that conception of his office which committed him to deliberate insincerity. He was distant and aloof. The Venetian who reported that 'this King is so constituted that he never obliges anyone, either by word or deed', may have been exaggerating, but he was expressing the common opinion. The defect in his speech combined with the loftiness of his position to make him reserved and unapproachable. He had too the common failing of the Stuarts, of having no insight into the feelings of his people, blindly convinced of the integrity of his purpose, he could not realise what interpretation the average man might put upon his actions. At the last, even his virtues were fatal to him. James's loquaciousness and earthy good humour had spared him the worst consequences of his mistakes; he surrendered before the last ditch was reached. But Charles was too stubborn to compromise. Because he would not yield to base men and base designs, he had to be broken by their superior strength.

### *2 The Parliaments of 1625 and 1626*

Before he met his first parliament, in June, 1625, Charles had taken two steps that were to be disastrous for him. He had undertaken to continue the war against Spain, and he had married. The marriage, negotiated for him by Buckingham, was with Henrietta Maria, daughter of Henry IV of France. A secret treaty provided that she should be allowed to maintain a Catholic household, and that the English government should be lenient towards Catholics, and should lend help to the King of France against his enemies. It was not surprising that Buckingham was unwilling to meet the Commons while he was arranging this match. Henrietta Maria, like the Infanta, was a Catholic; and this alone damned the marriage in the sight of the English people. As if that were not enough, the government had committed itself to a policy of toleration which it would not be allowed to carry out, and to oppose the enemies of Louis XIII, although they might well be Huguenots. The

### *Charles commits himself to war*

marriage turned out to be fatal to the Stuarts in two generations : to Charles I because it tainted his court with Popery , and to his sons because it bound them to France when Louis XIV was thought to be England's greatest enemy.

The collapse of Mansfeld's expedition did not quench Charles's ardour for a war which he felt to be just . He immediately promised a subsidy of £30,000 a month to his uncle, Christian IV of Denmark, and a force of 6,000 men to the Dutch. Once more, he should have paused and reasoned . The Crown had found it impossible to live in time of peace without levying taxes which irritated parliament ; the attempt to wage a war had already given the Commons an opportunity to dictate terms. Charles could not hope to hold his own when he voluntarily undertook an expensive foreign policy . His conscience, however, obliged him to support the Protestant cause , and Buckingham, who had been intoxicated by his sudden popularity when he returned from Madrid, was confident that he could persuade parliament to vote the necessary funds

Parliament greeted the new King with a loyal address, congratulating him on the happy omen that he had succeeded at the age of twenty-five ; for that was the age at which King Hezekiah had ascended his throne . The Crown's spokesmen in the Commons were nonentities, and they could not induce the members to vote more than the beggarly sum of £140,000. ' There is a necessity ', said Sir Nathaniel Rich, ' to look into the King's estate, how it may subsist of itself.' Phelps, who had once been so clamorous against Spain that James had sent him to cool his passion in the Tower, now led the opposition to the vote of war subsidies . The members wanted to know why Mansfeld's expedition had failed, why they had not been summoned before it set out, and why ships had been promised for use against the Huguenots in La Rochelle. If the war was to be continued, they must supervise the expenditure of supply , meanwhile, the best assurance of the nation's safety was to suppress the Catholics at home, and this was not being done . As an example of sentiments which alarmed their patriotism

## *The Breakdown of the Constitution*

they denounced Montagu's *Appello Caesarem*, a book which blended Popish tendencies in religion with absolutism in politics. Finally, they proved their mistrust of the government by proposing to grant the King the right to collect tunnage and poundage, which had been granted to his predecessors for the whole of their reigns, for one year only. Charles, who had refused to give parliament any details of the foreign policy it was asked to finance, dissolved it in August.

Having seen that the Commons were not disposed to vote money even for objects of which they had formerly professed to approve, Charles should now have made peace. He decided, however, to keep his word to his allies, and show his people that he could win great victories without their help. When English arms had achieved triumphs at which Europe marvelled, then, Buckingham argued, the penitent Commons would no longer be deaf to appeals. For Buckingham, success was always just round the corner.

The government decided to revive the glories of Drake, by a raid on Cadiz. An armada was prepared, consisting of men-of-war, merchantmen and colliers, and put under the command of Viscount Wimbledon, who had some reputation as a soldier but none as a seaman. The ships were manned by felons and vagabonds collected by the press-gang, the food was so foul that dogs passed it by, the stores were defective, the sails rotten; much of the ammunition would not fit the rusted muskets. Wimbledon set out with no definite orders and his authority impaired by a council of war; pirates in the Channel delayed his start; the merchantmen and colliers had no enthusiasm for the business, and the ships contributed by the Dutch were equally half-hearted. The attack on Cadiz collapsed in drunkenness and disorder, and Wimbledon, having sought in vain to redeem himself by finding the treasure-fleet from Mexico, discovered that his ships were unfit to remain at sea any longer. He had no more food for his men, and on the way home they died in hundreds. Buckingham has been made to bear the responsibility for this disaster. As Lord High Admiral



### *The expedition to Cadiz*

he was responsible for the arrangements, but it is difficult to see that anyone in his place could have done better. All the expeditions sent out under Elizabeth had bad food and indifferent equipment, it was a commonplace that a large number of the crew would die of disease and starvation. Further, several Elizabethan expeditions, even though Drake or Hawkins had led them, had fared as badly. Buckingham had no money for expensive equipment, and money voted to the navy during James's reign had been embezzled by the men who were loudest in their criticism of the disaster. Finally, in twenty years of peace the English had lost the habit of war, and the men on whom the government was forced to rely were of necessity untrained and inexperienced. The real case against Buckingham is that knowing how weak his resources were, he still chose to gamble against hopeless odds.

In 1626 Charles called his second parliament without the expected victory to strengthen his bargaining. Hoping to put the Commons in a good temper, he enforced the penal laws, despite his contradictory promises to France, and he deprived them of their most active leaders by appointing six of them to be sheriffs. These devices did not avail him. The Commons continued to suspect the court of Popery, and they found a new, more dangerous leader in Sir John Eliot, a vigorous, unimagina-tive man, whose stream of words was endless. They would not consider supply until they had aired their grievances: the past must be explained, before the future would be provided for. Eliot had been in Plymouth when Wimbledon's expedition crawled in, and he was eloquent about the condition of the ships and the sufferings of the men. Soon the Commons followed up his hint that the country's disasters were to be attributed to 'those we trust', and made a direct attack on Buckingham, 'the moth of all goodness', by preparing his impeachment. Eliot and Digges, the managers of the impeachment, were imprisoned, and Charles protested and threatened. The Lords took the side of the Commons against him, for he had violated their privileges by putting Lord Arundel in the Tower and

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trying to prevent the Earl of Bristol from taking his seat Both Houses refused to do business until he released his prisoners. Finally, to save Buckingham, he dissolved the parliament, sending the angry members to their homes in mind of the one unchallenged power which he still held over them. 'Remember that parliaments are altogether in my power for their calling, sitting and dissolution, therefore, as I find the fruits of them good or evil, they are to continue or not to be.'

#### *3. The Petition of Right*

Although two parliaments had obstructed his policy, stormed his prerogative and attacked his friend, Charles resumed his efforts to fight a war unaided. To add to his difficulties, he and Buckingham had now quarrelled with France. The causes of the war into which they drifted were trivial, and a little statesmanship and discretion would have mended them. The French complained that the marriage treaty was not being fulfilled, and objected because the Queen's French attendants, who had behaved outrageously since they landed, had been expelled from England. On the other hand, Buckingham was displeased because Richelieu refused to come into the open against Spain and join in the English expeditions; and Louis would not recognise Charles as official protector of the French Huguenots. More urgent than these diplomatic vexations was the rivalry between French and English seamen. Disputes about contraband, piracy and the seizure of merchant shipping, had already come to blows. Instead of attempting to settle these differences, Charles and Buckingham made them sufficient ground for going to war with the nation which was potentially their best ally against the Hapsburgs.

In the summer of 1627 the government went to war with its new enemy. Buckingham's attempt to seize the island of Rhé, in the harbour of La Rochelle, had all the handicaps which normally paralysed Stuart expeditions. Buckingham himself showed courage and military resource, but his half-hearted,

### *The forced loan*

disorganised followers shrank before the French attack. He withdrew with heavy losses, and forty English flags hung in Notre Dame. Patriots bewailed 'the greatest and shamefullest overthrow since the loss of Normandy'. 'Since England was England', said Denzil Holles, 'she received not so dishonourable a blow.' A small force sent to help the King of Denmark was cut off and surrounded at Stade, on the Elbe; and when Denbigh again took the fleet to La Rochelle in the following year, Richelieu's new fortifications so impressed him that he sailed home without venturing to test their strength.

Even an unsuccessful war has to be paid for, and in 1627 the government used drastic methods. Troops were levied from the counties; the maritime districts were forced to supply ships or ship-money, tunnage and poundage were levied by prerogative. Finally, 'resolving in a common danger to rely upon a common care and affection', Charles demanded a forced loan equivalent to five subsidies, or £350,000. Although the clergy preached on the impropriety of resisting the King, many of the gentry refused to pay, and about eighty were imprisoned by order of the Crown. In a test-case brought by Sir Thomas Darnel and other knights, the King's Bench ruled that men so imprisoned might not be granted bail. To house his troops, Charles billeted them on private persons; and to keep them in some sort of order, he was obliged to issue commissions of martial law, which involved summary jurisdiction over soldiers and civilians alike. By such measures as these the whole nation was made to suffer for the failure of Crown and parliament to come to terms.

About a million pounds were raised in 1627, but this did not meet Charles's commitments. Being unwilling to risk any more illegal expedients, he met parliament again, in March, 1628; by which time yet another grievance had come to a head. The country was growing alarmed for the safety of religion; not only in Europe, where the Protestant cause was at its darkest, but at home in England, where a thinly veiled Popery seemed to be stalking the high places of Church and state. A new stimulus

## *The Breakdown of the Constitution*

had lately been given to Anglicanism by the doctrines of James Hermanzoon, or Arminius, Professor of Theology at Leyden. Arminius preached in Holland the doctrines which Hooker and Lancelot Andiewes had made the basis of the creed of the Church of England. Rejecting the Calvinist belief in a foreordained grace, he taught that man was a self-determining agent, that Christ had died for all men, and that each individual was free to receive or reject the salvation that was offered to him. Holland was an inhospitable place for such beliefs; Oldenbarneveltdt was murdered for holding them, and Grotius put in prison. The Synod of Dort, which was held in 1619, condemned Arminianism as heresy, and decided in favour of the belief that God damned most of His children before they were born.

The tradition of the English Church was more sympathetic to Arminianism. For Arminius held that, although the Bible was the infallible guide of human action, the usages and the accumulated wisdom of the Church helped the worshipper to approach his God. Images and music stirred his reverence, the priest in the authority of his office broke down barriers. The Church and its organisation, which God had sanctioned, were inseparable from true religion.

These doctrines were echoed by the Anglican clergy in their growing struggle with the Puritans. To many Englishmen, however, the Arminian belief in the priesthood and the ceremonious enrichment of the service was but the new disguise in which the Scarlet Woman made war on their innocence. 'an Arminian is the spawn of a Papist'. The French Queen and her courtiers flaunted their Popery, and thousands of Catholics went unmolested because the government had again ceased to enforce the salutary laws for their restraint. Men saw danger, too, in the readiness of High Churchmen to defend the King. The political alliance which James had defined in the words 'No Bishop, no King' had tightened as the position of both Crown and Bishops had deteriorated. In their fear that a Puritan 'parity' threatened their safety, the Anglican clergy looked to the King, their constitutional sovereign, to

### *Arminianism*

defend them; and in return they protected him from their pulpits when the same rebelliousness showed itself in the state. By its nature, Arminianism could not be a popular movement, commanding support in parliament and nation. It appealed only to an educated minority, who knew that the Puritans would crush them if they could, so they made common cause with the King, who had the same enemies. Montagu had already appealed to Caesar '*Domine Imperator, defende me gladio, et ego te defendam calamo*'. Bishop Laud had addressed the parliament of 1626 on the need for unity in the state, and urged them in the name of God to obey the King. The more critical the situation became, the more indiscreet were the High Churchmen. Dr Sibthorpe, preaching before the judges, stretched the doctrine of Apostolic Obedience to include payment of the King's forced loan. 'If a Prince impose an immoderate, yea, an unjust tax, yet the subject . . . is bound in conscience to submit'. Dr Manwaring taught that 'all the significations of a royal pleasure are . . . in the nature and force of a command', even when Kings 'command flatly against the law of God'. Such indiscretion injured both parties of the alliance, and seemed to justify the suspicion that the clergy were encouraging the King to bind his people under tyranny and deliver them to the servitude of Rome. Arminians were not Papists, and Charles was not a continental tyrant; but his undoubted preference for those elements in the English Church that were nearest to Rome convinced the Commons, when they met, that here was another matter over which they would do well to be vigilant. The Commons as a whole were not actively Puritan, and when they consorted with Puritanism, they did so because the tendencies of the court seemed to be bearing out the accusations of those religious extremists who believed that the farther a man moved from Rome, the nearer he was to God.

In opening parliament Charles made a plea for co-operation, than which 'nothing could be more pleasing unto me'. Having considered the suggestion of Pym that they should lament the distress of Protestantism by ordering a general fast, the Commons

## *The Breakdown of the Constitution*

decided to postpone their attack on Buckingham, and petition the King against the recent abuses of the prerogative. If the King made a favourable reply, subsidies might be voted as he asked. Accordingly they submitted to him the four resolutions known as the Petition of Right, protesting against the levying of 'any gift, loan, benevolence, tax, or such like charge', without the consent of parliament; arbitrary imprisonment without cause shown; billeting of soldiers on private persons; and the issue of commissions of martial law. To soften the blow, the Lords had suggested the addition of a saving clause, 'to leave entire that sovereign power wherewith your Majesty is entrusted for the protection, safety, and happiness of your people'. Coke and Eliot, however, would not admit that such a power existed. 'No saving in this kind . . . can be other than destructive to our work', Eliot reminded the Commons; and Coke objected that 'sovereign power is no parliamentary word'. If the King were admitted to have discretionary authority to rise above the law, the battle would be lost.

So the Petition of Right was framed on the basis of 'thou shalt not'. So far as it went, it was admirable, and the grievances it remedied were real. In his difficulties, the King had stretched the prerogative too far, and 1627 was an unpleasant year. He might well have argued, however, that parliament had driven him to these undesirable measures, by refusing to help him to wage a war for which the nation had formerly been enthusiastic. The defect of the Petition of Right was that, outside its particular provisions, it settled nothing; it brought Crown and parliament to a constitutional stalemate. By restraining the action of the prerogative, it forbade the King to wage war unless the Commons approved of it, and prevented him from silencing his critics. But the constitutional ideas of Coke and Eliot came to a stop when they had successfully curbed the prerogative. Until parliament claimed to initiate policy and carry it out, until supreme authority in the state passed from Crown to Commons, there could be no executive action in England. The Commons in 1628 were in the position of the Constituent Assembly of

## *The Petition of Right*

1791 in France : they had blunted the Crown's executive power, and put nothing in its place. In the eighteenth century, when their sovereignty was admitted, the Commons carried out measures which they had obstructed when they were attempted by the Stuarts. They united England and Scotland ; they reformed the mediaeval system of taxation, and provided the government with money sufficient for its needs ; and they armed the nation for a policy of deliberate and self-seeking aggression. Such measures were clearly necessary to the nation's efficiency ; but they were equally necessary in 1625. The Stuarts were less anxious to be tyrants than to be efficient, and with this object they tried to reform the machinery of government where it was defective. The Commons affected to see in this policy a threat to their liberty, and they impeded the Crown by emphasising the defects in the constitution. The result was the chaos of 1627. But the Petition of Right offered no solution, and there could be no solution until the Commons claimed the sovereignty which they denied to the Crown.

### *4 The final breach with parliament*

Charles sought to evade the Petition when it was sent up to him. He delayed, consulted the judges and looked round for expedients ; but at length, in June, 1628, he gave his assent as to a private bill, thus giving the resolutions the effect of a statute. Although he was voted the promised subsidies, his acceptance of the Petition was not, as he had hoped, the beginning of better relations between himself and parliament. For while he had been hesitating about his decision, the Commons had busied themselves with other grievances. Coke revived the attack on Buckingham, and rallied the members to the chase : ' this man is the grievance of grievances. Let us set down the causes of all our disasters, and all will reflect upon him ' They inveighed against Arminians, and started to impeach Manwaring. Finally, they denied the King's right to levy tunnage and poundage, on the ground that the Petition of Right had forbidden it. Charles

### *The Breakdown of the Constitution*

angrily replied that he had confirmed old liberties, not granted new ones. The difference between the wording of the clause in the Petition and the wording of the Tunnage and Poundage Act of 1641 (which forbade any 'subsidy, custom, impost, or charge whatsoever') shows that the Commons' claim was unfounded. Realising that as fast as he made concessions, the Commons thrust new demands upon him, Charles prorogued parliament before the end of the month.

The unaccommodating temper of the Commons brought Thomas Wentworth to the side of the Crown. Hitherto the Crown had regarded him as a dangerous enemy; he had been pricked as a sheriff in 1626, and he had gone to prison for resisting the forced loan. But his opposition had always been constructive. He had resisted only when the government resorted to illegalities, and he had always sought a compromise between Crown and parliament. Thus in 1621 he opposed the vicious attack on Floyd, and urged the Commons to face the foreign danger by granting adequate subsidies, in 1624 he defended Middlesex, and again demanded subsidies. He deserted the parliamentary leaders because they were claiming for parliament a more exalted place in the constitution than he wished it to occupy. He wished it to perform its Tudor function of offering criticism when criticism was necessary: when, for instance, an irresponsible minister like Buckingham was directing foreign policy, but his orderly mind hated to see the quarrel between King and parliament issuing in anarchy at home and dishonour abroad. The session of 1628 revealed that the more ruthless members of the Commons did not intend to compose the quarrel. Moreover, the unsubtle Eliot was so excitable that no wound would heal unless he were restrained. In the cause of good government, therefore, Wentworth changed sides. Now that the Petition of Right would necessarily sober Buckingham's dizzy foreign policy, more was to be hoped from the Crown than from parliament.

A few weeks later Buckingham was stabbed at Portsmouth by John Felton, a naval lieutenant, Puritan, unpaid and unpromoted.



## *The session of 1629*

The man was gloomy and unbalanced, and repented of what he had done, but the people hailed him as their deliverer, and cheered Buckingham's body to its grave. In September Buckingham's policy perished too. In his place, the Earl of Lindsey led the fleet again to La Rochelle, and watched the town surrender to Richelieu. Without his friend to inspire them, Charles undertook no more enterprises abroad.

'The grievance of grievances' was gone, the government could hope to find the Commons more reasonable when they met for the spring session of 1629. But reasonableness was no part of their programme. They were angry with Wentworth, and they concentrated their new attack on two sources of complaint: that Popery and Arminianism were spreading, to the scandal and danger of the nation; and that the King was still collecting customs duties to which he was not entitled. One of their number, John Rolfe, had forfeited his goods for refusing to pay tunnage and poundage, and they asserted that the privileges of parliament obliged the government to restore the goods; which amounted to a claim that privilege exempted all members from paying their taxes. Then they fell to complaining about the favour shown to High Churchmen. Montagu, for instance, had lately been made a Bishop. Buckingham's death had not dispersed the accumulated discontent of years. In fact, parliament found a new scapegoat. the Treasurer Weston, 'in whose person, I fear', said Eliot, 'is contracted all the evil that we do suffer'.

Charles was weary of parliaments. 'They are of the nature of cats, they ever grow cursed with age'. Seeing that co-operation was remoter than ever, he ordered an adjournment. Eliot, whom a parliamentary session, with its opportunities for oratory, excited out of his reason, proclaimed that parliament had the right to decide when it should be adjourned. A ridiculous scene followed. Locking the doors against the King's servants, the members held the protesting Speaker in his chair, so that he should not dissolve their meeting: 'God's wounds, you shall sit until we please to rise.' Then amid shouting and brawling

### *The Breakdown of the Constitution*

they carried by acclamation three resolutions which Eliot put before them : ' whosoever shall bring in innovation of religion ', or extend the sway of Popery or Arminianism, ' shall be reputed a capital enemy to this kingdom and commonwealth ' ; that it was similar treason in any subject to advise the King to levy tunnage and poundage ; and that anyone who paid these duties, if the King should levy them, was ' a betrayer of the liberties of England '. Then the Speaker was permitted to rise.

The Commons had overreached themselves. On March 10th, 1629, Charles dissolved them for their ' undutiful and seditious carriage '. Several members were thrown into prison, Strode and Valentine remaining there until 1640, and Eliot until the discomforts of confinement killed him in 1632. The cautious Rudyerd had warned his colleagues against driving the King beyond endurance : ' let it be our Masterpiece so to carry our business as we keep Parliaments on foot '. It was not only that Charles could not forgive the insult put upon the Speaker, who was his servant ; he was by now convinced that no good could come of his calling parliaments. He believed that a few seditious men were poisoning the minds of the members and frustrating his government. Defending his decision to dispense with parliament in the future, he complained of ' some few vipers ' who cast ' this mist of undutifulness ' over ' the sincerer and better part of the House ' ; and the parliamentarian D'Ewes supported him, saying that ' divers fiery spirits in the House of Commons were very faulty, and cannot be excused '. The Lords, alienated by Eliot's impetuous irresponsibility, shared the King's disgust with the Commons. Thus exactly a hundred years after the meeting of the Reformation Parliament had inaugurated the Tudor system of government, Charles admitted that the old methods would no longer work. In claiming to direct his policy and dominate his ministers, the Commons had overstepped the place appointed for them and encroached upon the undoubted rights of the prerogative. Henceforth he would rule without seeking their co-operation or advice.

## CHAPTER XIV

### CHARLES GOVERNS WITHOUT PARLIAMENT

Financial measures of the government · ship-money    Laud.  
Policy of Thorough    The war with Scotland

#### I. *Charles's financial measures*

Charles issued a proclamation in which he justified his policy and appealed to the country's sympathy against the reckless and intemperate opposition of the Commons 'That we may appear to the world in the truth and sincerity of our actions', he pointed out that, while he and his ministers had pursued a foreign policy which the nation had demanded, the Commons had disgraced England and betrayed the Protestant cause, that they had blamed Buckingham for all the misfortunes of the time, but had not abated their opposition when Buckingham was dead; that they had voted little enough money anyhow, and had diminished the value of the grants they had made, by encouraging the assessors to report falsely on men's incomes. He referred to the revolutionary conspiracy which had created confusion 'to abate the powers of our Crown', and to the treacherous men who 'under pretence of liberty and freedom of speech . . . take liberty . . . to erect an universal over-swaying power to themselves'. Finally, let his subjects thank God for 'the great peace and quietness which every man enjoyeth under his own vine and fig-tree'.

On grounds both of law and policy the King's arguments were sound, and the customary description of these eleven years of non-parliamentary government as a 'despotism' is valueless.

## *Charles Governs without Parliament*

The Council and its organs provided a sufficient machinery for peace-time government which should never violate the letter of the law. Death soon removed Coke from the field of battle, and the judges, consulted on the legality, not on the policy, of the government's actions, gave a series of decisions which in law were unimpeachable. Nor were the Crown's objects merely selfish. It proposed to use conciliar government to promote the well-being of all classes, to purify finance, to prevent further humiliations by equipping an efficient navy, and, by means of the royal supremacy in the Church, to suppress controversy and compel a general reverence for the traditional usages of Christianity. Clarendon has testified to its success. While the continent of Europe was torn by a long and destructive war, 'this kingdom and all his majesty's dominions . . . enjoyed the greatest calm, and the fullest measure of felicity, that any people in any age, for so long time together, have been blessed with, to the wonder and envy of all the parts of Christendom . . . The kingdoms we now lament were alone looked upon as the garden of the world.'

The government's most urgent problem was finance. Although peace was made at once with France and Spain, the Crown's hereditary revenues could not meet the expenses of administration, which were over £600,000. To provide the balance—until 1635, revenue came within £20,000 of expenditure, and thereafter there was a surplus—various devices were adopted. The sale of offices, pensions and grants of land, the constant resource of the needy Stuarts, continued as before. Weston, the Treasurer, was thrifty in his master's interest; his economies in the household and his check on fraud saved money at the cost of his own popularity. The government shared in the prosperity of trade by levying tunnage and poundage, the merchants protested, but, faced with the alternatives of paying the duties or losing their trade, they quickly gave in. In 1638 the revenue from the customs was £127,000, and this was the mainstay of the Crown's financial system.

Certain other devices were less productive, and at the same

### *Monopolies revived*

time less defensible. There was on the statute-book an old law of Edward I, that every freeholder with land worth £20 a year should become a knight, or compound for his refusal. Taught by his opponents that the laws of bygone ages sometimes made effective weapons in the present, Charles imposed this obligation on all freeholders whose land was valued above £40 a year. Similarly, the Crown revived its ancient jurisdiction over the forests. The limits of the royal forests had been fixed by a perambulation in 1297. This was now ignored, and private owners had to compensate the Crown for the encroachments of their ancestors on the Forests of Dean, Epping, Waltham, Rockingham, and the New Forest. Seventeen villages were added to the Forest of Dean, the area of Rockingham Forest was enlarged from six square miles to sixty, the whole of Essex, except for one hundred, was declared to be forest. The irritation of rural proprietors outweighed the slender financial benefits. As Clarendon explained, the burden 'lighted most upon people of quality and honour, who thought themselves above ordinary oppressions, and therefore like to remember it with more sharpness'. The same men had another grievance in the heavy fines imposed upon them for enclosure and depopulation.

So far the weight of the Crown's financial measures fell most severely on those best able to pay. For a generation or more the gentry and merchants of England had escaped paying the taxes that were due from them, and there was essential justice in their being called upon to make their contribution towards the expenses of government. When, however, the Crown found a loophole in the law of 1624 and revived monopolies, the bulk of the burden fell on the consumer. The act did not forbid the issue of monopolies to companies. Corporations were formed, therefore, to receive, in return for an annual payment to the Crown, the sole right to manufacture particular commodities, which came to include soap, wine, salt, starch and coal. These new monopolies alienated the trading classes from the Crown, and made the poor man pay more heavily

## *Charles Governs without Parliament*

for his necessities. There was point in the eloquent complaint made by a member of the Long Parliament, that monopolies were 'like the frogs of Egypt . . . they sup in our cup, they dip in our dish, they sit by our fire; we find them in the dye-vat, wash-bowl, and powdering-tub'.

The decision taken by the King and his advisers in 1633, to levy ship-money in the following year, sprang not from financial necessity, but from the serious and admitted needs of the navy. With Europe still at war, the country's sea-borne trade was harassed by combatants and pirates, from whom it was too weak to claim redress. Turks, corsairs from the Barbary coast, and marauders from Dunkirk, swept the Channel. The Dutch were so contemptuous of the rights of English fishermen that they landed on the East Coast to dry their nets on the beach. Merchant shipping was at the mercy of the pirates, who even dared to swoop down on the coastal towns and kidnap the inhabitants. The source of the decay of English seamanship was the tired incompetence of Lord Howard of Effingham, who, as Earl of Nottingham, was Lord High Admiral for many years; and the systematic corruption of his treasurer, Sir Robert Mansell, and his other subordinates. James had enquired into the state of the navy, but Mansell was influential enough to blanket his investigations. Buckingham and Middlesex were not so easy to shake off, and in a few years they doubled the number of ships, at the same time halving naval expenditure. This was the measure of Mansell's corruption. The disgrace of the war years showed that Buckingham's reforms had not gone far enough, and Charles now determined to make his navy efficient. He did this of his own free will. Had his aims been merely selfish, he could have left the coast towns to provide their flimsy defences, and abandoned merchants and fishermen to the pirates and the Dutch. He preferred, however, in the interests of national prestige, to enforce the claims of Selden's *Mare Clausum*,<sup>1</sup> and assert England's authority in the

<sup>1</sup> A pamphlet published in 1635 in reply to the *Mare Liberum* of Grotius. It asserted that the sea could be treated as private property.

## *Ship-money*

narrow seas. Apart from a loan appropriated for the fortifications of Berwick, every penny levied by ship-money was spent on the navy.

The first levy was on maritime districts only, but in 1635 it was extended to the whole kingdom. Another general levy in the following year suggested that the government had found a means to impose a permanent tax on the whole kingdom, and a caucus of the opposition, which met regularly at Broughton, the home of Lord Saye and Sele, decided to fight a test-case. The case was heard in 1637, the year in which Captain Rainborough vindicated the government's policy by rescuing over three hundred Englishmen from the corsairs of Salée. John Hampden, a wealthy landowner of Buckinghamshire, objected to paying the tax of twenty shillings for which he was assessed. Only two of the judges condemned ship-money as illegal,<sup>1</sup> and seven of them decided that the King had a right to call on the service of his subjects in time of danger, and that he had the sole right, without recourse to parliament, of decreeing when an emergency existed. The verdict of the judges alarmed the nation, for there was a prospect that the government might impose unlimited taxation on a plea of emergency that the judges would always support. One of the judges, Finch, had boldly asserted that to the King's right and power of defending the kingdom 'no act of parliament makes any difference'. Finding therefore that their favourite weapon of the rule of law was being turned against them, the opposition resorted to sabotage. The magnates encouraged local resistance to the levy, and in 1639 only 20 per cent. of the tax could be collected. On balance, the judges' decision did the King more harm than good. It seemed, said Clarendon, to leave 'no man anything which he might call his own'; and it prepared his enemies to take drastic steps when the calling of parliament again brought him within reach of their displeasure.

<sup>1</sup> But three more decided for Hampden on the technical ground that they objected to the procedure of collection.

## *Charles Governs without Parliament*

### *2 Strafford and the policy of Thorough*

The year 1640 is the real turning-point in the history of England. The overthrow of conciliar government in the first eight months of the Long Parliament deprived the King of the effective use of his discretionary prerogative, and transferred supreme power in the state to the hands of the Commons. Politically, this had long been inevitable, for the balance of economic forces was against the Crown; for all their brave theories, the Stuarts had been steadily on the retreat. The change would be less easy to regret had its social implications not been so tragic. The struggle over enclosures had already revealed what was at stake. against the claim of the landowners that a man might do as he pleased with his own, the Crown had not hesitated to assert the mediaeval doctrine that property was a trust, and that the prosperity of the few must not be founded on the bondage and suffering of the many. The Crown had failed: the economic tide had swamped its efforts to check enclosure. But from the wreck of its social schemes it had succeeded in salvaging the principle that the poor were poor through no fault of their own, and that their care was a charge upon society which it must be forced to perform. Concern for the evicted labourer and the unemployed vagrant was characteristic of the Crown's attitude towards the less fortunate of its subjects. The Catholic idea of the unity of society survived the Reformation, and was only gradually shattered by the Puritan belief that some were born in grace, and that there were others whom no earthly efforts could save. Until 1640 the policy of the Crown, manifested in countless judgments given in the conciliar courts, was to emphasise the responsibilities, as well as the privileges, of property, to maintain the just price, to check engrossing, forestalling and regrating, to curb extortion, and to prevent unscrupulous speculators from exploiting society for their individual profit. The resentment unloosed on the prerogative courts was provoked as much by their social virtues as by the constitutional usurpations with



## *Strafford*

which they were charged. Under the Stuarts, no less than in Tudor and mediæval times, the intention often outran the performance, and the high ideal was tarnished by political or financial interest. But there was no deviation from the principle. The criterion of a prosperous community was the welfare of all its members; economic efficiency was only a means by which this end could be realised, and not the primary object of being. By its attitude to the vital question of poor relief the victorious oligarchy showed its intention of raising social standards of a different kind.

The twilight of prerogative government in England was illuminated by the career of Thomas Wentworth, Earl of Strafford, one of the noblest figures in the seventeenth-century conflict. The ideal of the system to which Strafford and Laud in their correspondence gave the name of 'Thorough' was a vigorous and unified administration acting impartially in the interests of all. 'For the State indeed', wrote Laud, 'I am for Thorough.' Thorough does not mince matters; it is on the side of discipline and efficiency, and sometimes it is rough with the obstinate and the slow-witted. But it had been an axiom of Tudor government that individual rights must sometimes be sacrificed, and even after 1629 Strafford still hoped to revive the old system. He was ready to admit parliament, suitably chastened and content to resume its traditional relationship with the Crown, to its place in the constitution. But, in the words of his friend George Radcliffe, 'his experience taught him that it was far safer that the King should increase in power, than that the people should gain an advantage over the King. That may turn to the prejudice of particular sufferers, this draws with it the ruin of the whole.' Like Bacon, he claimed an emergency sovereignty for the Crown: the right to set aside the law in the interests of expediency, and to override the complaints of men who 'made the public service an excuse for enriching themselves at the public expense, or the dry, technical arguments of the lawyers which would hinder the

## *Charles Governs without Parliament*

accomplishment of schemes for the public good'.<sup>1</sup> The place of parliament was not to hinder, but to offer constructive criticism, its refusal to perform its appointed task had driven Strafford to the service of the Crown. For 'the authority of a King is the keystone which closeth up the arch of order and government'; once 'ravel forth into questions the right of a King and a People', and nothing can follow but confusion *Stare super vias antiquas*, 'tread the old and wonted boards' in his reverence for tradition and the ancient ways of the constitution, and not in utilitarian theories of popular rights, Strafford found the inspiration which guided his actions.

As President of the Council of the North between 1628 and 1633, he strove to make the court 'a shelter to the poor and innocent from the proud and insolent'. 'He loved justice for justice itself,' said Radcliffe, 'taking great delight to free a poor man from a powerful oppressor.' He forced the gentry to do his bidding. Humble farmers, unable to get justice from the magistrates who were at the same time their judges and their oppressors, obtained their rights in the Council of the North. The magnates were prevented from extending their estates by removing the landmarks of their poorer neighbours, the apprentice laws were enforced; the poor were cared for, out of the pockets of the rich; the statutes regulating wages were observed, at the cost of the profits of the employers; landowners were fined for enclosure or for working their hay-makers on Sundays, when the fens were reclaimed, Strafford protected the rights of the original inhabitants, whom the gentry sought to thrust from the more profitable holdings. The magnates and clothiers of Yorkshire did not submit meekly to this régime, but Strafford was determined that the King's law should be obeyed. When a peer defied a sheriff's warrant, Strafford changed his attitude by fetching the artillery from Scarborough; for questioning his authority, Sir David Foulis was imprisoned in the Fleet. At a harsh cost to his popularity and his health, Strafford achieved his objects. The defeat of

<sup>1</sup> Gardiner

## *Strafford in Ireland*

the Crown 'established a system of justice which, at least in the North, amounted to an absolute denial of justice to the poor man. . . . To the wage-earners, and to the poor especially, the disappearance of the Council of the North was quite loss.'<sup>1</sup>

As Lord-Deputy of Ireland Strafford applied the same methods to an even harder task. He found Ireland in the hands of an oligarchy whose sole object was to turn the administration into a source of personal profit. In a few years he freed the Crown's government from the taint of corruption, gave Ireland roads, laws, justice and a fair system of taxation, founded industries, cleared pirates from the coasts, for the first time since the English conquest, Ireland might be a source of strength to the Crown, instead of a permanent drain of men and money and a fertile field for England's enemies. Like Hastings in India, he was often driven to ruthlessness, and dispossessed politicians flocked to England with their complaints of the harsh doings of 'Black Tom Tyrant'. As in the North, his high-handed honesty made dangerous enemies. The administrators in Dublin would not forgive him; the City of London was smarting under a fine from Star Chamber for its failure to fulfil the terms on which it had been granted Coleraine; the Scots were apprehensive for their brethren in Ulster, where Strafford was accused of 'bringing a very Spanish inquisition on our whole Scottish nation'.

Although Strafford was in Ireland from 1633 until 1639, the principles of Thorough were faithfully executed at home. Bishop Juxon, who became Treasurer when Weston died, preserved financial integrity. Poor relief was fairly distributed. 'During the personal government of Charles I we have not only the first thorough execution of the poor law, but a more complete organisation for the help of the weaker classes than at any other period of our history.'<sup>2</sup> Highways and bridges were kept up (In the next century the roads, whose maintenance had been

<sup>1</sup> R. R. Reid, *The King's Council in the North*

<sup>2</sup> E. M. Leonard, *The Early History of English Poor Relief*.

## *Charles Governs without Parliament*

a public charge, were farmed to joint-stock companies; the people had to pay tolls to pass about the country, and the poor could not afford to move at all) Charles's government regulated wages and prices, guarded the nation's supplies of corn and wool, fostered home industries, maintained good relations with wool-growing Spain. To keep the gentry alive to their social responsibilities, Charles sent them from court, and reminded them of their obligations to their less fortunate neighbours. But the gentry were inattentive to their obligations: they wanted their rights, including the right to set aside legislation which hindered them from making money. The case for the Crown need not be overstated, it was not a simple issue of black against white; but after 1640 the policy of paternal concern for the common welfare was abandoned, and it was not resumed until the working-classes were numerous enough and strong enough to force the government to hear of their sufferings and alleviate them.

Except where there was a Strafford to carry it out, the Crown's social policy could not always attain its objects. It broke on the rock of non-co-operation. Charles I had no *Intendants*; he had not even a civil service. In local government he had to depend on unpaid officials who often were the worst offenders against the social legislation which they were required to enforce. Hence the popularity among the poor of the prerogative courts, to which they could bring suits which the common law would judge partially. The Crown supported the losing side: the craftsman, the apprentice, the artisan, the rural labourer, and, always excepting the injustice of monopolies, the consumer. Sheriffs and Justices of the Peace refused to execute the government's instructions. They would be dismissed, and others would replace them, but it was a losing battle. The Crown was committed to a political and social policy which it was unable to enforce on the opposition and self-interest of the gentry of England.

## *'Thorough' in the Church*

### *3. William Laud*

Under the vigorous authority of William Laud the rule of Thorough invaded the realm of conscience. Laud had made his name at Oxford, where, as President of St John's, he had reformed the University and Colleges, and driven out the strong Calvinist party whose activities had alarmed him. James made use of his learning, and his keen, argumentative brain, in controversies with the Catholics, but he had shrewdly decided that Laud's punctilious, pedantic, fussy mind might irritate the anti-clerical instincts of the people. 'He hath a restless spirit,' said James, 'which cannot see when things are well, but loves to toss and change, and bring matters to a pitch of reformation floating in his own brain.' James had made him Bishop of distant St David's, but nothing more. Charles, however, discovered in him a zealous, conscientious servant who would heal the Protestant schism in the Church and lay intractable sectaries by the heels. In 1628 Laud became Bishop of London, and made his energies felt outside his diocese, Archbishop Abbot, whose sympathies were Puritan, spent his last years brooding over his misfortune in accidentally shooting a game-keeper, and put up little resistance to Laud's activities. At length, in 1633, Abbot died, and at Canterbury Laud found a wider field opening before him. He planned the reformation of the Church of England; and when that was done, Scotland should be forced into conformity too.

In the heated atmosphere of the early seventeenth century, religious toleration was not the aim of any prominent party; so far, it was only the elusive dream of a handful of scattered and persecuted Independents. In determining, therefore, to compel conformity to the canons, liturgy and usages of the English Church, Laud attempted what Parker and Whitgift had done before him, and what the Presbyterians attempted when he was dead. *Cuius regio, eius religio* was a principle which only the Independents questioned. In matters of belief Laud was not intolerant; he would not probe too deeply into men's

### *Charles Governs without Parliament*

consciences, so long as, by uniformity in external things, they convinced him that their religion was sound. To those who would not conform he was relentless. A Metropolitan Visitation enabled him to satisfy himself that his discipline was everywhere enforced. He crushed theological discussion, fearing the courses it might take; prevented Puritan writings; hunted clergy of Puritan tendencies from their pulpits for disobeying his enactments; forbade the gentry to keep private chaplains, or corporations to appoint lecturers for preaching duties alone; harassed conventiclers who conducted services unhallowed by the Prayer Book. He insisted on the use of a proper ceremonial, and ordered that the communion-table should not stand where 'the particular fancy of any humorous person' might dictate, but that it should be protected from irreverence (some were pleased to use it as a hat-rack) by being railed at the east end of the church. To coerce those who defied him, Laud employed all the resources of the Crown's ecclesiastical supremacy. Clergy were dismissed from their livings, authors, printers, lecturers and their congregations were punished by the Court of High Commission. Hundreds set out for the New World, where they might settle the details of their worship as they pleased.

This is to present Laud's work at its worst. He was not a persecutor, his latitude in matters of doctrine, as long as it was discreetly expressed, shocked many staunch Anglicans. Moreover, there lay behind his zeal for uniformity something more than the fastidious precision of a tidy mind. the real object of his attack was slackness and corruption, the real object of the policy to which he dedicated himself was to raise the Church above schisms and disaffections to a place where it could command the devotion of a united people. Laud's energy has won him the name of a man who sought out disobedience and chastised it. His work is better understood if it is realised that the motives which inspired it were defensive. He found the Church's commandments being broken, its ancient beliefs flouted; to defend it against Puritan encroachments, Laud made a counter-attack, the last bid of the Church of England to hold

### *'The beauty of holiness'*

all men's loyalty to a single faith. He insisted, therefore, on uniformity and ceremonial 'All that I laboured for in this particular was, that the external worship of God in this Church might be kept up in uniformity and decency and some beauty of holiness' The beauty of holiness, Laud's finest expression of his faith, had to be protected from the contempt in which the Puritans held the Church. The Puritan maintained, said Thomas Cheshire, that 'there is no more holiness in the church than in his kitchen, nor in the Lord's table than in a dresser-board'. 'Tis superstition nowadays', Laud complained, 'for any man to come with more reverence into a church than a tinker and his bitch come into an ale-house' An open scorn for the House of God was a means by which many chose to demonstrate the inward worship which was in their hearts. Laud could not thus express himself in contraries 'It is true', he said, 'the inward worship of the heart is the true service of God, and no service acceptable without it; but the external worship of God in His Church is the great witness to the world that our heart stands right in that service of God . . . Ceremonies are the hedges that fence the substance of religion from all the indignities which profaneness and sacrilege too commonly put upon it'

Looking back to the Middle Ages, he revived the authority of the Church over social policy and morals Cardinal Newman claimed for him that he was 'of a stature akin to the elder days of the Church', for his was the policy of Hildebrand and Becket. The ecclesiastical courts took on their old courage. 'Persons of honour and great quality, of the court and the country,' wrote Clarendon, 'were every day cited into the High Commission Court, upon the fame of their incontinence, to their shame and punishment; which sharpened many men's humours against the Bishops' High Commission was busier in punishing offences against morality than in harassing Puritans. Drunkards, adulterers, clergy guilty of lax habits, were fearlessly summoned and punished; ladies of high estate did penance in a white sheet for their adultery Many of the suits heard by High Commission

## *Charles Governs without Parliament*

were brought voluntarily, by those seeking divorce or complaining of vicious practices. Laud pressed on towards his goal, undismayed by the opposition which thickened about him. He further displeased the Puritans by reissuing James's Book of Sports, which encouraged secular recreations after service on Sundays. The arguments contained in the declaration were appropriately modern. 'For when shall the common people have leave to exercise if not upon the Sundays and holidays? Seeing they must apply their labour, and win their living in all working-days?' Clergy who refused to read the declaration were ejected. In many districts the Puritans broke in upon the games, pulled down maypoles and destroyed the innocent amusements of the villagers. They regarded the Sabbath like 'an image dropped down from Jupiter', to dance on a Sunday was as grave a crime in their sight as to commit murder. These extreme Puritans would not allow food to be carried to a horse, and condemned even walking as 'vain and profane'. To them Laud gave further offence by spending the money which he received from fines on beautifying the London churches, and Inigo Jones rebuilt part of St Paul's. This work did not long survive Laud's fall, perishing in the conscientious pillage of the Church by the Saints.

Laud made many enemies. The gentry were affronted by his jurisdiction over their morals, the squire resented the new importance assumed by the parson, even the clergy themselves and many of the officials of the Crown felt that his busy intervention was carried too far. A disgruntled judge, dismissed from his office for trying to suppress the Somerset wakes, complained that England was being 'choked by a pair of lawn-sleeves'. The English are anti-clerical. Even men who endorsed the general tendencies of Laud's policy would have had him go more slowly. His enemies flatly charged him with being a Catholic, with being privy to the Arminian conspiracy to re-unite England with Rome. It was a reasonable suspicion, for Rome had invited him to be a Cardinal. He had refused without hesitation: 'My answer was that somewhat dwelt



### *Puritan pamphleteers*

within me which would not suffer that till Rome were other than it is.' But his insistence on ceremonial, his belief in the sacraments and in the dignity of the priesthood, were linked in the people's mind with the scandalous doings at the court, where the Oratorian Panzani had come on a mission from Rome, and the Queen held a fashionable Mass on Christmas Day. In fact, Laud and the Queen detested each other, and Laud tried to institute proceedings in High Commission against the members of the Catholic mission. But to the staunch Protestant, untroubled by theological niceties, the distinctions between Arminianism and Catholicism were too fine to be appreciated, suspicion of the government's tendencies in religion, more than any other feature of its policy, aroused the people's resentment. The more celebrated victims of Laud's discipline acquired a martyr's halo. Alexander Leighton wrote that Bishops were the 'trumpery of Antichrist . . . bloody beasts . . . knobs and wens of buncchy Popish flesh'. William Prynne abused the stage, although the court was fond of amateur theatricals, and libelled the dignitaries of the Church; the Reverend Henry Burton described Bishops as 'those little toes of Antichrist' and cathedrals as 'so many dens of thieves and cages of filthiness and idolatry'; 'the Church is as full of ceremonies as a dog is of fleas', wrote John Bastwick. Hysterical outbursts of this sort would have to be punished by any government, for authority must protect its officials from libel, and its own dignity from neurotic propaganda. John Lilburne, who was punished for importing revolutionary writings from abroad, was a cross-grained fellow who lived to fall foul of three other governments to whose rule he failed to accommodate himself. Sympathetic crowds gathered to watch these men suffer the pillory and mutilation; elsewhere in Europe their offences would have brought them to the scaffold.

Public sympathy with his victims did not make Laud flinch. He believed that a Church united within itself was the best defence against the pretensions of Rome, and that if there were not unity in the Church, corresponding divisions would appear

### *Charles Governs without Parliament*

in the state. He shared Elizabeth's well-grounded fear of the dangers to authority inherent in unrestrained Puritanism. For Puritanism was 'the root of all rebellion and disobedient untractableness, and all schisms and sauciness in the country'. So he was resolved to check its growth by enforcing the law of the land, and by raising the Church to a dignity where all men might reverence it. His methods were harsh and somewhat unimaginative. Personally, he was fussy, quick-tempered and humourless, and he cared too little what men thought of him. He never quite threw off the robes of the don. The Church, too, was unable to bear the weight of the responsibility which he laid upon it, times had changed since it had been the unquestioned arbiter of the nation's morals. But since the Church turned its back on Laud's ideals, it has never had the same hold on the minds of the English people. It was restored in 1660 as the instrument of successful politicians, and for nearly three hundred years it has been the obedient handmaid of the state. Laud 'ever held it the lowest depths of baseness to frame religion to serve turns'.

#### *4 The war with Scotland*

Charles's personal government collapsed when Laud, carried away by a dream of religious imperialism, determined to harry the Scots into conformity, as he had harried the English. James had succeeded in imposing on the Scots an episcopal organisation and certain forms of Anglican ritual. These measures had stirred sufficient opposition to warn James not to tamper any farther with the religion of the Scots; and he had once remarked that if Laud hoped to convert them to English ways, he 'knows not the stomach of that people'. Charles, however, went incautiously. In 1625 he revoked all royal and ecclesiastical lands alienated since 1542; thus aligning the nobility with the Presbyterian opponents of the government's policy, for the Act of Revocation was the equivalent of asking the plunderers of the Roman Church to disgorge their loot. In 1633 he was

## *The Covenant*

Holyrood with the pomp and ceremony of the Church and he decreed that henceforth the Scottish ministers the surplice instead of the Geneva gown. In 1635 canons was forced on the Scots, as if Scotland were a part of the diocese of York', and two years later, to Knox's *Book of Common Order*, came a Prayer Book in the English model. On neither occasion did the government Scottish Bishops, parliament, or General Assembly. John Knox and Robert the Bruce walked the streets. The Scots refused to use a form of service which had been imposed on them by a foreign government, and which was not consistent with Popery, 'the skeleton of a Mass-book', but a perversion of the word of God'. A stool thrown at the door of St Giles's, Edinburgh, banded the Scots in revolt. The people possessed with a bloody devil', wrote Baillie. In May, 1638, all classes of the nation united to put their hands to a National Covenant which pledged them not to accept the English had withdrawn the hated Prayer Book. The Covenant was the standard of a real Protestant crusade against the policy of Laud and against Strafford's treatment of Ulster. At the same time, the Covenanters expressed their undivided loyalty to the Crown, and they meant what they said. No contradiction in their aims which they later tried to explain to the English parliament and the Independent army, drew them into the royalist and Presbyterian alliance in 1660.

When he had no means of coercing the Scots, Charles chose to be firm: 'I mean to be obeyed'. The Scottish Covenanters refused their feudal levies, and a force of 'Jehovah-brothers', busy preaching, praying, and drilling', guarded the Border. They were commanded by a first-class general, Alexander Leslie, who had fought in the Swedish army of Gustavus Adolphus. Charles had no adequate force against them. The Northern train-bands, led by the Covenanters, had no enthusiasm for their task, were ragged and ill-equipped, and had nothing to recommend them except their

## *Charles Governs without Parliament*

traditional dislike of the Scots. Both sides were ready to discuss terms, and the Treaty of Berwick, in June, 1639, closed the bloodless campaign known as the First Bishops' War. But the Scots soon showed that they did not intend to compromise. They insisted that Charles should abolish episcopacy in Scotland, and surrender his control over parliament and Kirk. Since Charles would not give in, he must fight.

Strafford, who had returned to England, advised Charles to summon parliament and ask for funds to equip an adequate army. He was disappointed if he thought that he could persuade parliament to agree. The resistance of the Scots had dispersed the apathy in which the English had submitted to Charles's personal government, and it had revealed how weak the government was. The leaders of the Commons had kept in touch with one another since 1629,<sup>1</sup> and they came to the Short Parliament in an unyielding temper. Ignoring Charles's request for money for the defence of his kingdom, Pym made a long speech about the violation of liberties by the recent abuses of the prerogative. This speech was decisive: it turned the attention of the members from the menace of the Scots to the more congenial topic of their grievances, which had multiplied during the years in which parliament had not met. They ended by resolving that 'till the liberties of the House and the Kingdom were cleared, they knew not whether they had anything to give or no', and by petitioning that a treaty be made with the Scots, so that their grievances could be fully discussed. They rejected the King's offer to abandon ship-money in return for twelve subsidies, and he dissolved the parliament after a session of only three weeks (May, 1640). The Short Parliament showed Pym that he had a united House behind him.

The country showed itself to be as unresponsive to the national emergency as its representatives at Westminster. Pressed for

<sup>1</sup> In 1630 a company was formed for 'The plantation of Providence, Henrietta, and the Adjacent Islands'. Pym was secretary, and Essex, Warwick, Saye, Brooke, Mandeville and St John were directors. As Dr Johnson asked, 'Why is it that we hear the loudest yelps for liberty from the owners of slaves in America?'

## *The Second Bishops' War*

funds to meet the Scots, Charles levied a forced loan and ship-money, but the yield was beggarly. Only the clergy responded to his need, Convocation voting him six subsidies. They also attempted to answer the Covenant by issuing canons proclaiming the duty of non-resistance: 'For subjects to bear arms against their King . . . upon any pretence whatsoever, is at least to resist the powers which are ordained of God.' The *etcetera* oath bound all clergy to the defence of the existing establishment. The uncompromising royalism of the leaders of the Church compelled the enemies of the Bishops to be enemies of the Crown.

Short of money—even the supplies voted by the parliament of Ireland disappointed Strafford's expectations—Charles resumed his hopeless struggle against the Scots. France, Spain and the Pope were deaf to his appeal for loans, and a reluctant and mutinous army made its way north. Seven English peers made common cause with the Scots, and invited them into England. In August the Covenanters brushed aside an English force at Newburn, on the Tyne, and poured into the northern counties, where they demanded £850 a day as the price of leaving unmolested the property of the inhabitants and the coal-trade of Newcastle. This policy was shrewdly calculated. To pay them the money they demanded, Charles would be forced to go humbly to parliament and first redress the grievances which it urged. Whereas, if the Scots had attempted to live on the countryside, the northern counties would have risen against the invader and rescued Charles from his difficulties.

Charles sought in vain to find a way out of the trap. A Great Council of peers, summoned to York, appointed sixteen of their number to confer with the Scots, and pledged their personal security for a loan; but the only advice they could offer was that the King should again carry his difficulties to parliament. At Ripon, in October, he promised to pay the Scots what they demanded.

## CHAPTER XV

### THE FORMATION OF PARTIES

The Long Parliament's attack on the prerogative    The dispute  
about religion    Irish rebellion, the Grand Remonstrance  
The final division into parties.

#### *1. The attack on the prerogative*

Like the Reformation Parliament of 1529, the Long Parliament met for the first time on the 3rd of November. It was preceded by the first electioneering campaign in English history. The Scots from the North issued discreet propaganda, assuring the English people that 'we must now stand or fall together. . . . We are brethren'; and Pym, hoisting his unathletic body on to a horse, rode through the country districts exhibiting to the impressed electors Mr. Hampden, the martyr of ship-money. These efforts 'to promote the elections of the puritanical brethren' succeeded in their intent. Only Wales, Somerset and the barren North returned the candidates favoured by the government; the cloth towns of Yorkshire and the West, the mining districts in the South, the busy, populous counties of East Anglia, decided emphatically against the King. Even in the royal Duchy of Cornwall all but one of the court candidates were defeated.

Unlike its predecessors, the Long Parliament could counter the King's weapon of dissolution; for he depended on parliamentary supply to be able to keep his pledge to the Scots. At last, since it suited them, the Commons voted money for the King's policy; calling it 'a brotherly assistance', they granted the £25,000 a month which had been demanded. But the

### *Strafford arrested*

Scots remained in the North, like a gun levelled at Charles's head 'There could be 'no fear yet of raising the parliament', as Baillie said, 'so long as the lads about Newcastle sit still' A dissolution would set them in motion, and plunge Charles again into a war which he had no means of fighting For eight months, until the summer of 1641, the Long Parliament passed almost unanimously a series of measures which destroyed for ever conciliar government in England

Pym's first concern was to clear from his path those servants of the Crown who would thwart his policy Finch and Secretary Windebank fled abroad, Laud was put in the Tower; while Burton, Bastwick, Prynne, Leighton and Lilburne were released, to assist in the hue and cry after their oppressors Then Pym launched his attack on Strafford, the one man strong enough to nerve the King to carry the principles of Thorough to their end and strike down the enemies of the Crown His impeachment broke down, for the charge of treason could not be upheld St John remarked that 'it was never accounted either cruelty or foul play to knock foxes and wolves on the head as they can be found, because they be beasts of prey'; and Pym argued that Strafford's several misdemeanours amounted to cumulative treason. But the Lords would not admit the charge that after the dissolution of the Short Parliament Strafford had advised the King to use the Irish army to coerce the English The impeachment was dropped, but Pym and his plans were not safe so long as Strafford was alive. 'Stone dead hath no fellow', said Essex. So Pym brought a Bill of Attainder before the Houses, for which it was not necessary to prove treason. The Lords passed it in an atmosphere of hatred and fear, their sense of justice distorted by the revelation of a plot to bring the royalist army from the North to free Strafford and disperse the parliament, by the mob which clamoured round the Houses; and by rumours, ingeniously circulated by Pym, of 'great multitudes of Papists gathering together in Lancashire; then of secret meetings in caves and under ground in Surrey, letters from beyond sea of great provisions of arms making

## *The Formation of Parties*

there for the Catholics of England'. At the height of the crisis a member affected to smell gunpowder under the floor of the House, and a rumour went round that the French had seized the Channel Islands. When the Bill was brought to Charles for his assent, the mob circled round Whitehall and shouted for the blood of the Popish Queen. The Constable of the Tower said that, if Charles rejected the Bill, he would butcher Strafford on his own responsibility. With a great and generous gesture Strafford rescued Charles from his dilemma 'So now, to set your majesty's conscience at liberty,' he wrote from prison, 'I do most humbly beseech your majesty, for the prevention of evils which may happen by your refusal, to pass this bill . . . To a willing man there is no injury done and . . . by God's grace, I forgive all the world with calmness and meekness of infinite contentment to my dislodging soul'. On May 12th he went to his death, walking like a conqueror at the head of a victorious army. 'Righteous Judgment—that shall be hereafter'

Having removed the one man he needed to fear, Pym pressed on his work of destruction. In the name of liberty he attacked the instruments of centralised government. Speed was necessary, before anxiety for the future should open rifts among his supporters, and before the country wearied of paying bribes to the Scots. Already a Triennial Act had provided that not more than three years should pass without the summoning of a parliament; and in May Charles gave his assent to a bill which said that the Long Parliament should not be dissolved without its own consent. In June the Tunnage and Poundage Act declared that recent levies were illegal, and forbade future levies without consent. In July the prerogative courts were swept away. Star Chamber was abolished for its intolerable interference with 'rights and estates', and with it the Council of the North, the Council of the Marches, the Court of the Duchy of Lancaster, and the Court of Exchequer of the County Palatine of Chester. The destruction of the Court of High Commission brought to an end the prerogative's authority over



## *Overthrow of conciliar government*

the Church, and defeated Laud's effort to revive the ecclesiastical jurisdiction of the Middle Ages. Finally, in August, the Commons put a stop to the devices by which the King had maintained himself during their absence. Ship-money was declared to be illegal, the boundaries of the royal forests were fixed at the limits of 1623, and distraint of knighthood was forbidden. The death of Strafford had for the time sapped Charles's resistance; listlessly accepting all the measures which parliament put before him, he signed away his power. In August the Scots army withdrew.

This was the permanent work of the Long Parliament. The storms of the next twenty years blew in many directions, but they left this work always intact. The Restoration restored the office of King, but stripped it of its ancient powers. When James II attempted to recover those powers, the oligarchy combined against him, as it had combined against his father, and re-enacted the settlement of 1641, strengthening it with such safeguards as the intervening years had shown to be necessary. Because Louis XIV threatened to overthrow it with foreign arms, Marlborough humbled him on the battlefields of Europe. Because the only alternative was a Catholic Stuart, in 1714 the oligarchs offered the throne to a squalid German, because he promised to respect their interpretation of the constitution. In 1641 the exceptional powers of the Tudor monarchy were abolished, the courts of common law won their long struggle against rival jurisdictions, and ultimate authority in the state was transferred from the Crown to parliament. In the troubled years that followed, it was to this fruitful settlement that all good constitutionalists, royalists and parliamentarians alike, looked back with the yearning of men from whom the prize of victory had been suddenly snatched.

## *2 Disputes about religion*

In the summer of 1641 war was still a year away. Indeed, during the early months of the Long Parliament, war did not

### *The Formation of Parties*

seem to be in prospect, for there was no issue on which men were sufficiently divided to fight. In 1641 Charles was in no personal danger. The charge against him had always been that he had allowed himself to be misled by 'evil counsellors'. Now that these were gone, he could have saved his throne by showing that he was willing to abide by the religious and constitutional settlement drawn up by parliament, and by obliging the Queen to dismiss her Jesuit advisers and put a more discreet mask over her participation in rites that were repugnant to most Englishmen. This he would not do. While he was still stunned by Strafford's fall, he had parted from constitutional rights which he would revoke if the tide turned in his favour, but he would not surrender his authority over the Church. Ecclesiastical supremacy belonged to the Crown alone, and not to the Crown-in-parliament. While a unanimous parliament had stripped him of his political powers, he had stood irresolutely aside; but when a divided parliament drew up tentative schemes for a new settlement in religion, he denied its competence to tamper with the organisation of the Church, and attached to himself a party ready to defend his historic rights. In a declaration to the Lords in October, 1641, he said: 'I am constant to the discipline and doctrine of the Church of England established by Queen Elizabeth and my father, and I resolve, by the grace of God, to die in the maintenance of it.' This was the birth of the royalist cause.

On religious questions, on which Charles had irrevocably made up his mind, his opponents were deeply divided. Mostly they were united in disliking toleration, in their faith in a salutary enforcement of the penal laws, and in the common opinion that under Laud's régime the policy of the Church had been intolerably inquisitorial and disfigured by the taint of Popery. They were agreed, too, that supreme authority over the Church should be brought within the control of parliament. Beyond this, however, they could not agree, and conflicting suggestions for the future organisation of the Church were put forward in pamphlets, sermons, petitions and parlia-

### *Opinions on ecclesiastical reform*

mentary debates. Further, there were two minority opinions, both influential in years to come, but in 1641 rejected as unpalatable novelties. The Presbyterian scheme to establish in England a full Genevan orthodoxy, with clerical synods and councils, was supported by the Scots, and was eloquently defended in Milton's pamphlet, *Of Reformation in England*, which was written in the summer of 1641. But Presbyterianism was disliked in England, because its political tendencies were democratic, and because a clerical discipline was unwelcome. 'Instead of every Bishop we put down in a diocese,' protested Digby, 'we shall set up a Pope in every parish' When in 1643 the parliamentary leaders adopted Presbyterianism, their motive was military necessity, for the second time they had to turn to the Scots for help. The second minority movement, the demand of the Independents for a congregational system, and freedom of worship and conscience for everyone, was universally rejected as blasphemous and anarchic. The Independents, too, found fighting congenial to the spread of their beliefs. They were to win the war for parliament, and their military strength gained them their objects.

The principal source of religious dispute was the fate of the Bishops. Pym, who was the accepted leader of the Long Parliament, at first insisted only that the Church be preserved in an unsullied Protestantism by being placed under the control of laymen responsible to parliament. But when he came to consider details, he accepted the view that episcopacy was dangerous to true religion and incompatible with parliamentary control, and must therefore be abolished. From discussions on this question there emerged a powerful body of opinion which was in favour of a Puritan state Church, as Erastian as Laud's, and combatively intolerant of the objections of Anglicans, sectaries and Catholics.

'They who hated Bishops,' said Falkland, 'hated them worse than the Devil'; whereas 'they who loved them did not love them as well as their dinner'. No one could be found to defend the high pretensions of Arminianism which had cul-

## *The Formation of Parties*

minated in the canons of 1640. But men of conservative temper were provoked to resist Pym's new Erastianism, not because they loved the Bishops, but because they opposed any change in the traditional organisation of the Church. The revision of the Prayer Book distressed them, and they argued with Digby that in 'clipping of these wings of the Pielates by which they have mounted to such insolencies', parliament had done all that was necessary for the healthy reformation of the Church. They pleaded, therefore, that the traditional services of the Church should not be tampered with, and that the 'primitive' office of episcopacy should be retained, now that the indiscretions of recent Bishops had been suitably chastised. The policy of the radicals in the House of Commons drove the moderates to form a party for the defence of the Church of England. Throughout 1641 the extremists ordered the removal of images from the churches, the observation of the Sabbath and the suppression of ceremonial, and they did not hesitate to punish clergy who disobeyed them. Since Laud's restraint was gone, religious self-expression was uncurbed, and each man was free to interpret God's word in his own manner. Tradesmen addicted to religion pointed for their neighbours the paths they should follow, women preachers invaded the pulpits, disbanded soldiers looted the churches, Adamites demonstrated the primitive innocence of man by going naked into the cities. To men disquieted by these extravagances Charles offered a cause which they could follow: a faith in reasonableness and order and the accepted ways of religion.

This divergence in religion broke the unity of the Long Parliament. In February, 1641, the Commons had started to debate a bill founded on the petition of 15,000 Londoners that episcopal government, 'with all its dependencies, roots and branches', be abolished. The bill proposed to make changes in the Prayer Book, and to place ecclesiastical jurisdiction in the hands of nine laymen nominated by parliament, who would undertake a 'godly thorough reformation' of the Church. The bill was resisted, and since the House still had more congenial

### *The Commons disunited*

work to perform, it was dropped. In July the Lords debated a scheme to fetter the authority of the Bishops by appointing for each of them twelve overseers, to be nominated by the King and both Houses. The failure of each of these measures to pass the House which introduced it showed that the enemies of the prerogative could destroy better than they could build. Most of them would have echoed the lament of Oliver Cromwell to the House of Commons: 'I can tell you, sirs, what I would not have, though I cannot, what I would'<sup>1</sup>

Religion, however, was not the only cause of the war. The conservatives were again attracted to the King's side when the dominant party in the Commons, moving away from the 'fundamentals' of the constitution to which they had appealed in their attack on Charles's personal government, proposed to assume control of the executive power. This was not the purpose for which Coke and Eliot had fought the King, and probably it had not been Pym's purpose when the Long Parliament met. But he was obliged to safeguard what he had won, and if Charles were ever strong enough to recover control of the government, he would undo the work of the Long Parliament, and the blood of its leaders would expiate Strafford's death. Pym's work and his personal safety were endangered by the discontent of the army assembled to fight the Scots in the previous year, by the expressed loyalty of the Covenanters to the Crown, and by the remoter fear of the Catholic army in Ireland. Two facts favoured his purpose: between August and November Charles was in Edinburgh, on a fruitless errand to persuade the Presbyterian Scots to fight for the English Bishops; and the Irish rebellion in October forced parliament to forge its own executive weapons to meet the emergency. Charles's absence, like Louis XVI's ride to Varennes, threw doubt upon his willingness to co-operate in the revised scheme of government, and he was suspected of intriguing with Leslie to overthrow it. While he was away, parliament set up a Council of Defence and governed the country by ordinance.

<sup>1</sup> The remark is a fair comment on Cromwell's career as a whole

## *The Formation of Parties*

The rebellion in Ulster hastened the drift to war. Here at last was the Queen's plot to extirpate the Protestant religion in the three kingdoms. Stone dead had found a fellow. The usual exaggerations nourished the panic. the rebels massacred not more than five thousand Protestants, but the lowest contemporary estimate numbered the victims at 37,000. There was no army to crush the revolt; and if Charles, who was suspected of having incited the rebels, were granted money to raise one, he might turn it against his enemies at home. Parliament therefore demanded that the army be enlisted and led by men in whom it had confidence, and thus claimed to seize executive power from the King.

The demand converted an episcopalian party into a royalist party. For the defenders of the Church would not concede to their radical opponents control of the executive and an armed force, and they were driven to protect what remained of the King's prerogative. Charles returned from Scotland to find himself at the head of a party, and again pledged himself to defend his religion 'if need be, to the hazard of my life and all that is dear to me.'

Meanwhile, the Grand Remonstrance had emphasised the divisions in parliament. No longer sure of his ground, Pym drew up, in the form of an address to the King, an appeal to the country against the conservative reaction which was imperilling his work and his safety. In reciting once more the misdeeds of the government, and in praising the remedies introduced by the Long Parliament, the Remonstrance recalled to the members their old unity; but the articles which recommended reforms in the Church, and the appointment of ministers 'such as the Parliament may have cause to confide in', defined the issues which divided them. A synod of English and foreign divines was to confer on the 'intended reformation' of the Church. But, in removing the Bishops, the Commons stated that it was 'far from our purpose or desire to let loose the golden reins of discipline and government in the Church, to leave private persons or particular congregations to take up what form of

## *The Grand Remonstrance*

Divine Service they please'. Laud had been removed to make way for a bondage harsher than Laud's. The moderates preferred to risk another 'despotism' under the King, rather than to abandon the constitution of Church and state to parliament and parliament's army. The Grand Remonstrance was debated amid hot tempers and drawn swords. When the members at length divided, 159 voted in support of it, and 148 against it (November, 1641). Had it been rejected, Cromwell would have sailed for America the next day. 'So near', sighed Clarendon, 'was the poor kingdom to its deliverance'.

Charles's answer to the Grand Remonstrance was to take his stand on the known laws of the kingdom and 'the undoubted right of the Crown'. His attitude had won him the support of most of the Lords and a strong party in the Commons. His backing was powerful enough to enable him to resist any radical measures which the extremists might seek to force upon him, and a few months of discretion might have patched together a settlement acceptable to well-intentioned men on both sides. Unfortunately, Charles allowed himself to be jostled out of his caution. Since the Lords refused to deprive the Bishops of their seats, Pym ordered the London mob to intimidate them into keeping to their houses, and when Charles protested, he answered that he could not dishearten the people by forbidding them to obtain 'their just desires in such a way'. The Queen's repeated intrigues with Roman Catholics abroad, which Charles would have been wise to restrain, gave Pym the opportunity to offend him again. He proposed to impeach the Queen. The plan was impertinent and fantastic, and the Lords would have rejected the impeachment. But Charles lost his head. He ordered the Commons to impeach the five members responsible for the insult; and when they refused, he went to the House to arrest them. Warned of his coming by Pym's mistress, who was a personal attendant of the Queen, the members had fled by the river.

Charles had forfeited the plea of legality on which he had grounded his actions, and the Commons were able to claim

## *The Formation of Parties*

self-defence to justify their own unconstitutional proceedings in the future.

A few days later, on January 10th, 1642, Charles abandoned his capital to his enemies. He moved to Windsor, and presently to York, where a steady stream of supporters came to join him. He left his interests in the prudent hands of Edward Hyde, who in a succession of manifestoes defended the King's policy, and appealed to the sense and justice of the nation against the high-handed and revolutionary demands of the parliamentary party. While parliament further offended conservative opinion by its irregular assumption of executive power, and its abrupt treatment of those who defied it, Charles at York behaved with calculated moderation. After he had rejected parliament's Militia Bill, in March, measures were no longer sent to him for his assent. Parliament's wishes were incorporated in Ordinances of both Houses, which had the force of statutes. Finally, in June, parliament drew up the Nineteen Propositions, which demanded that all issues in dispute should be settled in favour of parliament. It was, in fact, a bid for parliamentary sovereignty. For the Commons were to reform the Church, command the army, appoint councillors and officials, direct foreign policy, and supervise the education and marriage of the King's children. Declining to be a 'mere phantom of a King', Charles rejected a settlement which demanded more of the Crown than was taken in 1689. The Propositions were a virtual declaration of war. Their extravagance injured parliament's cause; for many who had been still hopeful of arriving at a working agreement between the two parties now realised that parliament's terms were not such as the King could accept, and joined him in the defence of his rights.

On June 12th Charles issued Commissions of Array, to summon the county militias. A month later, parliament voted that an army be raised 'for the safety of the King's person, the defence of both Houses of Parliament . . . and the preserving of the true religion, the laws, liberty, and peace of the kingdom'. The members resolved to 'live and die with the



### *The outbreak of war*

Earl of Essex'. Essex was a dull-witted man, and a poor soldier, but a titled general sanctified the cause of rebellion. In a manifesto parliament accused the King of misgovernment, and claimed to abide by the law which distinguished the nobility and gentry from 'the meaner sort of people, with whom otherwise they would but be fellow-servants'.

The royalists derided the Looking-glass army which parliament proposed to raise

'Tis to preserve his Majesty,  
That we against him fight

On August 22nd the King raised his standard at Nottingham, calling on his people to protect the constitution and the Church  
*Nolumus leges Angliae mutari*

### 3 *The two parties*

The English civil war was not fought in the cause of freedom. Indeed, although the King was at war with an influential section of his people, it is scarcely accurate to speak of it as a rebellion against his power or his dignity. The position of the Crown had been defined in 1641, and none but extremists proposed to alter it; and, so far as freedom was concerned, the lot of the ordinary Englishman was conspicuously more wretched after the war than before it. On the parliamentary side only a handful of zealots, sternly crushed by their leaders, doubted that it was necessary to crush all expressions of democratic or socialist opinion. War broke out because a powerful and coherent ruling class, previously united in pursuing a definite object, had found its unity collapsing under the strain of religious differences which would not heal, and of political differences which naturally followed upon them. Few men welcomed the war,<sup>1</sup> for war seemed to offer no lasting solution of the issues at stake. During the next few years several efforts were made

<sup>1</sup> e.g. the Roundhead Waller wrote to his enemy Hopton on the eve of battle 'God . . . knows with what a sad sense I go upon this service, and with what a perfect hatred I detest this war without an enemy'

## *The Formation of Parties*

to arrive at a settlement, but they broke down because parliament put its conditions too high, and because Charles would accept from rebels no terms but their subjection. The struggle dragged on, therefore, until the King was completely beaten in the field, and by that time the original quarrel was almost forgotten in the new disputes which broke out among the conquerors.

Except that the Puritans of East Anglia took up arms for their religion, the private soldiers were not urged into the war by the power of abstract ideas. More forceful impulses were impressment, to which both sides resorted, and the ancient ties of feudalism. Since the war began as an aristocrats' war, it had much of the flavour of the baronial troubles of the Middle Ages, such as the Wars of the Roses or the disturbances under King Stephen. The great families of Sidney, Percy, Russell and Montagu, which fought against the King, were 'overmighty subjects', as their ancestors had been before them. Like party politics in the eighteenth century, the civil war was to a large extent a game of feudal 'follow my leader'. The men of Lancashire, for instance, fought on the royalist side, not because they appreciated the constitutional differences between Pym and Edward Hyde, or the religious scruples which divided Cromwell from Digby, but because the Stanleys were for the Crown. Similarly, the Borderers followed the Duke of Newcastle, and they dispersed when he went abroad after Marston Moor, Lincolnshire divided its allegiance between the Earl of Lindsey and Lord Willoughby of Parham, Warwickshire between the Earl of Northampton and Lord Brooke, Cornwall rallied to the Earl of Hertford, Essex to the Earl of Warwick. Thus there was much fighting that had little connection with the body of the war, as old enemies paid off old scores, and magnates assembled their tenants for a mediæval affray with a long-hated neighbour. Hence, too, the difficulty experienced by both sides in persuading their levies, who were accustomed to the local character of feudal warfare, to fight outside their own districts.

### *The war disliked*

Except when religion or feudal rivalry sharpened their temper, the people of England took little part in the war, and many were at pains to avoid it. Local pacts of neutrality were made between Cornwall and Devon, and also in Yorkshire and Cheshire; the Clubmen in the West united to protect themselves from both sides. For the farmers and tradesmen soon learned to dislike a struggle which wasted their crops and estates and hampered their business, and if they were inclined towards the end of the war to support the Roundheads, it was because the New Model army refrained from plunder and seemed likelier to be able to end the fighting and restore peace. During the four years of the war there were not more than 140,000 under arms.

When these qualifications have been made, and it is understood that the dominant theme of the war was feudal and aristocratic, and that most Englishmen regarded it with indifference or dislike, it is still possible to make certain distinctions of geography and class between the two parties. Eighty peers and 175 members of the House of Commons joined Charles, and thirty peers and 300 of the Commons remained with Pym. The strength of parliament lay south of a line drawn from Hull to Portsmouth, in the districts which had been the strength of the Yorkists in the fifteenth century, and of the Reformation in the sixteenth - in London and the other big ports, the cloth towns of the West, the mining districts of the South, and in the Eastern counties which provided Mary's martyrs, the Ironsides and the sturdy backbone of New England. The big cities were for parliament, urged to rebellion, said Clarendon, by 'that factious humour which possessed most corporations, and the pride of their wealth'. The King's power lay in the North which despised the busy industry of the South; in uncommercial towns such as Oxford, Chester and York, in the Borders, the Midlands, and Cornwall, whose forty-four members were to be solid in support of Bolingbroke.

The ideal of allegiance to the Lord's anointed drew many to the King's side who would have preferred a peaceful settle-

## *The Formation of Parties*

ment, and had no love for the Bishops, 'for whom this quarrel subsists'. 'I beseech you,' said Verney, 'consider that majesty is sacred. I have eaten the King's bread, and served him near thirty years, and will not do so base a thing as to forsake him'.<sup>1</sup> After Edgehill, when the sword had been drawn against the King, traditional loyalty attracted many to his standard who were undecided on the merits of the quarrel. There came, too, the defenders of the Church. 'O Lord, in Thee have I trusted', prayed a Hampshire vicar, 'let me never be a Roundhead'. With them were landlords who feared the social chaos which a successful rebellion would unloose; the gentry who despised merchants and shopkeepers, with their religion of profit. For, like Hampden in 1637, Charles seemed to the landowners of England to be the defender of their property against unknown dangers. Their suspicion was well grounded. How grave those dangers were, and what shape they would assume, was unrealised in 1642 by the leaders of parliament, who welcomed as their allies men who would fight, not in the cause of parliamentary government, but to establish the Kingdom of God on earth, and others who would regard the defeat of the King as the defeat of all authority, and outrage their masters with a programme of social and political freedom.

<sup>1</sup> Many of the Cavaliers went to war in the spirit of Richard Lovelace. To the Puritan soldier Nehemiah Wharton, such men were 'goddam blades, hatched in hell'.

## CHAPTER XVI

### THE GREAT REBELLION

Causes of parliament's victory   Progress of the war   the  
Covenant, Self-denying Ordinance, New Model   Negotiations  
with the King   The second civil war   The execution of the  
King

#### *I. The reasons for parliament's victory*

If the struggle was to be a long one, all the concrete advantages lay with Pym. In the first place, he was better supplied with money. The large commercial cities, the cloth towns, the ports with their flow of revenue from the customs, were all on the side of parliament, three-quarters of the ship-money tax had been collected from the districts south of the Hull-Bristol line, where parliament's strength was concentrated. The royalist districts, on the other hand, were mainly agricultural. The landowners who supported the King made over their rents to his cause, but in time of war rents were less productive and harder to collect; they were less easily convertible into hard cash than the wealth of the merchants who supported parliament. Although the royalist magnates gave their silver plate to be melted into money, the King never had sufficient to equip his armies. Further, since parliament took over the machinery of government, and ruled by Ordinance, it was able to avail itself of all the regular sources of taxation; and Pym made these sources more productive by levying an excise, and by replacing the old system of subsidies by a monthly assessment, rated according to the fluctuating needs of parliament's military programme. Since the mass of the people was indifferent to the war, this

## *The Great Rebellion*

financial strength was a large factor in parliament's victory, the common soldier served the better paymaster. Parliament was able to maintain a navy costing £300,000 a year and an army costing a million, and in 1645 it organised and equipped a professional army which won the war within a year and was master of three kingdoms for fifteen

Secondly, London was solidly behind Pym. London was more than the capital of the country: it was the heart of the administration, the largest and wealthiest port, the hub of the nation's trade. Alone of the English cities, it had a policy and a character of its own, and often during these years of war and revolution it was to act with the independence of a Greek or Italian city-state. Its train-band, the largest and best equipped in the country, twice played a decisive part in the fighting. 'King Pym' in London was more nearly King of England than the royal fugitive in Oxford; and for this reason the reconquest of London was the central object of Charles's military offensive.

Thirdly, the navy deserted the King. Since the days of Drake and the long, desperate struggle with Catholic Spain, the English seamen had been aggressively Protestant. Devon, which had launched a Catholic rising against Protector Somerset, was made Puritan in a single generation by the example of her great captains. The cry of 'No Popery' united the navy against the King who loved Arminians; and under the leadership of the Earl of Warwick, a man whom sailors could admire, it set the seal on the King's defeat. With a hostile fleet patrolling his coasts, Charles could get no reinforcements from Ireland or the continent, whereas parliament was able to relieve by sea the vital sieges of Hull and Plymouth. If the navy had been loyal, Charles would have won the war in 1643.

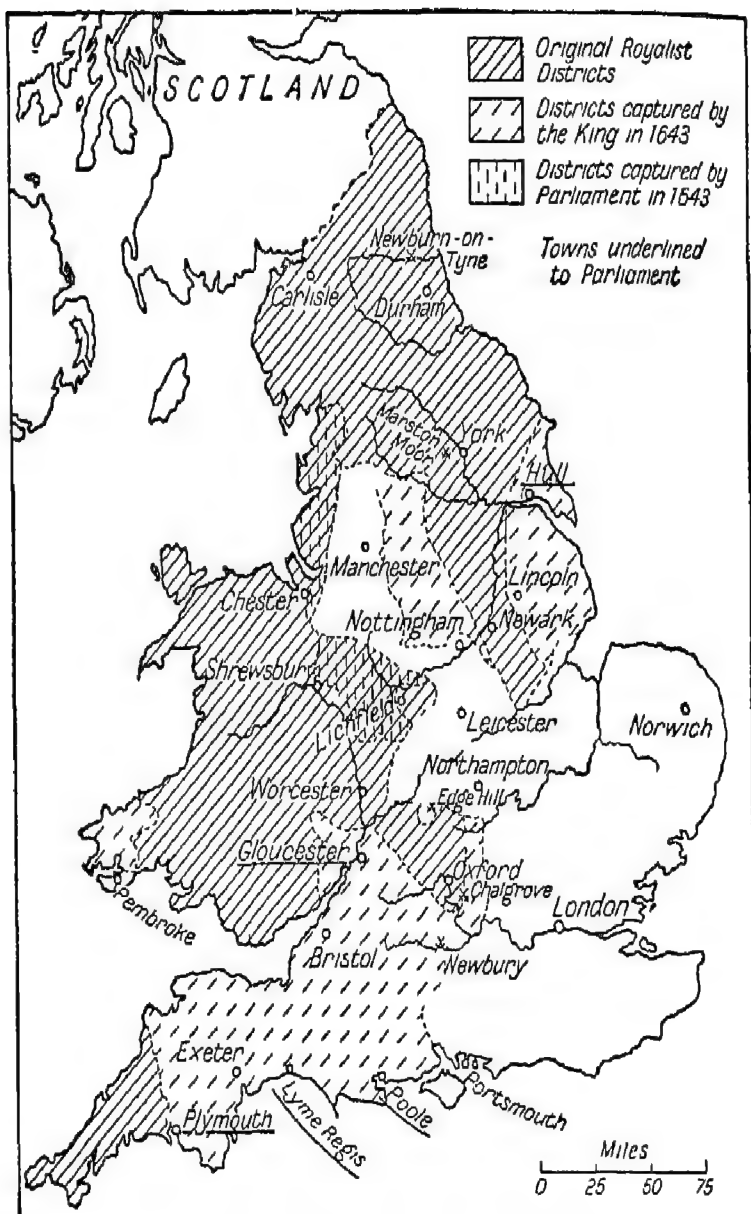
### *2. Progress of the war*

Since Charles had not the resources to fight a long war, he should have concentrated all his efforts on winning decisive

### *The royalist attack on London*

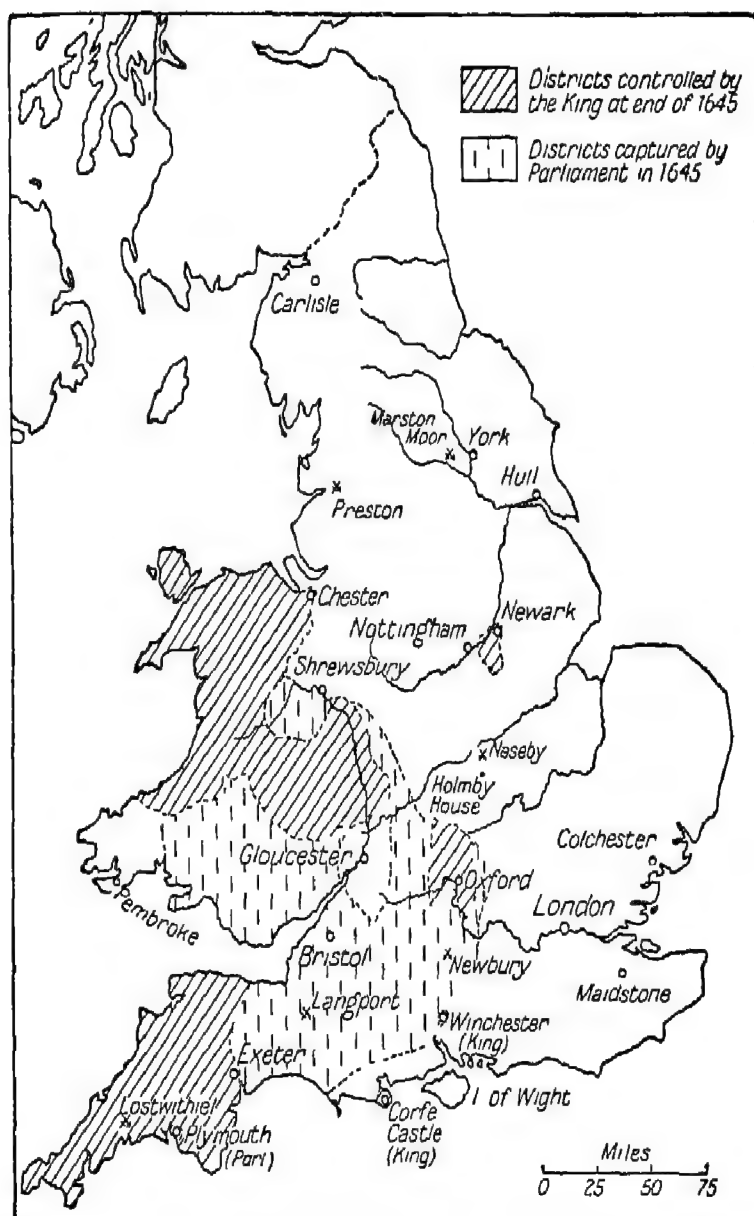
engagements in the early months. Led by his nephew, Prince Rupert, the royalist cavalry were irresistible in the first battles of the war. Unfortunately, however, Rupert had not the self-control to turn a temporary rout into a crushing victory; at Edgehill, where he met the main parliamentary army for the first time, he drove his enemies from the field, but threw away his advantage by an undisciplined pursuit in search of plunder. Essex was therefore able to reach London first, and prepare to defend it against attack. In the following month, November, 1642, Rupert attempted a frontal attack on London, only to find that Essex had double his numbers. The train-bands came out to Turnham Green to defend their city, and Rupert withdrew to Oxford. This check discouraged Charles, and he unwisely abandoned his offensive for the year; in the conventional fashion of mediaeval warfare, he scattered his strength by planting garrisons in all the royalist castles and manor-houses, and settled down to winter in Oxford. Nor was he wise to choose Oxford as his headquarters. It was out of touch with the districts from which royalism recruited its strength, and a fortified outpost in enemy country was not the place from which to execute the threefold attack on London which Charles had planned for the following year.

The plan itself was a good one, and it came near to success. Three royalist armies were to converge on London. Newcastle and his Borderers by way of Yorkshire and East Anglia; Sir Ralph Hopton from the West; and the King himself from Oxford. In the early months of the year all three armies gained a series of victories. Parliament showed its alarm by sending commissioners to Oxford to treat with the King on terms much more favourable to him than those submitted in the Nineteen Propositions of the previous June; but the negotiations broke down because Charles would not abandon his Church. Ultimately, the royalist offensive was wrecked by provincialism. On each of the three fronts the attack was paralysed because in its rear lay a parliamentary stronghold that had not been taken. The Cornishmen would not follow Hopton into Hampshire and



ENGLAND IN THE GREAT REBELLION—1





ENGLAND IN THE GREAT REBELLION—II

## *The Great Rebellion*

Kent while the Devon men in Plymouth might cut them off from their homes; Newcastle's Northerners were similarly frightened of Fairfax's garrison in Hull, and at the first check they drew back across the Humber, and the Welshmen in Charles's army would not advance so long as Gloucester lay untaken behind them. Precious weeks went by, and the royalist armies came no nearer to their objective. At length, in August, Charles laid siege to Gloucester. Gloucester and Plymouth were the only towns in the West of England which still resisted him; Plymouth could be victualled and reinforced by the navy, but if Gloucester fell, the main body of his army would be free to invade London. Parliament, therefore, tried a desperate stroke. The relief of Gloucester was preached to the Londoners as a crusade on which their own safety depended; the shops were closed by order, and Essex led the train-bands across England to raise the siege. As Essex approached, Charles abandoned Gloucester and placed himself in the path by which the Londoners must return. The two armies met at Newbury. Essex held his own in a day of inconclusive fighting, and Charles withdrew in the night; his ammunition had run short, and he was forced to permit the train-bands to return unmolested to their city. Charles had made his effort, and it had broken down. After Newbury he could not hope to win the war.

By the end of 1643 the King's resources were giving out, while the resistance of his enemies was growing more formidable. In the first place, they had gained a powerful ally. In September parliament subscribed to the Solemn League and Covenant, promising, when the war was over, to undertake a 'reformation of religion . . . according to the Word of God, and the example of the best reformed Churches'; for which promise, and for £30,000 a month in cash, the Scots would send an army to help the cause. The war was to be directed by a Committee of Both Kingdoms, the first executive body to be responsible to parliament; and an assembly of divines was to draw up a plan for the Presbyterian organisation to which parliament had now committed the country. The Scottish alliance was Pym's last

## *The Eastern Association*

service to his party. He died in December ; and the House of Commons, which had lost Hampden's calculating brain earlier in the year, was deprived at a critical hour of its ablest leaders. Pym was a self-seeking man, but his party had no one to match his skill as an organiser and a tactician ; he had an instinct for facts, and if he had lived, parliament would not in the years to come have blundered so grossly in its dealings with the army and the Independents.

The second cause of the growing strength of the rebels was the army which was being fashioned in the Eastern counties. Parliament had grouped the amateur levies of the counties into three Associations, and the army of the Eastern Association, commanded by the Earl of Manchester, was distinguished by a peculiar fervour and discipline which it derived from the training of a certain Colonel Cromwell. This Cromwell was an ordinary Puritan squire, of a minor branch of a family which had enriched itself by the Reformation. As member for Huntingdon in 1628, and for Cambridge in the Long Parliament, he had made no mark as a politician, but in war he was to find his destiny, and by it he would rise to an uneasy greatness. In the early engagements of the war, at Powick Bridge and Edgehill, he was dismayed by the poor quality and poor spirit of the parliamentary troops. They were 'most of them', he told Hampden, 'old decayed serving-men and tapsters and such kind of fellows', as Falkland contemptuously remarked, 'tailors or embroiderers or the like'. Such men could not win the war ; to beat the Cavaliers, they must have something of the Cavaliers' own spirit, directed by a concentration and singleness of purpose which 'the gentry' were believed to lack. So Colonel Cromwell went home to train the men of his native shires to be the sort of soldiers he saw in his fancy 'such men', he was to say in later years, 'as had the fear of God before them, and made some conscience of what they did.' The army which he collected was the most remarkable fighting force which England has known. He inspired the Eastern Association, from his 'plain russet-coated captains' down to the humblest trooper, with his

## *The Great Rebellion*

own vision of their high destiny. In a few months they were of different calibre from the undisciplined, half-hearted rabbles which followed the King and the other parliamentary leaders. They were regularly paid, and forbidden to plunder; the discipline was severe. 'No man swears but he pays his twelve pence; if he is in drink he is set in the stocks or worse' Above all, no religious tests were imposed on the men. In deference to the Scots, parliament decreed that all its soldiers must swear an oath of loyalty to the Covenant, but Cromwell, who knew that the first task was to win the war, would accept no conditions which hindered his recruiting. The passion for religious freedom which dignified his strange career was born of the need to get the best soldiers for his army. 'Sir,' he wrote to one of his commanders, 'the State, in choosing men to serve it, takes no notice of their opinions.'

In May, 1643, the Eastern Association won its first victory, in a skirmish at Grantham. Its determined resistance broke the wavering resolution of Newcastle's Borderers, and turned them back across the Humber. By the autumn Cromwell had recovered parliament's hold on Lincolnshire and defeated the royalist advance from the North; and his force was available to help Fairfax to conquer Yorkshire in the following year. Early in 1644 Leslie, now Earl of Leven, led an army of 21,000 Covenanters across the Border, and within a few weeks they joined Fairfax and the Eastern Association in besieging York, to which Newcastle had been compelled to withdraw. Coming with the main royalist army to raise the siege, Rupert was duped into offering battle. On July 2nd the biggest battle of the war was fought at Marston Moor, a few miles from York. After Rupert had swept Fairfax from the field, the men of the Eastern Association won their spurs as the early rout was slowly turned into a victory; 'God made them as stubble to our swords'. The royalists lost their hold on England north of the Trent, but the material consequences of the disaster were less serious than the weary defeatism which henceforward paralysed their cause. Newcastle went abroad, and his personal following abandoned

### *Parliament's difficulties*

the war, Rupert had lost all his artillery, and with it his zest for battle; his cavalry played no important part during the remainder of the war. Deserted by their leaders, the royalist rank-and-file lost heart and 'mouldered away by degrees'

After this crushing victory parliament should have finished off the war by the end of the year. But parliament too had its military problems. Recruiting by the County Committees failed to raise adequate armies, and the men would not serve outside their own districts; many soldiers drifted from one side to the other in search of pay and plunder; Essex and Waller had personal differences, and the commanders as a whole were incompetent and apathetic. Thus parliament failed to drive home its advantage. Essex wandered off into Cornwall, the heart of the royalist country. His army was cut off and he surrendered to Charles at Lostwithiel. His humiliation was less serious than it might have been, because Goring allowed his cavalry to escape, and because the royalists could not afford to keep the prisoners they had taken. Moreover, the Cornishmen again refused to follow the King to London. In October Essex, Waller and Manchester again confronted the King's army at Newbury; but although they had twice his numbers, they allowed him to withdraw in good order, and had not the strength to pursue him.

A serious breach was opening among the rebels. The parliamentary leaders were committed to Presbyterianism, accordingly they were planning to reorganise the parishes after the Presbyterian model, and to replace the Prayer Book by a Directory of Public Worship. But to the Independents compulsory Presbyterianism was as unpalatable as compulsory Anglicanism, and the Independents were the best soldiers in parliament's army. As Cromwell boasted, the victory at Marston Moor had been theirs: it 'had all the evidence of an absolute victory obtained by the Lord's blessing upon the godly<sup>1</sup> party principally'. Their value in the field obliged parliament to appease them;

<sup>1</sup> In the idiom of the time, the 'godly party' meant the Independent sectaries.

## *The Great Rebellion*

and they argued that, while there was a war to be won, it was madness to impair the military effectiveness of the rebel armies by imposing religious tests, and persecuting soldiers whose consciences were revolted by the Covenant. Further, the Independents were at odds with the leaders of parliament about the very purpose for which the war was being fought. Both sides had drifted into war as the only way out of a deadlock, but they were contending over matters which the sword could never settle. Such men as Essex and Manchester were weary of the struggle, and they wanted peace on the basis of the constitutional arrangements of 1641; they had adopted Presbyterianism, not by conviction, but because it was a cut-and-dried solution of the vexed problem of religion, and one which put the Church under the control of parliament<sup>1</sup>. Early in 1645, therefore, they reopened negotiations with the King at Uxbridge. The terms were severe; the change in the military situation made them harsher than those offered in 1643. Charles was required to transfer to parliament the control of the militia and the appointment of peers, ministers and judges; to take the Covenant and to accept a religious settlement in 'nearest conjunction and uniformity' with that of Scotland; and to consent to the punishment of the men who had offered their lives for his cause. Charles replied that he would always protect the Prayer Book from 'scorn and violence'. 'There are three things I will not part with—the Church, my crown and my friends.' In the face of this refusal the parliamentary leaders had to continue the war, but, as Essex said, it did not suit their purposes to 'beat the King too much'. 'If we beat the King ninety and nine times,' said Manchester, 'yet he is King still, and so will his posterity be after him.' The object of their strategy, therefore, was to avoid clashing with the King's main army, and gradually to wear down his resistance by capturing his garrisons and skirmishing with his reserves; until at length he should be obliged to make an honourable submission and be restored to his throne on terms acceptable to his captors. 'That war would

<sup>1</sup> Further, it would permit them to sell the lands of the Bishops.

### *The Self-Denying Ordinance*

never be ended by the sword,' said Manchester, 'but by accommodation'

But the soldiers and the politicians were beginning to fall out. The propaganda that parliament had put forth at the beginning of the war had deluded many into believing that the defeat of the King would be the dawn of a social and spiritual millennium. These enthusiasts—their contemporaries classed them all as Anabaptists<sup>1</sup>—were beginning to realise that parliament proposed to betray them; they hated the whole of parliament's programme, its Presbyterian intolerance and its negotiations with the tyrant Cromwell and the officers did not share the social aspirations of their men, but they agreed that the war should be fought to a finish. If Cromwell met the King on the field, he 'would as soon discharge my pistol upon him as at any other private person'. The officers' programme was eventually defined under two heads: they wished to group all the rebel forces into a single regular army under a unified command; and to get rid of the titled commanders who had been useful in the early days of the war to sanctify rebellion, but whose hesitations were now shielding the King from defeat. Cromwell appeared in parliament and taunted Manchester with his 'backwardness to fight' and his unwillingness to press 'to a full victory'. He dropped his charges against Manchester on condition that the parliamentary leaders laid down their commands. Early in 1645 the Self-denying Ordinance decreed that all who had been appointed to military posts by the present parliament should resign their ranks within forty days<sup>2</sup>. At the same time, plans were drawn up for the formation of the first English professional army. The nucleus of the New Model was the remnant of the three armies of Essex, Manchester and Waller. The numbers were brought up to 22,000 by impressment, each county supplying a fixed quota. The men were to

<sup>1</sup> The term Anabaptist was applied indiscriminately to all those drastic reformers whose schemes, whether religious, political or social, alarmed the respectable and propertied classes.

<sup>2</sup> They might, however, be reappointed.

## *The Great Rebellion*

be paid regularly, and in place of the various County Committees there was to be a single commander-in-chief, Sir Thomas Fairfax, with Cromwell as Lieutenant-General. The strength of the New Model was its mobility: it left behind the provincial stagnation which had clogged parliament's operations in the past. The men were professional soldiers, trained and properly equipped, and wherever the King's army lay, they could be led in search of it.

The war was soon over. In June, 1645, the New Model found the King's main army at Naseby and totally destroyed it. Charles lost his infantry, his munitions, his baggage and his tents, he lost too his private documents, of which his enemies made 'that barbarous use as was agreeable to their natures'<sup>1</sup> In this triumph Cromwell saw 'none other but the hand of God'. Within a year Fairfax and Cromwell annihilated the scattered troops and fortresses which still adhered to the King. Goring was defeated at Langport, Rupert surrendered Bristol and the towns of the West, Cromwell massacred a Catholic garrison at Basing, Charles himself lost his cavalry at Rowton Heath. The royalist cause finally perished when the magnificent effort of Montrose's Highlanders came to an end at Philiphaugh. In June, 1646, Fairfax in his camp on Headington Hill received the surrender of Oxford.

### *3. Rogues fall out*

After the last skirmish of the war, at Stow-on-the-Wold, Sir Jacob Astley sat on a drum on the field of battle and said to his conquerors. 'You have now done your work and may go play, unless you will fall out amongst yourselves' It was a strange age. The English constitution was to be diverted from the steady stream of its development, and forced for a time into unknown courses. For in the months after the first civil war parliament misused its victory: if it had dealt faithfully with its soldiers, and appeased the Cavaliers by tolerating the Prayer

<sup>1</sup> Clarendon



### *Position of Charles after the war*

Book and pardoning those who had fought for the King, it would have laid on Charles the onus of refusing a peaceful settlement. But, since it declined to satisfy either, it failed to establish its right to be obeyed, and authority passed to him who held the sword. The government of England shifted from the bedrock of custom and convention, and the traditional ways of thought disappeared in a welter of strange experiments, as reformers and innovators, Levellers, Saints and republicans, urged their conflicting counsels of perfection. The lovers of tradition had to bide their time, until at length, as Clarendon hoped, when the enthusiasts had 'reformed their consciences by drinking deep in each other's blood', England should recover 'its old good manners, its old good humour, and its old good nature'.

The King himself was an obstacle to the settlement. At the close of the war neither his person nor his office was in danger both the politicians and the army officers regarded the monarchy as the corner-stone of the constitution. He was, in fact, the focus of all who wanted peace and order. But even in the extremity of defeat Charles would not abandon his prerogatives and his Church. A less inflexible man would have accepted the position and ended his days in peace and dignity as a constitutional King. But it never occurred to Charles that a King could retire, like his enemies, he had his creed, and he would not forsake it. He saw too that his enemies were divided, and he hoped to turn to his own advantage the differences between parliament, army and Scots. The fact that all three parties in turn sought his co-operation stiffened his conviction that he was indispensable, and betrayed him into the unwisdom of thinking that he was indispensable on his own terms. Finally, his evasions exasperated all who had dealings with him, and in a moment fatal to the future of their cause the army leaders yielded to their troops and consented to his death.

Shortly before the war ended, Charles surrendered to the Scots at Newark, hoping to get better terms from them than from the rebels in England. The Scots were willing to restore him if he would accept the Covenant, but on this issue negotiations

## *The Great Rebellion*

broke down. The Queen would have had him accept : for the daughter of Henry IV England was worth a Covenant. But Charles would promise no more than temporary concessions, and early in 1647 the Scots handed him over to parliament. for £400,000 they withdrew their garrisons, abandoned their King and left the country.

Charles could expect little mercy from the victorious parliament. The Propositions submitted to him at Newcastle in 1646 were based on Pym's old plan of reducing the monarchy to a Dogeship : if he would forsake his prerogative, his Church and his friends, he could return to his throne. Charles's replies were obscure and evasive : ' How to make a handsome denying offer is all the difficulty ' It was a favourable moment for evasion, for parliament was estranging itself from its own allies. After a war it is usual for the defeated to suffer. and the Cavaliers duly forfeited some of their estates. But the parliamentary leaders decided that some of the victors should be punished too. Now that the war was won, they determined at the same time to establish Presbyterianism, and to avenge the Self-denying Ordinance, by exterminating the Independent sects. In the closing months of 1646 they brought forward measures to forbid laymen to preach or expound the scriptures, and to punish all who would not submit to the ' lame Erastian Presbytery ' which they had set up. It was madness to provoke the Independents, ' these are fine tricks to mock God with ', said Cromwell ominously. But it was madder still to proceed to associate the grievances of the Independents with the grievances of the army as a whole. In May, 1647, the army was ordered to disband : a force was to go to Ireland, and some were to remain on garrison duty at home, but the rest were to disperse. There was no longer work for the army to do, but the men would neither go to Ireland nor disband, until they had been promised an indemnity for the past and received their arrears of pay. The infantry were owed for eighteen weeks, the cavalry for forty-three, and parliament wanted them to be content with six weeks' arrears. The common soldier, wanting his pay and

## *The soldiers kidnap the King*

an assurance that his deeds would be forgiven, was thus identified with the sectary whose religion was imperilled. To increase the alarm of the Independents, Charles and parliament had reached an agreement. In his final answer to the Propositions of Newcastle he had compromised on the vital issues: he would suffer Presbyterianism for three years and abandon the militia to parliament for ten. On these terms he was to be restored.

The coalition between the royalists and the Presbyterians—it was to produce the second civil war, the Treaty of Newport, and ultimately the Restoration—forced the army into open revolt. For some weeks the officers had struggled to restrain the soldiers' anger at the perfidy of parliament. Cromwell, in particular, had striven to prevent an open breach: 'If that authority falls to nothing', he told his men in the church at Saffron Walden, 'nothing can follow but confusion'. A few weeks later, when the extremists were urging the officers to march on London, he reminded them that 'whatever we get by a treaty will be firm and durable, it will be conveyed to posterity; that which you have by force, I look on it as nothing'. But the schemes of parliament now drove Cromwell to one of those swift, impulsive, and utterly final acts by which he always cut the knot of uncertainty. It was rumoured that parliament was meditating a *coup d'état*: to coerce the Independents into submission with the aid of the Scots, the royalists and the militia of Presbyterian London. Cromwell's reply was decisive. On May 31st he sent Cornet Joyce to fetch the King from Holdenby House, in Northamptonshire, and bring him to the army camp at Newmarket. Parliament's plans centred round the King, without him, they fell to the ground.

It was now the turn of the officers to attempt to make a settlement with Charles. Fairfax had no heart in the quarrel between Independents and Presbyterians; he only wanted fair play for his men. Thus the dominant voices in the officers' council were Cromwell and his son-in-law, Henry Ireton. Cromwell's motives are always hard to fathom, for he tended to solve his problems emotionally. When he was asked what his aims were,

## *The Great Rebellion*

he darkly replied that 'no one rises so high as he who knows not whither he is going'. On one point, however, he was convinced - he had fought for liberty of conscience. In 1647 he was a monarchist and he revered parliament, but events proved that he would destroy either institution if it denied religious freedom. Ireton was a shrewder man. his mind was lucid and constructive, which Cromwell's was not. He, too, wanted to restore the old constitution, but he was determined to assert for the army an 'equiponderant authority' in securing the objects for which the war had been fought. 'Parliamentary privileges as well as Royal prerogative may be perverted or abused.' Thus the Heads of the Proposals, which Ireton submitted to the King early in August, placed checks on parliament as well as on the Crown. The powers of the prerogative were blunted, but parliament too was to be held within the bounds of a written constitution; the Bishops were to be restored, but with no powers of discipline; the Covenant was not to be enforced, and all but five of the royalists were to be pardoned. Although they were more radical than Clarendon's Restoration of 1660, Ireton's terms were the best ever offered to Charles, and, unlike parliament's various expedients, they were a framework for a permanent plan of government. But their very virtue killed them. Whatever their academic worth, they were too novel and too drastic; in the continuous development of the English constitution there are no fresh starts.

They failed, too, because in his search for an equitable settlement Ireton had not made his proposals sufficiently attractive for any one party. Thus they were repudiated by Charles, by parliament, and by the radicals in the army itself. Charles was certain that he was indispensable. 'You cannot be without me', he told Ireton: 'you will fall to ruin if I do not sustain you'. He dwelt in a fool's paradise, where he saw himself playing off the Heads of Proposals against the Newcastle Propositions, with the programme of the Scots to be brought forward if neither parliament nor army would be reasonable. Parliament, also, rejected Ireton's plan. The army marched to London, and

## *The Levellers*

turned certain Presbyterians out of the House. Ireton declared that he would 'purge, and purge, and purge, and never leave purging'; he would enlist Frenchmen and Spaniards 'to get the King's business settled'. Even Cromwell, who respected parliament, could see no hope of peace until the irreconcilable Presbyterians were 'put out by the ears'. But parliament would not yield. In September it turned down the Proposals, and resumed its own negotiations with the King.

Lastly, the Proposals brought to a head the swelling dissension between the army and its officers. The soldiers of the New Model had been taught to believe that they were fighting for the immortal cause of social perfection; their victories had fortified their sense of their high destiny; they were not men who would lightly be betrayed. In their opinion, Ireton's negotiations with the King contradicted the purposes of the war. Thus the rank-and-file of the army repudiated the programme of the officers. a programme, incidentally, by which the officers would secure all the prizes. For in the soldiers' millennium there was no place for a King or a House of Lords. 'It is very questionable', said John Wildman, 'whether there be a way left for mercy on that Person'; they declined to accept a peace which depended 'upon him that intended our bondage and brought a cruel war upon us'. Throughout the summer and autumn of 1647, in stormy debates at Reading and Putney, the officers wrestled with their turbulent followers, but there was no ground of accommodation. In October the ranks put forth their own programme. Their two pamphlets, *The Case of the Army Truly Stated*, and *The Agreement of the People*, laid down the fundamental rights which no authority should be allowed to usurp. They were largely the work of John Lilburne, an irrepressible Cockney journalist, who was the spokesman of the political extremists, usually known as Levellers. The burden of the Levellers' doctrine was the sovereignty of the people, 'originally and essentially' the source of all power. The present parliament, therefore, was to be dissolved, and a new one elected by universal manhood suffrage; all were to be

## *The Great Rebellion*

equal before the law ; and there must be complete liberty of conscience, since ' the ways of God's worship are not at all entrusted to us by any human power '. In their discussions with the officers, the Levellers fiercely defended their principles. Colonel Rainborough declared that certain rights, belonging to each individual by the laws of God and nature, were inalienable ' The poorest he that is in England hath a life to live as the greatest he ', and each man should have ' the choice of those who are to make the laws for him to live under '. For ' I do not find anything in the law of God, that a Lord shall choose twenty burgesses and a gentleman but two, and a poor man shall choose none ' Who, the Levellers asked, were the aristocracy ? ' What were the Lords of England but William the Conqueror's Colonels ? or the Barons but his Majors ? or the Knights but his Captains ? '

The phantoms of manhood suffrage and the career open to talent disquieted the officers Democracy, in Cromwell's thinking, was the creed of all poor men and all bad men Might not their creed, he implored them to consider, ' be but carnal imagination, and carnal reasonings ? ' The proposed breach with tradition appalled him : for ' would it not be confusion ? Would it not be utter confusion ? ' Ireton was equally distressed, and he was better able to account for his fears ' All the main thing that I speak for,' he said, ' is because I would have an eye to property.' He voiced the classical argument of Whig political theory no man should be entitled to vote unless he had a stake in the country The Englishman's birthright was ' air and place and ground and the freedom of the highways and other things ', but the rulers and the voters should be ' those persons in whom all land lies, and those incorporations in whom all trading lies ', those, in short, who had ' a permanent fixed interest in this Kingdom '. The debates dragged on beyond the hope of compromise At length Cromwell, who dreaded anarchy, could stand it no longer ; the agitators were ordered to return to their regiments, and henceforth the affairs of the army were directed solely by the officers ' a cabinet junta ', Lilburne

## *The Engagement*

called it, 'of seven or eight self-ended fellows'. But Cromwell's dealings with the King had blasted his credit in the army ; and the King's doom was sealed when the officers realised that, in order to unite the army again for the work it had to do, a left-wing programme must be adopted, which had as its foundation the execution of the tyrant

### *4 The second civil war*

On November 11th Charles escaped from the army at Hampton Court. Rumours had come to him that the soldiers were determined that he should die. William Goffe had called on the pure in heart to rise and extirpate that mystery of iniquity, the office of King ; Captain Bishop had murmured against 'a compliance to preserve that Man of Blood', and on the very day of Charles's flight Major Harrison insisted that his position should be discussed by the army council. Men said that Cromwell deliberately allowed Charles to escape. Whether or not this was so, his flight freed Cromwell and the officers from an embarrassing entanglement. Since he had not accepted their terms, they had no further use for him ; and Cromwell was now able to persuade himself that he had been dazzled by carnal glories, that the prospect of an Earldom had enticed him from the narrow path of duty, to which he had been recalled by the simple piety of the common soldiers.

Having escaped from the army, Charles now walked to his ruin. He reopened negotiations with parliament, but in the middle of them he came to terms with the Scots. The Scots commissioners visited him in the Isle of Wight and laid their proposals before him : on December 26th he signed the Engagement, in which he agreed to accept Presbyterianism for three years, and in return the Scots would compel the army to disband, and crush the Independent sects. Parliament at once broke off negotiations with the King, and before setting out to war, the army held at Windsor the most famous of its prayer-meetings. The soldiers were 'hardly able to speak a word to

## *The Great Rebellion*

each other for weeping'; but between their tears they managed to resolve that 'it was our duty, if ever the Lord brought us back again in peace, to call Charles Stuart, that man of blood, to an account for that blood he had shed'.

The second civil war lasted only a few months. The alliance between royalists and Presbyterians was uneasy, and the mass of the people stood unhappily aside and prepared to accept the arbitrament of war. There were royalist risings in Wales, Kent and Essex; Berwick and Carlisle fell; Lord Inchiquin raised the Irish; a fourth of the navy joined the King. If the Scots had come sooner, there would have been a chance of a royalist victory. As it was, Cromwell had time to subdue the Welsh, and Fairfax to break the back of the resistance in the East: only Pembroke and Colchester were stubborn. When it came, the Scots army was half-hearted and inexperienced, the Highlanders would not fight by the side of Presbyterians, and Leslie's veterans held back because Charles had refused to take the Covenant<sup>1</sup>. Cromwell met Hamilton in August, and in a long, straggling battle between Preston and Warrington he won the greatest of his victories. He was outnumbered by three to one, but he attacked the Scots in detail and completely routed them.

The King's cause was lost. The Presbyterians tried to save themselves and him by again seeking a settlement, but the Newport negotiations collapsed on the old obstacle of religion. Fairfax broke away from the extremists in the army and revived the Heads of Proposals; Charles refused these too. Only the army could make the settlement, and the army was demanding its reward. Its latest victory had been over the Covenant as well as the Church of England, and it was determined to arraign Anglican King and Presbyterian parliament as twin malefactors before the bar of triumphant Independency. Ireton, now disillusioned and ruthless, accepted the constitutional programme of the radicals, his *Remonstrance of the Army* demanded the soldiers' pay, the exclusion of all Presbyterians from the Long

<sup>1</sup> The Kirk banned the expedition.



## *Trial of the King*

Parliament, and the trial and death of the King Cromwell threw off his hesitations. God's constant witnesses against the King were conclusive; Preston was final proof of His favour. 'He must be a very atheist that does not acknowledge it.' His sense of God's approval, as revealed to him in countless 'Providences', nerved Cromwell to his drastic work. 'Let us look into Providences', he said; 'surely they mean somewhat. They hang so together: have been so constant, so clear, unclouded.' 'Since the Providence of God hath cast this upon us, I cannot but submit to Providence.'

Thus the Independents came to solve by passion and emotion the problem which they could not solve by peaceful settlement. To indulge their instinct for the dramatic, the King must be publicly tried in the name of the people, the thing should not be done in a corner. Manchester's Earldom had not saved him in 1644, Charles's crown should not save him now; for earthly authority was 'but dross and dung in comparison with Christ'.<sup>1</sup> 'I tell you,' said Cromwell, 'we will cut off his head with the crown upon it.' But first parliament must be brought to heel: in December Colonel Pride prevented the Presbyterian members from taking their seats. The remnant, a rump of between fifty and sixty members, set up a commission to try the King in the name of the people of England. The show was clumsily staged: the people in the galleries crying 'God save the King'; Charles, guarded by soldiers, protesting that the court had no authority to try him, President Bradshaw, in a shot-proof hat, protesting that it had, finally, Cromwell, in a mood of ghastly facetiousness, throwing cushions and inking men's faces as he collected signatures for the warrant of death; and the King led away by the soldiers, crying, 'I am not suffered for to speak. Expect what justice other people will have.' Such things are better done in corners.

On the scaffold, standing by the executioners in their vizors, wigs and false beards, Charles repeated the creed by which he

<sup>1</sup> Cromwell

## *The Great Rebellion*

had lived. He was being brought to his death, he said, in the cause of the liberty of the people of England 'I must tell you that this liberty and freedom consists in having government, those laws by which their lives and goods may be most their own. It is not their having a share in the government, that is nothing pertaining to them. A subject and a sovereign are clean different things . . . If I would have given way to have all changed by the power of the sword, I needed not to have come here' 'This is memorable, that at such time as the King's body was brought out of St George's hall the sky was serene and clear, but presently it began to snow, and fell so fast as, by the time they came to the west end of the royal chapel, the black pall was all white (the colour of innocency) being thick covered with snow. So went the white King to his grave, in the forty-eighth year of his age and the twenty-second year and tenth month of his reign'<sup>1</sup>

<sup>1</sup> Thomas Herbert, *Memoirs*.

## CHAPTER XVII

### THE INTERREGNUM

Rule of the Rump    The Barebones Parliament    Instrument  
of Government    Major-Generals    Humble Petition and  
Advice    Foreign policy

#### 1. *The rule of the Rump*

In the early months of 1649 the Rump of the Long Parliament passed laws to make England a republic 'The People are under God, the original of all just power', and therefore 'whatsoever is enacted or declared for law by the Commons in Parliament assembled, hath the force of law' It was resolved that the office of King 'is unnecessary, burdensome, and dangerous', and that the House of Lords was 'useless and dangerous, and ought to be abolished'. A Council of State was set up, to perform the work of the vanished Privy Council, a special court of justice executed the republic's enemies, a new treason law defined offences against the state, and made it treason to suggest that the authority of the Commonwealth was usurped or tyrannical, or to challenge the sovereignty of parliament, the press was muzzled by measures which recalled the severity of Star Chamber<sup>1</sup>; finally, all men over the age of eighteen were required to take an Engagement of Fidelity to the Commonwealth.

By these measures the military and sectarian minority of the nation,<sup>2</sup> masquerading as the chosen representatives of the people,

<sup>1</sup> The Long Parliament's censorship of the press had already, in 1644, called forth Milton's *Areopagitica*

<sup>2</sup> The average attendance in parliament was fifty-six, in the Council of State fifteen.

## *The Interregnum*

sought to entrench itself in power. First, however, it had to secure its safety abroad. The regicide government was everywhere detested: its ambassadors were murdered, its ships looted; there were royalist pirates in the neighbouring islands, foreign bankers refused loans, merchants would not trade, the Covenanters were stirring in Scotland, the Catholics in Ireland. There was no imminent danger of invasion, because the Commonwealth's enemies were too divided to act in concert, but the Rump could not embark on its programme of social reform until it had quelled unrest within the three kingdoms. In August, 1649, Cromwell, as commander of the Commonwealth's army, set out to crush the Irish<sup>1</sup>. 'It matters not who be our commander if God be so'; but he took a salary of £13,000, and set out in princely state, attended by coaches, trumpets and guards. Before he arrived, Ormonde, who commanded the only field-army large enough to have resisted him, was defeated at Rathmines, his task, therefore, was to capture the towns which were still defended by Catholic or royalist garrisons. Cromwell's doings in Ireland have soiled the honour of one who usually was humane. He has been excused on the ground that he imagined himself to be the avenger of the massacres of 1641, and that he sought, by making a few terrible examples, to avoid bloodshed later on, but his reports to the Speaker on the taking of Drogheda and Wexford speak with the voice of a man who gloried in the spilling of impious blood. At Drogheda he ordered a steeple to be burned in which eighty men had taken refuge, and he gruesomely recorded the cries of the victims. The governor, Sir Arthur Aston, was battered to death with his own wooden leg. 'I am persuaded that this is a righteous judgment of God upon these barbarous wretches'. At Wexford God's providence again caused the defenders 'to become the prey of the soldier'; he had intended to spare them, 'yet God would not have it so'. In May, 1650, Cromwell returned to England, leaving Ireton, Ludlow and Fleetwood to complete the conquest of the Irish.

<sup>1</sup> Before he left, a purged and chastened Oxford made him a Doctor of Civil Law.

## *Cromwell in Scotland*

Bands of fugitives were smoked out of caves and reduced to submission by the pillaging of the countryside 'These are seals of God's approbation of your great change of government' When the war was over, rebels and priests were compelled to forfeit their estates; two-thirds of the land of Ireland was transferred from the natives to Cromwell's soldiers

Cromwell was recalled to England to deal with the Scots Montrose had tried to raise the Highlands for the young Charles II, but he was defeated at Carbisdale, and betrayed by Macleod of Assynt Having lost Montrose, Charles prepared to try his fortune with the Kirk. Being more accommodating in these matters than his father, he took the Covenant, and regretted that the House of Stuart had for so long hindered the work of reformation. The Covenanters were still, and always had been, royalists; and having found at last a King who would accept their religious programme, they made ready to overthrow the unhallowed republic and restore him to the throne of his fathers In the summer of 1650 Cromwell marched against them, uneasy in his heart to be making war on those who lately had been his brethren<sup>1</sup> He sought anxiously to adjust the differences which divided the Kirk from the godly in England 'I beseech you in the bowels of Christ, think it possible you may be mistaken.' At Dunbar, where the priests made David Leslie abandon an unassailable position, God gave His witness against the Kirk The Kirk never recovered the prestige it lost by 'the dreadful appearance of God against us at Dunbar after so many public appeals to Him'.<sup>2</sup> 'Surely it's probable', said Cromwell, 'the Kirk has done their do.' But, for the fourth time in less than fifteen years, a Scottish army crossed the Border With the King at its head, it marched through Lancashire into the West of England, where in the narrow, winding streets of Worcester the Lord showed His 'crowning mercy' to the elect of God. Charles escaped, but his followers were sent in batches to Barbados and New England. In Scotland General Monk

<sup>1</sup> Fairfax refused to invade Scotland, and resigned his command.

<sup>2</sup> Alexander Jaffray

## *The Interregnum*

established a form of peace. The Kirk lost its powers of coercion, but the structure of Presbyterian worship was spared, and Scotland, like Ireland, was administered from London, and sent its representatives to the English parliament.

Meanwhile, the Rump had driven its enemies off the sea. Robert Blake, a Puritan soldier, was put in command of the English navy. He forced Prince Rupert's royalist fleet out of its refuge in Ireland, chased him round the Iberian Peninsula into the Mediterranean, and drove him, battered and depleted, into Toulon. Another expedition, under Sir George Ayscue, made the West Indian colonies repent of their royalism, and won their allegiance to the Commonwealth. The statesmen of the Rump then felt themselves strong enough to fight a commercial war against the Dutch.<sup>1</sup> In two years they had doubled the numbers of the English navy, which consisted in 1651 of eighty-two ships. The object of the war, as of the Navigation Act of the previous year, was to recover from the Dutch the carrying-trade which they had seized during the civil wars, and to enforce the right to search Dutch ships. Although it dragged on for three years, when Cromwell ended it, the war was unpopular in England. It was a war made by politicians, with the approval of the merchants of the City. The soldiers disliked it, because they were beginning to mistrust the politicians, and the Saints deplored a war between two Protestant nations; further, it required heavy taxation, which fell on the royalists, and so kept alive that party bitterness which all reasonable men were anxious to forget.

The Rump was bungling the business of government. The rule of a minority had only a minority to support it; and although many Englishmen, like the citizens of Hobbes's *Leviathan*, made their peace with the *de facto* government, the mass of royalists, Catholics, parliament men and Presbyterians, were implacably opposed to the regicide politicians. As well as these traditional enemies, the Rump had to fear the extremists in its own party. those whom Carlyle has called 'the submarine world of Calvin-

<sup>1</sup> For a further discussion of this war, see page 294 sq

## *The Rump's failure*

istic Sansculottism<sup>1</sup>, Levellers pleading the rights of the sovereign people, Diggers in quest of a rural communism, Fifth Monarchy Men seeking to establish the reign of Christ on the ruins of human government. Lilburne was unquenchable. He reissued the *Agreement of the People* and attacked the Rump in a pamphlet, *England's New Chams Discovered*. He inspired serious mutinies in the army<sup>1</sup> against the self-seeking of the officers, and when he was finally banished, he kept his cause alive by writing pamphlets abroad. From the Diggers, who established an agricultural community on St. George's Hill, in Surrey, emerged the doctrine that the welfare of the masses was the sole criterion of political action; to endure, the political revolution must be founded on a social revolution. Their community was broken up, their huts demolished, and their cattle taken from them; their leaders, Winstanley<sup>2</sup> and Everard, were sternly admonished by the Council of State.

But blank repression was an unstatesmanlike answer to those enthusiasts in the Puritan movement who had fought for Utopian ideals; 'Magna Carta itself', as Lilburne said, 'being but a beggarly thing, containing many marks of intolerable bondage'. The Rump inherited a difficult situation, but it took little pains to conciliate its enemies. Elizabeth had met a not dissimilar problem by framing a settlement as comprehensive as possible; but the Rump, instead of leading the nation towards agreement and peace, tended rather to aggravate existing dissensions. Certainly its members were neither so corrupt nor so inefficient as the army painted them when it turned them out: the work of the Council of State, and of the standing committees which it appointed, was, so far as it went, intelligent and disinterested. No more serious charge can fairly be brought against them than that their task was too big for them; and that as the first raptures of revolutionary enthusiasm died away, some of them began to find that office was sweet for its own sake. Their worst sins

<sup>1</sup> Crushed by Cromwell and Fairfax at Burford in the spring of 1649.

<sup>2</sup> Winstanley's *Law of Freedom* was the most remarkable document of an age rich in Utopian literature.

## *The Interregnum*

were of omission : they did little that was constructive. Thus, although they busied themselves with pulling down cathedrals, closing the theatres, enforcing Sabbath observance, and punishing all kinds of moral wrongdoing from swearing to incest, they shrank from devising any comprehensive plan of ecclesiastical government. Except for Catholics and Prelatists, there was liberty of conscience, but there was no system of worship or discipline to replace the Church or the Presbytery which the Independents had rejected. Similarly, the Rump was urged to reform the laws, but it did not progress beyond setting up a commission to meditate upon the matter.

Thus when the soldiers returned from the wars, strengthened in their certainty of God's favour, they found the government busier with safeguarding its own position than with planting the Kingdom of Heaven among men. They found the Rump fighting a costly war, and paying for it by selling Church lands and harassing the Cavaliers ; free speech denied by frightening penalties, the processes of the common law superseded by arbitrary tribunals as ruthless as the old courts of the Crown. Before long they began to murmur. They petitioned for reforms, and were ignored. At length they found that they had ' a strange distaste ' for the members of the Rump, for their ' pride and ambition and self-seeking ' and their ' design to . . . continue the power in their own hands '. The Independents were dividing into two factions, those who had offices and salaries, and those who had not. The quarrel came to a crisis when the Rump, knowing that an election would drive the Independents from power, began to debate a bill which proposed to keep the present members in their seats for the rest of their lives, with the sole right to co-opt other members of whom they approved. On April 20th, 1653, Cromwell came to the House with a file of musketeers. He listened for a while to the debate, and then, after a passionate speech in which he blended appeals to Heaven with coarse abuse of the members, he closed the doors behind the retreating Rump. ' The spirit was so upon him that he was overruled by it ' In the afternoon the Council of



### *Cromwell's aims*

State, the last remnant of civil power, collapsed before military violence

Once more Cromwell had solved his difficulties emotionally. He believed in institutions, but in a fit of temper and impatience he had destroyed the two which were the heart of English life. The Rump had fallen into the mistake which Charles and the Long Parliament had made before it: it had counted on over-awing the army by the strength of its legal position and the Englishman's reverence for established institutions. Like the King, parliament had thought itself indispensable. In any age but this, both King and parliament would have reckoned rightly, but the soldiers of the Independent army were no ordinary men. Cromwell's career is unintelligible if he is credited with no better motives than personal ambition. In his context he was unquestionably sincere. the cumulative witness of God's many Providences burned into his soul the certainty that he, and the men who fought with him, were of the elect. they were 'God's people',<sup>1</sup> and therefore human society must be modelled to a design of which they could approve. 'The interest of God's people' was the star which constantly guided him, the Self-denying Ordinance, Pride's Purge, the execution of the King, and now the dissolution of the Rump, were all logical steps in his journey. His motive was not his own greatness. Power, with its snares and temptations, frightened him. 'I called not myself to this place,' he said once; 'of that God is witness'; but his conscience told him that he dare not refuse 'the power God had most clearly by His Providence put into my hands'.

The insuperable problem before him was to found the interest of God's people on a more durable basis than the power of the sword. He could not reconcile the benevolent despotism of the godly with the prevailing conviction that government should express the will of the people; and the tolerance and high ideals of the Protectorate were barren of ultimate good, because the people associated them with an intolerable military tyranny. After 1653 even the Independents were split between soldiers

<sup>1</sup> 'People,' he once said, 'that are to God as the apple of His eye.'

## *The Interregnum*

and civilians. Thus Cromwell spent the years of the Protectorate trying to restore the two institutions which he had destroyed, for he realised that 'God's cause' must perish unless he could fix it in the traditional framework of King and parliament. But the one thing which the people of England wanted he could not do: he could not disband the army and call a free parliament, for that would have meant the ruin of the Independent cause. 'I am as much for government by consent as any man, but where shall we find that consent?'

### *2. The Barebones Parliament*

When the Rump had gone, the soldiers were undisputed masters of England. To clothe the nakedness of their despotism, they determined to summon a parliament; and since they could not face the consequences of a free election, they summoned a body representative of orthodox Puritanism. The Congregational churches were ordered to draw up lists of 'divers persons fearing God, and of approved fidelity and honesty', and from these lists, together with some additional nominations of their own, the officers made their selection. Acting as Captain-General of the army, Cromwell sent writs to 140 chosen members.

They came together in July, 1653. Their first action was to declare themselves to be a parliament, and their assembly has taken its name from one of its members, Praise-God Barebones, a leather-seller of Fleet Street. It was the first parliament to have representatives from the three kingdoms. In his inaugural address the Captain-General gave expression to the high hopes which their meeting had inspired in him. 'I never looked to see such a day as this—it may be, nor you either—when Jesus Christ should be so owned as he is, at this day and in this work. . . . Indeed, I do think somewhat is at the door.' The Barebones Parliament was the climax of the Puritan movement. When men were summoned to legislate for the country, not because they were men of wealth, or property, or influence, but because they were saintly men, fearing God. Not all of them were

## *The rule of the Saints*

fanatics like Harrison and Barebones ; the officers had been careful to include a leavening of commonsense, in the persons of such men as Monk, Ashley, Lambert, Blake and Henry Cromwell. But the moderates were less regular in their attendance than the enthusiasts, who threw themselves into the task of reform with the passionate zeal of men who knew it was their duty to usher into an expectant world ' the long-expected birth of freedom and happiness '. In intention their reforms were excellent. They proposed to chart the ' tortuous, ungodly jungle ' of the law, and rewrite the laws of the land within ' the bigness of a pocket-book '. They passed acts to establish civil marriage and a registry of births, deaths and marriages ; to appoint commissioners for probate, to relieve imprisoned debtors, and to provide for the better custody of lunatics. After a day's debate they abolished the Court of Chancery, whose proceedings had grown irregular, costly and belated<sup>1</sup>. They attacked the abuses of ecclesiastical patronage and the payment of tithes ; and, as a final indiscretion, they began to complain of the excessive cost of the army. These reforms bear the stamp of broad-mindedness and statesmanship ; where the Saints blundered was in destroying what they had not the skill to replace, and in bringing about their devoted heads the wrath of the vested interests. Priests, lawyers and soldiers, a combination strong enough to overturn any government, rose against the men who threatened their livelihood. In December the moderates went to the House early in the morning, before the enthusiasts appeared, and resolved ' to deliver up unto the Lord-General Cromwell the powers they have received from him '.

Cromwell's authority ' was become as boundless and unlimited as before, all things being subjected to arbitrariness, and myself . . . a person having power over the three nations without bound or limit set ' . The failure of the godly to rule to the satisfaction of practical men narrowed his spiritual horizons. ' The issue was not answerable to the simplicity and honesty of the design ' . His eyes were opened to the evil that is in men, who through

<sup>1</sup> 23,000 cases were waiting to be decided, some of them thirty years old

### *The Interregnum*

sloth and avarice do not wish to live saintly lives. He would never abandon God's people, but henceforward he gradually moved away from the idealists and the doctrinaires, and concerned himself with reviving the 'ancient interests' and the ancient institutions of England. Henceforth he would have to restrain the fanatics<sup>1</sup> whose spiritual Bolshevism had alarmed the vested interests, and whose zeal imperilled the Puritan cause by shaping it to extravagant and impractical ends. The moral welfare of the people was still his aim: 'that's the question, what's for their good, not what pleases them', as he had declared as long ago as 1647, when he first began to feel the burden of responsibility which he could not cast off. But he no longer dreamed of seeing the Kingdom of Heaven here on earth; and in an hour of disappointed hopes, 'I could not tell what my business was save comparing myself to a good Constable set to keep the peace of the parish'.

#### *3 The experiments of the Protectorate*

After the failure of their religious experiment, the soldiers devised an expedient of their own. The Instrument of Government was the work of John Lambert, who had taken Ireton's place as the army's constitution-maker<sup>2</sup>. It vested the power of making laws in a 'Single Person' and a parliament, the Single Person to be Oliver Cromwell, who was to hold the office of Protector for the rest of his life; and the executive power in the Protector and a Council of fifteen members, also nominated for life. Parliament was to be elected by a franchise reformed in accordance with *The Agreement of the People*, and each parliament must sit for at least five months. The executive was allotted £200,000 for civil administration, and sums sufficient to maintain a navy and an army of 30,000 men. Freedom of worship was granted to all but Papists and Prelatists<sup>3</sup>.

<sup>1</sup> For instance, Harrison was cashiered in 1653, and imprisoned two years later. To the Saints Cromwell became the Bastard of Ashdod.

<sup>2</sup> Ireton died in 1651.

<sup>3</sup> A Prelatist believed in the traditional government of the Church by Bishops.

## *The Single Person*

The object of the Instrument was to balance the powers of the executive and legislature, to strike a compromise between the single person and the single House. Cromwell accepted it gladly, because, not although, it limited his arbitrary power: the Protector could veto legislation for only twenty days, he could raise no extraordinary revenue, and the chief officers of state had to be approved by parliament. On the other hand, parliament had no control over the administration or the normal, peace-time revenue; it could not wreck the Protector's government as it had wrecked the King's. But it was not a satisfactory settlement. It merely dressed the power of the sword in what was in effect the apparel of the Elizabethan monarchy. It abandoned alike the dreams of the Saints and the old confidence in the supremacy of parliament. Since the Protector and the members of the Council held their offices for life, there could be no pretence that the ministers were responsible to the representatives of the people. Thus if executive and legislature quarrelled, there was only the power of the sword to settle the dispute between them, and they would quarrel, and never cease to quarrel, until men like Edmund Ludlow had won 'what we fought for: the right to govern ourselves'.

On December 16th, 1653, Cromwell was installed as Lord Protector; thus, said the republican John Carew, he took 'the crown from off the head of Christ and put it on his own'. Parliament was not to assemble until September 3rd, the anniversary of Worcester and Dunbar, and in the intervening months the Protector and his Council devoted themselves to legislation. Their eighty-two Ordinances, which parliament was required to ratify, show Cromwell's quality as a reformer. His administrative measures fulfilled in a more practical form many of the good intentions of the Saints: he eased the plight of debtors, limited the jurisdiction of Chancery and reduced its fees, and took an enlightened interest in education. He pursued, too, that reformation of manners which was one of the essential aims of Puritan government; for 'the mind is the man. If that be kept pure, a man signifies somewhat.' But the purpose

## *The Interregnum*

nearest his heart was the proper organisation of religion. Implicit in all the declarations of the army were these words from the second *Agreement of the People*<sup>1</sup>: 'That such as profess faith in God by Jesus Christ, however differing in judgment from the doctrine, worship and discipline publicly held forth . . . shall not be restrained from, but shall be protected in the profession of their faith.' Religious toleration was in Cromwell's sight the 'blessedest thing' that his rule could provide, it was dearer to him, and to the men who drew up the Instrument of Government, than any political object. All the varieties of Puritan congregation were allowed, therefore, to think and worship as they pleased, even the Quakers, the strangest and the greatest of the sects, were defended by the Protector from the hostility of priests and magistrates. The Jews were allowed to worship privately, but perhaps this was because they controlled the trade of Iberia and the Levant. Catholics and Anglicans were in theory excluded from this freedom, but in practice they were rarely molested; at least they were not persecuted, and not compelled to attend services which were repugnant to their beliefs. Moreover, Cromwell was wise enough not to endanger his aims by quarrelling with the vested interests. Tithe and patronage were institutions which he could not afford to destroy, but he drew the sting from both by setting up a commission of Triers to test the fitness of candidates presented to livings. Thus bad men could not fill benefices with bad men, and only priests of 'holy and unblameable conversation' could handle the emoluments of the Church. As a corollary, Cromwell appointed Ejectors to visit the parishes, the schools and the Universities, to remove from their offices clergy and teachers who were 'scandalous, ignorant, and insufficient'.

The first parliament of the Protectorate showed Cromwell that his system did not fulfil the wishes of the people. In contrast to his fervent address to the Saints, his speech to the members was sober and practical. He dwelt on the need for a policy

<sup>1</sup> Issued in January, 1649

## *Major-Generals*

of 'healing and settling', and sought to unite them in a common fear of Levellers and Fifth Monarchy men, whose scandalous and unprofitable ways threatened the safety of the realm. But parliament was irreconcilable; the long battle which it had fought against the Stuarts, who governed by right of God, they would fight again against men who ruled by right of the sword. The members immediately challenged the letter of the constitution by which they sat. Cromwell replied that they might debate and alter the 'circumstantial' of the constitution, but that its 'fundamentals' were not to be challenged. In speech more than usually bewildering he stated that to abandon a form of government 'so owned by God, so approved by men, were a thing which I can sooner be willing to be rolled into my grave and buried with infamy than I can give my consent to'. The four 'fundamentals' from which the army would not depart were the divided sovereignty of legislature and executive; a check on 'perpetual' parliaments; religious toleration; and the maintenance, independent of any exclusive control, of the army by which that toleration was assured. A block of republicans was excluded from parliament, but the new Rump insisted on amending the constitution. It claimed full sovereignty, and proposed to reduce the numbers of the army. Cromwell knew only one answer to these dissensions. In January, 1655, after it had sat for five lunar months, he dissolved the parliament.

The Presbyterian Edmund Calamy had once told Cromwell that nine of every ten men in the nation were opposed to his policy; and Cromwell had replied. 'What if I should disarm the nine, and put a sword in the tenth man's hands? Would not that do the business?' Having failed in his attempt to govern by consent, Cromwell fell back on naked force. A royalist rising in the West gave him the excuse to parcel England into eleven districts, each of them under the control of a Major-General and a regiment of soldiers. In such a way William the Conqueror had scattered his captains to hold down a defeated people. Cromwell sent out the Major-Generals partly as a police to keep order among the discontented royalists, and

## *The Interregnum*

partly to stimulate the magistrates to fulfil the law. Like Richelieu's *Intendants* and the prerogative courts of the Crown, they were to see that the government's word was obeyed in the provinces. They ruled with a heavy hand : their harsh Puritan morality was as much detested as the severity with which they enforced it. The gaols could not accommodate the growing multitude of vagabonds and delinquents, and so many of the offenders were deported to the colonies that *to barbados* entered the language. In an effort to reconcile the taxpayer to these harsh courses, Cromwell reduced the monthly assessment, and met the extra expense by levying a decimation, a further tax of 10 per cent on the property of royalists. Even when he was ruling by force, his aims were sincere. through the Major-Generals he sought to convert the people more rapidly to a godly way of life. But his means discredited his ends ; the tyranny of the soldiers made Puritan rule abhorrent to thousands who had been ready to accept its essential purpose.

The revenue of the Protectorate was four times that on which Charles had been expected to meet the expenses of government, but the burden of the Spanish war obliged Cromwell to levy extraordinary taxation. By the terms of the Instrument, no additional taxes could be imposed without the consent of parliament. To placate the people, therefore, he recalled the Major-Generals, and tried once more to govern constitutionally. The second parliament of the Protectorate was summoned in September, 1656, but before it met a hundred members were excluded by the Council, and some fifty more stayed away as a protest. The remnant duly voted £400,000 for the war, and set up a high court for the trial and punishment of the Protector's enemies, then it made an attempt to put an end to martial law, by asking Cromwell to be King. In one respect the plight of England recalled the dangerous days of Elizabeth. The Protector was surrounded by enemies, and the safety of the kingdom depended on his single life ; if he were to die—and the government had just unearthed a Levellers' plot to assassinate him—civil war would break out over his grave, as royalists, Levellers



### *The Humble Petition and Advice*

and Presbyterians took up arms to decide how the country should be governed. An obscure member of parliament, John Ashe of Somerset, moved that he be asked to thwart his enemies by adopting the old constitution. In February, 1657, this motion was presented to Cromwell in the form of a Humble Petition and Advice, which requested him to take the crown and revive the Upper House.

The Petition forced upon Cromwell the most difficult decision of his life. 'There is no question that the man was in great agony,' says Clarendon, '. . . and thought it the only way to be safe.' On the one hand he saw the way to permanence: he could rest his work on the secure basis of the old constitution, and leave to his son a legacy of order and good government. In discussions after the battle of Worcester he had urged a settlement 'with somewhat of a monarchical power in it'. The Protectorate was 'somewhat monarchical', and Cromwell lived at Whitehall and wore the purple, but the Protectorate was unknown to the constitution, it was the artificial dress of swordsmen. 'The title is not the question,' said John Thurloe, 'but it's the office, which is known to the laws and this people. . . . Besides, they say the name Protector came in by the sword . . . and will never be the ground of any settlement'. Only by way of the old constitution could Cromwell complete his work of 'healing and settling' the differences which divided the people; any makeshift alternative was 'but a probationer'.

But Cromwell did not take the crown. The officers, self-seekers like Lambert, Desborough and Fleetwood, preferred the Protectorate, for a revival of hereditary monarchy would rob the army of its control over the head of the state. Cromwell knew these men for what they were; it was not their opposition which dissuaded him. The decisive voice was the voice of the common soldier. 'God's people', the men who had fought and won his battles, the best elements in Puritanism, did not wish him to be King; to lay his hands on an earthly crown was to betray the high purposes for which they had striven together.

It was not that he was frightened of the army: he was strong

## *The Interregnum*

enough to have crushed the objectors and kept it ostensibly loyal. The conflict was in his own heart: he feared that the objections of the common soldiers might be God's witness against his backsliding, against the wickedness of allowing carnal glories to dazzle his vision. At last, after hours of tortured brooding, he surrendered his reason to his conscience: in May he told the members that he could not take the crown. Later in the month, the Petition, adjusted to meet his scruples, passed into law. The Protector was given the right to appoint his successor, and to nominate the members of the new 'Other House', thus he recovered two of the essential powers of the old monarchy. Parliament, too, recovered some of the authority of which the Instrument had deprived it: it had the right to remove the Protector's Councillors, its own members were not to be ejected without the consent of a majority, and it had additional powers in finance.

Thus amended by the Humble Petition and Advice, the constitution of the Protectorate had become in all but name a monarchy. Parliament and Protector were stronger, but the authority of the Council, hitherto the stronghold of the officers, had been weakened. In Lambert's original design it had held the balance between the Protector and the legislature, but the balancing power in the revised constitution was the 'Other House', further, the members of the Council were now responsible to parliament. These changes did not, however, solve Cromwell's problems; in fact, this sham monarchy was the final admission of his failure. Cromwell was too nearly a King for the republicans to co-operate with him, the people 'will quake to hear', said Hazlerig, 'that they are returning to Egypt'. Yet he was not King enough to win over the royalists. The nearer it approached to the pattern of the old constitution, the less justification the Protectorate had for existing, and the narrower became the platform on which it stood. In *Killing No Murder* the Levellers called for the death of the apostate, in *King Richard III Revived* the unquenchable Prynne decided that he had been better governed under Charles I. Still more

### *Cromwell's last failure*

ominous were the murmurings in the army. As Cromwell moved back towards the institutions which in his dreams of a golden age he had overthrown, he loosened the ties which bound him to the men who had made him great. When he died, with one foot on the general's saddle and one foot on the throne, he was not the undisputed ruler of the nation, and he was no longer the beloved and trusted leader of the Puritan army.

His last experiment failed as swiftly as the others. Of the forty-two members who sat in the 'Other House' only two were members of the old peerage. The absence of the old nobility and of men of property discredited it: 'they are not a balance, as the old Lords were, as to matters of estate'<sup>1</sup>. Further, the elevation of thirty of Cromwell's closest supporters weakened the government's authority in the lower House, where a block of republicans and Long Parliament men carried on their familiar work of obstruction. Hearing that they were preparing an intrigue with the Saints and the malcontents in the army, Cromwell suddenly drove to the House in a hackney-coach and dispersed the members. 'And I do dissolve this Parliament. And let God be judge between you and me'. Seven months later, on September 3rd, 1658, the greatest of his anniversaries he died. 'Our rest we expect elsewhere: that will be durable'.

#### *4 Foreign affairs during the Interregnum*

England had been allowed to fight out her domestic quarrels unmolested by foreign interference, because a much greater quarrel had been taking place in Europe. The Thirty Years War had opened with the attempt of the Emperor to carry the Counter-Reformation and the dominion of his House to the shores of the Baltic; but when it came to a close at Westphalia in 1648, the French seemed to have brought to a successful conclusion the long duel which they had been waging against the Hapsburgs since the days of Charles V. But the struggle

<sup>1</sup> Cromwell had intended them to be the 'repairers of breaches and the restorers of paths to dwell in'.

## *The Interregnum*

was not yet over. Within a few months of a treaty which had given her Alsace and recognised her sovereign rights to Toul, Metz, and Verdun, France was herself in the grip of civil war; and before the rebellion known as the *Fronde* had been suppressed, the safety of the monarchy had been threatened by a Spanish invasion under the leadership of a French commander.<sup>1</sup> England profited from France's misfortune. For in the dangerous years when the armies of the Commonwealth were engaged with their enemies in the British Isles, the two great Catholic powers were too deeply engrossed in their own quarrel to intervene on behalf of the Stuarts.

Since the timid diplomacy of James I and the repeated humiliations of Buckingham, England had been of little account in European affairs. Whatever criticisms may be brought against the foreign policy of the successive governments of the Commonwealth, it is to their credit that they showed themselves to be more formidable than the Stuarts, and earned the healthy respect, if not the affection, of the continental powers. Europe had been horrified by the execution of the King, but the regicide government rapidly silenced criticism by the quality of its practical achievements: its fleets chased the royalist fugitives into the Mediterranean, chastised Portugal for harbouring its enemies, and harassed the French by unofficial attacks on their commercial shipping. In 1652 the Rump declared war on Holland. The outbreak of this war revealed that the government was in earnest in its attempts to assert and enforce the traditional rights of the English navy. Many long-standing grievances against the Dutch had lately come to a head. For they had not merely shown persistent sympathy with the Stuarts and insulted the Rump's ambassadors: they had taken advantage of the Great Rebellion to seize the carrying-trade from English vessels, they refused to acknowledge the English doctrine of *mare clausum* or to strike the flag to English ships in home waters, and they were accused of infringing the rights of English fisher-

<sup>1</sup> The Prince of Condé, who had broken the power of the Spanish *tercios* at Rocroi in 1643

## *The first Dutch War*

men in the North Sea. Men with long memories went on to recall that some thirty years ago English merchants had been treacherously massacred at Amboyna, and the East India Company deprived of the profitable commerce with the Spice Islands.

With the object of recovering the lost carrying-trade the Rump passed the Navigation Act of 1651. It decreed that goods imported into the British Isles from Asia, Africa, and America should be carried in ships belonging to Britain or her colonies; and that goods brought from a European country should be carried in ships owned by Englishmen or the people of the exporting country. Before these measures could be effectively applied, a final cause of dispute brought the two Republics into war. Maintaining the freedom of the seas, and holding the doctrine that the flag covers the cargo, the Dutch commanders resisted the English claim to search their ships for French goods. Growing exasperation with England's insistence on this right led to a serious skirmish between Blake and Tromp in 1651; and in the following year the Dutch decided that they would rather fight than submit to it.

The war brought great hardship to Holland. Seven-eighths of her people depended on sea-borne commerce, and her trade-routes passed by the shores of her enemy. Thus, as the war proceeded, many of her merchantmen dared not put to sea, but lay idle in their harbours; moreover, their prime duty in acting as convoys to their mercantile marine hampered the Dutch admirals in their efforts to engage the English navy in sufficient strength. On the other hand, the English had a relatively small mercantile marine to protect, and they were able to strike crushing blows at the main Dutch squadrons. Towards the end of 1652 Tromp defeated Blake off Dungeness, and for three triumphant months he sailed the Channel unmolested, but in the end the strategic advantages of the English bore him down. In 1653 he was defeated by Monk and Blake off Portland, and again off Harwich; and in the summer he lost his life in a gallant attempt to break through the English blockade of Texel.

Although it won for England the command of the sea, the war

## *The Interregnum*

was not popular in England ; for all classes resented the heavy taxation, and the army was jealous both of the politicians who directed it and the sailors who won glory from it. It did not end immediately the Rump was overthrown, because the government of the Saints was anxious to persuade the defeated Dutch to merge their sovereignty with England's and unite in a firm and indissoluble Protestant brotherhood. In April, 1654, however, Cromwell signed a treaty on terms less stringent than those demanded by the idealists. ' You have appealed to the judgment of Heaven,' he told the Dutch. ' The Lord has declared against you.' It pained his conscience to see two Protestant Republics at war with one another when there was God's work to be done in an impious world ; so the Dutch envoys came to Whitehall and joined with the Protector in singing the hundred-and-twenty-third psalm. ' Behold how good and pleasant it is for brethren to dwell together in unity '

' God's interest in the world is more extensive than all the people of these three nations. God has brought us hither to consider the work we may do in the world as well as at home ' In his own mind Cromwell had no doubts what the foreign policy of the Commonwealth should be : its duty was to put itself at the head of a Protestant crusade to free God's people in all corners of the earth from bondage to the Catholic. But in seeking to order his foreign policy on the basis of religious antagonism, Cromwell failed to appreciate the ambitions of the age in which he lived. After the peace of Westphalia, where it was once more agreed that the religion of the people should be the religion of their sovereign, religious idealism ceased to be even the professed motive of European conflict. When he sought to marshal the Protestant nations in a Holy League, he found them struggling with each other for commercial supremacy and the hegemony of the Baltic, while at the same time the two great Catholic powers, Spain and France, were too intent upon their own rivalry to serve the discredited cause of the Counter-Reformation. Cromwell, then, was unable to use the awakened strength of

## *The Northern War*

England, as he would have wished, to hammer the infidel. As always, however, his gaze pierced the mists of his spiritual aspirations, and fastened itself on some concrete object : if he could not serve the interest of God's people in the world, at least he could secure some material advantage for England.

Cromwell failed to form his Holy League because the principal Protestant powers, Sweden, Brandenburg, Denmark, and Holland, were divided by personal rivalries which were too acute to be settled at the request of England. He hoped to be able to launch Charles X of Sweden against the Empire as Richelieu had launched Gustavus Adolphus twenty years before. Charles, indeed, was willing enough to play the part which Cromwell had allotted him, but he insisted that he must first consolidate Sweden's position on the shores of the Baltic. His remarkable successes in Poland alarmed Brandenburg and Denmark into making a defensive union against him ; and since the Dutch, indifferent to the cause of Protestantism, assiduously attempted to embroil the Baltic powers in the interest of their own commerce, Cromwell was soon obliged to admit that his project had failed. But his diplomatic intervention in the Northern war was not in vain. Two commercial treaties with Sweden opened the Sound to England and made the naval stores of the Baltic more easily available for her shipping. Moreover, when in 1657 Charles was temporarily in difficulties against his enemies, Cromwell forgot the religious ties which united them, and attempted, although unsuccessfully, to exact Bremen as the price of English help.

For a time Cromwell was as unsuccessful in his search for an enemy as in his search for a Protestant ally. Both France and Spain hesitated to take on the rôle of Catholic villain, rather, since they were at grips with each other, they each bid for England's help. It seemed at first that France would be the victim of the Commonwealth's religious zeal. Already French and English seamen were skirmishing at sea, moreover, the rebellious citizens of Bordeaux had shown a flattering interest in

## *The Interregnum*

the *Agreement of the People*, and the internal difficulties of the government offered Cromwell an opportunity to champion the rights of the unprotected Huguenots. In 1652 Blake scattered a French fleet which was sailing to the relief of Dunkirk, and it was generally expected that the rivalry between the two navies would develop into open war. The French were saved by the breakdown of Cromwell's negotiations with Spain. Before he would lend his support to the Spanish attack on France, he insisted that he should be paid his price; and in demanding that they should give up Dunkirk,<sup>1</sup> grant religious toleration to English merchants resident in Spain, and allow England to trade with the Spanish-American colonies, he asked a higher price than the Spaniards were prepared to pay.

Spain's refusal to come to terms gave Cromwell the sign which he had been seeking. 'Truly your great enemy is the Spaniard,' he told parliament. 'He is naturally so, by reason of that enmity that is in him against whatsoever is of God.' He decided on the policy which had commended itself to the parliaments of James I: a pirate raid on the Spanish Main. As soon as his mind was made up, he had no difficulty in finding reasons to justify his decision. He declared that the Spaniards had so consistently ill-used English seamen and colonists overseas that the English plantations were in danger of being destroyed. Moreover, the cost of equipping the expedition would be little more than the cost of paying off the fleet or keeping it in idleness; 'Providence seemed to lead us,' he said to the Council. 'having 160 ships swimming; most of Europe our enemies.' As a final consideration, was there not 'hope of great profit'? In fact, Cromwell seems to have been moved by every consideration but that of honour. In the weeks when the expedition was being prepared he was still discussing with the Spanish envoys the possibility of an alliance; he launched it without any warning or any declaration of war; and when the Spanish ambassador presented a formal protest from his government, an English manifesto claimed that, in view of the provocation continuously

<sup>1</sup> Captured from France in 1652.



## *War with Spain*

offered by Spain in the West Indies, the raid was a justifiable act of defence

In conception and execution the expedition recalled the exploits of the Duke of Buckingham. The professional seamen were subordinate in command to the soldiers ; supreme control was distributed among a commission of five ; the force was manned by the dregs of the regiments ; and its object was no more precise than to gain ' an interest in that part of the West Indies in the possession of the Spaniard '. Early in 1655 it landed on Hispaniola, the largest of the islands in the Caribbean ; and, while his men perished of thirst and dysentery, and in their Protestant enthusiasm pelted the Virgin with oranges, of which they had too many, Colonel Venables succeeded in being twice ambushed on the same spot. The expedition withdrew from San Domingo, and occupied Jamaica, which was barely defended. Although the Protector made the most of this barren consolation, Venables and Admiral Penn were imprisoned in the Tower

Spain now declared war on England, and Blake, who had recently been helping Spain against the Mediterranean pirates, was notified that he had a new enemy. Under Blake the navy had known again the daring and endurance of Elizabethan days. Its contests with Prince Rupert, and the pirates of Tunis and Algiers, had sharpened its weapons and prepared it to face the ancient enemy of English seamen. In the autumn of 1656 Admiral Stayner destroyed the plate fleet off Cadiz, and the Londoners saw thirty-eight carts of treasure drawn in triumph through the streets. In the following spring Blake, who had remained at sea throughout the winter, destroyed another Spanish fleet off the Canaries. This time the Spaniards saved their treasure by moving it inland, but they had no means of shipping it to the continent. The loss of two fleets broke Spain's military resistance : for one army, assembled for the reconquest of Portugal, dispersed for lack of pay, and another was stranded in the Netherlands, unrelieved and unpaid, to face the combined forces of France and England

## *The Interregnum*

For Cromwell had now come to terms with France. A treaty signed in the autumn of 1655 had adjusted the disputes between the two countries, and eighteen months later a further agreement settled the terms of a military alliance. When he had overcome his horror at the massacre by French and Savoyard troops of a Waldensian<sup>1</sup> settlement in the Cottian Alps, and had managed to forget that the Huguenots were still unprotected, Cromwell had agreed to co-operate in a French attack on the Netherlands. His reward was to be Mardyck and Dunkirk; and he still regretted that he was unable to include the Scandinavian powers in his alliance, and attack the Austrian Hapsburgs as well. Mardyck fell, and in June, 1658, the Ironsides earned the respect of the great Turenne at the battle of the Dunes, where they won Dunkirk.

But these glamorous victories did not reconcile the English to a war which they disliked. Even good Puritans opposed it, for 'we are islanders, and our life and soul is trade'. The carrying-trade was captured by neutrals, and, with the navy fighting on the high seas, English merchant shipping was harassed by pirates. Cromwell's foreign policy brought its glories and its permanent advantages. Clarendon declared that his honour stood higher abroad than at home, and there was substance in Thurloe's boast that he 'carried the keys of the continent at his girdle'. Although he was disappointed in his dream of a religious crusade, he pursued his country's interest with an insensitive determination which 'made all the neighbour princes fear him'. Further, the constant naval fighting of the Interregnum revived the pride of English seamanship which had steadily declined since the Armada was beaten. For the first time an English fleet occupied the Mediterranean and realised that the occupation of Gibraltar might be 'both an advantage to our trade and an annoyance to the Spaniard'.

But in other respects Cromwell's policy was disastrous. His zeal to destroy Spain made him the instrument of French

<sup>1</sup> The followers of Peter Waldo of Lyons (fl. 1180), who had anticipated Luther's attack on the priesthood.

### *Cromwell's achievement*

ambition. With the ripening strength of France he made war on the decaying power of Spain, Mazarin duped him as easily as Gondomar had duped James I<sup>1</sup>. Mardyck and Dunkirk were a paltry reward for a war which was so harmful to English trade that the financiers began to desert the Protectorate. Moreover, his frenzied activity overseas hindered the more important work of reaching a settlement at home. His cherished policy of 'healing and settling' was still-born until he won the confidence of the nation by reducing the forces, but rather than pay off his men, Cromwell preferred to occupy them in foreign wars. The efficiency of the government, and finally of the forces themselves, was weakened by the cost of these wars. The Protectorate was always insolvent, thus it resorted to decimations and petty tyrannies which made its rule still less acceptable to the nation. Ultimately, this constant lack of money began to take toll of the spirit and competence of the men. Cromwell died opportunely for his fame, his successors had to count the cost.

Cromwell's enemies declare that his only legacy to his people was a permanent hatred of standing armies. He left Puritanism broken and discredited, for the army, which, united, could have carried on his work, fell in pieces at his death. The wise reforms which emerged during the Interregnum—such as the union of the three kingdoms, the redistribution of seats, and the reform of the franchise—were delayed for generations because they had been prematurely achieved by the sword. Religious toleration, which in the Independents was a humane and generous principle, was to establish itself rather through indifference than through humanity. For the nation rejected Cromwell's rule of Thorough as summarily as it had rejected Strafford's, it preferred to walk the known paths of the constitution. Thus from the moment when in Pride's Purge they first laid violent hands on institutions

<sup>1</sup> When France and Spain made peace in 1659, Mazarin omitted to stipulate that the English should keep Dunkirk. On the other hand, he undertook that France should not help England against Spain.

## *The Interregnum*

which law and custom had sanctified, the Independents condemned themselves to ostracism, and their ideas to destruction. England preferred the government of its fathers to a government founded on first principles; at the Restoration, Puritan ideals vanished along with the Puritan rulers.

Cromwell had no political theory of his own. his improvised constitutions were plucked from the brains of other men, and except when the omens urged him to destroy, he was a conservative and a traditionalist. His fatal weakness was the impatience of the idealist. When the imperfections of sinful man threatened 'the interest of God's people', he was too ready to leave the beaten track of the constitution, and reach his goal by short-cuts. He could not wait until 'assiduous preaching' had convinced the wrongdoer that he was 'mistaken'; the sheep must be driven into the fold. In his later years, when he found that the people would not be marshalled by spiritual 'blood and iron', the idealist in Cromwell increasingly gave place to the squire and the 'good Constable'. It is as the 'good Constable' who kept the peace in three kingdoms that all Englishmen can respect Cromwell's memory. Dictators are never popular with those who have not come under their spell, and the religious idiom of the seventeenth century strikes harshly on ears which did not even hear the language the Victorians spoke. But in the cycle of political change revolution always issues in despotism, and a man who made some conscience of what he did was a worthier man to rule at that time than Presbyterians harshly wedded to the Covenant, or than royalists swept back to power on the tide of reaction. Cromwell did not fear posterity's verdict. 'Let us all not be careful what men will make of these actings.'

## CHAPTER XVIII

### THE RESTORATION

Collapse of the Protectorate   The Convention   The Restoration settlement   The Clarendon Code   The fall of Clarendon

#### I *The collapse of the Protectorate*

The great Cromwell was dead, but 'this earthquake' Clarendon noted, 'was attended with no signal alteration'. 'There is not a dog wags his tongue,' said Secretary Thurloe, 'so great a calm are we in'. For some weeks men went about their business as though they scarcely realised that Cromwell was gone; his son Richard, his appointed successor, was installed as Protector and as Lord-General of the army, and neither royalist nor republican made a move to prevent him. Richard seemed to inherit his father's power and glory 'without that public hate that visibly attended the other'.<sup>1</sup> But this early calm was deceptive. Cromwell had not bequeathed to his son a settled constitution and a contented people. By his own strength, and his personal prestige in the army, he had imposed on the nation a government which it hated, but where he had dominated he had been unable to reconcile, a Protector less ruthless, and less exalted by a sense of sacred duty, would be at the mercy of factions which only force had held in check. Richard Cromwell did not have to fear only the opposition of those royalists, republicans, Levellers and Saints who had hated his father. he had to contend with disloyalties and divisions in the army itself. Years of meddling in politics had dulled the spirit which on half a dozen great battlefields had united officers and men in the inspiration of a common cause.

<sup>1</sup> Clarendon.

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Proud in the possession of wealth and estates, the leaders had estranged themselves from their men by their worldliness and arrogance; and even the junior officers, jealous and resentful of their superiors, were disposed to listen favourably to the republican doctrines which spread among the common soldiers. Nor was there any real agreement among the leaders. Cromwell's strong hand had stilled their dissensions and damped their political aspirations. Freed from his control, they were freed from a sense of duty, and in a few months of ambition and intrigue they destroyed all that he had striven to accomplish.

The army disliked the new Protector. Richard Cromwell was a simple country gentleman, modest in his tastes and by nature reluctant to be thrust into a place of honour. His father had chosen him, in preference to his abler brother Henry, because as the eldest surviving son he would succeed by the monarchy's ancient principle of primogeniture, and as a civilian he might reconcile those who objected to the Protectorate because it was founded on military force. But the authority of a civilian was offensive to the pride of the soldiers. Oliver had been Protector because he was Lord-General of the army; but Richard, who 'never drew sword for the Commonwealth', was Lord-General only because he was Protector. Thurloe's early optimism melted as he sensed that trouble was brewing in the army, 'as if his Highness were not general of the army, as his father was'. When Richard proposed to dismiss the malcontents and reduce the army's numbers, 'a very eminent spirit of prayer appeared' among the officers. They asked that the supreme command of the army should be separated from the headship of the state; that Fleetwood be made commander-in-chief, with sole power to appoint officers; and that no one should be dismissed from the army except by the verdict of a military court. When Richard refused to consent to proposals which would have made the army a self-governing corporation with constitutional powers equal to his own, the officers ominously continued 'to seek God for a blessing on the affairs of this nation'.

## *Fall of Richard Cromwell*

The meeting of parliament, early in 1659, inflamed the old quarrel between civilians and soldiers. Royalists and Presbyterians worked with the old guard of republicans, headed by Vane, Scot, Ludlow and Hazlerig, to 'hang on the wheels of the chariot, that they might not be able to drive so furiously'.<sup>1</sup> They refused to vote the army's arrears of pay and denounced the administration of the officers, they proposed to investigate recent acts of tyranny, and as a result they started to impeach William Boteler, the most brutal of the Major-Generals. Finally, they bravely answered the army's threats by resolving that the council of officers should not assemble without the consent of the Protector and both Houses of parliament, and that all holders of military command should take an oath to respect the freedom of the Houses. As the regiments once more bore down on London, Richard ordered them to disband; and Fleetwood, whom Oliver had once called 'a milksop', retaliated by ordering parliament to dissolve. As had lately been its habit, parliament yielded to force. By summoning his brother from Ireland and Monk from Scotland, Richard could have resisted Fleetwood at the price of civil war, but 'I will not have a drop of blood spilt for the preservation of my greatness, which is a burden to me'. In May, 1659, he laid down his office and went abroad.

The military Republic had another year of life. To give a constitutional disguise to their actions, the soldiers recalled the Rump of the Long Parliament, and the dying fires of republicanism flickered for the last time as the members resolved to wipe from the constitution the Single Person and the Other House. But parliament would be suffered only so long as it did as it was told, and the tough Long Parliament men, trained in the technique of obstruction, were soon at blows with the army. Soldiers and parliamentarians reached again the deadlock of 1647; and this time the soldiers had no King to be used as a weapon in their bargaining and to be made the scapegoat of their failure to bargain successfully. In the summer the

<sup>1</sup> Ludlow.

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royalists plunged prematurely and disastrously into rebellion, and restored a temporary unity to their enemies ; speaking in the idiom of his master, General Lambert petitioned the Rump to bow to this renewed evidence of God's favour and mould the constitution according to the wishes of the army. But in October the Rump revoked the commissions of Lambert and seven of the principal officers, and annulled all the acts of the Protectorate which had not been sanctioned by parliament. Once again the army had to intervene ; once again the sitting of the Long Parliament was ' interrupted ', and a Committee of Safety, nominated by the officers, set about drafting a new constitution.

Into these deliberations there broke an authoritative voice from Scotland. At one time a royalist, General Monk had served in turn the King, the parliament, the Rump, the Protector and the Protector's son. Except when he went to sea to fight the Dutch, he had been commander-in-chief of the army in Scotland since 1651 ; and with that army at his back, purged and unshakably loyal, he marched into England early in 1660 to put an end to ' that intolerable slavery of a sword government '. The war had been fought to preserve the Protestant religion, the liberties of the people and the privileges of parliament. These causes had been fought for in vain while the country was at the mercy of an army which made and unmade parliaments, which could govern neither with parliament nor without it, which sacrificed the welfare of the people to the usurped authority of a handful of officers, men raised ' from the meanest mechanics to lord-like inheritances '. Monk was cautious and inscrutable ; when Lambert and the officers had laid down their arms, he would call a free parliament and await the decision of the people. For Cromwell's men, the veterans of Naseby and Dunbar, it was the hour of critical decision. They could follow Lambert and fight once more for their right to frame the constitution by which the state was to be governed, or they could surrender to Monk, and retire into private life with the pay which they were owed and a pardon for all that they had done. In their decision may be read the overthrow of Cromwell's hopes.



## *The Declaration of Breda*

Not like fighters in a noble cause, but like mercenaries serving for pay, they abandoned their ideals and deserted to the man who promised them their wages. Lambert escaped to the Midlands and for the last time raised the banner of the 'good old cause'; but his men would not fight against their old comrades, and he surrendered his sword to the soldiers of the government.

The might of the Independent army having crumbled away at his approach, Monk had still to temper the immoderate enthusiasm of republicans and Presbyterians. The Rump, restored a second time by the anxious and repentant officers, was eager for vengeance on those who had humiliated it, and when Monk ordered it to readmit the members whom Pride had excluded in 1648, the returning Presbyterians exuberantly proclaimed the Covenant and the ecclesiastical arrangements drawn up by the Westminster Assembly. But it was a time for 'healing and settling', not for revenge and the reopening of old differences. Monk told parliament that it must promise the soldiers an indemnity and their pay, and make the necessary preparations for the holding of an election, and at length, its last work performed, on March 16th, 1660, the Long Parliament dissolved itself by its own consent.

While the elections were being held, Hyde issued a manifesto from Breda which promised that the Stuarts too were willing to forgo their revenge: 'That all mention of parties and factions, and all rancour and animosities may be thrown in, and buried like rubbish under the foundation of a peaceful and ordered settlement.' The Declaration promised a complete pardon to the Crown's enemies, 'excepting only such persons as shall hereafter be excepted by Parliament'; arrears of pay for the army; 'a liberty to tender consciences' and to all religious opinions 'which do not disturb the peace of the kingdom'; and a land settlement arranged by parliament. By adding that each of these four provisions should be ratified by parliament, Charles at once proclaimed his attachment to parliamentary government and absolved himself from blame if his promises should not be fulfilled. The sane moderation of Hyde and

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Monk bore fruit at the elections to the Convention Parliament. Thurloe was not elected, Hampden's son was defeated in Buckinghamshire; there were few republicans and fewer Saints, and the prevailing temper of both Houses was royalist. The Convention immediately resolved that the government of the kingdom should be by King, Lords and Commons, and that Charles II had been King 'by inherent birthright, and lawful and undoubted succession', since 1649. On May 29th, 1660, his thirtieth birthday, Charles entered London amid the tumultuous acclamation of his people; and he sardonically remarked that since the people were so glad to see him, the blame must be his that he had been away so long.

Cromwell's soldiers assembled for the last time at Blackheath, to be reviewed by the King whom for eleven years they had excluded from his inheritance. The Stuarts had been restored by the action of the only officer in that army who was able to discipline and pay his men. But Monk's services to the constitution did not end when he had persuaded the soldiers to lay down their arms. with the help of Hyde he persuaded the royalists to forbear and to forgive; and by his firm dealings with Presbyterians and fanatics he brought Charles back to England on sane and temperate conditions which it would not be impossible to observe. As he cheated the republicans of their commonwealth, and the Saints of their vision of the Kingdom of Heaven, so he prevented the Presbyterians of the Long Parliament from restoring the monarchy on the terms which they had so often laid before Charles I between 1642 and 1648. On this matter the army had the last word the returning King should not be forced to take the Covenant, and he should not be the puppet King of the Nineteen Propositions and the Uxbridge negotiations. The restored monarchy was to be the monarchy of 1641.

### *2 The Restoration settlement*

In two decades of disturbance and change, the English people had discovered 'fundamentals' of their own. Their recent

### *The restored monarchy*

ordeals had taught them to be anti-democratic, anti-sectarian, anti-militarist and anti-republican; since the road to Utopia had led them into bondage, they had learned to mistrust paper constitutions and systems of government that were founded on first principles. In 1660 they welcomed back the government which they knew: the old, familiar institutions of Crown and parliament, which were to be administered, as before, by the hereditary King and the hereditary governing class. The first thing to be restored, therefore, was the traditional structure of English society, of the non-military state acknowledging the rule of law. Soldiers with the gift of tongues, Saints who had dreamed of the reign of Christ, all the exponents of the career open to talent, retired into private life and the consolations of conspiracy or commerce, while the squire and the parson, the lawyer and the magnate, returned to their old duties and their old ways of life, as the hereditary social order of England resumed its broken growth.

The Restoration was made possible because the Independent army voluntarily surrendered the power which it had usurped, but the making of the settlement was left to the men who had been masters of England when the wars began. When the Convention reaffirmed the acts passed by the Long Parliament to the summer of 1641,<sup>1</sup> the oligarchy consolidated the gains which it had wrung from the Crown. The restored monarchy was not the monarchy of Charles I. A virtual supremacy was vested in parliament, a parliament matured and invigorated by its recent experience of practical administration, and chastened by its failure to control the incontinent allies whom it had raised. The King had the right to appoint his ministers, to veto legislation, to prorogue and dissolve the sessions of parliament, he was commander-in-chief of the forces, he held in his hands the conduct of diplomacy, the making of treaties and the waging of war. But his command of the forces was an empty honour when he was deprived of all sources of revenue.

<sup>1</sup> Only the Navigation Act survived from the legislative activity of the Commonwealth.

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which parliament did not sanction. The Privy Council lost its criminal jurisdiction, its right to issue emergency legislation, and its right to set aside the common law by registering royal proclamations. Thus the Crown had lost the claim to administrative sovereignty which Bacon and Strafford had made for it: the prerogative courts were extinguished for ever; the King could make no laws, raise no taxes, without the consent of an omnipotent parliament<sup>1</sup> Charles II was too astute to be deceived by the extravagant clamour of loyalty which had greeted his return. The hereditary kingship had passed through the tribulations of martyrdom and exile, and had been miraculously restored to a grateful people, thus the cult of the Divine Right of the royal office reached its zenith in the relief of a nation suddenly delivered from an age of violent and unhallowed experiment. An act for 'the preservation of the King's person and government' defined as treason any attempt to depose him, to levy war against him, or to invite foreigners to invade his dominions; it denied that resistance to the Crown could ever be lawful. But this demonstration of an unshakable allegiance, ostensibly so tender to the security of Kings, had a definite and limited purpose. The theory of Divine Right was no longer the apparel of sovereignty and absolute power; it had reappeared in the negative form of non-resistance, because resistance had been found to issue in war and military tyranny. To protect their lives and estates from the future invasion of sectaries and soldiers, the oligarchs took refuge in the legal maxim that 'the King can do no wrong'. But this did not mean that the King could overstep the limits of the constitution. Although his person was inviolate, the people would take redress from his ministers if he acted unconstitutionally: 'What is done by his ministers unlawfully, there is a remedy against his ministers for it', and the King's authority would not avail to shield them from the vengeance of the law. The principle most firmly impressed on the Restoration settlement was the

<sup>1</sup> The Clarendon Code, which Charles disliked, proved that the King was no longer the effective head of the Church

### *A landowner's paradise*

principle of the rule of law. The framers of the settlement turned their backs alike on Strafford's *droit administratif* and the Puritan 'Thorough' of Cromwell, on the illegal extortions of Major-Generals and the Crown's attempt to guide the state by the arbitrary canons of Roman jurisprudence. They entrenched themselves behind the unassailable supremacy of the English common law.<sup>1</sup>

Thus the landed gentry of England settled down to enjoy the fruits of the victory which they had won twenty years before. The dominance and the inclinations of their class soon found expression in the statute-book. The Act of Settlement of 1662 frustrated the good intentions of the Tudor Poor Law by reviving mediaeval villeinage, it was the fate of the Diggers and their kind to be tied to the soil without the compensating protection of the lord and the custom of the manor. Export bounties on corn brought the landlords new wealth, savage Game Laws protected their amusements from the interference of the destitute, in 1711 a high property qualification attempted to make the House of Commons the preserve of landowners. But the gentry did not enter with the same zest into the performance of their duties. In local government and the administration of justice they had rich opportunities to rise to those ideals of efficient and disinterested service which Strafford had set before them. But the rule of law imposes no obligations which its letter does not specify. Where the prerogative courts had set their own standards of the national interest, the gentry were content to discharge their bare legal obligations, and to discharge them in the interest of themselves. For two hundred years they were free to pursue their irresponsible course, going at intervals to Westminster to make the laws, and returning home to administer them to the disadvantage of their less illustrious neighbours.

<sup>1</sup> The gentry further protected themselves by placing the local militias in the hands of the Lords-Lieutenant of the counties, who appointed the commanders and chose the men. The propertied classes therefore had at their disposal an armed force to resist alike the Crown and the sects, and it was this force which defeated James II.

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Except in the important matter of religion, the details of the settlement were drafted by the Convention Parliament, which sat from April to December, 1660. Its chief task was to express in legal form the promises made by the King at Breda. In the first place, unmindful of the generous impulses which the prospect of a Restoration had aroused in them, the members gave way to human instincts of revenge. Twenty-six of the regicides were still alive, thirteen of them, exempted by parliament from the amnesty which the King had offered, came to the block at Charing Cross and died with their faces towards Whitehall.<sup>1</sup> The oligarchy further retrieved its self-respect by decreeing that Cromwell, Ireton, Bradshaw, Pride and Pym should be removed from their tombs in Westminster Abbey. Their bodies were hanged for a day at Tyburn and buried at the foot of the gallows. The land question, however, was less easily solved. Cavaliers who had forfeited their estates by fighting for the King, who had followed him into exile, or sold their lands to bear the crippling taxation of the Protectorate, naturally expected to come into their own again. But no revolution can be wholly undone, least of all in its land settlement; and there were several reasons why the Cavaliers should be thwarted in their legitimate expectations. The Stuarts had been restored by the consent of a nation which had come to regret that they had ever been sent away. Thus the rebels, who argued that their repentance entitled them to remain in possession of their new estates, had to be compensated for their help in restoring the monarchy. The strength of the landed interest in the Convention was too formidable to be ignored. Moreover, much of the property taken from the Cavaliers had been bought by speculators, who had divided and re-sold it; it could not be returned to its former owners without injustice to the present occupiers. So the government decided to compromise. The Crown and the Church resumed the public lands of which they had been deprived, and all confiscated estates were restored to their original owners, but the royalists

<sup>1</sup> The younger Vane, who was not a regicide, was executed in 1662.

### *The settlement of finance*

were forbidden to recover property which they had voluntarily sold, even if they had sold it in order to pay Cromwell's decimations. The Cavaliers complained bitterly of a settlement which made 'the enemies to the Constitution masters, in effect, of the booty of three nations'.<sup>1</sup> But the government could not please everyone, and it acted wisely in identifying its rule with a general security of property

The Convention's last work was to settle the details of the King's revenue. Since Clarendon 'had no mind to put the King out of the necessity of having recourse to his parliament', the Convention granted Charles an income which should enable him in normal times to 'live of his own', but would oblige him to rely for extraordinary expenditure on the co-operation of parliament. This income was fixed at £1,200,000; which was £300,000 more than Charles I had collected during the last years of his personal government, but £1,000,000 less than the average annual expenditure of the Protectorate. The money was to be provided from the revenue from the Crown lands; from the Crown's hereditary feudal dues, which were commuted for a fixed sum<sup>2</sup>, and from the yield of the customs. The Convention made these arrangements in good faith, but its financial settlement broke down because during the first dozen years of Charles's reign the yield fell about £400,000 short of the estimated figure, and the additional imposition of a hearth tax, an unpopular expedient borrowed from the French, did not make up the deficiency. The Crown, then, was perpetually short of money. Charles did what he could. He paid into the Treasury his wife's dowry and the proceeds of the sale of Dunkirk; he mortgaged and sold his estates, he farmed the collection of the taxes, and raised money by pledging the taxes in advance, at a ruinous rate of interest. But there was no adequate machinery of credit to relieve him from his embarrassment. His payments fell into arrears, the services deteriorated,

<sup>1</sup> Roger L'Estrange

<sup>2</sup> This sum was raised by an excise. Thus the landowners, on whom the feudal dues had hitherto fallen, were relieved of a substantial burden

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the Crown lands, imperfectly administered, grew less productive, finally, in 1672, he was obliged to repudiate his debts. The Commons, on the other hand, tightened their control of the Crown's finances. In 1665 they insisted on appropriating the money which they voted for the Dutch war; in the following year they set up commissioners to audit the King's accounts. Their financial supremacy was completed when Convocation surrendered its right to assess the taxes to be levied on the clergy<sup>1</sup>. The settlement of 1660 failed largely because the King was prevented by his poverty from playing the part assigned to him by the constitution. Nor can his poverty be explained away by the Whig legend that his court was unduly extravagant, the strictest economy would not have made him solvent. Moreover, the prestige of a monarchy is as deeply rooted in its foibles as in its services to the nation; a King who had been humiliated and banished had almost a duty to revive, as well as he knew how, the traditional splendours of his royal office. The Restoration settlement was unworkable, and therefore impermanent, because it made parliament master of the King's finances, without recognising its consequent authority over his policy.

#### *3. The Clarendon Code*

The Convention Parliament dispersed without having settled the most important question of all—whether men might henceforth be permitted to worship God in their own way. The King, who was a Deist, favoured a comprehensive settlement, to embrace all varieties of religious thinking which were compatible with the safety of the state. His Declaration on Ecclesiastical Affairs, which he issued in the autumn of 1660, invited parliament to withdraw the penal laws, to modify ceremonial in deference to squeamish consciences, and, by the principles of Bishop Ussher's 'Model', to limit the authority of the Bishops by synods of Presbyters. To Charles's practical mind

<sup>1</sup> For the importance of Convocation's right to assess its own taxes, see, e.g., its action in 1640.



### *Religious differences*

religion was not worth fighting about ; and finer minds than his thought, with Andrew Marvell, ' the cause too good to have been fought for ' But the English people were not ready for toleration The Convention debated Ussher's plan, but the members lost themselves in the discussion of detail they argued over fast days and Sabbath observance and measures to expose the people to what the King called the ' disease of sermons ' When they dissolved, they had agreed only to reject the King's plan for comprehension In 1661 a conference of twelve Bishops and twelve Presbyterians met at the Savoy Palace, but they reached no agreement, their controversies only showed how wide and deep was the schism in the Protestant faith

The divines had had the opportunity to end their religious differences in compromise and harmony ; the decision was now to be taken out of their hands. Before the Savoy Conference broke up in unspiritual acrimony, the Cavalier Parliament had assembled The nation had gone to the polls nerve-strained and anxious The carefree atmosphere of the King's homecoming had quickly evaporated, and sober men watched with alarm the unabated vigour of the sects, While the pulpits echoed the heavy thunder of Puritan oratory, and Quakers were ' blowing the bellows of rebellion among the ignorant ', there were anxious rumours from the West, where ' Jack Presbyter stands a-tiptoe ', and whispers of plots to bring the Dutch and the armies of the Kirk to save ' the good old cause ' in the hour of its defeat. A trifle gave substance to these forebodings Thomas Venner, a bottler of wines, sent fifty men through the streets of London to proclaim the reign of King Jesus Venner himself was captured in an ale-house near Cripplegate, and the King's guards easily rounded up his little army, but the harm was done. Panic-stricken magistrates put four thousand Quakers behind bars in order to relieve the state of its imminent peril. In this frightened temper the nation elected the Cavalier Parliament ; only fifty Puritans were returned to it

The squires came to Westminster determined to scatter to

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the winds the menace of Anabaptist conspiracy. To 'expel the poison of sin and rebellion', they enacted the measures which bear the name of the bewildered Clarendon. Their Corporation Act, 1661, required all holders of municipal office to renounce the Covenant, receive the sacraments of the Church of England, and take an oath of non-resistance. By this comprehensive measure they protected themselves from Presbyterians, Catholics and republicans, and strengthened their hand in parliamentary elections by confining municipal office to royalist Anglicans. In the following year an Act of Uniformity required the clergy to give 'unfeigned assent and consent to all and everything contained' in the Prayer Book of Queen Elizabeth, and two thousand Puritan clergymen gave up their livings. Finding that these ejected clergy evaded the intentions of the law by holding unofficial services among their former congregations, parliament passed in 1664 the Conventicle Act, which forbade more than five persons to meet for any form of worship which was not prescribed by the Prayer Book. 'Never was there a more merciful bill,' decided Henry Coventry, 'that punishes, neither with blood nor banishment, a people that has punished us with both these.' The Cavaliers rounded off their savage code with the Five Mile Act of 1665. It insisted again that all holders of office must swear that they would not 'at any time endeavour any alteration of Government either in Church or State'; and it forbade Nonconformist ministers to preach, to teach in schools, or to come within five miles of a corporate town.

In a sense the Clarendon Code was no more than a logical retribution. The Presbyterians had broken the unity of Elizabeth's Church, by urging that it was too comprehensive, and they had darkened the hour of their victory by declaring that intolerance was a duty which they owed to their God. The Church of England hearkened to their teaching. It narrowed its boundaries, as they had demanded, and made them the victims of their own doctrine of intolerance, finally, it forbade them to serve the King whom they had excluded from his

*'No parson, no squire'*

inheritance. But the chief importance of the Clarendon Code was social. the whole temper of the Restoration settlement bore witness to the boundless strength of the governing class which had resumed its old functions, no longer hampered in its ambitions by the discretionary powers of the Crown. The central doctrine of the Puritan creed, that all men are equal with one another, conflicted with the interests of a squirearchy that in its tradition and its organisation was still semi-feudal. The unwelcome victory of the Independents had revealed to the landowners that the formula 'no Bishop, no King'<sup>1</sup> had a corollary, equally true, which bore uncomfortably on their own interests. 'no parson, no squire'. Thus their championship of the Church of England did not reflect zeal for its doctrines so much as concern to preserve their own social security. The Puritan gentry, some of them wealthy and honoured before the revolution, and some but lately enriched by the property bought from Cavaliers, had to decide between their religion and their worldly interest. Few of them hesitated: they stilled their consciences, and kept their estates by conforming to the law.

The King tried to stem the tide of persecution. In 1662 he suspended the Act of Uniformity for three months, and later in the year his Declaration of Indulgence attempted to shelter the Dissenters from the fury of the law. But times had changed since Elizabeth had warned her parliaments off the discussion of religion. Bishop Sheldon rebuked Charles for inviting 'God's heavy wrath and indignation', and the Commons flatly rejected his plea for toleration, which they described as a scheme for 'establishing schism by law'. Yet this was what they were themselves in the course of doing. The Anglican Church, whose exclusiveness they had buttressed with the majesty of the law, was not the Church of England which Laud had striven to build; the limiting word 'Anglican' proclaimed that it fell short of his ideal of a Church which should embrace all Protestants in a common form of worship.

<sup>1</sup> The Presbyterians failed to master England largely because they did not appreciate the truth of this maxim

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The Bishops and the sectaries had alike been too zealous : the spirit of the Restoration was anti-clerical, and the Church was restored by secular politicians in the interest of their own secular purposes. Anglicanism was the sect which parliament, having rejected the ideal of comprehension, chose to patronise ; and the Tory squires put on the mantle of Defenders of the Faith against an infidel court and the dangers of militant Puritanism. The Church had become an instrument of political faction ; and a Church whose loyalties were reflected in the accommodating conscience of the Vicar of Bray was ill equipped for the spiritual guidance of the nation. In some of the Bishops, in Morley at Winchester, Cosin at Durham, Rainbowe at Carlisle, there lingered a sense of Christian duty born in earlier times. But for the most part the divines of the Church of England were content to spend their powers in arid controversy, and the country parson fulfilled his ministry by reading to his flock the sermons of the great masters of theology, while he devoted all his tact to the higher task of being chaplain and companion to the squire who had appointed him. Outside the large towns, the people, who had had of late a surfeit of religious teaching, were no more inclined to seek inspiration than their pastors to provide it. Before the close of the century an Archbishop was heard to defend his calling largely on the ground that he had been struck by ' the wisdom of being religious '.<sup>1</sup>

Even while it was abandoning its spiritual ideals, the Anglican Church was not being entirely successful in its political mission. The Clarendon Code accomplished its main object, of breaking the back of Puritanism as a political force. As magistrates the Anglican squires relentlessly enforced the laws which they had made, and organised Puritanism, like the Stuarts' plan of government, fell before the strength of the Commons and the Justices of the Peace. But the Puritan spirit did not die. As they were forced to abandon their vision of a Church and state moulded by their principles, the sects gradually forsook the

<sup>1</sup> Tillotson, Archbishop of Canterbury, 1691-4.

### *The survival of Puritanism*

extravagant notions which had persuaded them to strangle the social life of the people ; it was no longer superstition to deck one's house with holly at Christmas ; it was no longer Popery to eat a mince-pie. But persecution did not extinguish them. No longer hampered by their unpopular connection with the Scots, and deserted by the leaders who had adopted their movement for political ends, they settled down to practise those habits of thrift and self-restraint which have henceforth distinguished the English middle class. In every town and village the rivalry of 'Church' and 'Chapel' reminds us that the Clarendon Code failed in half its purpose. The self-conscious roysterings of the Cavaliers, the scurrilous gaiety of the court and the Restoration theatre, touched only the surface of the nation's life. Beneath it a middle class bearing indelibly the mark of Puritan influence went quietly on with its work, its purpose strengthened by daily reading of the Bible and daily participation in family prayers, its worldly labours rewarded by the conscientious shopkeeping by which it avoided the abhorrent sin of idleness. These qualities captured for Englishmen the markets of the world and brought them an empire which they also knew how to govern.

Within a generation of the making of the Clarendon Code, the changing spirit of the age had relieved the Dissenters of many of their sufferings. Toleration did not come heroically, in Cromwell's way. It did not come because Chillingworth and Jeremy Taylor had pleaded for it, nor because Milton had nobly urged that truth was powerful in itself to defeat falsehood. It came because in an age of deflated passion men could muster no convincing arguments against it.<sup>1</sup> As religion fell from the heights of revelation to the flat levels of common sense, the scepticism of Selden was at last heard above the voices of the Covenanters whom he had chastised, and intolerance began to seem unpractical and absurd to an age unfamiliar with the spiritual struggles of which it was born. As the century

<sup>1</sup> Although its coming was delayed because the Clarendon Code gave the Tories a vested interest in intolerance

## *The Restoration*

advanced, men no longer sought religious sanctions for what they did. Already Thomas Hobbes had justified obedience to the state by arguments of fear and calculation, presently Locke would base political obligation on reason and the laws of nature, sanctions which would have been incomprehensible to Cromwell and James I. But the age of Newton and Boyle and the Royal Society measured life by the standards of practical efficiency. Even its architecture pointed to the decay of religious zeal. There was no splendour or mystery in Wien's churches; they were firm and dignified, but they did not recapture the spirit which pervaded the old Gothic cathedrals, that in them the worshipper might approach the unknown.

The new England, therefore, grew impatient of religious intolerance. Catholics must be persecuted, for their plottings threatened the safety of the state; but the Protestant Dissenters had lately shown themselves to be loyal and sober citizens. The arguments of the economists supported the gibes of free-thinkers, for the commercial prosperity of Holland was believed to depend in part on religious toleration. In the end the Dissenters won their freedom when the old Puritan gentry returned to lead the men whom they had deserted. For the Anglican Church was not impregnable. The Whig party drew its legions from the Nonconformist tradesmen scattered through the cities, and its leaders from the renegade Presbyterians who in 1661 had uttered their lukewarm allegiance to the Church and the Crown. Toleration was to be the Dissenters' reward for faithful service to their old masters.

### *4 The fall of Clarendon*

In 1667 Charles dropped the pilot who had brought him home. In the days of the personal government of Charles I, Edward Hyde, an earnest barrister of the Middle Temple, had stoutly opposed a policy which all lawyers had thought to be unconstitutional and despotic. In the Long Parliament he had struck down the instruments of this tyranny: he had helped

### *Clarendon unpopular*

to prepare the impeachment of Strafford, and to frame the legislation which removed the prerogative courts. But the Grand Remonstrance had found him equally ready to protect the constitution from those who menaced it from the other side, and from that time he devotedly served the House of Stuart. At York he drew up the manifestos in which the Crown defended its policy; during the rebellion he acted for the King in the various negotiations with parliament, and after the battle of Worcester he followed his new master to the continent. During the weary years of waiting, his firm confidence that loyal Englishmen would 'see good days again' was a tonic to the exiled court, and when that time came, he was able to assure Monk that the returning King would bury the past and defer to the will of his parliament. Finally, as Lord Chancellor, and honoured with the Earldom of Clarendon, he supervised the making of the settlement, not always approving of what was done, but always watchful that the government should not strain the loyalty of the people.

In happier days, however, Charles began to find him an irksome minister. Clarendon was proud, testy, and by his own confession 'uncounsellable'; he was quick to make enemies, and slow to reconcile them. As his old friends, Nicholas, Ormonde, Southampton, died or left the ministry, he found himself surrounded by opportunists and intriguers, a tribe whom he disdained as 'men of no conscience'. The wits at court mocked his heavy ways; landless Cavaliers never forgave him for not restoring their estates—'upon all occasions', ran a clause in his impeachment, 'he discouraged the poor and suffering Royalists', the new men who came to parliament through the many by-elections<sup>1</sup> were hostile to the statesman of a former age. The nation, too, had its grievances against him, and made him the scapegoat of all the misfortunes of recent years. Men said that Dunkirk had been sold to the French to provide Clarendon with money to build himself a palace; that he had married Charles to a barren Queen so that

<sup>1</sup> By 1667 there were 129 new members

## *The Restoration*

he might one day be father-in-law to a King<sup>1</sup>; and in particular, they blamed him for the indignities suffered from the Dutch in a war which he hated and opposed.

But these trivial animosities would not have brought him down if the King had wished to retain him, he fell because his constitutional theories were obsolete. Clarendon was the last of the Elizabethans. He still revered 'the good old frame of government', an antiquated theory of the constitution in which parliament made the law, the courts interpreted it, and an efficient Privy Council administered it in the interest of the nation. The Council was the guardian of the 'regalities' and 'mysteries' of royal power; 'the body of it is the most sacred and hath the greatest authority in the government of the state, next to the person of the King himself'. The function of parliament, in Clarendon's theory of government, was to vote money and to make the laws. It had the right to criticise the administration, and in the last resort it might uphold the sanctity of the law by impeaching ministers who broke it, but it had no right to dictate to the King the ministers he should appoint, or the policy he should carry out. These conceptions necessarily brought Clarendon into conflict with the champions of parliament, and drove him into a position which he could not successfully defend against the Commons' ascendancy in finance. 'Since Queen Elizabeth did her business in '88 without calling a parliament', he attempted to run the Dutch war and negotiate the peace without parliament's advice; parliament sat for only fourteen months between 1663 and 1667. But the war exposed the poverty of the Crown, and the Commons rebuked and frustrated Clarendon's policy by resolving to appropriate and audit the King's finances. One of the charges brought against him when he fell was that he would not admit that 'the House of Commons was the fittest judge of the necessities and grievances of the people'.

<sup>1</sup> His daughter Anne had married James, Duke of York. In 1662 Charles married Catherine of Braganza, who failed to bear him any children.



### *Clarendon's theory of the constitution*

The theories which stirred parliament to angry defence of its rights were also embarrassing to the King. Charles, as his victim admitted, 'did not naturally love old men'; he resented Clarendon's fatherly addresses on the levity of his court, and was inclined to blame him because his Declaration of Indulgence had miscarried. He was finally driven to dismiss him when he saw that Clarendon's methods had ended in a constitutional deadlock. For the Privy Council was no longer able to bear the weight of authority which Clarendon had laid upon it. It was a cumbrous body with about fifty members; in full session its work was mainly declaratory, and the important work of administration was performed by smaller committees. Charles preferred to evade the unwieldy Council, and to carry on his government through the various heads of departments—the two Secretaries of State, the officials of the Treasury and the navy, and the Secretary at War—who met in an informal cabinet. These men were in close touch with parliament, and were willing to obtain its approval for the government's actions. Charles knew that without this approval he was helpless, parliament's control of the purse enabled it to wreck any policy which it disliked. With Clarendon he found it impossible 'to do those things with Parliament that must be done', for Clarendon's indifference to the rights and prejudices of the Commons had exposed the Crown to attack and humiliation.

A court intrigue, engineered by the King's mistresses and the rising politicians of the future, drove Clarendon to his fall. With him perished the Tudor constitution which he had hoped to restore: the division of duties among a Crown, Council and parliament which were united in purpose. In the eighteenth century the yet unborn conventions of party and the cabinet would allow the aristocracy to revive in its essentials the Tudor plan of government, but the unsuccessful attempts in 1679 and 1701 to restore the old authority of the Privy Council pointed to the fundamental causes of Clarendon's fall. 'The good old frame of government' was broken, and Clarendon sadly left an England which he did not understand. 'The King is a suitor

### *The Restoration*

to you,' he had said to the Convention in 1660, ' . . . that you will join with him in restoring the whole nation to its primitive temper and integrity, its old good manners, its old good humour, and its old good nature ' It was his great service to England that he grounded the Restoration on law and mercy, and that he prevented a royalist reign of terror in 1661, by persuading the revengeful Cavaliers ' to learn that excellent art of forgetfulness '. In 1667 he retired in exile to the continent, to enrich both history and literature by setting down the things which he had seen

## CHAPTER XIX

### THE REIGN OF CHARLES II

The Cabal Foreign policy the Treaty of Dover Danby  
and parliament The Whigs and Exclusion The Tory  
triumph

#### 1 *The Cabal and the Treaty of Dover*

The Cabal seems most infamous when it is least understood. For Charles II was not a tyrant. The men who knew him have left a portrait of a man pleasant, easy-going and fond of his comforts, a superbly skilful politician when he was driven to act, but mostly too lazy to bestir himself. Burnet records that he admired, and casually envied, the boundless authority of the King of France, but that he 'would not give himself the trouble of laying or managing it'. Halifax said that no man of equal ability was ever so lazy in asserting himself. 'He chose rather to be eclipsed than to be troubled. he loved too much to lie on his own down-bed of ease'<sup>1</sup>. Pepys would find him indulging in the 'bewitching kind of pleasure called sauntering', or neglecting his business for the fascinations of 'a little fantastical gentleman called Cupid', while he 'employed his lips about the court'. If Charles had the inclination to be a tyrant, he had neither the energy nor the persistence to disarm the deep-seated suspicions of his people. If tyranny was his object, the time for it was in 1660, when the more enthusiastic royalists urged him to keep the army in being and govern by the Cromwellian prerogative of the sword. But Charles desired no more

<sup>1</sup> Cf. Ailesbury, Charles's intimate friend. 'In fine, his heart was set to live at ease'.

## *The Reign of Charles II*

than to preserve the freedom of action which the constitution allowed him. Burnet shrewdly calculated that 'he did not wish to be like a Grand Signior, with some mutes about him and bags of bowstrings to strangle men as he had a mind to it, but he did not think he was a King as long as a company of fellows were looking into all his actions, and examining his ministers as well as his accounts'.

Charles did not delude himself—he knew that he could never enjoy the prerogatives of his father. But he was determined to carry out a policy of his own, with the help of ministers who were content to do as he bade them. Similarly, he would not, if he could avoid it, accept the dictation of parliament, and when he remarked that 'a King of England that is not slave to five hundred Kings is King enough', he fairly summarised the political programme which Whig historians have found so wicked. By a coincidence, the initial letters of the names of five of the ministers through whom he directed his policy spelt the word Cabal, but to contemporaries the word had not the sinister significance with which posterity has coloured it. Besides Clifford, Arlington, Buckingham, Ashley and Lauderdale, Charles had other servants who were in various ways useful to him, such as Arundell, Trevor, Bridgeman, Dering and Henry Coventry, but since their names made up no convenient pattern, they have been less often remembered. Moreover, the Cabal should not be regarded as a ministry in the modern sense of the word. The members had few links with one another: they were bound only in their common service of the King. Since Charles was determined to preserve his personal independence—after Clarendon he did not intend to have another 'first minister'—he took none of them fully into his confidence, therefore they could not hope to be effective as a combination. They further hampered themselves by giving way to jealousy and personal rivalries: four of them disliked Lauderdale, four of them mistrusted Ashley, and there was a steady feud between Buckingham and Arlington. In fact, the ministers of the Cabal were fit servants for a master who himself was not inflexible in

## *The Cabal*

his principles. Clifford, the only honest man among them, was fierce and narrow ; Lauderdale, who was naturally a bully, had once been a Covenanter, and he had betrayed Montrose , Arlington's unblushing opportunism was soon to allow him to negotiate an alliance with a nation to which he had lately declared his undying enmity. Ashley and Buckingham had had still more varied careers Ashley entered the civil war as a royalist, but he was shrewd enough to change sides in 1644 ; he retired from politics during the dangerous years after the war, but in 1653 he emerged to take his seat in the Barebones Parliament He sat in all the parliaments of the Protectorate, but in 1660 he assured Monk of his support, and was in high favour at the Restoration. For the present he was content to be a King's Friend, but no man could count on his allegiance or his word ; his darkest crimes still lay in the future. Buckingham, the son of Charles I's beloved Steenie, had lost his estates and gone into exile with the unrepentant royalists In 1657, however, he had made his peace with the government, and returned to England to marry the daughter of Fairfax ; and these new attachments had not prevented him from discovering in 1660 that he was still a devoted servant of the House of Stuart.

But these unscrupulous men, free-lances in the world of politics, had certain objects in common : they were eager to promote the nation's trade, they hated the Dutch, and they were in favour of toleration These objects were interdependent, since the Dutch were commercial rivals, and practical men were beginning to urge that toleration was ' good for trade '. The purpose of the Cabal was to put these principles into action, and it was natural that the King should choose ministers who were ready to support him in a policy conceived in the national interest. Where they miscalculated was in thinking that the Cavalier Parliament would support it too For Charles did not intend to defy parliament as Clarendon had done Parliament was prorogued for several months while the Cabal's policy was being prepared, and again while it was executed ; for it was the undoubted right of the Crown to frame the national policy and

## *The Reign of Charles II*

to carry it out. But Charles hoped that parliament could be persuaded to support a policy of which it was to be invited to bear the cost. He counted on his ministers to break down the opposition: on Ashley's downright oratory, on Arlington's capacity for intrigue, on Buckingham's miscellaneous band of personal followers and the block of voters who came from his Yorkshire estates. It was a hopeless quest. There were indeed members who supported the government's policy on its merits, and time-servers who would vote for the King's ministers on any issue. But the temper of the Cavalier Parliament was hostile to everything the Cabal represented. The landowners were opposed to war, for war meant standing armies and taxes to be levied on their estates, and they resisted any pandering to the commercial interest which raised merchants and financiers to a wealth and eminence equal to their own. They hated France, where 'thin cheeks, canvas clothing and wooden shoes' were symbols of the people's bondage, they did not waver in their rooted fear of Popery; and if time was beginning to mellow their hatred of the Puritan sects, Colonel Strangways came up from Dorset to remind them 'what principles brought the King to the block', and to revive among them 'a bitter venom against the fanatics', so that in 1670 they re-enacted the Conventicle Act, which was 'attended with a great shout at its passing'

Here were prejudices which the ministry could not hope to break down. Not one of the Cabal was a sound Anglican: Clifford and Arlington were Catholics, Lauderdale a Covenanter, Buckingham a patron of Independents, Ashley a rationalist who detested the Anglican monopoly because it hampered the development of commerce. It was not within the power of these men to win the support of country gentlemen who added to their other prejudices a mistrust of all policies manufactured by the court. In effect, therefore, Charles was the leader of the opposition to the dominant party in the Commons; and even his supporters fell from him when they discovered that, instead of taking parliament fully into his confidence, he had made a secret agreement with a foreign King.

### *England's commercial interests*

The Cabal was brought low by the failure of its foreign policy Charles's plan was to join England with France in a war to exterminate the Dutch, and during the war to achieve the third of his objects by bringing forward measures for the relief of Dissenters. The policy was well chosen for a nation which was beginning to be conscious of its economic strength. The Rump had pointed the way, and Restoration England made haste to follow it: in the reign of Charles II men realised the possibilities of an overseas empire in which each colony was an economic unit in a system controlled by the mother country. The renewal in 1660 of the Rump's Navigation Act showed that the new government proposed to use all the resources of the state in the cause of commercial expansion.<sup>1</sup> It imposed the tariffs and passed the protectionist measures which contemporary economic opinion demanded; and, in order to keep itself properly informed on economic questions, it set up various committees for trade and plantations which in 1696 were organised as a permanent Board of Trade. The purpose of the Navigation Laws was not merely to encourage shipping and ship-building they were designed to promote the welfare of England and her colonies by pooling the resources of the old world and the new. The colonies were compelled to export only to England commodities which were not produced at home, these 'enumerated commodities' included sugar, tobacco, raw cotton and indigo, and, later, rice and naval stores. Similarly, if they wished to import the produce of Europe, they had to fetch it from England, whither it had been brought in English ships. The new zeal for imperial planning was expressed in 1663 in the foundation of Carolina. Its founders did not design it to be, like most of the American colonies, a settlement for religious refugees; they hoped that it would supply them with the silks, fruits and oils which at that time England was obliged to import from the Mediterranean. Under the stimulus of colonial expansion, English merchants entered on happy days. It is

<sup>1</sup> The comparative peace of Charles's reign permitted the nation to amass capital and invest it shrewdly in colonial settlements

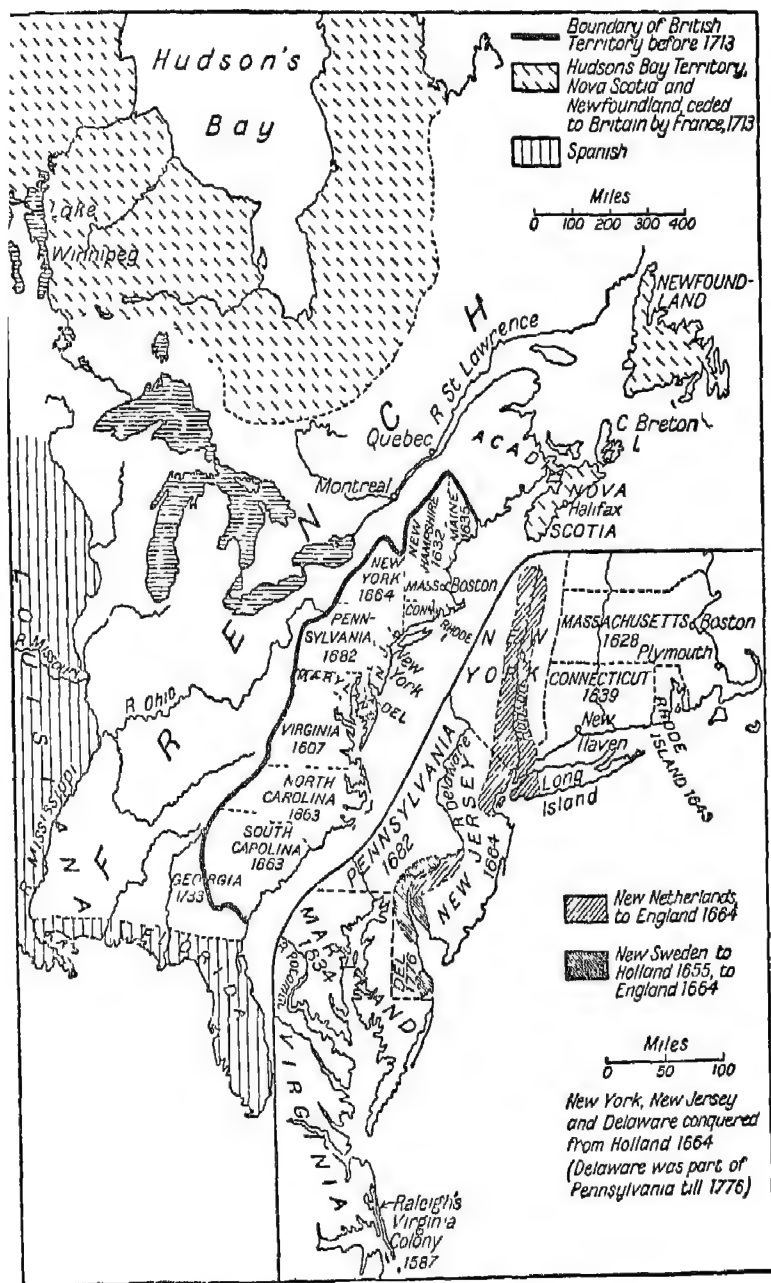
## *The Reign of Charles II*

significant of the new trend in commercial ideas that the older companies, those which had been founded to trade with Europe, rapidly declined. The Muscovy Company, the Merchant Adventurers, once the proud rivals of the Hanseatic League, the Eastland Company, which handled the trade of the Baltic, found that their great days were past. The companies which flourished were those which traded over the high seas. The Levant Company brought home the rich products of Asia; the East India Company, driven from the Spice Islands by the unscrupulous rivalry of the Dutch, settled its factories on the Indian mainland<sup>1</sup> and sent to England valuable cargoes of calico and silk; the African Company recovered the slave-trade with the West Indies; the Hudson's Bay Company was founded in 1670 to carry on a lucrative trade in the furs which were bought from the Indian trappers.

In all their enterprises, however, the English merchants were hampered by the ubiquitous energy of the Dutch. The Rump's hostility had yielded to Cromwell's desire to live in peace with all his Protestant brethren, but at the Restoration complaints broke out anew that the Dutch were ruining English trade. In 1661 a Council for Trade and Plantations reported that they made war on natives and unjustly confiscated British shipping, three years later another committee declared that the Dutch were 'the chief authors' of the heavy losses lately suffered by the East India Company. In 1665 the continuous friction, which Cromwell's peace had not relieved, brought the two countries into war. Its objects were entirely commercial, Clarendon denounced it as 'a bare-faced war', and laid the blame on the African Company and the City financiers, who used the resources of the state to protect their investments. The plague and the fire paralysed England's efforts, and in 1667 de Ruyter sailed up the Medway and burned the ships which lay in dock at Chatham; but the Dutch were equally unable to sustain the war, and in the same year they agreed to concede to England

<sup>1</sup> Charles gave the Company Bombay, which the Queen had brought in her dowry.





THE COLONISATION OF NORTH AMERICA

## *The Reign of Charles II*

the important provinces of New Netherlands which were renamed New York and New Jersey.

In 1668 Charles changed sides. Sir William Temple negotiated an alliance with Holland and Sweden which halted Louis XIV in his path of conquest. But this did not mean that Charles had altered his main purpose. He had earned the goodwill of parliament by ministering to its mistrust of Louis, and he had shown Louis that England was strong enough to be reckoned with, and that her friendship was worth paying for. But his intention all the time was to use the strength of France to help him to drive Dutch competition off the seas, and he immediately began to treat with Louis through his sister, the Duchess of Orleans. Charles did not enter into the French alliance simply because Louis was willing to pay him the money which his own people refused him; nor because he hoped with French arms and French gold to drive a submissive people back into the Roman faith; his correspondence with his sister, the only person whom he wholly trusted, reveals that he believed the war with Holland to be demanded by England's interests. He hated the 'stinking Dutch', the 'butterboxes', whose doctrine of *mare liberum* defied the conventions of international law. He never forgave them for burning his ships. 'That country,' he wrote to Minette, 'has used us both very scurvily.' Charles's patriotism cannot be called into question; it is a sounder criticism that his love for his sister, and his natural affinity with France, blinded him to the danger of raising France too high. Under the vigorous imperialism of Colbert, the French were becoming serious rivals of England in commercial and colonial expansion, and, like Cromwell before him, Charles may be charged with having helped Louis to build up the power which at the turn of the century seemed to threaten the existence of England and the Protestant religion. By that time, however, Louis was master of the harbours and the trade-routes of the Spanish Empire, and the English had made themselves vulnerable by changing their King; events which in 1670 Charles need not have been expected to foresee. Charles joined France

## *The Treaty of Dover*

partly in self-defence : to forestall the Dutch, who were angling for the same alliance as himself ' France will have us or Holland always with her,' he said, ' and if we take them not, Holland will have them ' When he learned that De Witt had proposed to Louis that France and Holland should partition the Spanish Empire, he was obliged to hurry. But in all his dealings with France his first care was England's supremacy at sea : in the treaties he persuaded Louis to suspend ship-building, and stipulated that the naval operations against Holland should be conducted by the English. In the reign of Charles II England pursued the ' westwards ' policy which the Rump had preferred to the ' continental ' designs of former ages, which Cromwell had continued almost by accident, and which the genius of Chatham was to crown with victory in the eighteenth century. It is arguable that this policy was no less careful of England's interest than the wars which protected the House of Orange, and the anxious diplomacy which was lavished on the beggarly Electorate.

The most serious accusation men brought against Charles was that his foreign policy was the mask of a Popish Plot. In May, 1670, Clifford, Arlington, and Lord Arundell of Wardour signed a secret treaty with the French at Dover. It settled the details of the offensive alliance against the Dutch : as well as an army, France was to provide £225,000 a year ; England's reward was to be the Zeeland Islands, and any colonial possessions which she might seize. But that was not all. Louis was to pay Charles £150,000 to declare himself a Catholic, and to lend him 6,000 soldiers if the English should rebel. What Charles and Louis intended by these secret clauses, it is impossible to tell, history records only their actions, and not their private thoughts. By the letter of an unfulfilled treaty Charles stands convicted of a plot to revert to a religion which his people hated, and to crush their resentment with foreign arms. But his actions suggest that he was more anxious to get Louis' money than to fulfil his side of the bargain. Although he generously pictured Louis glowing with an ' inward content of mind ' when his conversion should be announced, he found a series of

## *The Reign of Charles II*

excuses to postpone the day ; when war broke out, he was still unconverted, and the matter was dropped. Charles and Louis were practical men. It is not conceivable that, on the threshold of a war which was important for both of them, Charles really intended to shatter the unity of his people by reverting to Rome, or Louis to send six thousand of his precious soldiers in pursuit of the intangible satisfaction of a religious conversion. Louis doubtless felt that his money was well spent if he could be sure of England's co-operation in his war ; and Charles did no more than issue in 1672 a Declaration of Indulgence for Tender Consciences, which suspended the penal laws, and permitted Protestant Dissenters to worship in public and Catholics in private. When parliament protested, he withdrew it, a quiet life in England was worth more than a Mass.

The policy which has provoked so much controversy completely failed in action. Ashley's reiterated '*delenda est Carthago*' induced the Commons to vote money for the extinction of the old enemy ; and at the end of 1670 Buckingham negotiated a second and public Treaty of Dover, from which the Catholic clauses were omitted. While Louis prepared his alliances in Europe, Sir George Downing was sent to the Hague to irritate the Dutch. But the war, which began in 1672, was not a success. The Dutch overthrew their republican leaders and once more called on the House of Orange to save them in the hour of need. As Turenne's armies came upon them, they cut the dykes and flooded their country in the path of the invader, while at sea the great de Ruyter kept his fleet in being and saved his country. For England had not the resources for a long war. Already, in order to get his navy to sea, Charles had been obliged to suspend the payment of interest on the government's debts, and while de Ruyter's actions in Southwold Bay and off Texel were frustrating the allies' hopes of an immediate invasion, the English parliament was coming to the rescue of the Dutch. Parliament met early in 1673, full of angry suspicions. The government should not have a penny for its war until grievances had been redressed. Charles withdrew

## *The King defeated*

his Declaration of Indulgence, and surrendered his suspending power, but the Commons wanted more : in March they smashed the government's designs in pieces by passing the Test Act,<sup>1</sup> which required all servants of the state to accept the sacraments of the Church of England and to take an oath that they disbelieved in the Roman doctrine of transubstantiation. The blow struck home. Clifford and the Duke of York resigned their posts. Summoned again in the autumn, the Commons resumed the 'great basting' where they had left off : they had many more complaints to make before they would consider granting supplies. Charles knew when he was beaten. His ministry was broken : Clifford was gone, Shaftesbury<sup>2</sup> had joined the opposition, Arlington was threatened with impeachment. His war policy had collapsed because he had no money to carry it on. So in 1674 he gracefully accepted the inevitable surrendering to the prejudices of the Cavalier Parliament, he left Louis to fight his war alone.

### *2. The ministry of Danby*

After the collapse of the Cabal Charles chose his ministers from men who were in greater sympathy with the humours of his parliament. Recent experience had exposed the true worth of the extravagant professions of loyalty with which the Cavaliers had greeted the Restoration. In practice, the famous parliaments of the previous generation had not been more jealous of the prerogative than the body which men had supposed to be more royalist than the King.<sup>3</sup> Charles kept it because he knew that he would not find a better ; but he had learned that he must appoint ministers who respected it, shared its prejudices, and knew how to handle it.

He found an admirable instrument for his purpose in the

<sup>1</sup> In assenting to the Test Act, Charles virtually surrendered the Crown's supremacy in the Church.

<sup>2</sup> Ashley was made Earl of Shaftesbury in 1672.

<sup>3</sup> The loyal Finch considered some of its measures to be 'introductive to a commonwealth'.

## *The Reign of Charles II*

Earl of Danby, whom he appointed to succeed Clifford as Lord Treasurer. Danby laid before Crown and parliament a programme in which each would profit if it made appropriate concessions to the other. True alike to the Cavalier tradition and to the vintage Toryism of the future, he rested his policy on the King's prerogative and the Church of England. For his part, Charles must 'show his steadiness to the Church'; and since in 1674 staunch Anglicanism implied a hatred of Catholic France, he must be won to the foreign policy of his subjects. In return, Danby undertook to revive the parliamentary co-operation which the loyal enthusiasm of 1661 had seemed to promise. If Charles would meet the Commons in foreign policy and religion, the Commons might be persuaded to relieve the Crown of its financial embarrassments.

Danby's programme promised to save the Restoration settlement by improvising from it a workable system of government. He did, indeed, achieve partial success. By means of bribery he won a substantial block of members to the side of the government, and with their help he rescued Charles from debt. In 1675 he brought the revenue up to £1,400,000, paid off the expenses of the war, and restored the Crown's credit by resuming the payments which had been suspended in 1672. His antagonism to France bore fruit when in 1677 the marriage of Princess Mary, daughter of the Duke of York, to William of Orange forged a personal link between England and Holland; and his foreign policy seemed to stand on the threshold of success when in 1677, and again in 1678, parliament voted supplies for a war against the French. But Danby's triumphs were few and intermittent. Final and complete success eluded him because three men cleverer and more persistent than he—Charles, Shaftesbury, and Louis XIV—did not wish him to succeed.

In the first place, Charles was not a suitable King for the brand of Tory sentiment from which Danby tried to profit. The Tories were most at ease in their professions of non-resistance when they were serving a King who was content to fall in with

### *Danby's difficulties*

their policy. But the time had not yet arrived when Charles was ready to be a Tory mascot, he was still determined to protect his policy from parliamentary interference. He was grateful to Danby for making him solvent, but he resented the mood in which the money was granted. When parliament petitioned for an alliance with Holland for the purpose of bringing France within the boundaries fixed by the Peace of the Pyrenees in 1659, he again refused to admit that its financial supremacy entitled it to usurp the Crown's prerogative in foreign affairs. The collapse of his policy in 1673 had not shaken his personal preference for an alliance with Louis, and he had no intention of allowing Danby and the Cavaliers to hustle him into a war of which he disapproved. He was willing, however, to feign enthusiasm for parliament's policy if this pretence would persuade the Commons to vote him supplies for a war which he did not intend to fight; and he gladly consented to such hostile demonstrations as Mary's marriage, since they punished Louis for his intrigues with Shaftesbury, and strengthened his hand for future negotiations with France. Thus between 1675 and 1678 Charles was able to evade the control of parliament and conduct a foreign policy of his own. He silenced parliament by twice proroguing it for fifteen months, and he bound Danby to his service by the convention that a minister's first duty was to his sovereign. So powerful was this convention that the helpless Danby found himself acting as Charles's emissary in pledging England to a policy of neutrality, even while he was publicly seeking parliament's support for war.

Danby not only failed to persuade the King: he failed, even by corruption, to unite parliament in support of his policy. Shaftesbury and Buckingham had not forgiven the master who had deceived them in the secret Treaty of Dover. Revenge and ambition inspired them to frustrate Danby's attempt to base the government on exclusive Anglicanism and an excessive tenderness to the King's prerogative. For Shaftesbury was the first man to shake off the spell of 1661, to argue that the political

## *The Reign of Charles II*

future of England was not of necessity bound up with the interests of the Anglican squirearchy ; in the financiers, the merchants, and the Nonconformist shopkeepers, he saw the elements of a party which with aristocratic leadership would be strong enough to end the Cavaliers' long enjoyment of power. His main purpose was to dissolve the Cavalier Parliament, so that the strength of the moneyed interests in the boroughs might elect a House of Commons more favourable to his designs. But only the King could dissolve parliament, and for the present Shaftesbury had to be content with the negative satisfaction of wrecking Danby's policy. He did not fear to attack the tenderest of Cavalier prejudices, proclaiming his alliance with the Dissenters and asserting the rights of parliament against the efforts of corrupt ministers to bind it to the service of the Crown. In time his persistence skilfully divided his enemies. He prevented the passing of the Non-Resisting Bill, by which Danby sought to impose on all members and officials an undertaking to abide by the existing constitution of Church and state ; by reviving the old shibboleth that the King should ' live of his own ', he managed to keep Charles short of money ; and through the Englishman's dread of standing armies, he disappointed Danby's hopes of war. The fear of standing armies was an emotion which overcame all distinctions of party or principle. Thus the members who clamoured for war were easily stirred to suspect the King's real intentions ' These red-coats ', they concluded, ' may fight against Magna Carta ', and therefore ' drums ought not to be beat here , nor red-coats to be about the Parliament, *in terrorem populi* '. Although they wanted a war, they could not trust the soldiers who were to fight it.

Shaftesbury found a willing ally in the King of France. Louis would lay his hand on any weapon which would prevent the English army from interfering with his campaign in Flanders : sometimes he paid Charles to keep parliament prorogued ; he even managed to bribe the Dutch ambassadors to hold up the negotiations with England ; and when these resources failed



### *The Popish Plot*

him, he bribed the opposition to harass the ministry in parliament. A succession of skilful envoys—Courtin, Barillon, Ruvigny—moved among the members and scattered French gold where it would serve French designs. Shaftesbury and Russell were ready to be factious without being paid for it, but Buckingham, Algernon Sidney and Hampden's son followed their King in being pensioners of France. In the summer of 1678 Louis brought his war to a close at Nymuegen. He had added Franche Comté to his territories, and he had defeated both the efforts of Danby to check him by force, and of Charles to act as a salaried mediator between France and her enemies.

### *3 Oates and Exclusion*

Deceived by his master, and stampeded out of his designs by a determined opposition in parliament, Danby was in a hopeless position; by 1678 his ministry, like that of Clarendon and the Cabal before him, had come to a deadlock, and he was wandering aimlessly among the ruins of his policy. At this point chance threw the game into Shaftesbury's hands. In August, 1678, Charles was informed that two strange men, Titus Oates and Israel Tonge, were spreading rumours of a Catholic plot against the safety of the state. Oates was the son of a Baptist preacher who had been an army chaplain. He had been expelled from Merchant Taylor's School, from a naval chaplaincy, and from a Jesuit College,<sup>1</sup> and he had already been in prison for perjury. Before the Jesuits threw him out, he had picked up in the colleges at Valladolid and St Omer enough information to give colour to the extraordinary stories he began to tell when, in the guise of a Doctor of Divinity of Salamanca, he returned to England in 1678. He spoke of a Papist conspiracy to fire the City, massacre Protestants, kill the King, and establish the Duke of York on a throne supported by the bayonets of Ireland and France. There were no half-measures in Oates's lying. His accusations, drawn up in eighty-one sections, not merely gave the

<sup>1</sup> He had not, however, been expelled from Trinity, Cambridge

## *The Reign of Charles II*

details of the plot, but named the conspirators and the work which each was to perform

'It is a stupendous thing,' as Secretary Coventry observed, 'to think what vast concerns are like to depend upon the evidence of one young man who hath twice changed his religion.'<sup>1</sup> In many ways the mind of Restoration England was sane and practical, but it had not yet learned to think clearly about Popery. To a generation living on its nerves Oates said nothing that was not credible; indeed, his tales confirmed the gloomy suspicions of recent years. If the Papists had, as men believed, set fire to London once, they would do it again. It did not matter that Charles summoned Oates before the Council and twice convicted him of lying: sane men were helpless before the storm of fear and anger. Some monks had been heard singing a *Te Deum*, a store of cutlasses had been discovered underground; so Lady Shaftesbury carried a pistol in her muff, cannon were brought to Whitehall, and guards ceaselessly patrolled the cellars at Westminster. To swell the panic, fortune three times favoured Oates's impudence. First, his accusations chanced to unearth evidence of the real Popish Plot, the designs which matured when James II was King: searchers discovered the correspondence of Edward Coleman, secretary to the Duchess of York, with the courts of France and Rome. Secondly, the strangled body of Sir Edmund Godfrey, a popular magistrate to whom Oates declared that he had entrusted the proofs of his accusations, was found in a ditch by Primrose Hill. It was inevitable that men should believe that the Papists had killed him to suppress the evidence of their guilt. Finally, a former ambassador to the Court of St. Germain chose this heated moment to reveal Charles's recent negotiations with Louis, and the part which Danby had so unwillingly played in them. Montagu's treachery was a crushing blow to those who hoped that the panic would be quickly past, for it implicated the Crown and its ministers in the Popish Plot. Danby pleaded in vain that he had been only the impersonal instrument of his master's wishes, to save him

<sup>1</sup> Oates had once been an Anglican parson

### *Exclusion proposed*

from impeachment, Charles parted at last from the Cavalier Parliament.

Shaftesbury did not waste the opportunity for which he had waited so long. Panic blotted out all party distinctions : Cavalier sentiment disappeared in the nation's mistrust of the policy of the court. Thus at the elections in the spring of 1679 Shaftesbury's 'country party' was returned in overwhelming strength ; men saw Godfrey's admonitory ghost at their shoulder as they went to the polls, and only the 'heathens' of Cornwall remained loyal to the court. With Cavalier loyalty thus led astray by wrath, Charles made ready to fight his lonely battle for the prerogative. He knew already what he had to face : for in the previous November, during the final session of the Cavalier Parliament, Shaftesbury's satellites had formally proposed the exclusion of the Duke of York. Charles had suffered much : in his conflicts with parliament he had seen his prerogative whittled down, and had submitted to what he could not prevent ; but he refused to submit to a scheme which damaged both the principle of hereditary kingship and the honour of his House. He refused to allow the monarchy to be converted into an elective office whose tenure rested on the capricious approbation of parliament. One easy solution lay before him : he might divorce his barren Queen, and marry again in the hope of begetting an heir. But with unexpected chivalry he declared that he would not 'see an innocent woman abused'. York was his brother and his heir, and York should succeed him.

Halifax likened Charles's tactics to the deceptive pliancy of a feather-bed, which yields to blows but is never broken. So long as passion ran high, he let it take its course. He sent his brother out of the country ; when parliament attainted Danby, he did not again try to protect him ; he stood aside while Oates gathered in his dreadful harvest. Shaftesbury's little band of pensioned perjurers, lately joined by William Bedloe, a horse-thief from Chepstow, swore away the lives of about thirty-five innocent men : 'Let the blood lie on them that condemn them,' said

## *The Reign of Charles II*

Charles, 'for God knows I sign with tears in my eyes.' On two points Charles stood firm. he vetoed a measure to deprive him of his command of the militia; and when the Queen was accused of a plot to poison him, he furiously compelled Oates to drop his charges. For the most part, however, he 'let the laws take their course', confident that time would soften hatreds, and that eventually his people would be sane again. In his struggle to protect the hereditary kingship, one great man stood always by his side. In the *Character of a Trimmer* the Marquis of Halifax has written down the articles of his political faith. To a violent, nerve-hidden age he brought the cool mind and the moderating counsel of a *Politique*. He loved neither despots nor demagogues; and against the anarchy of despotism and faction he appealed to the law, 'not the King's laws, nor the Parliament's laws, but the Laws of England'. For 'Our Trimmer thinketh that the King and Kingdom ought to be one creature, not to be separated in their political capacity, and when either of them undertake to act a part, it is like the crawling of worms after they are cut in pieces'.

While Charles bargained with Louis for money to carry on his government, he fretted Shaftesbury with ingenious delays. To gain time, he fell in with a doctrinaire scheme fathered by Sir William Temple. In April, 1679, the Privy Council was replaced by a new Council, only half of whose thirty members were members of the ministry; it being hoped that this body, by which all business was to be transacted, would be able to hold the balance between the ministers and parliament. The scheme failed, but it sheltered Charles from too frequent clashes with a House of Commons dominated by Shaftesbury. In July, after it had read an Exclusion Bill for the second time, he dissolved this parliament, and when the autumn elections returned a second Whig parliament as uncompromising as the first, he prorogued it for a year before it met. In the meantime, he was offering a programme of his own which good Cavaliers, when they had shaken off their panic, might find easy to accept: he agreed that if York became King, his power should be limited. In

### *Charles offers 'limitation'*

effect, he revived the proposals which the Long Parliament had vainly submitted to his father between 1642 and 1648. In November, 1678, he promised that he would assent to measures 'to make you safe in the reign of my successor', and to 'establish a firm security for the Protestant religion'. In 1679 his ministers made concrete proposals parliament should control appointments in Church and state. In 1681 he was to go so far as to offer to make Mary and Anne regents, so long as their father held the hereditary title. The government's policy of 'limitation' reconciled the two fundamentals of Cavalier politics, respect for the King and respect for the established Church. To recover the allegiance of the Cavaliers, Charles abandoned his hope of toleration, and meekly entered the Anglican fold. He vowed that he would 'maintain the Church as it is now established, and not be of a religion that can make all things lawful, as Presbytery can'. The exclusion crisis taught him what he had been slow to learn: that the wise old formula, 'No Bishop, no King' was still as true as it ever was. 'I will not be for lessening' the Church, he said, 'for if I do, I know that I less my Crown, for we must march together'.

The tide turned slowly in favour of the King. In July, 1679, when the Queen's physician was acquitted of a plot to poison Charles, Oates was for the first time balked of a victim; and when he failed to bring down the judge who had conducted the trial, judges and juries found again the courage which they had lost. Shaftesbury began to grow anxious. The success of his designs depended on an artificial panic which was already waning; and between May, 1679, and October of the following year, no parliament sat to translate his programme into law. Unlike Charles, he would not compromise. he rejected the expedient of 'limitation', which, said Richard Hampden, was like 'binding Samson with withes'. He preferred to keep alive the fear of Popery by a campaign of organised violence. In Aldersgate, among the rabble which had once served Pym, the Green Ribbon Club deliberately prolonged the reign of terror. The King was attacked in lewd songs and libellous pamphlets;

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letters found in a meal tub were proclaimed to be fresh evidence of the irrepressible wickedness of all who adhered to the Catholic faith; a band of cattle-stealers was brought from Ireland to reveal the black intrigues of the Irish clergy. In an attempt to intimidate Charles into summoning parliament, Shaftesbury organised a series of petitions, from which were born the famous names of Whig and Tory. The 'petitioners' were nicknamed Whigamores, after the sour faces of the Scottish Covenanters; and the courtiers who 'abhorred' the petitions were called Tories, after the bands of Popish robbers who dwelt in the Irish mountains.

By way of violence Shaftesbury hurried to his own destruction, as Charles had intended that he should. His greatest mistake was to adopt as his candidate for the throne Charles's illegitimate son, the Duke of Monmouth. If James was unfit to be King, the lawful heir—and, therefore, the only candidate for an honest man—was his Protestant daughter Mary, with or without her husband, the Prince of Orange. When Shaftesbury adopted Monmouth, a weak man, popular but unstable, he exposed his real aims: he would challenge the indefeasible, hereditary right of the House of Stuart, in order to set on the throne the chosen puppet of Whig politicians. He did not better his case by asserting that Charles and Lucy Walters<sup>1</sup> had been legally married, and that the proof of it was stored in a Black Box which had been stolen by the Pope. His mistake was final. In fear of the overmighty subject all the 'trimmers' joined the King.

### *4. The Tory triumph*

When parliament met at last, in October, 1680, the Tories could not arrest the triumphant progress of an Exclusion Bill through the House of Commons, but when it was brought to the Lords, Halifax rose fifteen times in a single afternoon to defend the hereditary succession. 'So much the weight of one

<sup>1</sup> Monmouth's father may have been Robert Sidney.

## *The Oxford Parliament*

brave man can do': the Bill was rejected by 63 votes to 30. To placate the furious Commons, the peers sent the aged Viscount Stafford to the block,<sup>1</sup> but it was Shaftesbury's last success. In January Charles dissolved parliament, in the face of a threat that the 'brisk boys' would storm the Palace; for he judged that the time had come when he could appeal to the country against the violence of the Whigs.

The elections once more returned an overwhelming majority of members pledged to exclusion; but this result did not reflect the will of the nation, so much as Shaftesbury's skill in electioneering, and the oddity of the electoral system. The counties returned eighty members, the boroughs more than four hundred; the Whigs won the election because their strength lay in the towns. Charles ordered the parliament to meet in Oxford, ever the 'retreat of Kings in time of war and pestilence',<sup>2</sup> where Shaftesbury's City mob would be unable to terrorise the members. The Whigs bore down on Oxford like an army, each lord attended by his retainers, and Monmouth assuming the dignities proper to a King. In his speech to parliament Charles went to the limits of concession, and when the Whigs again refused to accept anything less than exclusion, he prepared to strike his blow. 'I will never yield,' he said, 'and I will not be intimidated . . . I have reason and law in my favour, well-minded people are on my side.' So was the King of France. Fearing that the success of exclusion might bring William of Orange to the English throne, Barillon gave his master's promise to pay Charles money to rule without parliament. On the next day, only a week after it had met, parliament was dissolved. Their 'loud sighs' and 'dreadful faces' told of the dismay and astonishment of the Whigs, who believed that they had at last brought the King to the point of surrender. But their protests were not more serious than 'the distractions and dejections of routed armies'. Taken by surprise in the hour of apparent victory,

<sup>1</sup> He had been charged by Oates and imprisoned in 1678.

<sup>2</sup> The court occupied Merton, Christ Church and Corpus. The Whigs went to Balliol.

## *The Reign of Charles II*

they had no plan ; although Shaftesbury tried to rally them to resist, their nerve failed them, and they hurriedly took to their horses and their homes.

Charles had won. Throughout the long crisis he had surrendered only two minor points : henceforth the dissolution of parliament would not terminate the process of an impeachment ; and in 1679 parliament passed an act to revise the procedure of *Habeas Corpus*. Otherwise, Charles had kept his prerogative intact ; and in the end he had not merely saved the hereditary succession, but he had saved it free of the conditions which he had been prepared to accept. But his victory was won at a price. Henceforth he would have to abandon toleration and the French alliance, and conform to the Tory prejudices which he had formerly sought to evade. In 1681 he asked parliament to ' make the laws of the land your rule, because I am resolved they shall be mine ' ; at last he would be a constitutional King. For in reality the Crown's success in 1681 was less a victory for the royal prerogative than the victory of the Tory party. Charles saved the succession by identifying it with property, the law, and the Anglican Church, against Shaftesbury's alliance of aristocrats, Dissenters, and merchants, the alliance which had fought the King in 1642 and which seemed to be ready to fight him again. In his extremity Charles had admitted the right of subjects to impose limitations on the Crown ; and he was not rescued from it until the imminence of civil war reminded the Tories that it was by an alliance with the court that they had shattered their enemies in 1661. No King, no Bishop ; and therefore no Anglican monopoly of power. Shaftesbury overreached himself, and drove the moderates<sup>1</sup> to the side of his natural enemies, by adopting an obvious puppet as his Pretender, and by showing that he would risk a war to gain his ends. As Sir Edward Seymour had told the Commons in 1680, ' when you seclude the Duke for religion, you make a war for religion ' ; Louis would take up the cause of the banished Prince, and exclusion could not be maintained without a standing army.

<sup>1</sup> The men who had broken the Cabal and mistrusted Danby.



## *The Tory victory*

At the threat of war the nation rejected both the bastard and the King-maker.

Between 1681 and 1685 no parliament was summoned, and there were the misleading appearances of a royal despotism. The crisis had again shown the Tories that their political interests were best served by the doctrine of non-resistance; that the attempt to exclude the Duke of York had been also an attempt to exclude themselves from the seats of power. It was natural, therefore, that in their relief that the danger had been withdrawn they should somewhat overstate their principle. A spate of poems, plays and pamphlets proclaimed the sacred duty of obedience. While Tory controversialists sought to prove that their enemies were really republicans, Dryden's allegorical poem *Absalom and Achitophel* exposed the motives of the defeated exclusionists; in his *Observer* Roger L'Estrange, a journalist of genius, assailed even the 'trimmers' for the lukewarmness of their allegiance; among a profusion of loyal addresses, the University of Oxford condemned the theory that government depended on a compact between the King and his subjects, and the men of Devon besought the King 'never to trust this generation of men more, whose religion had its birth in rebellion and is as inconsistent with monarchy as light with darkness'.

But the value of these protestations was no more than academic. 'In their cups,' wrote Burnet, 'the old vigour and the swaggerings of the Cavaliers seemed to be revived'; but the misfortunes of James were to prove the unwisdom of putting too heavy a strain on the doctrine of non-resistance. Although Filmer's historic defence of Divine Right was at length published, nearly thirty years after its author was dead, no Tory was prepared to accept its theories literally. The real business of the Tories in these years was to avenge the past by completing the rout of their enemies, and to safeguard the future by arming themselves against further surprise. Charles's last ministry was the first in English history to be drawn exclusively from a single party. It contained, it is true, Halifax, who did not

## *The Reign of Charles II*

partake of the enthusiasms of the triumphant squires ; and Ormonde and Nottingham, who represented the old-fashioned Toryism of Clarendon and were suspicious even of Tory faction. But the bulk of the court party, led by Rochester and Clarendon, sons of the old Chancellor, were determined to use their power to break the strength of Shaftesbury's following

In 1683 a Whig conspiracy gave the Tories the opportunity to take the revenge from which Charles and Halifax had so far restrained them. Fiantically urging his friends to acts of violence, Shaftesbury saved his own skin by taking refuge in Holland. Two old Cromwellian soldiers, Rumbold and Rumsey, obeyed him too well : they planned to assassinate the King at the Rye House as he returned from Newmarket. Of the Whig leaders involved in the plot, Russell and Algernon Sidney died on the scaffold, and Essex cut his throat in prison. Meanwhile, the Tories were busy in driving their enemies from public life. The detailed severity of the Clarendon Code damped the rising hopes of the Nonconformists ; loyal churchmen replaced Whig Justices and Lords-Lieutenant, in London a royalist Lord Mayor secured the return of Tory sheriffs who would answer for the goodwill of the City juries

In a short time, therefore, the principal institutions and social groups in the country were absorbed into the government's system. The great trading companies would have preferred the Whigs, but were ready to submit to a government that did not neglect their interests ; the established Church was already a part of the Tory machine, Nonconformity had as yet no political coherence ; the Universities were extravagant in their loyalty, and the Inns of Court furnished no *noblesse de robe* like that which distracted the monarchy in France. Finally, the Tories expelled the Whigs from their last stronghold, the municipal corporations. By their judicial powers, and in particular by their control over borough elections, the corporations were able to obstruct the policy of governments of which they disapproved. These privileges depended, however, on charters which had been granted by the Crown, and what the

### *The surrender of the corporations*

Crown had granted, it might lawfully revoke. Evesham and Norwich were the first to fall, and in 1683 the City of London itself, 'the Republic at the King's side', was forced by the procedure of *Quo Warranto* to surrender its charter. When London had fallen, no provincial city could hope to resist; as Jeffreys went about his work, the corporations hastened to yield their charters at his approach. Their surrender completed the victory of the Crown,<sup>1</sup> which was now master both of municipal government and of parliamentary elections in the towns. Although Halifax urged that one be called, no parliament met during these years; probably because, now that Charles had deferred to their prejudices, the Tory gentlemen found no business sufficiently pressing to justify the tedious and costly journey to London. But the effectiveness of their machinery was triumphantly tested in the first parliament of James II, in which there were only forty members who were hostile to the court. An eventful reign thus drew quietly to its close, with the Tories entrenched in an impregnable citadel from which they could not be removed unless they consented to surrender it themselves. To their own surprise, this was exactly what they were going to do. For in 1685 Charles II died, and England was delivered to '*la sottise de mon frère*'.

<sup>1</sup> Danby's unsuccessful Non-Resisting Bill of 1675 was now a reality

## CHAPTER XX

### THE SECOND REVOLUTION

The follies of James    The Revolution.    The settlement

#### I. '*Capax imperii nisi imperasset*'

As a French historian has written of the Emperor Napoleon III, '*le grand malheur de cet homme fut de regner*'.<sup>1</sup> Before he came to the throne, James II had shown himself to be a highly competent bureaucrat. As Governor of the Royal African Company, and later of the Hudson's Bay Company, he had been jealous of his people's rights and had stimulated their growing interest in overseas expansion, as Lord High Admiral, he had fought bravely and not unsuccessfully against the Dutch, and with Pepys he had thrown himself with enthusiasm into the duties of naval administration. But, although he was a good administrator, he had not a shred of the political sense which fits a man to hold a post of discretion. He lacked Charles's easy humour and suppleness of mind; instead, he had inherited his father's austere inflexibility, his awkwardness in human contacts, his inability to gauge men's reaction to his doings. His resignation in 1673 had proved the firmness of his conscience, his unwavering determination during the crisis of exclusion had revealed his courage, but neither of these setbacks had taught him wisdom. He came to his throne apparently unaware how nearly he had lost it, his brain echoing the soothing phrases of Tory loyalty, but forgetful that a politician as resourceful as his brother had been obliged to bow to Tory prejudice.

Charles himself had had little confidence in James's wisdom.

<sup>1</sup> P. de la Gorce, *Le Second Empire*

### *James's advantages*

'When I am dead and gone', he once remarked, 'I know not what my brother will do. I am much afraid that when he comes to wear the crown he will be obliged to travel again.' Yet few English Kings have come to so secure a throne. The court, the local magistracy, the town corporations, and potentially the House of Commons, were recruited from a party which admitted the principle of the Divine Right of Kings, and did not challenge the Crown's prerogatives to appoint ministers, officers and judges, to summon and dissolve parliaments, to control expenditure, and within reasonable limits to exercise a dispensing power. Something, it is true, was demanded in return: the King must acknowledge parliament's supremacy in legislation and finance, and in framing his policy both at home and abroad he must respect the principles of the Anglican Church. James had only to avoid offending Tory susceptibilities and the Tories would, in their own interests, protect him from the Whig doctrine that the King was a public servant holding his office by the goodwill of the nation. Moreover, his enthusiasm for the navy, colonial development, and the growth of trade, was likely to win him the favour of the Whigs, whose political principles were always liable to be adjusted to correspond with the figures in their trading accounts.

It was only by his own fault, therefore, that James failed to be a successful and respected King. His undoing was his obstinate devotion to the Catholic religion. Halifax marvelled to see how 'the Old Lady Rome' still had power to fascinate the hearts of men 'so far from handsome, yet so imperious; so painted, yet so pretending; after having abused, deposed and murdered so many of her lovers, she still findeth others glad and proud of their new chains. She sitteth in her shop and selleth at dear rates her rattles and her hobby-horses, while the deluded world still continueth to furnish her with customers.' Unguided by the lessons of the recent past, James determined to make England Catholic again. If not to overthrow the established Church, at least to withdraw the penal laws and to raise Catholics to high offices in the army and the state. He chose an unlucky moment for his designs. In 1685 Louis XIV revoked the Edict of Nantes

## *The Second Revolution*

and thousands of Huguenots fled in terror from his *dragonnades* ; before the English had recovered from the shock which Oates had given them, these refugees were the living witness of a Popish Plot as terrible as any which Oates had imagined. When, therefore, James failed to carry out his plans by constitutional means, he fell back on the unfettered exercise of his prerogative. The challenge alike to the Protestant religion and to the constitutional gains which they had secured in 1641, united the oligarchs of England to destroy James as they had destroyed his father.

The reign opened with a necessary formality : Oates was fined and pilloried, whipped from Aldgate to Newgate and from Newgate to Tyburn, and finally thrown in prison.<sup>1</sup> Then, in May, 1685, James met his first parliament. The government used all its influence, and the new borough constitutions did their work. the King was greeted by solid ranks of Cavaliers, more firmly royalist than in 1661, since the recent crisis had taught them that royalism was an expedient doctrine. The Commons voted James an income of nearly £2,000,000 for the rest of his reign, but the course of the debates should have warned him that there were some matters in which their loyalty should not be strained too far. they insisted, for instance, that the laws against Dissenters should be enforced, and they asked James to define his attitude to France.

Their discussions were interrupted, however, by anxious rumours of rebellion. The Earl of Argyll, whose family had a tradition of disloyalty as unbroken as that of the Russells in England, tried to raise the Lowlands against the government. He was easily caught ; the real danger came from Monmouth's rising in the Western counties. Monmouth was handsome and attractive, and he was known to be a Protestant, but his rebellion was not wholly dynastic. A series of bad harvests had im-

<sup>1</sup> In 1688 the martyr was released and granted a pension. He became a Nonconformist minister, and was dismissed for embezzling the funds of his chapel.

### *Monmouth's rebellion*

poverished the yeomen and the peasants, and the cloth towns of the West were losing their trade to the competition of the Yorkshire Ridings. Thus the army which fought at Sedgemoor was recruited from unemployed labourers who seized on this clumsy hope of bettering their fortunes. After the battle the government took an inhuman revenge. While Jeffreys condemned hundreds of the helpless peasants to transportation, Colonel Kirke and his 'Lambs' put into practice the devices which they had learned in the garrison at Tangier. as music played a dance of death, the victims' stomachs were smeared with pitch and fired. A wiser tactician than James would have spared even Monmouth's life; for with Monmouth dead, Orange would have the undivided support of all the advocates of exclusion.

When parliament reassembled, in November, James declared that he must have 'a well-disciplined standing force' as a precaution against future rebellion. The members, who had been badly frightened, offered £700,000 for the upkeep of an army, but first they wished to know why there were Catholics in the army which had returned from the West, and why there was a Catholic chapel in the camp on Hounslow Heath. James's reply openly declared his intentions: he admitted that he had appointed Catholic officers, and asked parliament to legalise the appointments by repealing the Test Act. Whereat there was a 'great dejection of countenance'. James had asked of Tory loyalty more than it could give. Even before he came to the throne, Bishop Morley had warned him that 'if ever he depended upon the doctrine of non-resistance, he would find himself deceived'; and when he asked them to give up the Test Act, which they regarded as their stoutest dyke against the overwhelming flood of Popery, he dissolved the alliance with the Tories which was the firmest prop of his throne.

Parliament was prorogued in the same November, and although it was not dissolved until the summer of 1687, it never met again. Henceforth James was 'for rougher methods'.<sup>1</sup> Since parliament and the Tories had failed him, he would accomplish his

<sup>1</sup> Burnet

## *The Second Revolution*

objects by means of his prerogative ; and when, as he hoped, his policy of toleration had won the Dissenters to his side, a more complaisant parliament might repeal the Test Act and allow him once more to behave as a constitutional King. In June, 1686, the judges gave a decision which enabled him to fill all the offices of state with Catholics. In the case of *Godden v Hales* they decided, with only one dissident, that, as ' the laws are his laws ', the King had the right to evade statutes by the exercise of his dispensing power. Legally the decision was correct, but it meant that all the statutory defences against Popery were ineffective against a King who had the will to destroy them. On the strength of it, James filled his ministry with Catholics. Halifax had already gone, for defending the Test Act ; and even Clarendon and Rochester, High Churchmen though they were, could not hold their places under a Catholic King. For his inner council, or cabinet, James relied on the Earl of Tyrconnel, Lord-Lieutenant and commander of the Irish army ; Nicholas Butler, a convert from Protestantism, Father Petre, his confessor, Henry Jermyn, once chamberlain to Henrietta Maria ; and the Earl of Sunderland, a man of no religion, who had already been both a courtier and an exclusionist. The Catholic Strickland was appointed to command the fleet, and provoked a mutiny by ordering a public Mass ; four Catholic lords, Powis, Arundell, Dover and Bellasyse, entered the Privy Council ; the Catholics Melfort and Perth controlled the government of Scotland. Catholics flocked into offices high and low, in the army, in the corporations, as lawyers, mayors and Justices of the Peace. A nuncio came from Rome ; there was a Catholic chapel at Whitehall, a Jesuit school at the Savoy ; the Dominicans and Benedictines opened friaries, Catholic priests celebrated Mass all over the country.

This was only a beginning. To achieve his purpose, James had to bring his attack against the very heart of Anglicanism : the corporations, the magistracy, the universities, and finally the Church itself. In 1686 he set up a Court of Ecclesiastical Commission. In order to evade the law of 1641, it had authority over ecclesiastics only, and it was established on the plea that the



### *Religious policy of James*

King needed such a court to perform his duties as Head of the Church ; its real purpose, however, was to force the clergy into obedience to the King,<sup>1</sup> and its first action was to suspend the Bishop of London for refusing to carry out his master's orders. Then James began to invade the privileges of the universities. At Cambridge, the Vice-Chancellor was suspended for refusing to give a degree to a Benedictine monk. At Oxford, the Catholic Bishop Parker made conversions among the Fellows and the students, the Master of University College celebrated Mass in his College chapel, and the King used his dispensing power to appoint a Catholic as Dean of Christ Church. Finally, the Fellows of Magdalen were ejected in a body for electing their own President in preference to a Catholic whom the King ordered them to choose.

In 1687 James decided to test the success of his policy by summoning a new parliament. As a first step he offered a substantial bribe to the Dissenters — a Declaration of Indulgence, issued in April, safeguarded the Anglican establishment and the holders of monastic property, but it suspended the penal laws and gave Catholics and Dissenters freedom to practise their religion. Further to prepare his ground, James attempted both to counter the influence of the Tories in the country districts and to drive them from their new stronghold in the borough corporations. Like Shaftesbury, he set himself to break the Anglican monopoly of power. 'The whole machine was phanattick, and the design was, to compass a phanattick Parliament'. In the towns 'Regulators' were appointed to purge the corporations and admit Catholics and Dissenters to the franchise, and in the counties the Lords-Lieutenant were urged to bring pressure on the Justices to pledge themselves to support the repeal of tests, and to procure the election of candidates who supported the King's programme.

But the 'phanattick machine' refused to work. In the first place, the Dissenters hesitated to be rescued by the prerogative

<sup>1</sup> Thus the weapon used by the Tudors against Rome was finally used by a Catholic King against the Church of England.

## *The Second Revolution*

which their fathers had fought to reduce Halifax had no love for the Dissenters' religion, which he regarded as 'a disease that hath seized upon their minds'; but in two of the most influential pamphlets ever written, the *Letter to a Dissenter* and *The Anatomy of an Equivalent*, he earnestly implored them to harden their hearts against a Catholic bearing gifts. This freedom which they were promised was but the prelude to a harsher bondage for 'these new friends did not make you their choice but their refuge. . . . The other day you were sons of Belial, now you are angels of light' Let them, therefore, be mistrustful of this coming together of 'liberty and infallibility . . . the two most contrary things that are in the world.'

Secondly, while the Dissenters were ready to sacrifice the promise of immediate toleration for the prospect of toleration constitutionally granted by parliament in a remoter future, the Tory party abandoned its alliance with the Crown James had loosened the two strongest props of his throne, the landed gentry and the Anglican Church; by threatening the rights of parliament and the integrity of the Protestant religion, he had re-awakened the prejudices which had overwhelmed the Cabal in 1674. Whatever may have lain beyond it, his goal of toleration was a worthy one. Burnet spoke of liberty of conscience as 'one of the rights of human nature'; it was the ideal of the great Quaker William Penn; Locke justified it by appealing to human reason; in happier circumstances no one cherished it more dearly than Halifax James failed because he associated the cause of toleration with armed Popery and unconstitutional government; and because that cause was distasteful to the men whose social and political interests were bound up with an exclusive and persecuting Anglicanism<sup>1</sup> Thus his attempt to sway the elections collapsed before the obstinacy of the gentry The Lords-Lieutenant and the magistrates were undeterred by threats that they would be dismissed they resolutely refused to 'pre-engage' Seymour united the magnates of the West;

<sup>1</sup> Cf Newcastle 'There is no gentlemen but such as go to Church and hear Common prayer'

## *Trial of the seven Bishops*

in London the Tory Mayor and aldermen lost their posts ; with the loyal families of Astley, Stanley, Bertie and Finch, the Tory leaders deserted the Crown

Sufficient evidence of his people's displeasure came to James to persuade him that the time was not yet ripe for summoning his parliament. Believing, however, that the Dissenters would support him if they knew what he had offered them, he determined to advertise his clemency : in May, 1688, he reissued his Declaration of Indulgence, with orders that it should be read on two successive Sundays in every church in the kingdom. Thus he drove the clergy into a dilemma from which they could not escape : either they must betray the principles of their Church, or they must resist their King. At length Archbishop Sancroft and six of the Bishops petitioned the King to revoke his order, on the ground that his use of the dispensing power had three times been declared illegal by parliament. James decided to take a strong line : by humiliating the leaders of the Church, he would show that he was not to be trifled with. Thus, while troops of Irish Catholics swelled the army at Hounslow, he proclaimed the petition to be ' a standard of rebellion,' and ordered the Bishops to be charged with seditious libel. On June 30th they were acquitted, and ' there was a most wonderful shout, that one would have thought the Hall had cracked ' ; it was an ill day for the monarchy when Presbyterian London was provoked to make heroes of Bishops

## *2. The Revolution*

On the day that the Bishops were acquitted, another seven met in Shrewsbury's house in London, and sent a formal invitation to William of Orange to land in England. For the past year several English politicians had been cautiously sounding William's attitude. In April, 1688, Admiral Russell went to the Hague ' to put upon the Prince to explain himself what he intended to do.' William was equally cautious. To the rights of the religious and constitutional conflict in England he was

## *The Second Revolution*

indifferent ; he thought only of the greater conflict in Europe, where the Dutch were defending their existence against Louis XIV. If, as King of England, he could draw his new people into the struggle, Louis might be defeated ; on the other hand, he could not afford to risk time or men or money in forcing himself on a country where he was not welcome. At first, therefore, he contented himself with declaring that, although religious tests should be maintained, he was in favour of a measure of toleration for Protestant Dissenters ; and even when James embedded himself more deeply in his stupidities, William would go no farther than to say that he would be ready to sail in the autumn if the ' most valued ' men in England assured him of their support.

In England opposition was slow to form. Discontent was general, but it is a long step from discontent to revolution ; the savagery of 1685 had made its mark upon the nation, and the formidable array on Hounslow Heath discouraged recklessness. But in June, 1688, a son was born to Mary of Modena, James's Catholic Queen<sup>1</sup>. Men saw before them an endless succession of Catholic Kings ; they might no longer reflect that the rigours which they suffered from James would vanish when his Protestant daughters succeeded him. The protest of the Bishops made rebellion possible, for the doctrine of non-resistance had been abandoned by the men who most strenuously upheld it, their acquittal showed that the King could be defied. James had already lost the support of parliament, the universities and the gentry ; the Dissenters were deaf to his offers, finally, the Church and the judges had deserted him, and even his soldiers had shown their sympathy with the Bishops. Three weeks after the birth of a Catholic heir had made revolution necessary, the trial of the Bishops showed that it was likely to succeed.

The seven men who invited William to sail represented no single party and no single interest, Whig and Tory forgot their differences in the face of James's attack on their religion and their

<sup>1</sup> His first wife, Anne Hyde, died in 1671, leaving him two daughters, Mary and Anne. He married Mary of Modena in 1673.

### *The invitation to William*

political power. Devonshire, Russell and Henry Sidney were Whigs ; Danby and Bishop Compton were Tories ; Shrewsbury and Lumley, both converts from Rome, had both held office under James and his brother. Their invitation was cold and cautious, success has made them heroes, but at the time they were just conspirators who might be caught. They gave William the guarantee which he needed : that ' nineteen parts out of twenty of the people ' would welcome his coming. If, therefore, ' upon a due consideration of all the circumstances, Your Highness shall think fit to venture upon the attempt . . . we, who subscribe this, will not fail to attend Your Highness upon your landing '. Warned by Louis of his danger, James tried to save his throne. He restored the magistrates whom he had dismissed, abolished his Ecclesiastical Court, and admitted the exiled Fellows back to Magdalen ; London was given a Presbyterian Mayor, the fleet was put under the command of a Protestant, James even gave back all the municipal charters which had been annulled since 1679. But his repentance came too late, and no one could believe that it was sincere, the English had learned to mistrust Stuarts who offered them concessions as plums are dangled before children. His offers of help rejected by James, Louis took his armies to the Rhine, and William was free to sail.

The Protestant winds carried him safely past the English fleet, and he landed at Torbay on November 5th. Even now James's cause was not lost. He had a loyal navy, his army of 40,000 men was nearly three times as large as William's. But his greatest strength lay in the orderliness and conservatism of his people, in their reluctance to settle their quarrels by force. Whatever he had done in the past, the bulk of the nation still preferred him to the foreigner ; tradition, law, and national prejudice were on his side. If, therefore, he had, like William, promised to summon a freely elected parliament, and to abide by its decisions, and if he had proved his sincerity by dismissing Catholics from his service, and particularly from his army ; then he would have won to his cause thousands who had scorned his promises before William had landed. For his cause grew

## *The Second Revolution*

stronger as the prospect of war drew nearer ; the anointed King could have saved himself from the Pretender if, like Charles II, he had appealed to the overwhelming majority of his people who preferred a peaceful settlement. But James did not give his supporters a lead ; he stubbornly refused to negotiate as long as there was a foreign army in the country. His indecision lost him his throne. While William lingered at Exeter, as ready to take to his ships as to advance on London, the magnates raised the militias against the Crown, and the courtiers and the leaders of the army deserted the King who had deserted them. For what, asked Rochester, ' can the most loyal and dutiful body in the world do, without a head ? ' James did not even fight. He might still have appealed to national sentiment against the foreign army which had invaded English soil, with Dutchmen, negroes, Swiss and Germans in its ranks ; and if he had stood his ground, it is unlikely that William would have risked his precious soldiers in a war which he could not be certain of winning. Instead, James solved the problem in the simplest way. He agreed to negotiate, when the cause of ' expedients ' was already lost ; and when this effort failed, he fled. By Christmas he was in France.

James's flight made it inevitable that William should be invited to be King. For with the county militias in arms, James's soldiers wandering unpaid about the country, and angry mobs burning Catholic houses and looting Catholic chapels, his Dutch troops were the only guarantee of order. A body of peers set up a provisional government in London, put the civil and military administration of the country in William's charge, and hastily issued writs for the summoning of a parliament. In this Convention, which met at the end of the year, the Tories vainly sought a constitutional formula which should reconcile their recent actions with their theory of non-resistance. Since James had fled, they could not again take refuge in ' limitation ' ; and they failed to carry a proposal to offer the crown to Mary and appoint William as her Regent. William joined with the Whigs in rejecting this solution. He had come to win England's

### *The social contract*

resources for his war with France, and he refused to be made 'his wife's gentleman usher';<sup>1</sup> unless he were offered the crown in full sovereignty with Mary, he would return to Holland and 'meddle no more in their affairs'. The perils of the interregnum enabled him to dictate his terms: if he withdrew his troops, the country would fall into anarchy and be almost defenceless against James and the armies of France. Thus the same necessity which had obliged the Tories to offer William temporary authority in December obliged them in February to make him their King. They had to agree that James had 'abdicated', that 'the throne is thereby vacant', and that William and Mary were the only suitable candidates to fill it.

### 3 *The settlement*

The Revolution of 1688 is important in the history of political thought for having vindicated the Whig theory of the constitution. In order to 'justify to the world the people of England', John Locke described in his *Essay of Civil Government* the theory of government which permitted Kings to be deposed. For convenience and efficiency society delegates power to an executive, whose duty is to preserve and respect their lives, their liberty and their estates. So long as the executive abides by this fundamental contract, it must be obeyed; but a government which violates the contract forfeits the allegiance of the people and may be removed. For revolution is the safeguard of law. Thus the nation had arrived at the utilitarian conception of monarchy which Selden had proclaimed a generation earlier. 'Never King dropped out of the clouds . . . A King is a thing men have made for their own sakes, for quietness' sake. Just as in a Family one man is appointed to buy the meat. If every man should buy, or if there were many buyers, they would never agree.' The Whig theory was established without the shedding of blood because James's abdication tacitly admitted that it was true; if James had stood his ground, it would have been less easily

<sup>1</sup> Halifax

## *The Second Revolution*

asserted against the Tory theory that, although a bad King might be fettered and controlled, the principle of a hereditary and indefeasible succession was sacrosanct

In constitutional details, however, the Revolution brought little change. James was the real revolutionary, for it was he who challenged the existing order; the magnates who resisted him were merely restoring society as they knew it. James had refused to accept as final the Crown's defeat in 1641. First he tried to turn to the advantage of the Crown the Tory victory of 1681; then he made overtures to the tradesmen and Dissenters who were the nucleus of the Whigs. No one doubted that his object was to increase his own power and to force on the country an unpopular religion. Thus 'No Popery' became the battle-cry of liberty in a wider sense. Whig and Tory combined to restrain the Crown within its constitutional limits, and to reassert the supremacy of parliament and the aristocracy; the essence of their settlement was the restoration by parliament of the vested rights of property and religion which James had threatened.

The basis of the settlement was a Declaration of Rights which was drawn up by the Convention in December, 1688, and passed into law in the following year. The Declaration was prepared within a week, at a time when the country had no settled government. Thus it was not a moment for the elaboration of constitutional fancies, and the Declaration was no more than a rough and ready summary of the conditions which William and Mary must promise to observe before they could be offered the throne. First it enumerated the illegal actions of James—his standing army, his Ecclesiastical Court, the packing of parliament, the corruption of justice, his use of the suspending and dispensing power. The rest of its provisions were largely declaratory of existing law. It asserted freedom of speech in parliament and the subject's right to petition the King, it forbade tampering with parliamentary elections; it denounced ecclesiastical courts as 'illegal and pernicious'; the suspending power was forbidden altogether, and the dispensing power 'as it hath been assumed and exercised of late', finally, the King was forbidden to levy



## *The Bill of Rights*

excessive bail or excessive fines, to raise money ' by pretence of prerogative ', and to assemble an army in time of peace without the consent of parliament. The Bill of Rights made only two innovations. First, all subjects were required to take an oath of allegiance to William and Mary. Secondly, the throne must be occupied by a Protestant. The succession was vested in the heirs of Mary, in Anne and her heirs, and finally in William's heirs by another wife. England had reversed the principle of '*cuius regio, eius religio*', James had fallen, as Mary Stuart had died, for being a Catholic claimant to the English throne.

The framers of the Bill of Rights were largely content, therefore, with asserting the law as it existed, they left the future to work out its own remedies for problems which they were reluctant to face. Thus, although they took steps to ensure that the King should be a Protestant, they avoided the pressing question of toleration, they left the King free to appoint his own ministers, and did not insist that they should be responsible to parliament; judges still held office during the King's pleasure; the Crown's income was not fixed; beyond a resolution that ' for redress of all grievances and for the amending, strengthening and preserving of the laws parliaments ought to be held frequently ', nothing was done to compel the King to summon regular meetings of parliament. These questions remained to be settled by practice, not by theory, the Interregnum had bred an abiding mistrust of paper constitutions. After the Revolution, therefore, the Crown found itself in possession of considerable powers: certainly of greater powers than had been allowed to Charles I in the proposals submitted by the Long Parliament. The King was master of the royal household, of the colonies, the navy, and, in time of war, of the army; he could choose his own ministers and conduct his own foreign policy. But in practice these powers were to be limited by the constant interference of a sovereign parliament. The importance of the Revolution of 1688 lies, not in the constitutional provisions which accompanied it, but in the sense that the King and his people had found a new relationship. The King had lost his indefeasible title to his throne; he

## *The Second Revolution*

was the first servant of the sovereign people, and if he disobeyed his masters, he would be removed from his post.

In 1689, then, the King became a salaried official with definite tasks to perform. Henceforth the theory of Divine Right reflected only the common opinion that the Crown was necessary to the nation ; as Bolingbroke observed, the ' legal reverence ' due to the King became ' national and not personal '. During the reigns of William and Anne a series of laws and constitutional conventions restricted the Crown's power of independent action, and forced it to yield more frequently to the will of the sovereign legislature. The most important of these checks on the Crown's freedom of action was parliament's control of finance. William was granted a permanent income of £1,200,000, which, even when it was increased by temporary grants from the customs, was quite inadequate for his wartime needs. He was obliged, therefore, to make a concession which his predecessors had always resisted : he surrendered to parliament the right to control the expenditure of the money which it had voted. In 1690-1 the Commons appointed commissioners to audit the King's accounts and to supervise the expenditure of the taxes ; indeed, after 1697 parliament took full financial responsibility for the fighting services, which were no longer a charge on the royal revenue. Parliament even ventured to interfere with the use which William made of the hereditary domains of the Crown : he was compelled to revoke the grant of estates in Denbighshire which he had made to the Dutch Earl of Portland. He was forbidden, too, to distribute among his foreign favourites the lands confiscated from Irish rebels between 1689 and 1691.

By passing the Appropriation Act and the Mutiny Act, by which the government maintained discipline in the army, for periods of one year only, the Commons forced William to summon regular parliaments ; and the Triennial Act of 1694, which ordered a new election to be held at least every three years, prevented him from clinging for an indefinite time to a parliament whose subservience he had purchased by corruption. Parliament further established its supremacy by means of the ancient weapon

### *The Crown weakened*

of impeachment ; by setting up committees of members which took the direction of policy out of the hands of the ministers ; by a succession of Place Acts which excluded the King's servants from parliament , and by the device of ' tacking ' controversial measures on to the routine bills which the King had to sign in order to carry on the government. The royal veto was not used after 1707 , the Act of Settlement enacted that after the death of Anne the judges should hold office '*quamdiu se bene gesserint*' , and could not be dismissed except by an address from both Houses , the press was freed of the government's censorship when the Licensing Act expired in 1695 and was not renewed ; a Treasons Act in 1696 provided that a charge of treason must be upheld by at least two independent witnesses. The climax of parliament's authority over the executive was reached in the provisions of the Act of Settlement, in which the power of an alien King was restricted by a parliament in which none of his servants might sit, and by a Privy Council whose members must be Englishmen and were to be individually and jointly responsible for all the acts of the government.

By these means the King was made to realise that when he promised in his Coronation Oath to govern ' according to the statutes in Parliament ' , and to preserve the Church ' as by law established ' , he was swearing no idle vow. Moreover, his power would probably have been even more drastically reduced if the country had not been involved in two long and serious wars. William managed to save something of his royal authority because he was the nation's leader in the struggle with France. Success in this war was vital to William himself as a Dutchman ; but it was equally vital to the politicians who had brought him to the throne, since Louis had taken up the cause of the exiled James. Thus the nation could not afford to weaken its military strength by indulging in constitutional quarrels, and both William and his parliamentary opponents were ready to compromise for the sake of effective action abroad. During the French war there was, for the first time for a hundred years, a substantial harmony between an executive and a legislature united once more in a

## *The Second Revolution*

common purpose ; and in the eighteenth century, when the development of the cabinet and the party system settled the relationship between parliament and the ministry, this harmony was to become permanent. But the attack which parliament made on William between 1697 and 1701, in the interval between the two wars, hinted at the indignities which the Crown might have been made to suffer if the national emergency had not compelled the enemies of the prerogative to hold their hand.

The defeat of the Tories' King meant the defeat of the Tory monopoly of religion, for the Whigs were committed to reward the Dissenters for having resisted the blandishments of James. In order to unite the nation for the war against France, William was in favour of religious comprehension, but national unity could not be achieved unless the Tories too were in some measure placated for the breakdown of their exclusive Anglicanism. Thus the religious settlement of the Revolution was a compromise between liberty and exclusiveness. The Tories were strong enough in the Convention Parliament to prevent the repeal of any of the penal statutes ; but they could not prevent the passing in 1689 of the Toleration Act, which granted freedom of worship to all who accepted thirty-four of the Thirty-nine Articles, made a declaration against Rome, and were prepared to acknowledge the Dutch King as head of the English Church. In effect, this measure relieved all but Papists and Unitarians<sup>1</sup> from the Conventicle and Uniformity Acts ; Dissenters might worship in their own assemblies and set up their own schools. But religious belief still involved civil penalties : the Test and Corporation Acts were still in force, and the Dissenters were still debarred from holding municipal office and from taking civil or military employment under the state.

Although the misnamed Toleration Act thus relieved them only partially from their disabilities, the lot of the Dissenters steadily improved. The war saved them from the persecution of Tory magistrates ; and when the leaders of the Church refused to take

<sup>1</sup> Quakers were allowed to make an affirmation.

### *The religious settlement*

the oath of allegiance to William,<sup>1</sup> the government appointed Latitudinarian, or Low Church, Bishops, who were willing to leave the Dissenters unmolested. In their days of power in the reign of Anne the Tories attempted to recover, by the Schism Act and the Act against Occasional Conformity, the monopoly which had been invaded, but the Whigs were loyal to their allies,<sup>2</sup> and the spread of Deism chilled the enthusiasms by which religious persecution is made possible. High Churchmen like Nottingham, who sighed for the rigid Anglicanism of a former age, ceased to be representative of Tory opinion; the bulk of the party were content to accept as their leader Robert Harley, who had once been a Presbyterian.

<sup>1</sup> The 'Non-Jurors' included six of the seven Bishops who had resisted James.

<sup>2</sup> Addison's Tory Foxhunter complained that 'there is scarce a Presbyterian in the whole county except the Bishop'.

## CHAPTER XXI

## THE DEFENCE OF THE SETTLEMENT

Settlement of Scotland and Ireland The King's war Spanish Succession the Whig war, the Tory peace. The Peace of Utrecht

### I. The settlement of Great Britain

In Scotland James's offers of toleration were received more warmly than in England. Since the Restoration, the Covenanters had had to endure the hated rule and discipline of Anglican Bishops, and they were ready to accept even the measure of relief which a Catholic King offered them. It did not, of course, persuade them to defend him in 1688, James's Catholic adherents put up a feeble resistance, and in Scotland the Revolution was principally an occasion for Covenanting mobs to fall to the ever-congenial sport of raiding Papist chapels and Bishops' palaces. But the Presbyterians were determined not to let their opportunity pass. The Convention Parliament, which offered William and Mary the throne of Scotland, presented them also with a 'Claim of Right', asserting that episcopacy was 'a great and intolerable grievance', and should be abolished. William's position was too precarious for him to insist fully on his rights. The inevitable Jacobite rising was easily subdued after Graham of Claverhouse had died in the hour of victory at Killiecrankie; but William, harassed by a serious rebellion in Ireland and anxious to take his part in the war against France, surrendered several of his prerogatives to the insistence of the Scots. With the fall of the Bishops the Crown lost its authority over the Scottish Church; and when it was denied the right

## *Union with Scotland*

to appoint the members of the Lords of the Articles, it lost too its control over the Scottish parliament.

Thus the House of Orange won recognition in Scotland only at a price: the Scots refused to have their religion and their policy dictated to them by ministers appointed in London. When the Act of Settlement vested the succession in the Electress of Hanover and her heirs, the Scots made a further and more serious gesture of independence. In 1703 the parliament at Edinburgh asserted in a General Security Act its right to choose Anne's successor in Scotland, if she should die without issue, and to ensure that the Act should be executed, the whole of the able-bodied population was to be formed into a national militia. Since the union of the crowns was the only link between the two peoples, it seemed that the growing friction between them must end in separation. The Scots had many causes of grievance against their neighbours. The Highlanders had neither forgiven nor forgotten the massacre of Glencoe, when Campbell of Glenlyon had murdered thirty-eight Macdonalds who were his hosts; the Presbyterians could not feel that the Kirk was permanently safe from Tory vengeance, and the whole nation was resentful because in commerce the English government persisted in treating Scotland as a foreign country. Prohibitive tariffs excluded Scottish goods from English markets, and the Navigation Acts forbade the Scots to share in the wealth of the colonies. Nor had the Scots been successful in their attempts to develop a colonial trade on their own initiative: a Scottish East India Company was being ruined by the jealousy of the English merchants, and a Scottish settlement on the Isthmus of Darien had collapsed because neither the English government nor its colonists in America would protect it from Spanish attack.

When the fury of the ruined investors was directed against the English connection, the rulers of England at length saw merit in the wise proposals of James I. If the Scots were to revive the 'auld alliance' with France, the Stuarts might be restored to England by the armies of Louis XIV; the safest escape from this danger was to approach the adjustable differ-

## *The Defence of the Settlement*

ences in a spirit of compromise, and to unite the two nations under a single government. The negotiations were long and delicate, for on both sides of the Border there were parties hostile to reconciliation on any terms, but in 1707 an Act of Union was finally piloted through the Scottish parliament. England and Scotland were to form the United Kingdom of Great Britain, and the succession to the throne was to be fixed by the provisions of the Act of Settlement. The Edinburgh parliament was to exist no longer, but forty-five commoners and sixteen elected peers were to represent their country at Westminster. So far the balance of advantage lay with England, but the English negotiators made substantial concessions to Scottish independence: England abandoned her commercial exclusiveness, and admitted the Scots to complete freedom of trade; the Scots were exempted from paying some of the taxes levied on Englishmen, and the government made a contribution of £398,085, partly to defray Scotland's national debt, and partly to compensate the investors in the Darien enterprise; finally, the Scots were allowed to preserve their own religion and their own legal institutions.

The Act of Union was a triumph of statesmanship and moderation—although it would be possible to applaud English statesmanship still more enthusiastically if the Act had been carried a hundred years earlier. The union was at first unpopular, while Covenanters, Anglicans, Jacobites and merchants feared that they had strengthened their rivals to their own irretrievable ruin; but fears and resentments soon passed away as the peoples of both countries settled down to a political and economic partnership which has enriched them both. Particularly, perhaps, has it enriched the Scots. 'Till the Union made them acquainted with English manners', remarked Dr Johnson, 'the culture of their lands was unskilful and their domestic life unformed.'

In Ireland, however, the influence of old, unreasonable hatreds shaped a settlement which was barren of mercy or statesmanship.



## *The conquest of Ireland*

In the years before the Revolution the wise and tolerant rule of Ormonde had sheltered the Irish from the full ferocity of the penal laws ; and then the policy of James and his Deputy, the Earl of Tyrconnel, had persuaded them that the time had come when they would be delivered from their Protestant masters. When the English rebelled against James, Tyrconnel kept his hold on Ireland. The native Catholics rose against the Protestants, and drove them to take refuge in Londonderry and Enniskillen, a Catholic parliament decreed liberty of conscience, revoked all grants of land made to foreigners or Protestants since 1641, threw the English colonies open to Irish trade, and denied the English parliament any authority in Ireland. In March, 1689, a French fleet brought James II to Ireland to open his campaign for the recovery of his throne.

Thus William's first task was to crush the Catholic rebellion in Ireland, before he could send his armies to the continent, he must meet Louis' attempt to attack him in his rear. By the end of 1690 the English had recovered Ireland as far as the Shannon. Londonderry was relieved after a siege of more than a hundred days, the garrison of Enniskillen defeated the Catholics at Newtown Butler, after William's victory at the Battle of the Boyne, James fled again to France, and the capture of Dublin, Waterford, Cork and Kinsale deprived the French of their easiest landing-places. In 1691 the Dutch general Ginkel took Athlone, and occupied Galway after a victory at Aughrim. Finally, the Irish surrendered their last stronghold, at Limerick, on condition that the garrison should be allowed to go to France, and that Catholics in Ireland should have the same privileges which they had enjoyed under the lenient rule of Charles II.

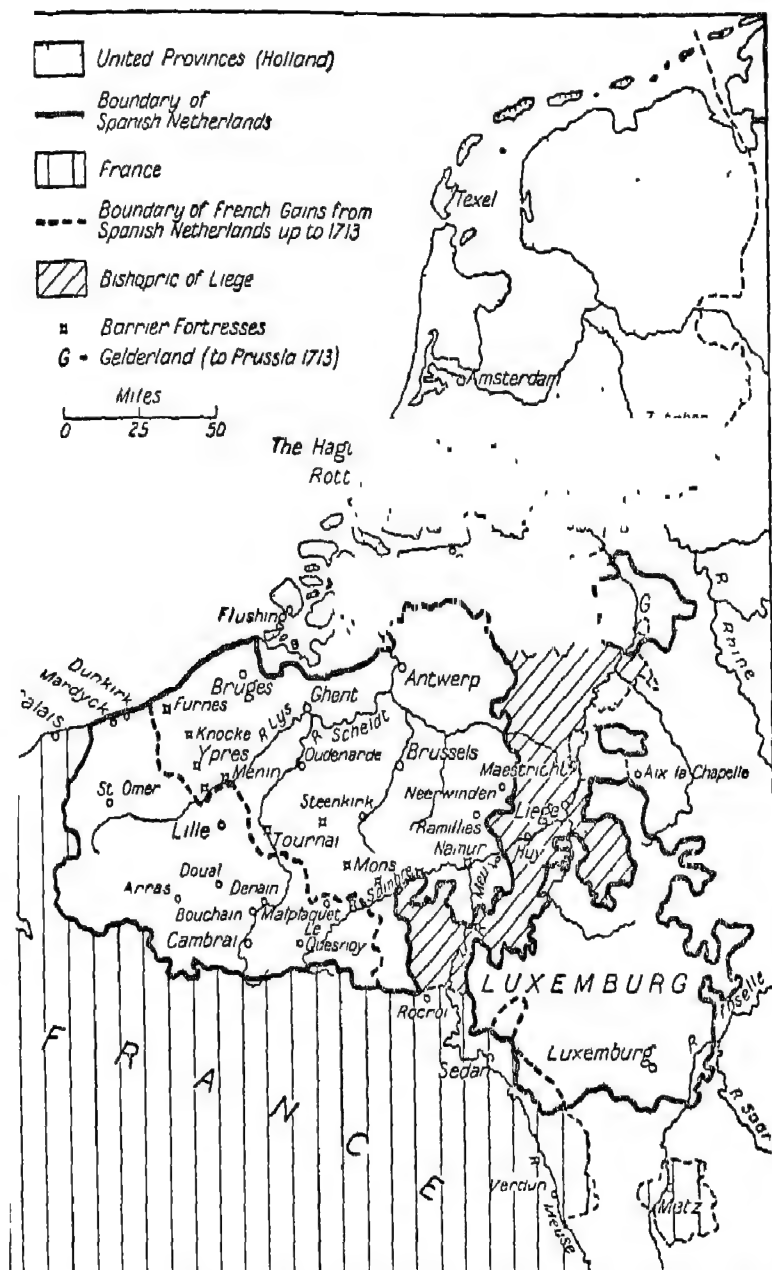
Once Ireland had been subdued, the English government proceeded to break its word. Catholics were excluded from parliament and public life ; they were not allowed to possess arms, to buy land, to live within a corporate town, to own a horse worth more than five pounds, or to educate their children in any but Protestant schools. While the peasant paid his

## *The Defence of the Settlement*

tithe to the Anglican Bishop, the law forbade him to celebrate Catholic holidays or to make pilgrimages to his holy shrines. Meanwhile, the merchants and manufacturers of England protected their interests by stifling Irish trade. While most of Ireland's available wealth poured into England in payments to absentee landlords and clerics, the people were forbidden to export cattle to England, or to send raw wool to the continent, where the European manufacturers were ready to pay high prices for it; Irish shipping was hindered by the Navigation Acts; finally, in 1699, the Irish were ordered to export their cloth only to England, where it could scarcely hope to surmount the formidable tariff by which the English manufacturers protected their home-made product. The most that can be said for the government's policy is that the enslavement of Ireland was effective. When Jacobite rebellions shook the Hanoverians on their English throne, the Irish did not dare to stir; and while Scots and Englishmen grew rich and prosperous, most of the Irish starved.

### *2. 'King William's War'*

In 1686 the Emperor and the states of Germany had united in the League of Augsburg to resist the victorious aggression of Louis XIV. Three years later Holland and England joined the League, Spain and Savoy followed them, and the principal nations of Europe were knit into a Grand Alliance to protect themselves from France. As the war went on, and the English fleet and armies achieved no success to justify the heavy taxation which maintained them, Tory opinion turned against the continental commitments in which William had involved the country. The war was denounced as 'the King's war', William was accused of spending English money and English lives in a quarrel which affected him as Prince of Orange, but not as King of England. The landowners might grumble at the taxes, but it was vital to their interests that Louis should not overrun Holland and the Spanish Netherlands. The war was really



THE NETHERLANDS IN THE TIME OF WILLIAM III AND MARLBOROUGH

## *The Defence of the Settlement*

the war of English succession ; for if William were defeated on the continent, the French would be able to restore James to his lawful throne. As in the days of Elizabeth, England was still unable to resist an armada that sailed from Antwerp or Texel.

England opened the war on the defensive, for the French were both sending help to the rebels in Ireland, and planning an invasion of England itself. In 1690 Louis equipped a large fleet under the command of Admiral Tourville. The Earl of Torrington, commanding the English and Dutch contingents, reluctantly gave battle off Beachy Head ; although he was undeniably defeated, and subsequently disgraced, he managed to keep his fleet in being. Thus Marshal Humières, who was waiting in Picardy with a large army, dared not risk the Channel crossing, and Tourville had to be content with a raid on Teignmouth. The day after Torrington's defeat in the Channel, William's victory on the Boyne turned the tide in Ireland ; and two years later Russell recovered the command of the sea by defeating a French and Jacobite expedition off Cape La Hogue. The battle dispelled the immediate fear of invasion and freed the navy from the more pressing anxieties of defence. Its work during the rest of the war, however, was undistinguished. It occupied itself mainly in skirmishes with the French privateers who preyed on English commerce, but its raids on their strongholds in Dunkirk, St Malo and Dieppe had little effect, it failed in 1693 to prevent Tourville catching and destroying the Smyrna convoy off Lagos, and in 1694 it bungled an attack on Brest, partly because John Churchill elected to warn the French commander of its approach. Russell was the only English seaman to increase his reputation in 1694-5 he heartened his Spanish allies by wintering in the Mediterranean and saving Barcelona from the French.

On land the war was fought on many fronts : in the Netherlands, on the Danube and the Rhine, in North Italy and Spain English action was confined to the Netherlands, where an unexciting war of trenches and sieges dragged on through nine campaigns. Like his famous ancestor, William was an indiffer-

### *Campaigns in the Netherlands*

ent soldier, but a brave and tenacious leader. After a generation of peace the English had to learn again the very rudiments of war, and their inexperience drew out the best of William's qualities : during long years of defeat and disappointment he held together his wavering alliance, and turned his raw and unwilling recruits into the veterans who followed Marlborough to victory. As a soldier William was no match for his great opponent, the Marshal Duke of Luxemburg. One by one the towns of Flanders fell to the French advance, when William tried to resist it, he was routed in 1692 at Steenkirk and in the following year at Neerwinden. But in 1694, the sixth year of the war, the allies recovered the fortress of Huy. Luxemburg died in 1695, and Louis measured the loss of the French nation by creating eleven new Marshals to replace him, thereafter the English began to hold their own. In his greatest military achievement William reconquered Namur, and the French advance was checked.

Louis had been almost as successful on other fronts, but his treasury could not bear the strain of constant fighting in all corners of Europe. He wished, too, to devote all his ingenuity and resources to seizing a greater prize which lay within his reach. So in 1697 he made peace on terms which his enemies could welcome. In the Treaty of Ryswick he surrendered all that he had won since 1678, with the exception of Strasburg, he allowed the Dutch to safeguard their freedom by garrisoning the frontier fortresses in the Netherlands, and in recognising William as King of England, he promised that France would not give aid to England's enemies.

The treaty fulfilled the purposes for which England had entered the war : Holland was safe from Louis, and the English throne from James II. But the chief importance of the war was that it caused the government to adopt financial expedients which have been the basis of the nation's economy ever since. The total cost of the war was £40,000,000<sup>1</sup>. This sum was too large to be met either by the ordinary revenues of the Crown

<sup>1</sup> The interest on the debt exceeded the revenue of Charles II in 1660

## *The Defence of the Settlement*

or by increased taxation, and in 1692 Charles Montagu, an enterprising Whig financier, suggested that a group of City merchants should lend the government a million pounds on the security of life-annuities to be paid out of future taxation. The payment of the interest thus became a permanent charge on the government, and an additional excise was imposed to guarantee the interest for ninety-nine years. In 1694 this device was carried a stage farther. The Whig financiers undertook to raise a sum of £1,200,000 and lend it to the government at 8 per cent; the subscribers formed themselves into a joint-stock bank which was known as the Bank of England. The Tories complained that Whig business men drew interest from the taxes contributed by the landowners,<sup>1</sup> but by the creation of a permanent national debt the government had at last solved the problem of raising a revenue adequate to its needs. Indeed, the Tories tried to counter the influence of the City financiers by setting up a Land Bank of their own, but they failed to raise the £2,564,000 which they had promised.

The foundation of the Bank immediately affected the course of the war. While Louis was paying 20 per cent. on his loans, the English government could borrow at low interest. The Bank raised the money for the campaign which recovered Namur, and it carried the country through a crisis caused by the over-issue of paper money; in 1696 it was able to benefit the nation by calling in a debased coinage and issuing coins with milled edges which could not be 'clipped'. Finally, the creation of a permanent debt was fatal to the Jacobite cause. The government's creditors believed, not without reason, that if the Stuarts were restored, they would repudiate the debts of the usurper. Thus the financiers, whose power in the state was undermining the influence of the Tory landowners, were irretrievably committed to the exclusion of the Jacobites. Like the Reformation, the Revolution settlement had become a vested interest.

<sup>1</sup> A land tax of four shillings in the pound was a principal source of revenue

## *The Partition Treaties*

### *3. Spanish Succession*

Louis had made peace in 1697 because a greater contest lay before him. Charles II of Spain was dying, and with him the Spanish Hapsburgs would become extinct. By the influence of family ties the Spanish Empire would probably pass to the Austrian branch of the Hapsburgs, but Louis hoped to unite the rest of Europe to protest against so vast a disturbance of the balance of power,<sup>1</sup> and to persuade his neighbours by a display of magnanimity that part of the Spanish dominions would be safe in the keeping of France. Accordingly he abandoned his renewed plans for an invasion of England and acknowledged William's claim, and sought the goodwill of Spain by restoring Luxemburg, Catalonia and the French conquests in the Netherlands. For his part, William was anxious for peace, and he calculated that he could best serve Dutch and English interests by ensuring that neither Austria nor France should inherit the whole of the Spanish Empire. In 1698, therefore, England, Holland and France signed a secret Treaty of Partition. Naples, Sicily, Guipuscoa, and the Spanish ports in Tuscany were to go to the Dauphin; Milan and Luxemburg to the Archduke Charles, younger son of the Emperor, and Spain, the Netherlands and the Spanish colonies to the Electoral Prince of Bavaria, who was descended from Charles II's sister. The arrangement was a fair one, and William had done his part by insisting that only the outlying provinces of the Spanish Empire should fall to the Bourbons. In 1699, however, the Bavarian Prince died, and the partitioners had to start their work again. A second Partition Treaty allotted Spain, the Netherlands and the colonies to the Austrian Archduke, and added Milan to the portion of the Dauphin.

But the problem was not to be solved so easily. The Emperor, freed by the Peace of Carlowitz from the menace of the Turks, refused to accept a settlement about which he had not been consulted. Moreover, from his death-bed Charles II made a

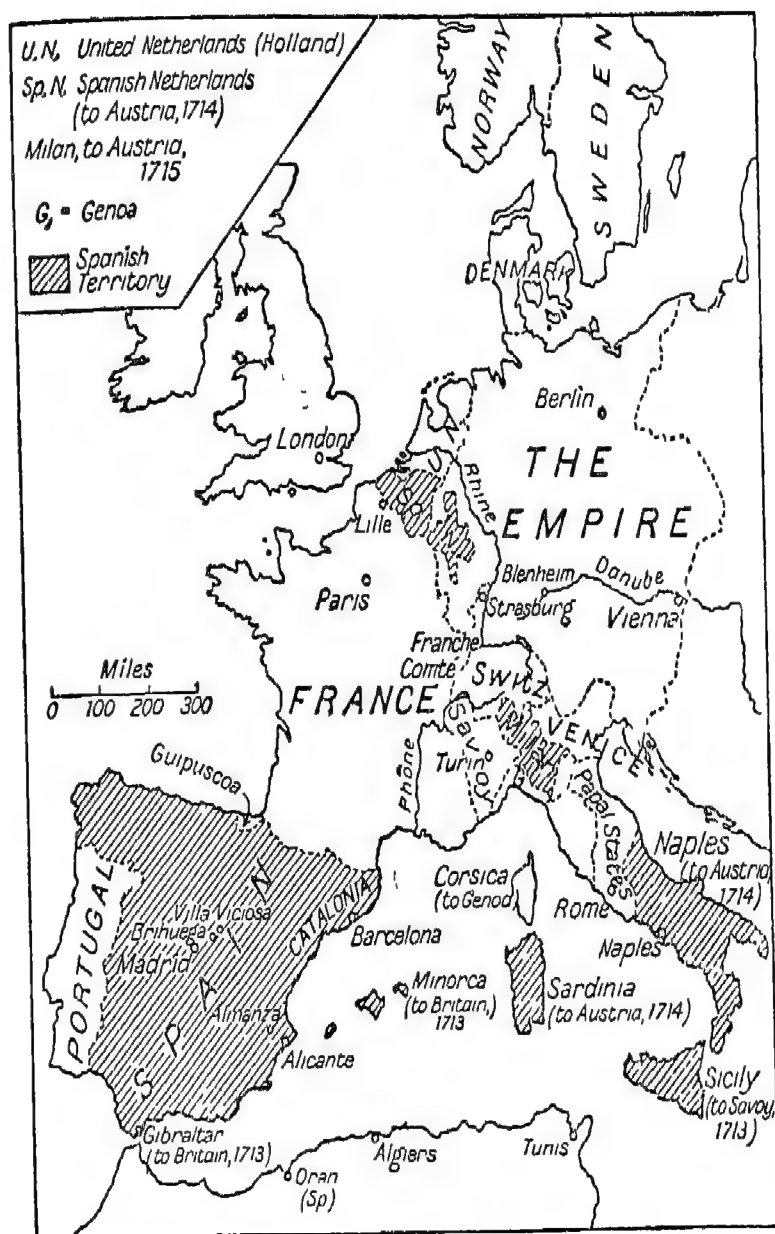
<sup>1</sup> It would revive the Empire of Charles V

## *The Defence of the Settlement*

spirited attempt to keep his Empire intact. he made a will leaving the whole of his dominions to Louis' grandson, the Duke of Anjou, and if Anjou would not accept them, they were to pass intact to the Archduke Charles. In 1700 Charles II died. Greed overcame Louis' caution: he broke his pledges to William, and proclaimed his grandson to be Philip V of Spain.

William would never have been able by his own efforts to persuade the English to oppose this settlement. Reassured by Louis' promise that the thrones of France and Spain should never be united, parliament preferred Charles II's will to William's treaties. The truculent Tory opposition fell upon the ministers who had allowed the King to conduct his own diplomacy; and the most that parliament would concede was a promise that if Louis invaded Holland an English army should go to its defence under the terms of the treaty of 1677. But if William could not make England aware of its danger, Louis could. The real author of the new Grand Alliance of 1701 was the King of France: by three gestures of calculated stupidity he stopped the Commons short in their pastime of baiting William, and won them to the policy for which they had lately rebuked their government. Suspicion was first awakened by the hostile movements of the French troops, who occupied Cologne and the Milanese, and began to expel the Dutch garrisons from the Netherlands. Then Louis and Philip closed their harbours to all imports from Great Britain; henceforth France was to enjoy special privileges in the trade of the Spanish Empire. At this moment James II died, and as a final act of folly Louis chose to proclaim his son, James Edward, as King of England. Louis' obvious intention to make effective the Bourbon alliance of France and Spain stung the English from their apathy; William's 'Dutch' interests had again become English and European. While the outraged shopkeepers sought to free their trade from Louis' prohibitions, the magnates once more sank their differences in the common need to defend their settlement against foreign interference. The revocation of the





WESTERN EUROPE AT THE END OF THE SEVENTEENTH CENTURY

## *The Defence of the Settlement*

Edict of Nantes turned a war of interest into a Protestant crusade

William's last service to his cause was to re-form the Grand Alliance; he died in 1702 while he was preparing to lead his armies to the continent. His place as commander was taken by the man whom he had selected: John Churchill, who in 1702 became Duke of Marlborough. Marlborough's greatness was more firmly founded than the somewhat easy reputation which attaches itself to successful soldiers. He was childishly mean, but that was his affair; <sup>1</sup> his loyalty was always suspect, but he lived in an age when political loyalties were not clearly marked and when frequent crises obliged a wise man to think first of himself. In character and temperament he was the first of the eighteenth-century aristocrats. His emotions were the servant of his reason; by calculation, and not by impulse, he saw what he could justifiably attempt, and what he attempted he accomplished. So well did his head rule his heart that until 1711, when he had prolonged the war for his own glorification, he was never on the losing side.

Alone of the great commanders of history, Marlborough seems never to have made a serious mistake. At Blenheim, and again at Ramillies, his early attacks were beaten back, and he won his victory by daring to change his tactics and dispositions in the confusion of battle. But he was more than a great general. An allied commander had to be a man of tact as well as a good soldier. Although the Dutch made him Captain-General of their forces, he had to endure the constant and irresolute interference of the unskilled 'field deputies' whom they appointed to watch over their armies in the field. The Dutch were waging a defensive war for the protection of their own territories; thus they viewed with alarm his march into Germany in 1704, his projected invasions of France, or his plan to take his army to Italy in 1706 and leave the Netherlands comparatively undefended. More than once Marlborough had to abandon some bold enterprise because the Dutch would not take their men

<sup>1</sup> And not Lord Macaulay's.

## Marlborough

beyond the barrier fortresses To add to his difficulties, he had to keep his eye on the political situation in England, where the Tories were always trying to lower his influence ; and by courtesy, patience and good humour to weld his jealous and scattered allies into an effective fighting combination It was not enough to win victories in the field , between his campaigns he hurried to London, The Hague, and the capitals of Germany, to interview diplomats, cajole politicians and encourage unsuccessful generals. Although most of his own fighting was done in the Netherlands, he attempted to co-ordinate every detail of the allied campaign, from the Emperor's operations on the Rhine to the English expeditions in the Mediterranean Apart from all the other qualities which his task demanded, for a man in his fifties his conduct of the war was an astonishing feat of physical endurance

As before, the maritime powers and the Emperor were the core of the Grand Alliance. The outbreak of war brought them several minor allies : some of the German states, notably Hanover, Brandenburg, the Elector Palatine, and Munster ; the Catalans, who rose against the rule of the Bourbon , and the *Camisards*, the Huguenots of the Cevennes, who fought for their persecuted religion. In 1703, when the war was going ill, they won Portugal, an important ally on the flank of Spain ; and they found the Duke of Savoy ready, as always, to sell his mountain passes to the highest bidder. Against this combination Louis failed to revive the traditional French alliance with the Turks, but he succeeded in rousing the Hungarians against the Emperor, and the important co-operation of the Elector of Bavaria allowed his armies to threaten Vienna from the heart of Germany.

The critical months of the war came at the end of 1708. By that time Louis had been beaten to his knees : his treasury was empty, his people were starving, and his despotism was breaking under the strain of defeat on every front Marlborough's victories at Donauworth and Blenheim had saved Vienna from invasion, and cleared the French from Germany , from that time

## *The Defence of the Settlement*

the Bourbons had steadily fallen back before the pressure of the allies. At Ramillies and Oudenarde Marlborough had freed the Netherlands from the French, and he was relentlessly capturing the fortresses which guarded the road to Paris; Eugene's great victory at Turin had saved North Italy from the French and brought the Emperor to Milan; the Hungarians had been subdued; the English fleet had won a footing in Spain, and in Gibraltar, Sardinia and Minorca possessed bases for a sustained attack.

In 1706 Louis made proposals for peace in which he surrendered all the vital issues for which the allies had entered the war; his terms were refused, because the allies hoped to better them by continuing on their triumphant way. In the autumn of 1708 he renewed his offers. he agreed to abandon his grandson's claims in Spain, to expel the Pretender, to allow the Dutch to garrison the barrier fortresses, and to destroy the fortifications of Strasburg and Dunkirk. The allies greeted these offers with counter-proposals which suggested that they were happy for the war to continue, in the confidence that they were strong enough to compel Louis to submit to whatever they pleased to demand. The Emperor demanded Alsace, the Duke of Savoy insisted on extending his frontiers; and, led by the English Whigs, the allies required Louis to lend them active help in driving his grandson from Spain.

The English had entered the war to protect their interests, in 1709 they continued it in order to establish an Austrian Archduke on the throne of Spain. The government allowed itself to be carried away by the fascination of Marlborough's new strategy: by his plan to march on France from the Netherlands, Italy and the Rhine, while Spain was attacked from Portugal, Catalonia, and the naval bases in the Mediterranean. The allies paid the price of over-confidence. they had undertaken more than they could carry through. First, their new programme made a serious breach in the Grand Alliance. The Dutch, who never departed from the strictly defensive objects for which they were fighting, were unwilling to assist the

### *Failure of the allies*

Emperor to realise his ambitions in Spain. Thus England had to forestall Louis by conceding them what they asked a treaty was made in October, 1709, which allowed them to garrison as many as fifteen barrier fortresses, and to impose a tariff to the disadvantage of English and Austrian merchants. Secondly, the allies should already have learned that the conquest of Spain was beyond their power. In 1706 their armies had brought Charles for a few weeks to Madrid, but the French and the Spaniards had driven him out. The proud Castilians had no love for Philip as a Bourbon, but they fought for him as the symbol of the unity of their Empire; in 1707 the Duke of Berwick, a natural son of James II, routed the allies at Almanza, and a few months later only Barcelona and Alicante still resisted the Bourbons.

The allies chose to neglect the lesson of Almanza, which should have been decisive. After 1708 none of their campaigns was successful. The threat of invasion, and the humiliating terms which had been offered to their King, spurred the French to a gallant defence of their freedom. At Malplaquet Marlborough won the last of his victories, but he left 22,000 of his men on the field. Elsewhere the allies failed. the French recovered Alicante, an Austrian invasion was defeated in Franche Comté, and Berwick repulsed an attack from Piedmont. In 1710 Charles came again to Madrid, but he was driven out by French victories at Brihuega and Villa Viciosa, at the beginning of 1711 the allies were so far from fulfilling their aims that only the Catalans denied the authority of Philip.

At the end of 1710 the Queen dismissed her Whig ministers, and called in the Tories to end a war which no longer served the interests of England. The fighting still continued, and Marlborough broke through the lines of *Ne Plus Ultra* and took Bouchain, but in 1711 the sudden death of his brother left Charles the ruler of the Hapsburg Empire. His accession strengthened the arguments of the peace party, who were able to underline the absurdity of fighting to add Spain to territories already so vast. Before the end of the year England signed

## *The Defence of the Settlement*

the preliminaries of peace, and in 1712 a peace conference sat at The Hague even while the Dutch and the Austrians obstinately continued the war. With Marlborough dismissed and England bent on peace, the allies had to abandon their efforts; a heavy defeat at Denain, and the loss of Douai, Le Quesnoy and Bouchain, prompted them to end the war before further French successes encouraged Louis to stiffen his terms. Treaties were formally signed at Utrecht in the spring of 1713. England was ceded Nova Scotia, the Hudson's Bay Territory, Newfoundland, Gibraltar and Minorca; Louis abandoned the Pretender, and promised to destroy the fortifications of Dunkirk; and the English were granted the slave-trade with America and the right to send one ship a year to Portobello. Savoy received the Kingdom of Sicily, and Prussia was granted Spanish Gelderland, the Dutch had their barrier fortresses, though not so many as in 1709, and won some commercial privileges from the French. The Emperor satisfied his dignity by prolonging his efforts for another year, but at Rastadt in 1714 he accepted the terms which Louis and the Tories had arranged for him three years before: he received the Netherlands, the Milanese, Naples and Sardinia.

The settlement made at Utrecht has been criticised on two grounds: that the government shamelessly abandoned its allies, and that it concluded a glorious war on terms too lenient to the defeated. *Albion perfide* needed to have no regrets in abandoning the Dutch, the Emperor and the German Princes, who were strong enough to protect their interests; but she left her Portuguese ally almost unrewarded, she did not insist on an amnesty for the *Camisards*, and she allowed the Catalans to be reluctantly absorbed by Castile. The second charge, however, was unfounded: from the English point of view Utrecht was a good peace. The Tories gained all the essential objects of the war, and more besides. The expulsion of the Bourbons from Spain had not been one of England's original aims, and even if it had been, the unexpected accession of Charles to the Empire would have obliged wise men to abandon it. England had saved the Protestant succession, and her acquisitions in America

### *The Peace of Utrecht*

and the Mediterranean had brought the commerce of the world within her grasp. Indeed, the Tories tried to end the tariff war with France, but their commercial treaty was defeated by the jealousy of the merchants; thus for another seventy years the two countries refused to accept from each other even the goods which they could not produce themselves. Finally, the threat of a Bourbon hegemony in Europe had been faced and broken. Bankrupt and dispirited, France was no longer '*la grande nation*', Bolingbroke could justly tell Torcy that 'we are no longer afraid of you'. Holland had been saved, the independence of Spain was assured by the provision that her crown should not be united with France, and if the French attempted in the future to extend their frontiers, they would find the Prussians facing them on the Lower Rhine, the Austrians on the Upper Rhine and in Italy, and the Dutch fortresses in the Netherlands. France was encircled by a barrier which only Napoleon was able to break.

## CHAPTER XXII

### THE GROWTH OF PARTIES

Whig and Tory Parties under William The Whig war and  
the Tory peace The Tories and the succession

#### I. *Whig and Tory*

English parties were born when the House of Commons divided over the Grand Remonstrance. In 1660 they had combined again to overthrow the army and the sects, and in 1688 to overthrow the King, but such issues as the Clarendon Code and the Exclusion Bill had emphasised the essential differences of interest and principle which divided the leaders of English society. As soon as William was established on his throne, the temporary unity of 1688-9 fell apart, and the two great parties once more faced each other in a struggle for control of the state.

The essence of Toryism was still respect for the Crown and reverence for the Anglican Church. Its principles, wrote Bolingbroke, were 'divine hereditary and indefeasible right, lineal succession, passive obedience, prerogative, non-resistance, slavery, nay and sometimes popery too'. Religion had been the fundamental issue in 1641, and it was still the essential test of party allegiance in 1690: in the manors and rectories from which the Tory party drew its personnel and its strength, thousands of loyal Anglicans instinctively recognised that if there were no parson, there would be no squire. Addison's Tory Foxhunter 'had scarce any other notion of religion, but that it consisted in hating Presbyterians', and although the Tory inn-keeper had no time to go to church, he 'had headed a mob at the pulling down of two or three meeting-houses'. The second funda-



## *The principles of Toryism*

mental of Toryism, the doctrine of non-resistance, had been permanently damaged in 1688 : the Tories had resisted the Crown and sacrificed the hereditary succession. Expediency had obliged them to accept William, at the expense of their principles. A sovereign who was prepared to favour their prejudices could still be sure of their support, and they made a brave show of reverence to Anne, who was the grand-daughter of Clarendon and a staunch upholder of the Church. In practice, however, the Tories acknowledged the Whig conception of utilitarian monarchy. Most of them were loyal to the Protestant succession, and feared the Stuarts more than they disliked the Whigs.

In Bolingbroke's analysis, 'the bulk of the landed interest' were Tory. those 'possessors of the soil', who in Swift's opinion were 'the best judges of what is for the advantage of the kingdom'. In the reigns of William and Anne the Tories were the party of peace, but that was only an accident of the struggle for office ; under Charles II they had been equally emphatic for war. But the feud of landowner and tradesman was deep and permanent, next to religion, it was the most important issue of party conflict. The Foxhunter believed that 'trade would be the ruin of the English nation', for it was trade and an expanding empire which had brought merchants and financiers to an influence which belonged by tradition to the landed interest. The Tory squires lamented in vain against the City financiers whose strength lay in the Bank of England and the national debt : England had become a land of shopkeepers.

The Whig party was made up, according to Swift, who disliked it, of 'heterogeneous, inconsistent parts'. It was strong among the Puritan yeomanry ; William's Low Church Bishops gave it a small majority in the Lords, and its military aggressiveness commanded the loyalty of the army. Its chief strength, however, was founded on the Dissenters and free-thinkers whom it protected from the wrath of the Anglican Church ; and since the Dissenters thrived on commerce, it had the support of the trading and financial classes. The Whigs, wrote Bolingbroke, were 'the remains of a party, formed against the ill-designs of the

## *The Growth of Parties*

Court under King Charles II, nursed into strength and applied to contrary uses by King William III, and yet still so weak as to lean on the Presbyterians, on the bank and other corporations, on the Dutch and other allies'. Their principles he defined as 'the power and majesty of the people, an original contract, the authority and independency of parliaments, liberty, resistance, exclusion, abdication, deposition'. But these seemingly popular principles did not imply any democratic aspirations. The Whig leaders were aristocrats, with a leaning, when fortune favoured them, to the excesses of the overmighty subject; they were as prompt as the Tories to fall upon anything that smacked of Levelling, equality or the career open to talent.

In 1689 Englishmen did not expect to find in party government a solution of the outstanding constitutional problem of the seventeenth century, the problem of ministerial responsibility. Theoretically, the party system is indefensible. No single party has a monopoly of wisdom or honesty; it should not, therefore, expect to have a monopoly of office. The statesmen who still respected the constitution—Halifax, Danby, Harley, Godolphin—tried to protect the Crown from party domination. 'The best party', wrote Halifax, 'is but a kind of a conspiracy against the rest of the nation'; and 'I cannot forbear to put in a caveat against men tied to a party. Such a man can hardly be called a free agent, and for that reason is very unfit to be trusted with the people's liberty after he hath given up his own'. These suspicions were justified by Bolingbroke's confession of the spirit in which the Tories came to power in 1710. 'The principal spring of our actions was to have the government of the state in our hands; our principal views were the conservation of this power, great employments to ourselves, and great opportunities . . . of hurting those who stood in opposition to us . . . and to fill the employments of the Kingdom, down to the meanest, with Tories'. Their enemies were equally unscrupulous: the Act of Settlement, which excluded office-holders from parliament and attempted to revive the authority of the Privy Council,

### *Party government*

was in effect a condemnation of the factious policy of the Whig Junto

But neither an act of parliament nor the concern of conservative statesmen could stay the growth of party government. The constitution allowed the King to choose his own ministers and, beyond insisting that they should be Protestant, did not attempt to guide his choice. William therefore tried to select his ministers from both parties and to make professional competence, rather than party allegiance, the criterion of office. But the policy of 'men, not measures' failed in practice, 'mixed' ministries proved to be almost unworkable. Ministers of different political opinions did not easily work together, and were more inclined to serve their party than to support the King; moreover, ministers of one party were attacked in parliament by the adherents of the other, and debates in the Houses passed beyond the government's control. In the years after the Treaty of Ryswick a ministry consisting of Whigs and Dutchmen was helpless against a Commons dominated by the Tories. Eventually, therefore, in spite of the obstinate resistance of William and Anne, the Crown had to fall in with a suggestion first submitted by Sunderland, that government would be more easily carried on if the ministry were selected from the party which had a majority in the House of Commons.

Although the King was thus unable to do without parties, parties could not do without the King. The Crown was the source of all honour in the state: it distributed salaries, sinecures and pensions, it appointed the members of the royal household and the officials of state, it controlled promotion in the army, the navy, the colonies and the Church, and it could secure the election of a solid block of members to parliament.<sup>1</sup> Thus no party could succeed unless it stood in favour at court and could wield in its interests the vast 'patronage' of the Crown. The long triumph of the Whigs in the eighteenth century was founded on the goodwill and co-operation of the first two Hanoverian

<sup>1</sup> Governments supported by the Crown rarely lost an election. In fact, elections tended to follow, rather than precede, ministerial changes.

## *The Growth of Parties*

Kings ; and when George III descended into the political arena, he showed what vast authority the Crown might still possess if the King were a good parliamentarian. In their humbler way, both William and Anne used their power to protect the country from the worst results of party despotism. William saved the Tories from a Whig vengeance in 1690, and the Whigs from the Tories in 1701 ; Anne thwarted a Tory attempt to revive religious intolerance during the crisis of a war, dismissed the Whigs when they prolonged that war for selfish motives, and on her death-bed saved the Protestant succession from the conspiracies of the Tory extremists. Thus they anticipated what has become the chief constitutional function of the Crown · to intervene in politics only when party factiousness jeopardises the national interests. William IV used his prerogative to carry the Reform Bill in 1832 ; it was used again in 1911, when the Crown assisted the Liberals to cripple the House of Lords

### *2. Parties under William*

Early in his reign William showed that he would not permit the unity of his people to be distracted by party bitterness. In 1690 the Whigs attempted to turn to their advantage their majority in the Convention : they introduced a Corporation Bill, to exclude from municipal office for seven years the men who had advised and carried through the surrender of the borough charters under Charles II. William therefore dismissed the Convention, and in the new parliament he carried an Act of Grace which gave a comprehensive pardon for all political offences. Until 1694 William governed through a 'mixed' ministry which, under the leadership of Danby,<sup>1</sup> had at first a predominantly Tory flavour. But he found the Tories unsatisfactory instruments for the conduct of a war : they were jealous of the Dutch favourites—Portland, Keppel, Athlone—to whom alone he gave his real confidence, but as war ministers they

<sup>1</sup> Danby was made Marquis of Carmarthen in 1689 and Duke of Leeds in 1694.

## *The Whig Junto*

were half-hearted and unsuccessful, and their followers in the Commons complained of the heavy taxation. Further, the death of Mary in 1694 weakened Tory allegiance to the Crown. Since the Tories had failed him, William was obliged to call in the Whigs, who had already undertaken to finance his war and were willing to conduct it with enthusiasm and efficiency. Somers and Trenchard entered the ministry in 1693, Shrewsbury, Montagu and Russell in the following year; Godolphin was the only Tory to survive, for his financial skill made him indispensable.

When William called in the Whigs, he sacrificed much of his independence: he needed Whig money and Whig votes, and he had to pay the price in subservience to the all-powerful Junto. The Whig leaders, the Junto of Wharton, Somers, Montagu and Russell, mobilised the party of magnates and merchants which Pym and Manchester had created, and forced it on the helpless King by the sheer weight of its financial and political influence. It performed considerable services for the nation: it financed and won the war, withdrew a debased coinage, and solved for ever the problem of raising an adequate revenue; it passed a Treasons Act and abandoned the censorship of the press; and when the Jacobites plotted William's death in 1696, the Whigs displayed the country's firmness for the Protestant succession by organising a National Association to protect him, and by enacting that parliament should sit for a further six months when he was dead. Some of their actions, however, were dictated by less exalted motives of vengeance and personal profit. They tried to impeach Danby, the leader of their enemies; they tried to make their National Association a test for the holding of office; they used the weapon of attainder against a Jacobite conspirator, because his confessions were damaging to certain members of the ministry; and in the interest of the moneyed classes they wrecked the Scottish Darien Company and ruined the Irish woollen trade.

With the coming of peace the power of the Junto began to decline. They weakened themselves by personal divisions, and

## *The Growth of Parties*

in particular by their mistrust of Sunderland's influence with the King ; when the war was over, their financial resources were less important to the Crown ; and they were harassed by a growing party in the Commons whom their high-handedness had driven into opposition. The leader of this opposition was Robert Harley, formerly a Presbyterian and a Whig. Gathering about him the Tory minority and the malcontent Whigs, Harley assembled a party which, although it bore the label of Tory, departed from many of the traditions of Cavalier politics. It was founded less on principle than on opportunism ; its purpose was to assert the independence of parliament against the Junto and its exorbitant influence at the court. Thus in its methods and objects it recalled the country party which Shaftesbury had formed in the days when the court had been the preserve of the Cavaliers. Many of these objects it would forget when the turn of the tide brought it into office ; but the permanent importance of Harley's work was that he put himself at the head of a new and combative Toryism which rejected the Jacobite intrigues of the Non-Jurors and accepted the sovereignty of parliament. Although Nottingham still embodied the Cavalier tradition, and the Foxhunter had 'always been for passive obedience, and shall be always for opposing a prince who makes use of ministers that are of another opinion', the aim of the Tories would henceforth be to bind the executive to the will of parliament. The autocracy of the Junto forced the Tories to accept the principles of the Revolution.

The elections of 1698 recovered for the country party the majority which they had lost in 1695. If William had been prepared to accept the party system, he would have called the Tories into office and saved himself some of the humiliation which followed ; but apart from various changes in personnel, he kept his Whig ministry in power. The Commons fell ruthlessly upon the prerogative. Reviving the old cry against a standing army, they compelled the King to send away his Dutch Guards, and reduced the numbers of the army to 7,000 men ; they carried a bill to investigate the grants of Irish land to Dutch

## *The Act of Settlement*

favourites, and vested the land in parliamentary trustees ; they challenged the King's prerogative in the conduct of foreign policy, and arraigned the ministers who had assisted him to draw up the Partition Treaties with France. Finally, the death of the Duke of Gloucester, Anne's last surviving child, gave conservatives of all denominations the opportunity to express in a statute their alarm at the heavy expenses of government, the military enterprises of a foreign King, and the high-handedness of ministers

The chief business of the Act of Settlement was to protect the Protestant succession in a new emergency. Since neither Mary nor Anne left an heir to the throne, the statesman of the Revolution had to look elsewhere for a suitable candidate. They chose the Princess Sophia, Dowager Electress of Hanover,<sup>1</sup> who was a descendant of the marriage between Elizabeth Stuart and the Elector Palatine ; and they decided to improve the occasion by passing measures for 'better securing the rights and liberties of the subject'. As originally devised, the Act of Settlement provided that, after the death of Anne, no war should be undertaken without the consent of parliament, that the sovereign should not leave the country without his subjects' approval, that no foreigner should sit in the Council or parliament, hold office in the army or the state, or receive grants of land from the Crown. The Act made a last attempt to assert the authority of the Privy Council over the informal cabinet of ministers whom the King chose to consult, for the Commons had lately found it difficult to bring responsibility home to culpable or unpopular ministers. They decided, therefore, that all matters cognizable by the Council should be transacted there, and that all its members should affix their signatures to resolutions of which they approved. A final blow at the government was a provision that judges should no longer hold office during the King's pleasure, and that no man who held a pension or an office of profit under the Crown should be eligible to sit in parliament.

<sup>1</sup> As well as James II's son, the Catholic Duchess of Savoy had a superior claim.

### *The Growth of Parties*

Thus Sophia and her descendants were to be made to pay for the unpopularity of William and the Junto. The constitutional clauses of the Act of Settlement were the greatest triumph of the phalanx of country gentlemen, traditionally conservative and suspicious, who came to every parliament in a spirit of hostility to the court. But their work was merely obstructive; if their proposals had remained on the statute-book, no ministry would have been able to function. By 1714 most of their provisions had been repealed, for the Tories discovered, when they came to power, that the measures which they had passed in opposition would deprive them of the lawful perquisites of office. It was impossible, too, to revive the authority of the Privy Council. The cabinet provided a necessary link between the legislature and the government, and the constant criticism of a vigorous opposition guaranteed that power would not be abused.

#### *3. The Whig war and the Tory peace*

The follies committed by Louis in 1701 spared William from further parliamentary attack. The justices and freeholders of Kent petitioned the Commons to suspend their factious wrangling and vote supplies for the defence of the country; and although the Commons asserted their offended majesty by voting the petition to be an outrage, and ordering the arrest of its authors, William correctly judged that it expressed the will of the people. Accordingly he dissolved the parliament which had been elected earlier in the year, and appealed to the nation. The new parliament was still predominantly Tory, but the members were pledged to war and the support of the King.

For the next three years the war was directed by another 'mixed' ministry, an uneasy coalition of Whigs and Tories whose Tory members—Rochester, Seymour, Harley, Nottingham—found their position becoming steadily more embarrassing. They were able to abandon the anti-monarchical rôle which they had adopted when the King was at the mercy of the Junto, but



### *Break-up of the coalition*

they had to work in circumstances essentially uncongenial to them. As before, they were not the party to conduct a war. War meant standing armies, which they hated; and it was necessarily to the profit of the 'usurers and stockjobbers' who had already entrenched their power in the Bank of England and an everlasting debt. Thus the Tories lost ground by their half-hearted co-operation in the work of the Grand Alliance. Their suggestion that England's share should be confined to naval and colonial enterprises was unimpressive after Blenheim had justified military operations on the continent; and their only reply to Blenheim was to lavish exaggerated praises on Admiral Rooke for his successful defence of Gibraltar, and on the Earl of Peterborough for his capture of Barcelona. Their campaign was quite ineffective: they failed to prevent parliament from voting supplies for further enterprises in Europe. Moreover, it lost them the support of Marlborough and Godolphin, who had been regarded hitherto as moderate Tories. Marlborough was not a party man. 'All parties are alike', he said, 'unreasonable and unjust'; he vowed that he would have as his friends only 'such as will support the Queen and the government'. But at that time the Queen's government meant the Duke of Marlborough's war, and he was thrown into the arms of the men whose interest in the war was whole-hearted.

The Tories completed their ruin by choosing this moment to revive religious differences. The Dissenters had fallen into the practice of evading the Clarendon Code by occasionally taking the sacraments of the Church of England. Three times the Tories sought to stop this gap in the Anglican fortress by introducing a bill against Occasional Conformity, and each time their enemies accused them of trying to weaken the nation in the hour of military emergency. In 1705 the coalition broke up. Although Anne's personal preference was for the Tories, the Duchess of Marlborough was her intimate friend, and she was forced to yield to the argument that the war would be more efficiently conducted by a ministry which was united in

## *The Growth of Parties*

its aims. So she reluctantly entrusted her government to the new Whig Junto, led by Somers, Orford,<sup>1</sup> Wharton, Halifax<sup>2</sup> and the third Earl of Sunderland. In 1708 a threat of collective resignation persuaded Harley to give up his office, and the ministry became exclusively Whig.

Once more, however, Harley showed himself to be a skilful leader of opposition. He hired Defoe and Swift to use their literary gifts to ridicule the government; through the commonplace Mrs Masham he countered the Duchess of Marlborough's influence over the Queen; and once more he stirred the animosities of the independent country gentlemen against a government controlled and abused by the triumphant Whigs. His enemies played into his hands by protracting the war. After 1708 the war did not serve the nation's interest, it was continued for the glorification of Whig generals, and for the reward of the 'moneyed men . . . whose perpetual harvest is war, and whose beneficial way of traffic must very much decline by a peace'.<sup>3</sup> The people grew tired of paying taxes for these somewhat limited purposes, and came to look on the Queen as another Royal Martyr in the hands of an army and an unscrupulous parliament. Anne herself was anxious to escape from the dominance of the Whigs. 'Whoever of the Whigs thinks I am to be hectored or frightened into a compliance is mightily mistaken in me'. In 1710 the ministry attempted to impeach an ineffectual divine, named Sacheverell, for a sermon in which he revived the doctrine of non-resistance and denounced the Whigs as enemies of the Church. The affair provoked an agitation quite beyond its merits; and Anne learned from a spate of pamphlets and the demonstrations of the London mob that she might safely dismiss her ministers.

The nation upheld her decision. At the elections the Crown made skilful use of its influence, and the Tories were returned in overwhelming numbers to negotiate a peace. The Whigs tried to save themselves by appealing to the Dutch and the

<sup>1</sup> Formerly Admiral Russell

<sup>2</sup> Formerly Charles Montagu.

<sup>3</sup> Swift, *Conduct of the Allies*

## *Harley and Bolingbroke*

Austrians, and Eugene came to England to urge the government to continue the war. In the Lords the Whigs found unexpected allies. In return for passing the Act against Occasional Conformity, they persuaded Nottingham and the High Churchmen to vote against the peace. But they could not fight against a party which had the Crown on its side. Anne exercised her prerogative to create twelve peers to carry the peace in the Upper House, and the Whigs were left to console themselves with Wharton's mocking enquiry whether the twelve would register their votes singly or through a foreman.

### *4. The Tories and the succession*

Once they had carried the peace, the Tories had to taste the disadvantages of divided purposes and divided leadership. Two men, Harley, now Earl of Oxford, and Henry St. John, Viscount Bolingbroke, struggled to win the leadership of the party and to guide its destiny according to their individual inclinations and temperament. Harley was an experienced politician, cautious and accommodating, and taught by his own successes as leader of an opposition how easily a ministry might abuse its power and provoke the formidable resentment of the country gentlemen. Harley, in fact, was not altogether a party man. He believed that the Crown, and not a party junto, should be 'the centre of power and union'; even a Tory majority alarmed him, and he was so overwhelmed by the exuberance of his own supporters in 1710-11 that he vainly implored some of the Whig ministers to remain in office. His rival Bolingbroke was less experienced and less discreet; he had a good mind, but his character was too unstable, and his nerve too irresolute, to fit him for the leadership of a great party in the critical years which lay ahead. Bolingbroke betrayed the Tories into a policy which was to exclude them from power, and almost to end their independent existence, for nearly half a century.

In the October Club Bolingbroke put himself at the head of the Tory extremists who were impatient of Harley's moderation.

## *The Growth of Parties*

In their opinion, 1681 was come again : the Tory majority should be used to identify the state once more with the interests of the Anglican Church and the landed classes, and to eradicate such temporary features of the Revolution as toleration, the influence of the moneyed men, standing armies and a permanent debt. The Act against Occasional Conformity was a start in this direction, and Bolingbroke's faction followed it with a measure to impose a high property qualification on members of parliament, with a Stamp Act, which enabled the government to silence its critics by means of a heavy duty on journals and pamphlets ; and with an act to check the immigration of foreign refugees, who would occupy themselves in trade and give their votes to the Whigs.

The elections of 1713 strengthened Bolingbroke's majority, and encouraged the October Club to begin to play a dangerous and fatal game. Bolingbroke knew that his time was short : his attempt to revive the old, intolerant Toryism, divested of the half-hearted malcontents whom Harley had recruited from the Whigs, depended on the life of the Queen. The Whigs had been assiduous at the court of Hanover, and when Anne was dead the Tories could expect little favour from her successor. Bolingbroke was an opportunist and a man without principles. there can be no doubt that he would have repealed the Act of Settlement if he had been able to persuade parliament and the nation to support him. But the Jacobite cause had two fatal weaknesses. the government's creditors feared that they would lose their money, and the Pretender was an obstinate Catholic. When the Tories approached him, he damaged his chances beyond repair by promising nothing better than a 'reasonable security' for the Protestant religion. It is unlikely, therefore, that Bolingbroke contemplated a scheme so hopeless and irresponsible as a restoration of the Stuarts and an attack on the Protestant succession. His object, as he later confessed, was to fill every important office in the kingdom with Tories, in the hope that the new King would not venture to remove them. He hoped that the Tory monopoly of office, 'joined to the

### *The Hanoverian succession*

advantages of our numbers and our property', would make the party 'too considerable not to make our terms in all events which might happen afterwards: concerning which, to speak truly, I think few or none of us had very settled resolutions'. The Tory conspiracy had no precise aims, for Bolingbroke was an opportunist who liked to wait on events; but its general purpose was to occupy the vital strongholds in the Church, the army and the state in such overwhelming strength that the Hanoverians would be forced to keep the Tories in office.

In 1714 Bolingbroke won the Anglican diehards to his side by passing the Schism Act, which decreed that only members of the Church of England might teach or keep a school. Meanwhile, he persuaded the Queen to appoint his followers to all the important military posts; and he won his last success when he bullied her into dismissing the temporising Harley from his office. Five days later Anne was dead, but in her last hours she confounded Bolingbroke's hopes by selecting as Harley's successor in the Treasury, not Bolingbroke, but the 'trimming' Duke of Shrewsbury. Bolingbroke had gone too far. His real motives were misunderstood, and his policy was interpreted as an attempt to restore the Stuarts. The Whigs defeated him over the Council-table by urging the Queen to come to the defence of her Church. In the crisis his nerve failed him and he fled to Europe; without enthusiasm and without resistance the people watched the arrival of the Elector of Hanover, the unimpressive token of their resolve that only Protestants should rule in England.

In 1727, when the second Hanoverian King succeeded quietly to his father's throne, the statesmen of England could feel that what they had won by their Revolution was theirs to enjoy in a calm and settled future. They had defended their constitutional settlement against the attack of France, and they had crushed a Jacobite rising; they had survived a financial crisis and the fury of thousands of ruined gamblers; the Riot Act was in some measure a guarantee of order, the Septennial Act of a parliament which bribery could cajole into acquiescence. In this unfamiliar

## *The Growth of Parties*

security they had leisure to ask themselves what the country had gained from the struggles of the past two hundred years

In 1485 England was an unimportant island lying off the northern coast of Europe, notable on the continent only for the ferocity and persistence with which its inhabitants periodically poured across the Channel into France. The Tudors found this island in the grip of profitless dissensions which had broken its peace, hindered its commerce, and destroyed its ruling class. They brought to it the strong hand of an orderly, peaceful government; they encouraged its people to take to the sea and carry their commerce to distant lands, under their guidance the country threw off the dominion of the Pope, chose its own religion, and defended its independence against the Counter-Reformation and the strength of Hapsburg Spain. In all this the Crown was the leader and the servant of the nation, and the Tudors showed the people how to be strong enough to want to undertake the responsibilities of government for themselves. In the struggle which followed only one result was possible. The tasks of administration had become too complex for one man and his chosen servants to perform, the gentry of England were rich and enterprising, and they had been matured by the service which the Tudors had asked of them. Thus, for the sake of efficiency, supreme power in the state had to pass from the Crown to the political and economic leaders of the nation. In the long and bitter struggle men called on false gods to sanction what they did: when power is being grasped by a ruthless oligarchy, freedom is an incongruous label to attach to the process. By the opening years of the eighteenth century the work was done. An indisputably Protestant England had discovered the wisdom and humanity of religious toleration; commercial settlements in five continents heralded England's bid for the markets of the world; and an unassailable government, in which the King was a puppet and a figurehead, had the power to arm and discipline the people of a united commonwealth for the destined pursuit of imperial and economic glories.

When so much was gained in the way of material efficiency, it

### *The harvest of victory*

may seem irrelevant to point to what was lost. The landowners and plutocrats who had established their claim to be the new rulers of England had too little sense of the obligations which their eminence required of them. Whatever may be the faults of an autocratic monarchy, the King must always realise that his interest and the nation's are one; when the monarchy had been humbled, a distinction began to appear between the interests of the nation and the personal interests of the ruling classes. The idle pretence that the members of the government were the chosen representatives of the people was unavailing in a country whose rulers argued that poverty was an affliction which God had inexorably chosen to lay upon most of His children, the sovereignty of the people was a theory which the oligarchy followed only so far as it excused their own usurpation of an authority which had formerly been exercised in the interests of the nation as a whole. The unanswerable charge against the new rulers of England is that they forgot that power carried with it responsibilities as well as rewards. Beneath the fastidious civilisation which was the pride of the eighteenth century lay the helplessness of a diseased and ignorant rabble, on the other side of a Gainsborough portrait is painted a picture of Hogarth's London.





# APPENDICES

## ENCLOSURES IN ENGLAND, 1485-1607

The map on page 67 is based on an analysis by E F Gay (*Quarterly Journal of Economics* (1903), vol XVII, no 4) of the commissioners' returns in the only two Inquisitions of which any substantial records are known to exist those of 1517-19 and 1607. The first of these has been published in *The Domesday of Inclosures*, edited by I S Leadam (1897), and covers twenty-three counties; the second, preserved in the Record Office, is confined to six counties, but these are all in the Midlands, where enclosing activity was greatest. The returns are summarised in the following table. Each commission is taken to cover a retrospective period of some thirty years, leaving a space of sixty years between them. On the assumption that in these sixty years the rate of enclosing was at least equal to that of the earlier period, Gay doubles the known acreage, or for the counties included in both earlier and later returns he takes the sum of the two. To complete the gaps in the 1607 return he repeats the figures for 1517, and obtains the following conjectural results. The purely inferred totals are shown in italics.

It is obvious that figures arrived at in this way are only hypothetical, and they must not be made to carry too much weight. Nevertheless they probably bring us nearer to the facts than the allusions and complaints which are scattered through contemporary literature.

County	Enclosures (acres)				Per-centage of County area enclosed
	1485-1517	1518-1577	1578-1607	Total	
Hunts .	7,677 $\frac{1}{2}$	15,355	7,677 $\frac{1}{2}$	30,710	13 17
Northants. .	14,081 $\frac{1}{2}$	41,417	27,335 $\frac{1}{2}$	82,834	13 02
Oxon .	11,831	23,662	11,831	47,324	9 90
Beds . . .	4,137	14,141	10,004	28,282	9 37
Bucks . .	9,921	16,998 $\frac{1}{2}$	7,077 $\frac{1}{2}$	33,997	7 12
Leics . . .	5,780 $\frac{1}{2}$	18,071	12,290 $\frac{1}{2}$	36,142	6 81
Middlesex .	2,236 $\frac{1}{2}$	4,473	2,236 $\frac{1}{2}$	8,946	6 08
Berks .	6,392	12,784	6,392	25,568	5 57
Warwick .	9,694	15,067	5,373	30,134	5 23
Notts . .	4,470	8,940	4,470	17,880	3 33
Norfolk .	9,334	18,668	9,334	37,336	2 86
Rutland . .	531	1,062	531	2,124	2 19
Gloucs . .	3,681 $\frac{1}{2}$	7,363	3,681 $\frac{1}{2}$	14,726	1 84
Lincoln . .	4,866 $\frac{1}{2}$	9,733	4,866 $\frac{1}{2}$	19,466	1 15
Cambs . .	1,402	2,804	1,402	5,608	1 02
Hereford .	1,185	2,370	1,185	4,740	0 88
Salop .	1,859	3,718	1,859	7,436	0 87
Yorks , N.R	2,503	5,006	2,503	10,012	0 74
Yorks , E R	1,384	2,768	1,384	5,536	0 74
Essex .	1,248	2,496	1,248	4,992	0 51
Yorks , W R .	1,837	3,674	1,837	7,348	0 42
Hants. . .	1,035	2,070	1,035	4,140	0 39
Derby . .	620	1,240	620	2,480	0 38
Staffs. . .	538	1,076	538	2,152	0 29
Somerset .	660	1,320	660	2,640	0 25
Cheshire . .	65 $\frac{1}{2}$	131	65 $\frac{1}{2}$	262	0 04

# LISTS OF CONTEMPORARY RULERS

## 1. *The Papacy*

1484	Innocent VIII
1492	Alexander VI (Borgia)
1503	Pius III
1503	Julius II
1513	Leo X (Medici)
1522	Adrian VI
1523	Clement VII (Medici)
1534	Paul III (Farnese) ( <i>d.</i> 1549)
1550	Julius III
1555	Marcellus II
1555	Paul IV (Caraffa)
1559	Pius IV (Medici) ( <i>d.</i> 1565)
1566	Pius V
1572	Gregory XIII
1585	Sixtus V
1590	Urban VII
1590	Gregory XIV
1591	Innocent IX ( <i>d.</i> 1591)
1592	Clement VIII
1605	Leo XI
1605	Paul V
1621	Gregory XV
1623	Urban VIII
1644	Innocent X
1655	Alexander VII
1667	Clement IX ( <i>d.</i> 1669)
1670	Clement X
1676	Innocent XI
1689	Alexander VIII
1691	Innocent XII
1700	Clement XI ( <i>d.</i> 1721)

## 2. *The Holy Roman Empire*

1493	Maximilian I
1519	Charles V
1558	Ferdinand I
1564	Maximilian II
1576	Rudolf II
1612	Matthias
1619	Ferdinand II
1637	Ferdinand III
1658	Leopold I
1705	Joseph I
1711	Charles VI ( <i>d</i> 1740)

(All of the House of Hapsburg)

## 3. *France*

Valois

1483	Charles VIII
1498	Louis XII
1515	Francis I
1547	Henry II
1559	Francis II
1560	Charles IX
1574	Henry III
	Bourbon
1589	Henry IV
1610	Louis XIII
1643	Louis XIV ( <i>d.</i> 1715)

## 4. *Spain*

Ferdinand of Aragon (1479-1516) *m.* Isabella of Castile (1474-  
1504)

1516	Charles I (Emperor Charles V, 1519)
1556	Philip II
1598	Philip III
1621	Philip IV
1665	Charles II
1700	Philip V of Bourbon ( <i>d</i> 1746)

## 5. *Scotland*

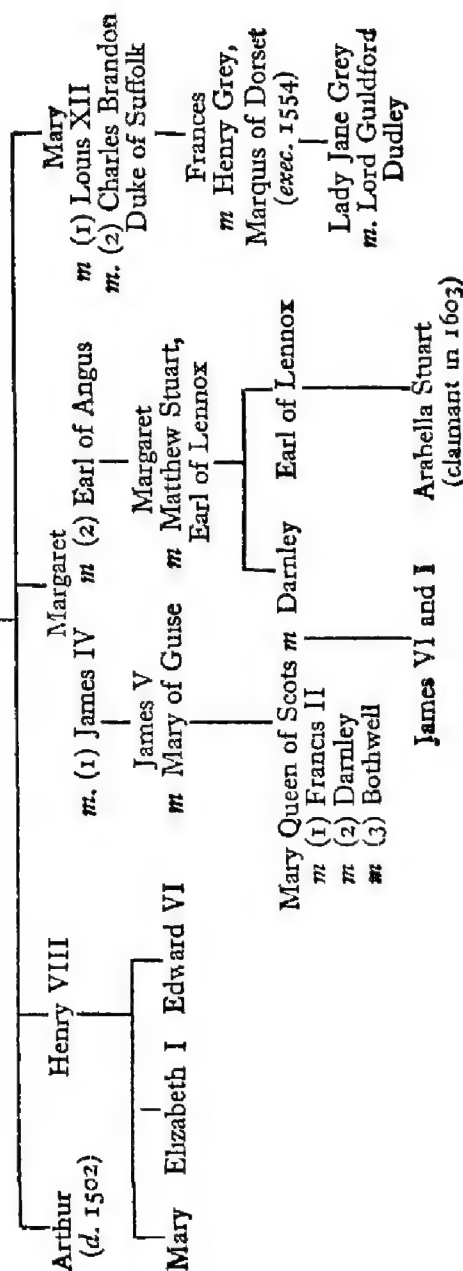
1488	James IV
1513	James V
1542	Mary
1567	James VI (James I of England)

# GENEALOGIES

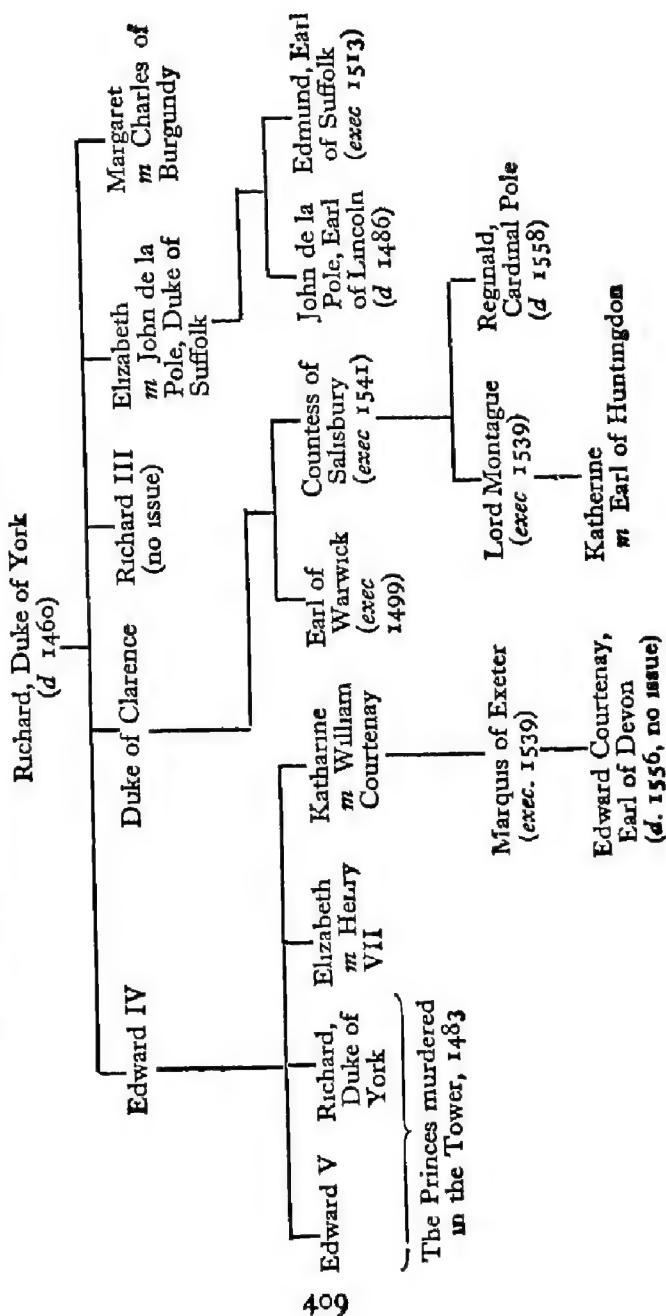
## 1 THE HOUSE OF TUDOR

Edmund Tudor, Earl of Richmond, *m.* Margaret, daughter of John Beaufort, Duke of Somerset

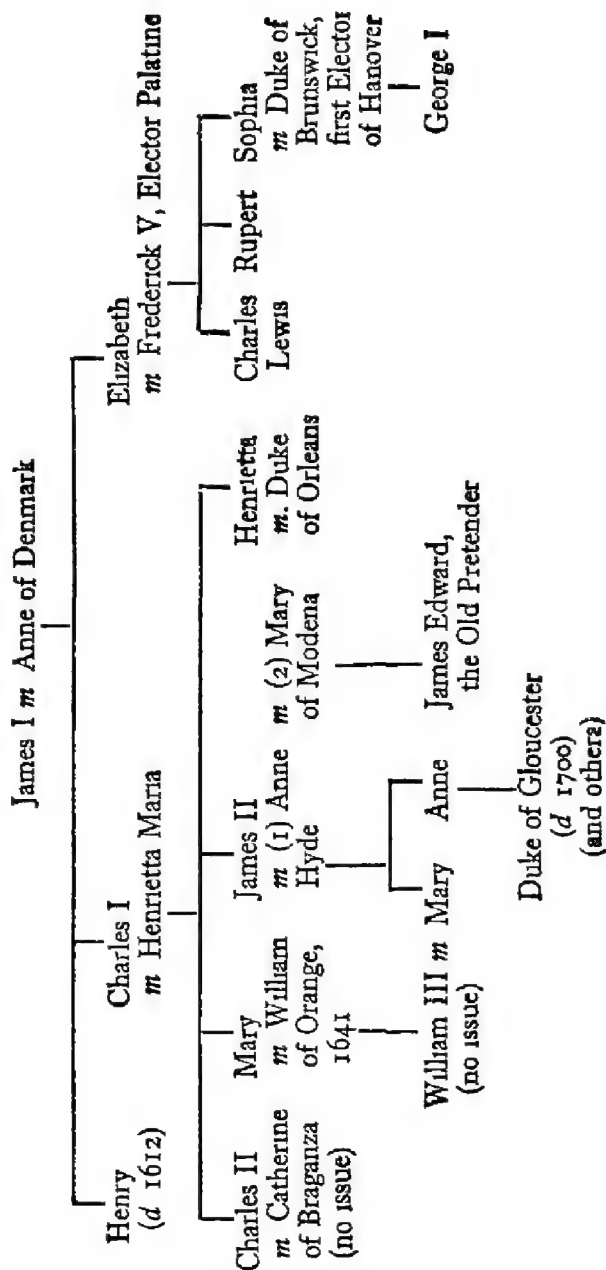
Henry VII



## 2. THE YORKIST CLAIMANTS TO THE THRONE

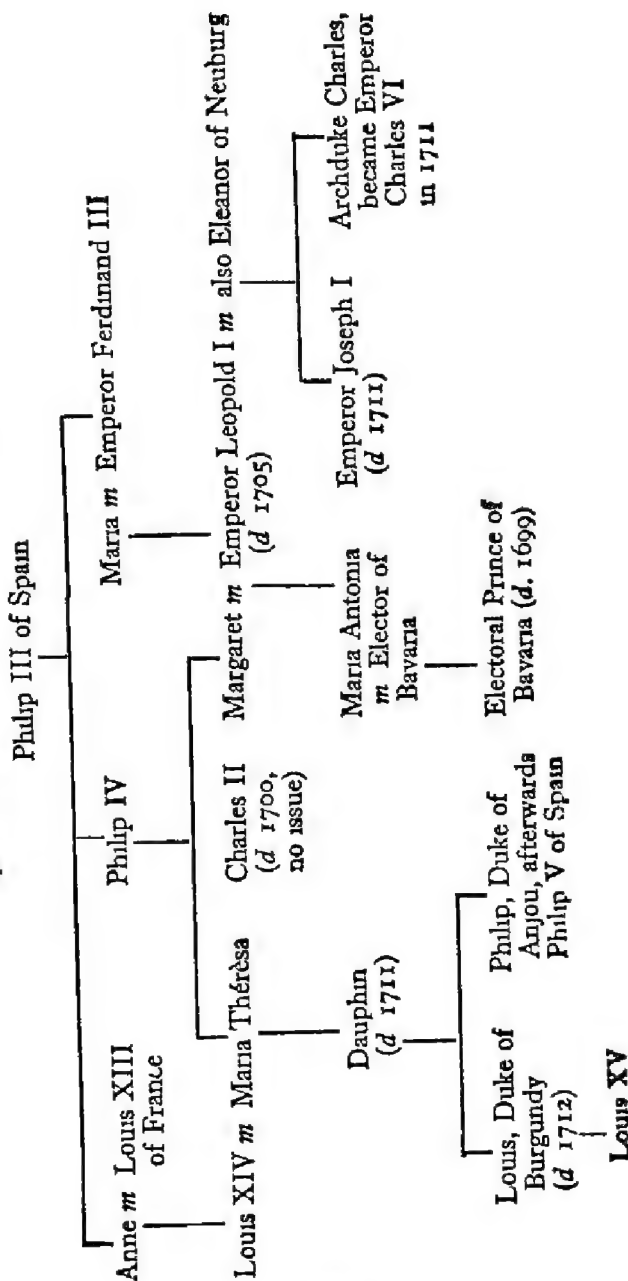


### 3 THE ENGLISH SUCCESSION





#### 4. THE SPANISH SUCCESSION





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## *Select List for Further Reading*

### *(c) Social and Economic*

- M. St C Byrnie : *Elizabethan Life in Town and Country*  
J H. Clapham *Concise Economic History of Britain*  
G N Clark *The Wealth of England*  
W Cunningham . *Growth of English Industry and Commerce*  
H E Egerton *Short History of British Colonial Policy*  
*Life in Shakespeare's England* (ed. J. Dover Wilson)  
E Lipson *Economic History of England*  
D Mathew . *The Social Structure of Caroline England*  
C R. N. Routh *They Saw it Happen, 1485-1688*  
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*Shakespeare's England* (ed C. T Onions)  
*Social England* (ed H D. Traill and J S Mann)  
R H Tawney *Religion and the Rise of Capitalism*  
G. M Trevelyan . *English Social History*  
H R. Trevor-Roper *The Gentry, 1540-1640*  
J A Williamson *Short History of British Expansion*

### *(d) The Church*

- G W O Addleshaw and F Etchells *The Architectural Setting of Anglican Worship*  
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G Constant *The Reformation in England*  
*History of the English Church 1509-58*, by J Gairdner, 1558-1625, by W H Flete, 1625-1714, by W H Hutton  
P Hughes *The Reformation in England*  
W K. Jordan . *The Development of Religious Toleration in England*  
M M Knappen *Tudor Puritanism*  
R A Knox *Enthusiasm*  
H. Maynard Smith *Pre-Reformation England Henry VIII and the Reformation*  
T M Parker *The English Reformation to 1558*  
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E G Rupp *The English Protestant Tradition*  
A F. Scott Pearson . *Thomas Cartwright and Elizabethan Puritanism*  
F. Seebohm *The Oxford Reformers*  
J. V. P. Thompson . *Supreme Governor*

### *(e) Literature and Thought*

- J W Allen : *History of Political Thought in the Sixteenth Century. English Political Thought, 1603-60*  
F. LeV Baumer *The Early Tudor Theory of Kingship*

## Select List for Further Reading

- J. Bowle · *Western Political Thought*  
 H. Craig · *The Enchanted Glass*  
 L. Einstein · *Tudor Ideals*  
 J. N. Figgis · *The Divine Right of Kings*  
 G. P. Gooch · *English Political Thought from Bacon to Halifax.*  
                   *English Democratic Ideas in the Seventeenth Century*  
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 C. V. Wedgwood · *Seventeenth Century English Literature*  
 B. Willey · *The Seventeenth Century Background*  
 A. S. P. Woodhouse · *Puritanism and Liberty*

## 2 THE TUDOR PERIOD

- F. Bacon · *History of the Reign of Henry VII*  
 A. Browning · *The Age of Elizabeth*  
 R. W. Chambers · *Sir Thomas More*  
 C. G. Cruickshank · *Elizabeth's Army*  
 J. A. Froude · *History of England*  
 K. Garvin · *The Great Tudors*  
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 J. A. Williamson · *The Tudor Age Maritime Enterprise, 1485-1558. The Age of Drake Hawkins of Plymouth*

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### 3. THE STUART PERIOD

- C. D. Bowen *The Lion and the Throne: Life and Times of Sir Edward Coke*  
A. Browning *Danby*  
D. Brunton and D. H. Pennington *The Members of the Long Parliament*  
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B. H. G. Wormald *Clarendon*  
G. M. Young *Charles I and Cromwell*

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